

# Social Monitoring Report

---

Quarter Report  
October – December 2015

## VIE: Power Transmission Investment Program, Tranche 1 – 500kV/220kV Bac Ninh 2 – Pho Noi Transmission Line and 500kV Pho Noi Substation

Prepared by the Northern Vietnam Power Projects Management Board for the National Power Transmission Corporation and the Asian Development Bank.

This social monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.



---

VIE: MFF POWER TRANSMISSION INVESTMENT PROGRAM

ADB LOAN No. 2848-VIE

## **4<sup>th</sup> QUARTERLY REPORT 2015**

Submitted to:

**Northern Power Project Management Board**

Prepared by:

**National Safeguard Specialist for Resettlement**

**Hanoi, January 2016**

## TABLE OF CONTENT

1.	INTRODUCTION TO THE PROJECT	3
2.	THE PROGRESS RATE OF PROJECT IMPLEMENTATION	4
3.	ACCOMPLISHMENTS IN LAST QUARTER	4
4.	ACTIVITIES OF 3 <sup>rd</sup> QUARTERLY REPORT, 2015	4
4.1.	Bac Ninh 2 – Pho Noi 500KV/220KV TL sub-project	4
4.1.1.	Progress in following through safeguard policies	4
4.1.2.	Consultation, participation and information disclosure	5
4.1.3.	DMSs and entitlement	5
4.1.4.	Compensation payment and assistance	6
4.1.5.	Grievance redressal	6
4.2.	Pho Noi 500KV SS sub-project	6
4.2.1.	Progress in following through safeguard policies	6
4.2.2.	Consultation, participation and information disclosure	7
4.2.3.	DMSs and entitlement	8
4.2.4.	Compensation payment and assistance	8
4.2.5.	Grievance redressal	9
5.	WORK PLAN FOR NEXT QUARTERLY REPORT	10
6.	ANNEXES	11

## ACRONYMS

ADB	Asian Development Bank
AP	Affected Person
CPC	Commune People's Committee
DMS	Detailed Measurement Survey
DCARB	District Compensation and Resettlement Board
EM	Ethnic Minorities
LIC	Local implementation consultant
GoV	Government of Viet Nam
PPCs	Provincial People's Committees
RPF	Resettlement Policy Framework
ROW	Right of Way
RP	Resettlement Plan
RS	Resettlement site
NPPMB	Northern Power Project Management Board
VND	Viet Nam Dong
TNA	Training need assessment
T/L	Transmission line

## **1. INTRODUCTION TO THE PROJECT**

1. The Socialist Republic of Viet Nam has received a loan from the Asian Development Bank (ADB) towards the cost of the Power Transmission Investment Program, Tranche 1. There are 4 Subprojects included in the tranche 1 of the Multi tranche Financing Facility: Power Transmission Investment Program (the Facility) that finances part of the National Power Transmission Corporation's (NPT) medium-term power transmission expansion program in Viet Nam. The first tranche will finance 4 subprojects: (i) 500/220 kV Pho Noi substation; (ii) 500 kV/220 kV Pho Noi – Bac Ninh 2 transmission line; (iii) 220 kV Phu My 2 substation; (iv) 220 kV Song May – Uyen Hung transmission line.
2. Part of the proceeds of the loan will be applied for the construction of the (i) 500 kV/220 kV Bac Ninh 2 – Pho Noi transmission line and (ii) 500 kV Pho Noi substation. The National Power Transmission Corporation (NPT) is the executing agency and the Northern Power Project Management Board (NPPMB) is the implementing agency for the two subprojects.
3. The Subprojects will also finance non-physical actions including (i) consulting services for (a) procurement, construction supervision and management, and implementation of social safeguards, (b) on the job-training for preparing and implementing resettlement and ethnic minority development plans, environmental impact assessments and management plans for subsequent financing tranches, (ii) an independent monitoring agency to monitor effective implementation of social safeguards, and (iii) an independent monitoring consultant as a third-party member for the review of draft bidding documents and to participate in the evaluation of bids and thus, guarantee that affiliation of an eligible bidder with Ministry of Industry and Trade (MOIT) / Viet Nam Electricity (EVN) does not affect the integrity and fairness of the competitive bidding process.
4. Location. The 500 kV/220 kV Pho Noi – Bac Ninh 2 transmission line and associated 500/220 kV Pho Noi substation is located in the northern part of Viet Nam. The starting point of the transmission line will be the 500 kV bus bar of the Pho Noi substation that is located in the Hung Yen province and the ending point will be the 220 kV bus bar of the Bac Ninh 2 substation located in the Bac Ninh province.

