

Social Monitoring Report

Quarterly Report
January to March 2019
May 2019

VIE: Power Transmission Investment Program - Tranche 3

CURRENCY EQUIVALENTS

(as of March 2019)

\$1.00 = VND 23,150

ABBREVIATIONS

ADB	-	Asian Development Bank
AHs	-	affected households
APs	-	affected persons
CP	-	compensation plan
CPC	-	Commune People's Committee
DCARB	-	District Compensation, Assistance and Resettlement Board
DMS	-	detailed measurement survey
DPC	-	District Peoples Committee
DPs	-	displaced persons
EA	-	executing agency
EMA	-	external monitoring agency
GOV	-	Government of Viet Nam
IA	-	implementing agency
IOL	-	inventory of losses
km	-	kilometer
kV	-	kilovolt
LURC	-	land use right certificate
MOLISA	-	Ministry of Labor, Invalid and Social Affairs
MONRE	-	Ministry of Natural Resources and Environment
m ²	-	square meter
MFF	-	multi-tranche financing facility
NPT	-	National Power Transmission Corporation
PIB	-	Public Information Brochure
LIC	-	Loan Implementation Consultants
PPC	-	Provincial People's Committee
RCS	-	replacement cost study
ROW	-	right-of-way
RP	-	resettlement plan
SPMB	-	Southern Vietnam Power Project Management Board
SES	-	socioeconomic survey
SPS	-	Safeguard Policy Statements
TA	-	technical assistance
TL	-	transmission line
VND	-	Vietnam Dong (Vietnamese Currency)

ELECTRICAL TERMINOLOGY

kV (kilovolt)	1,000 volts
MW (Megawatt)	1,000 kW
MVA (Megavolt-ampere)	1,000 kVA
Transmission System	500 kV, 220 kV, 110 kV lines
Medium Voltage Distribution (MV)	35 kV, 22 kV or 10 kV lines supplying distribution substations
Low Voltage Distribution (LV)	400/230 V distribution and service lines
Load Factor	Ratio of average power demand to maximum power demand
Electrical Losses	Difference between energy delivered and energy sent out

REMARKS

In this report, "\$" refers to US dollars.

This social monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

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Introduction and Project Overview¹

Project Number and Title:	Loan 3374 - Power Transmission Investment Program - Tranche 3	
Social Safeguards Category	Indigenous Peoples	B
	Involuntary Resettlement	A
Reporting period:	January to March 2019	
Last report date:	Q4 2018 (December 2018)	
Key sub-project activities since last report:	<ul style="list-style-type: none"> - Preparation of uRP for TL segment in Duc Hoa District in Long An Province under 500 kV My Tho-Duc Hoa TL has been submitted to ADB for review. - Preparation of uRP for TL segment in Ben Luc District in Long An Province under 500 kV My Tho-Duc Hoa TL has been submitted to ADB for review. - Preparation of uRP for 500 kV Duc Hoa SS Component has been submitted to ADB for review. - Pending issues in compensation payment for 3 AHs under 220 kV Vung Tau SS. - The DMS activities are conducting for 7 AHs who affected by the 110 kV Vung Tau connection line, which is the part of the 220 kV Vung Tau SS Subproject. - Follow up the CAPs implementation for second bank subproject; 	

¹ For projects categorized as A or B in Involuntary Resettlement and/or Indigenous People, the Borrowers/clients are required to submit semiannual/quarterly monitoring reports for ADB review and disclosure. This template can be used for periodic monitoring report (semiannual) and RP/REMDP/EMDP completion report to start the civil works in the impacted areas. The level of detail and comprehensiveness of a monitoring report is commensurate with the complexity and significance of social safeguards impacts (IR and IP) and with the recent status of project implementation phase. The project team can adjust the contents of the report as relevant with the project activity.

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A. Executive Summary

1. The Asian Development Bank (ADB) on 16 December 2011 approved a multi-tranche financing facility (MFF) in the amount of \$730 million for the Power Transmission Investment Program (PTIP). The Vietnam Electricity National Power Transmission Corporation (NPT) is the executing agency (EA), while the Southern Power Project Management Board (SPMB) is the implementing agency (IA). ADB approved Tranche 1 in December 2011, Tranche 2 in November 2012, and Tranche 3 in December 2015.

2. Among the nine subprojects included in PTIP-Tranche 3, seven are under the management of SPMB. In which, three subprojects, namely the 500 kV My Tho-Duc Hoa Transmission Line (TL), 500 kV Duc Hoa Substation (SS), and 220 kV Vung Tau SS involve land acquisition while the four subprojects, which are the extension of (i) 220 kV Tra Vinh SS, (ii) 220 kV Uyen Hung SS, (iii) 220 kV Duc Hoa SS, and (iv) 500 kV Cau Bong SS requires the installation of second transformer within the boundary of the existing substations, thus no additional land acquisition impact is occurred.

3. As of March 2019, the subprojects of 500 kV My Tho-Duc Hoa TL and 500 kV Duc Hoa SS are identified to affect a total of 1,210,881.60 m², affecting 2,724 households. In addition, the two subprojects will cause impacts on 6,529 m² of houses and 2,629.82 m² of secondary structures. As for 220 kV Vung Tau SS, the subproject affects 107 households (including 79 households at the substation location and 28 households by the connection line component)² and acquires 36,485.8 m². The 220 kV Vung Tau SS requires the relocation of 15 households who have already moved to the resettlement site in Ward 10 of Vung Tau City since 2016.