## **2. THE PROGRESS RATE OF PROJECT IMPLEMENTATION**

5. The status of Construction of 02 subprojects include
  - Foundation sites have been transferred to civil work contractor: 92/92
  - 92/92 foundations have been finished.
  - DMS is completed for the households within ROW.
  - Payment of compensation and assistance for the facility and access road to 500KV Pho Noi substation/220KV, DMS for the 4 connections of 500kV Pho Noi substation is completed.

## **3. ACCOMPLISHMENTS IN LAST QUARTER**

6. In the 3rd Quarter 2015, NPMB coordinated with DCARBs to hold the public consultation meetings to disclose information and introduce for the affected households about grievance procedures and redress procedures, updated resettlement plan of 2 Subprojects.
7. In addition, DCARBs completed the DMS for affected households along the ROW of the Bac Ninh 2 – Pho Noi 500KV/220KV transmission line and now carrying out the DMS for households in 4 connection lines of Pho Noi 500KV substation.
8. The above-mentioned works have been gathered and stated in the 3<sup>rd</sup> Quarterly Report by the Resettlement Specialist.

## **4. ACTIVITIES OF 4<sup>th</sup> QUARTERLY REPORT, 2015**

### **4.1. Bac Ninh 2 – Pho Noi 500KV/220KV TL sub-project**

#### **4.1.1 Progress in following through safeguard policies**

9. The total cost estimate for payment of compensation and assistance in Bac Ninh province is VND 26,495,000,000 (equivalent to USD 1,232,326). In which, (i) total compensation for affected land is VND 3,308,819,266; (ii) total compensation for crops and trees is VND 406,914,348; (iii) total amount of assistance is VND 19,897,831,000; (iv) management cost is VND 472,271,292; (v) contingency is VND 2,408,583,591. In Bac Ninh province, compensation payment completed.
10. Presently, NPPMB has worked with the DCRBs of the district of Tien Du, Que Vo and Thuan Thanh of Bac Ninh province completed all DMS. Gia Binh district has no AH affected by ROW.

11. Amounts to be paid for AHs within ROW are shown in the below table:

No	District	No of AP		Type of Affected (household)			Total paid amount (VND)
		AP	SAP	House	Shed	Tree	
1	Thuan Thanh	5	0	1	2	5	513,721,640
2	Que Vo	3	0		1	3	84,311,956
3	Tien Du	6	0		5	6	513,972,010
4	Gia Binh	0	0			0	
	<b>Total</b>	<b>14</b>	<b>0</b>	<b>1</b>	<b>8</b>	<b>14</b>	<b>1,112,005,606</b>

12. To the reporting time (January/2016, the advancing for AHs affected by ROW reach 100% of the full payment, which is **VND 1,112,005,606 (equivalent to USD 51,721.2)**.

#### **4.1.2. Consultation, participation and information disclosure**

13. In August – September 2015, in all communes of 3 districts in Bac Ninh province (No impact caused by ROW is found in Gia Binh district), the staff of NPMB coordinated with DCARBs to hold the public consultation meetings to introduce for local people about entitlements, obligations, compensation and assistance policies, grievances and redress mechanism of the project. In the consultation meetings, the households and the representatives of NPMB and DCARBs discussed the project's compensation policies. In addition, the DMS schedule was disclosed to the households in these consultation meetings.

14. During the DMS for households affected by the ROW, the affected households and representatives of the community, commune cadastral staff coordinated with the task team to carry out the DMS. Issues arisen during the DMS have been recorded and proposed with solutions to adjust adequately by the task team, the local authorities' representatives and representatives of the affected households.

#### **4.1.3. DMSs and entitlement**

15. During field work in January 2016, LIC worked with representatives of NPPMB, ADB Resettlement Consultant worked with DCRBs and local authorities to discuss about the progress of compensation, assistance and resettlement of the sub-project's communes.

16. It is informed by the CLFDs of the districts that all the districts have completed all the DMSs, compensation plans are on-going.

17. During conducting DMS, thanks to the support of local people and insignificant measurement volumes, the DMSs are relatively smooth.

#### **4.1.4. Compensation payment and assistance**

18. To the reporting time, DMS and compensation pricing have been completed by NPPMB and the local authorities. The compensation policies comply with the policies mentioned in the updated RP approved by ADB.
19. Thanks to high consensus of the local people and insignificant compensation volumes, the local authorities and NPPMB find sources to advance for the households. To January/2016, the advancing amounts reach 100% or equivalent to full payments with total number of **VND 1,112,005,606 (equivalent to USD 51,721.2)**.

#### **4.1.5. Grievance redress**

20. During the field survey, representatives of the affected households stated that in the consultation and information disclosure meetings, the affected households living along the ROW have been notified of the Grievance Redress Mechanism of the Project, which stipulates that affected persons can lodge grievances by oral or written form. In case of oral complaint, the Commune People's Committee/NPMB's and DCARBs' staff in charge of solving grievances will hear and take responsibility for recording in the first meeting with affected households. In addition, grievance redress procedures have been also disclosed to the affected households. Sequence of lodging grievances shall comply with sequence stated in the Updated Resettlement Plan (URP).
21. Field survey shows that to the reporting time (January 1/2016) there is no complaint and question about DMS and compensation unit price of the project.

### **4.2. Pho Noi 500KV SS sub-project**

#### **4.2.1 Progress in following through safeguard policies**

22. The payment of compensation, assistance and resettlement of 500KV/220KV Pho Noi substation and connections has been fully conducted with the total budget of **72,469,087,000 VND** (*Seventy two billion, four hundred-and sixty-nine million and eighty-seven thousand Vietnamese dong*) equivalent to **3,370,655 USD**, in which payment for compensation for the substation, access road and part of the connections is **69,258,998,620 VND**, compensation for the remaining connections is **3,210,088,380 VND**. Below is the breakdown of compensation budget for the components under the sub-project:



**Table 2: Status of compensation payment**

TT	Subproject	Total paid amount (VND)	Status of compensation payment
1	500KV/220KV Substation	67,983,111,580	03 households left
2	500 KV Bac Ninh 2 – Pho Noi TL	995,677,000	Completed
3	500 KV Quang Ninh – Thuong Tin	1,275,887,040	Completed
4	220 KV Pha Lai – Gia Loc TL	1,507,622,320	On-going
5	220 KV Thuong Tin – Kim Dong TL	706,789,060	On-going
	<b>Total</b>	<b>72,469,087,000</b>	

23. To the reporting time (1/2016) the compensation and assistance payment for the substation have been completed, regarding the payment of compensation for AHs on the access road to the substation, 03 AHs are requesting to increase the unit price of land compensation.
24. To date (January/2016), NPPMB and the compensation and assistance and resettlement agencies of the 4 connections of 500KV Pho Noi Sub-station have paid the amounts to AHs in 02 connections: 500 KV/220KV Bac Ninh 2 – Pho Noi TL and 500 KV Quang Ninh – Thuong Tin TL, all households received the payments and handed over the sites to the contractors.

#### **4.2.2. Consultation, participation and information disclosure**

25. In October – November 2015, in all communes of 03 districts of Thuan Thanh in Bac Ninh province, Cam Giang in Hai Duong province and Van Lam in Hung Yen province, the staff of NPMB coordinated with DCARBs to hold the public consultation meetings to introduce for local people about entitlements, obligations, compensation and assistance policies, grievances and redress mechanism of the Project. In the consultation meetings, households discussed the compensation policies with the representatives of NPMB and DCARBs to clearly understand the project's policies. Furthermore, the DMS schedule has been also disclosed to the households in these consultation meetings.
26. During the DMS for the households affected by 4 connections, the affected households and representatives of the community, commune cadastral staff coordinated with the task team to carry out the DMS. Issues arisen during the DMS have been recorded and proposed with solutions to adjust adequately by the task team, the local authorities' representatives and representatives of the affected households.

### **4.2.3. DMSs and entitlement**

27. DCARBs in Hung Yen province and Center for Land Fund Development (CLFD) in Hung Yen province together with the Resettlement Division of NPPMB, communal inventory teams conducted the measurements, preparing DMS results with the participation of the concerned land owners. After DMS, the results were delivered to the AHs. They checked and then signed in the DMS lists. The measurement processes were jointly participated by: (i) staff from NPPMB/compensation division; (ii) staff of DCARBs in Bac Ninh province and CLFP in Hung Yen province; (iii) communal staff; (iv) village staff; (v) representatives of the AHs. After agreeing on the affected land and crops, all parties signed in the DMSs. This was the basis for preparing compensation plans for the AHs.
28. DCARBs in Hung Yen province and Center for Land Fund Development (CLFD) and local consultation informed that at the present, NPPMB is working with Hung Yen Center for Land Fund Development are carrying out DMS for the connections. The power towers of the 4 connections are as follows:
  - 500 KV Quang Ninh – Thuong Tin has 5 locations in Viet Hung commune. These locations mentioned in updated RP of 500KV Pho Noi substation.
  - 500 KV Bac Ninh 2 – Pho Noi has 05 locations of Viet Hung commune. These locations mentioned in DDR.
  - 220 KV Pha Lai – Gia Loc has total 16 locations, in which 01 location in Viet Hung, Van Lam district, Hung Yen, this mentioned in Updated RP of 500KV substation. Remaining locations include 04 locations in Nghia Dao commune, Thuan Thanh district, Bac Ninh province and 11 locations in Cam Hung commune, Cam Giang district, Hai Duong province), 15 locations will be presented in DDR.
  - Thuong Tin – Kim Dong has 19 locations, in which 11 locations mentioned in Updated RP of substation (04 locations in Viet Hung commune, 07 locations in Luong Tai commune) Van Lam district, Hung Yen province. 08 locations will be presented in this report (2 locations in Luong Tai commune, Van Lam district, and Hung Yen province, 06 locations in Ngoc Lien commune, Cam Giang district, and Hai Duong province).
29. DCARBs in Hung Yen province and Center for Land Fund Development (CLFD) and local consultation informed that, the compensation volume of the households is as follows:

**Table 1: Summary of Project Affected Households**

TT	Subproject	No of AP (household)		Acquired land (m2)		No of vulnerable household
		AP	SAP	Land of AP	Public land	
1	500 KV Bac Ninh 2 – Pho Noi TL	15	3	3,744	311	0
2	500 KV Quang Ninh – Thuong Tin	23	0	4,492	0	0
3	220 KV Pha Lai – Gia Loc TL	48	2	5,830	486	0
4	220 KV Thuong Tin – Kim Dong TL	15	1	2,826	0	0
	<b>Total</b>	<b>78</b>	<b>6</b>	<b>16,892</b>	<b>797</b>	<b>0</b>

30. The survey result presented that acquired for 04 connections is agricultural land (paddy land) of 84 HHs and 05 organizations (CPCs) will be affected under the subproject, in which 6 out of 84 is seriously affected persons (losing more than 10% of total land holdings), These households have their production land affected by the project from 10 - 20% total land tenure area, no households losing over 30% of total production land area.

31. Total acquired land of household is 16,892 m2 and acquired public land is 797 m2. There is not acquired residential, structure and work affected by project. There is not vulnerable household.

#### **4.2.4. Compensation payment and assistance**

32. To this time, DMSs of 04 connections have been completed by DCARBs of the districts of Thuan Thanh; Cam Giang and Van Lam. Compensation plans are preparing to facilitate the payments.

33. To date (January/2016), NPPMB and the compensation and assistance and resettlement agencies of the 4connections of 500KV Pho Noi Sub-station have paid the amounts to AHs in 02 connections: 500 KV/220KV Bac Ninh 2 – Pho Noi TL and 500 KV Quang Ninh – Thuong Tin TL, all households received the payments and handed over the sites to the contractors.

#### **4.2.5. Grievance redress**

34. Under the sub-projects, during the consultation and information disclosure meetings, the affected households living along the ROW were notified of the project's grievance redress mechanism which stipulates that grievances of affected persons can be lodged on oral or written basis. In case of oral grievances, the Commune People's Committee/ NPMB's and DCARBs' staff in charge of solving grievances will hear and prepare records in the 1<sup>st</sup> meeting with affected households, grievance redress procedures have been also

disclosed to the affected households. Sequence of lodging grievances shall comply with sequence stated in the Updated Resettlement Plan (URP).

35. Regarding the **03 households** of Luong Tai commune have encroached the land area which are recovered for building Pho Noi substation. After receiving the proposal of NPPMB and Hung Yen CLFD, Hung Yen DONRE submitted an official letter 390/TTr – STNMT to Hung Yen PPC for review and approval of additional compensation unit price for land for these households. On September 28, 2015, based on Statement 390/TTr – STNMT of Director of DONRE of Hung Yen province, Hung Yen PPC issued Decision 1858/QD – UBND on approval of land price to be the basis to prepare compensation and assistance plan when the State recovers land to conduct the investment project on 500KV Pho Noi substation subproject and connections in the commune of Luong Tai, Van Lam district with the land unit price of **1,800,000VND/m<sup>2</sup>** (*One million eight hundred thousand Vietnamese Dong/m<sup>2</sup>*). Besides, NPPMB proposed Hung Yen PPC to assist the livelihood improvement for them with total amount of **1,600,000VND/m<sup>2</sup>**. Presently, these households prepare to hand-over the site for the construction of access road.

## **5. WORK PLAN FOR NEXT QUARTERLY REPORT**

- Prepare 1<sup>th</sup> quarterly report, 2016
- Prepare 2<sup>nd</sup> Semi Annual Report 2015

## **6. ANNEXES**

Annex 1: Monitoring Form 1: Resettlement and Compensation Issues, Action Taken, and Timeframe for Solution

Annex 2: Monitoring Form 2: Status of Compensation

Annex3: Monitoring Form 3: Status of Relocation

Annex 4: Monitoring Form 4: Obstruction Situation<sup>1</sup> (Cumulative)

---

<sup>1</sup> This form requires information on District/City with obstruction issue(s) starting from the first Reporting Period up to the present Reporting Period (cumulative).

**Annex D-1**

**Monitoring Form 1: Resettlement and Compensation Issues, Action Taken, and Timeframe for Solution**

<b>A 220kv/500 KV Bac Ninh 2 – Pho Noi T/L SUB-PROJECT</b>				
No.	District/City <sup>2</sup>	Issue <sup>3</sup>	Action Taken <sup>4</sup>	Timeframe for Solution <sup>5</sup>
1	Bac Ninh province	<p>There are 381 project-affected households, including 370 households and 11 organizations. The area of acquisition is 48.056m<sup>2</sup>, the acquisition area is totally agricultural land with 41.474 m<sup>2</sup> managed by households and 6.582 m<sup>2</sup> managed by the commune People’s Committees.</p> <p>The DMS results showed that there are 78 women-headed household (for cases, female is ownership of LURC, they have full family). There is not any the poor, the handicapped and nil woman-headed household with dependence person... There is not any ethnic minority person</p>	<p>Compensation payment completed. Propose to carry out independent monitoring activity to evaluate the implementation of RP</p>	Done

<sup>2</sup> District or City covered by the Resettlement Plan (RP)

<sup>3</sup> Issue is the problem encountered in the implementation, and monitoring of the RP. It is possible to identify more than 1 issue per District/City.

<sup>4</sup> Action taken is (are) the step(s) done to solve the issue(s) identified in the preceding column. If nothing is done yet to solve the issue, please identify the planned solution or action to be undertaken.

<sup>5</sup> Please indicate the inclusive dates when the solution was undertaken. If a solution has not been done yet, please put the inclusive dates within which solution will be done. (example: 15 April 2012 to 14 May 2012, 1 to 15 May 2012, etc.)

		<p>affected by the project.</p> <p>There are 25 APs losing 10% -30 of their agricultural land and no APs being relocated or resettled, no APs having their houses/structures affected as well as no APs having their business affected.</p> <p>Currently, NPPMB have paid in advance for the 86 tower foundations. AHs received compensation payment and handed over the layout.</p>		
2	Hung Yen province		<p>Compensation payment completed. Propose to carry out independent monitoring activity to evaluate the implementation of RP</p>	Done
<b>B</b>	<b>PHO NOI 500KV SUBSTATION SUB-PROJECT</b>			
	Van Lam district, Hung Yen	<p>According to results of DMS, 500 kV Pho Noi substation and its connection went through 02 communes of Luong Tai and Viet Hung, Van lam district, Hung Yen province. Total of 422 HHs and 2 organizations will be affected with about 239,873 m2 of permanently affected land, in which 193,299 m2 of affected agricultural land, 46,573 m2 of affected public land. Besides, total temporarily affected agricultural area of subproject is 2,900 m2, in which 2,900 m2 of public land.</p> <p>There are 8 the poor HHs, in which 2 HHs</p>	<p>Compensation payment completed for households affected by the Substation and access road. Propose to carry out independent monitoring activity to evaluate the implementation of RP.</p>	Done

		<p>of Luong Tai and 6 HHs of Viet Hung commune. There is nil the ethnic HH and have 67 woman headed HH (for cases, female is ownership of LURC, they have full family).</p> <p>There are 265 HHs losing more than 10% of total land holdings (including 1 household losing more than 70% of total land holdings, 90 HH losing 30-70% of total land holdings and 174 HHs losing 10-30% of total land holdings).</p> <p>Currently, NPPMB have paid compensation for households affected by the Substation and access road. These AHs received compensation payment and handed over the layout.</p>		
		<p>There are 03 households of Luong Tai commune have encroached the land area which are recovered for building Pho Noi substation, 01 household built house illegally on the agricultural land area recovered by Hung Yen PPC in Decision 1006/QD – UBND dated 27/6/2014 on land recovery in the communes of Viet Hung and Luong Tai, Van Lam district for NPPMB to invest in construction of 500KV Pho Noi substation and connections. A meeting between LIC, NPPMB and the AHs had been held. It was informed that these 03 households were allocated with non-agricultural land areas along the</p>		<p>Q.1/2016</p>



		<p>national way going through Viet Hung commune before the land recovery decision was issued.</p> <p>On September 28, 2015, based on Statement 390/TTr – STNMT of Director of DONRE of Hung Yen province, Hung Yen PPC issued Decision 1858/QD – UBND on approval of land price to be the basis to prepare compensation and assistance plan when the State recovers land to conduct the investment project on 500KV Pho Noi substation subproject and connections in the commune of Luong Tai, Van Lam district with the land unit price of 1,800,000VND/m<sup>2</sup> (One million eight hundred thousand Vietnamese Dong/m<sup>2</sup>). Besides, NPPMB proposed Hung Yen PPC to assist the livelihood improvement for them with total amount of 1,600,000VND/m<sup>2</sup>. Presently, these households prepare to hand-over the site for the construction of access road</p>		
--	--	--	--	--

**Annex 2: Monitoring Form 2: Status of Compensation**

**500 KV Bac Ninh 2 – Pho Noi T/L SUB-PROJECT**

No.	District/City <sup>6</sup>	Section <sup>7</sup>	Date of ADB Approval of RP	Total Number of AP's <sup>8</sup>	Compensation <sup>9</sup>						Remarks <sup>10</sup>
					This Quarter <sup>11</sup>			Cumulative Total <sup>12</sup>			
					Number of AP's Compensated <sup>13</sup>	Percentage of AP's Compensated <sup>14</sup>	Percentage of Approved Compensation Budget <sup>15</sup>	Number of AP's Compensated <sup>16</sup>	Percentage of AP's Compensated <sup>17</sup>	Percentage of Approved Compensation Budget <sup>18</sup>	

<sup>6</sup> District or City covered by the Resettlement Plan (RP)

<sup>7</sup> Section is the “tower to tower” identification of the District/City. May not apply to a Substation sub-project

<sup>8</sup> Number of AP's in final DMS report and approved amendments. AP's are composed of those who experienced severe and minor losses/impacts.

<sup>9</sup> Compensation refers to payments and replacement of all types of losses (e.g., structures, land, crops & trees, and compensation for lost economic opportunities and disturbances).

<sup>10</sup> Please write any additional information that will help explain the figure(s) indicated or absence of figure(s) in other columns

<sup>11</sup> This section requires information obtained within the Reporting Period

<sup>12</sup> This section requires information obtained starting from the first Reporting Period up to the present Reporting Period.

<sup>13</sup> Requires the number of AP's compensated and resettled within the Reporting Period for each of the District/City

<sup>14</sup> Requires the percentage of the compensated and resettled AP's within the Reporting Period of the total number of AP's in the District/City

<sup>15</sup> Requires the percentage of the approved compensation budget paid out within the Reporting Period. Make sure that you have the approved compensation budget for each of the District/City for easy reference every time this form is accomplished.

<sup>16</sup> Requires the number of AP's compensated and resettled starting from the first Reporting Period up to the present Reporting Period

<sup>17</sup> Requires the percentage of compensated and resettled AP's starting from the first Reporting Period up to the present Reporting Period

<sup>18</sup> Requires the percentage of the approved compensation budget paid out starting from the first Reporting Period up to the present Reporting Period. Make sure that you have the approved compensation budget for each of the District/City for easy reference every time this form is accomplished.

<sup>19</sup> Requires the number of AP's that have not been compensated and resettled per District/City

VIE: MFF POWER TRANSMISSION INVESTMENT

National Safeguard Specialist for Resettlement- 1<sup>st</sup> quarterly report, 2015

1	Gia Binh district, Bac Ninh province			39	0	0	0	0	0%	0%	0	
2	Tien Du district, Bac Ninh province			186	0	0	0	0	0%	0%	0	
3	Que Vo district, Bac Ninh province			69				0	0%	0%		
4	Thuan Thanh district, Bac Ninh province			87				0	0%	0%		
5	Van Lam district, Hung Yen province			indefinite								
				381								

**PHO NOI 500KV SUBSTATION SUB-PROJECT**

No	District/City	Section	Date of ADB Approval of RP	Total Number of AP's	Compensation							Remarks
					This Quarter			Cumulative Total				
					Number of AP's Compensated	Percentage of AP's Compensated	Percentage of Approved Compensation Budget	Number of AP's Compensated	Percentage of AP's Compensated	Percentage of Approved Compensation Budget	Number of AP's to be Compensated	
1	Van Lam dis, Hung Yen province			422	422	100%	0	0	0%	0%	0	

**Annex 3: Monitoring Form 3: Status of Relocation<sup>20</sup>**

**500 KV Bac Ninh 2 – Pho Noi T/L SUB-PROJECT**

No.	District/City <sup>21</sup>	Section <sup>22</sup>	Number of AP's Needing Relocation <sup>23</sup>	Number of AP's Relocated <sup>24</sup>		Number of AP's Not Relocated <sup>25</sup>	Remarks <sup>26</sup>
				This Quarter <sup>27</sup>	To Date <sup>28</sup>	To Date <sup>29</sup>	
1	Gia Binh district, Bac Ninh province		0				
2	Tien Du district, Bac Ninh province		0				
3	Que Vo district, Bac Ninh province		0				

<sup>20</sup> This form requires information on District/City with AP's needing relocation (AP's who are severely affected).

<sup>21</sup> District or City covered by the Resettlement Plan (RP)

<sup>22</sup> Section is the "tower to tower" identification of the District/City. May not apply to a Substation sub-project

<sup>23</sup> Number of AP's needing relocation (severely affected) per District/City

<sup>24</sup> Information on number of relocated AP's

<sup>25</sup> Information on number of AP's not yet relocated

<sup>26</sup> Please write any additional information that will help explain the figure(s) indicated or absence of figure(s) in other columns

<sup>27</sup> Requires the number of AP's relocated within the Reporting Period per District/City

<sup>28</sup> Requires the total number of AP's relocated starting from the first Reporting Period to the present Reporting Period per District/City

<sup>29</sup> Requires the number of AP's not yet relocated starting from the first Reporting Period to the present Reporting Period per District/City

4	Thuan Thanh district, Bac Ninh province		0				
5	Van Lam district, Hung Yen province		indefinite				

**PHO NOI 500KV SUBSTATION SUB-PROJECT**

No.	District/City	Section	Number of AP's Needing Relocation	Number of AP's Relocated		Number of AP's Not Relocated	Remarks
				This Quarter	To Date	To Date	
1	Van Lam district, Hung Yen province		0				In this subproject, none of AP needs relocation.

**Annex 4: Monitoring Form 4: Obstruction Situation<sup>30</sup> (Cumulative)**

**500 KV Bac Ninh 2 – Pho Noi T/L SUB-PROJECT**

No.	District/City <sup>31</sup>	Section <sup>32</sup>	Number of AP's <sup>33</sup>			Nature of Obstruction <sup>34</sup>				Main Reason <sup>35</sup>				
			Total AP's <sup>36</sup>	Number of AP's Compensated <sup>37</sup>	Percentage of AP's Compensated <sup>38</sup>	Number of AP's Not Yet Moved <sup>39</sup>	Number of AP's Refusing to Move <sup>40</sup>	Cultivated Production/Trees <sup>41</sup>	Structures <sup>42</sup>	Public Structure <sup>43</sup>	Policy <sup>44</sup>	DMS (Detailed measurement survey) Valuation <sup>45</sup>	Internal Conflict <sup>46</sup>	Other <sup>47</sup>

<sup>30</sup> This form requires information on District/City with obstruction issue(s) starting from the first Reporting Period up to the present Reporting Period (cumulative).

<sup>31</sup> District or City covered by the Resettlement Plan (RP)

<sup>32</sup> Section is the “tower to tower” identification of the District/City. May not apply to a Substation sub-project.

<sup>33</sup> Information on AP's identified per District/City

<sup>34</sup> Information on the type of obstruction found per District/City

<sup>35</sup> Information on main reason of obstruction per District/City

<sup>36</sup> Total number of AP's identified per District/City

<sup>37</sup> Requires the number of AP's compensated and resettled starting from the first Reporting Period up to the present Reporting Period

<sup>38</sup> Requires the percentage of compensated and resettled AP's starting from the first Reporting Period up to the present Reporting Period

<sup>39</sup> Requires no. of reported AP's who have not moved out of the District/City and causing obstruction starting from the first Reporting Period up to the present Reporting Period

<sup>40</sup> Requires number of reported AP's who have refused to move out of the District/City and causing obstruction starting from the first Reporting Period up to the present Reporting Period. It is possible that figure indicated may be less than or equal to figure of the preceding column.

<sup>41</sup> Requires the number of reported cases per District/City that crops and trees are causing obstruction from the first Reporting Period up to the present Reporting Period.

<sup>42</sup> Requires the number of reported cases per District/City that structure is causing obstruction from the first Reporting Period up to the present Reporting Period.

<sup>43</sup> Requires the number of times public structure was cited as main reason for obstruction from the first Reporting Period up to the present Reporting Period

<sup>44</sup> Requires the number of times complaints on policy was cited as main reason for obstruction from the first Reporting Period up to the present Reporting Period

<sup>45</sup> Requires the number of times DMS valuation was cited as main reason for obstruction from the first Reporting Period up to the present Reporting Period

<sup>46</sup> Requires the number of times internal conflict was cited as main reason for obstruction from the first Reporting Period up to the present Reporting Period

<sup>47</sup> Requires the number of times other reasons were cited as main reason for obstruction from the first Reporting Period up to the present Reporting Period

VIE: MFF POWER TRANSMISSION INVESTMENT

National Safeguard Specialist for Resettlement- 1<sup>st</sup> quarterly report, 2015

No	District/City <sup>31</sup>	Section <sup>32</sup>	Number of AP's <sup>33</sup>			Nature of Obstruction <sup>34</sup>				Main Reason <sup>35</sup>				
			Total AP's <sup>36</sup>	Number of AP's Compensated <sup>37</sup>	Percentage of AP's Compensated <sup>38</sup>	Number of AP's Not Yet Moved <sup>39</sup>	Number of AP's Refusing to Move <sup>40</sup>	Cultivated Production/ Trees <sup>41</sup>	Structures <sup>42</sup>	Public Structure <sup>43</sup>	Policy <sup>44</sup>	DMS (Detailed measurement survey) Valuation <sup>45</sup>	Internal Conflict <sup>46</sup>	Other <sup>47</sup>
1	Gia Binh district, Bac Ninh province		39	0	0%	No	0	No	0	0	0	No	No	No
2	Tien Du district, Bac Ninh province		186	0	0%	No	0	No	0	0	0	No	No	No
3	Que Vo district, Bac Ninh province		69	0	0%	No	0	No	0	0	0	No	No	No
4	Thuan Thanh district, Bac Ninh province		87	0	0%	No	0	No	0	0	0	No	No	No



VIE: MFF POWER TRANSMISSION INVESTMENT

National Safeguard Specialist for Resettlement- 1<sup>st</sup> quarterly report, 2015

No.	District/City <sup>31</sup>	Section <sup>32</sup>	Number of AP's <sup>33</sup>			Nature of Obstruction <sup>34</sup>				Main Reason <sup>35</sup>				
			Total AP's <sup>36</sup>	Number of AP's Compensated <sup>37</sup>	Percentage of AP's Compensated <sup>38</sup>	Number of AP's Not Yet Moved <sup>39</sup>	Number of AP's Refusing to Move <sup>40</sup>	Cultivated Production/Trees <sup>41</sup>	Structures <sup>42</sup>	Public Structure <sup>43</sup>	Policy <sup>44</sup>	DMS (Detailed measurement survey) Valuation <sup>45</sup>	Internal Conflict <sup>46</sup>	Other <sup>47</sup>
5	Van Lam district, Hung Yen province		indefinite	0	0%	No	0	No	0	0	0	No	No	No
	<b>Total</b>		<b>381</b>											

**PHO NOI 500KV SUBSTATION SUB-PROJECT**

No.	District/City	Section	Number of AP's			Nature of Obstruction				Main Reason				
			Total AP's	Number of AP's Compensated	Percentage of AP's Compensated	Number of AP's Not Yet Moved	Number of AP's Refusing to Move	Cultivated Production/Trees	Structures	Public Structure	Policy	DMS (Detailed measurement survey) Valuation	Internal Conflict	Other
1	Van Lam district, Hung Yen province	N/A	422	422	100%	No	0	No	0	0	0	No	No	No