4. This internal social safeguard monitoring report is prepared covering the period from **January to March 2019** and key activities implemented for the subprojects during the said period. These focus on the preparation of the updated Resettlement Plans (uRPs) based on the progress of DMS and consultation activities and compensation plan preparation for the affected households. Compensation payment activities under the 3 subprojects are reported as well as outstanding issues and further actions are also proposed in the report.

B. Background of the Report and Project Description

5. Recognizing the need to overcome current constraints in the power sector to ensure that the rapidly growing electricity demand could be met in an economically and environmentally sustainable manner, the Government of Viet Nam (GOV) approved in 2011 the National Power Sector Development Master Plan VII (PDMP VII). Under PDMP VII, the government approved multiple power generation and transmission projects to be implemented during 2011-2020.

6. The Asian Development Bank (ADB) on 16 December 2011 approved a multi-tranche financing facility (MFF) in the amount of \$730 million for the Power Transmission Investment Program (PTIP). The Vietnam Electricity National Power Transmission Corporation (NPT) is the executing agency (EA), while the Central Power Project Management Board (CPMB) and Southern Power Project Management Board (SPMB) are the implementing agencies (IAs). ADB approved Tranche 1 in December 2011, Tranche 2 in November 2012, and Tranche 3

² The 2015 RP prepared during project preparation for Vung Tau SS did not cover this short connection line; therefore, the 28 households affected by the connection line component were not covered in the said report. These households shall be included in the RP Addendum being prepared for the Vung Tau SS in accordance with the compensation, assistance, and resettlement policies of the project as with the other phase 1 Vung Tau SS AHs.

in June 2015. Tranche 3 is being carried out with nine subprojects, namely, the installation of a second transformer bank each for the (i) 220 kV Duc Hoa Substation (SS), (ii) 500 kV Cau Bong SS, (iii) 220 kV Tra Vinh SS, (iv) 220 kV Uyen Hung SS, and (v) 220 kV Vung Tau SS; construction of new transmission lines that include the 220 kV Binh Long-Tay Ninh Transmission Line (TL) and the 500 kV My Tho-Duc Hoa TL; and construction of new substations that include the 500 kV Chon Thanh SS and the 500 kV Duc Hoa SS and Connections. Among these subprojects, seven subprojects, namely the installation of second transformers for the 220 kV Duc Hoa SS, 500 kV Cau Bong SS, 220 kV Tra Vinh SS, 220 kV Uyen Hung SS, 220 kV Vung Tau SS and the construction of 500 kV My Tho-Duc Hoa TL and 500 kV Duc Hoa SS are under the management of SPMB whereas the remaining two subprojects are under the management of CPMB.

7. The Project is categorized A for involuntary resettlement and B for indigenous peoples³. The social safeguards documents prepared during the preparation phase and requirements for social safeguard documents during the project implementation phase are summarized below:

Table 1. List of Subprojects and Status of Social Safeguard Document Preparation

Subproject	Social Safeguards Documents Prepared during Preparation		Social Safeguards Documents Prepared during Implementation		
	Social Safeguards Documents Required in Implementation	Disclosure status of the Social Safeguards Documents	Social Safeguards Documents Required in Implementation	Status of Required Social Safeguards Documents	Disclosure status of the Social Safeguards Documents
Construction of 500 kV My Tho-Duc Hoa TL	2 RPs (one for each province)	Disclosed on ADB website in June 2015	6 uRPs (one for each district)	uRP for Duc Hoa district has been prepared and submitted to ADB in May 2018.	March 2019
				uRP for Thu Thua district been prepared and submitted to ADB in Oct 2018; uRP has been approved by ADB in March 2019	March 2019
				uRP for Thanh Hoa district been prepared and submitted to ADB in Oct 2018; uRP has been approved by ADB in March 2019	March 2019

³ Resettlement Ethnic Minority Development Plans (REMDPs) are required for the 220 kV Binh Long-Tay Ninh TL subproject under CPMB's management whereas no REMP is required for the subprojects under SPMB's management.

Subproject	Social Safeguards Documents Prepared during Preparation		Social Safeguards Documents Prepared during Implementation		
				uRP for Ben Luc district been prepared and submitted to ADB in Oct 2018;	NA
Construction of 500 kV Duc Hoa SS and connection lines	1 RPs (for substation and connection line components)	Disclosed on ADB website in June 2015	2 uRPs (one for the substation and one for connection lines)	uRP for Duc Hoa Substation Component has been prepared and submitted to ADB in May 2018; DDR-CAP for 500 kV Duc Hoa SS is being prepared and will be submitted to ADB in April 2019	NA
Extension of 220 kV Vung Tau SS ⁴	1 RP for Vung Tau SS and 1 DDR for the connection line	Disclosed on ADB website in June 2015	RP Addendum for the 110kV connection line which is a part of 220kV Vung Tau SS	The data is not available.	NA
Extension of 220 kV Tra Vinh SS	DDR	Disclosed on ADB website in June 2015	Not required	-	-
Extension of 220 kV Uyen Hung SS	DDR	Disclosed on ADB website in June 2015	Not required	-	-
Extension of 220 kV Duc Hoa SS	DDR	Disclosed on ADB website in June 2015	Not required	-	-
Extension of 500 kV Cau Bong SS	DDR	Disclosed on ADB website in June 2015	Not required	-	-

8. In 2015, during the project preparation phase, 4 resettlement plans and 1 due diligence report were prepared for three subprojects involved land acquisition under SPMB

⁴ Government has to prepare a resettlement plan (RP) for the 220 kV Vung Tau SS even if the proposed subproject only involves the installation of a second transformer bank in said substation because land acquisition for the site of the substation has not yet been completed and the construction of the substation has not yet started. The proposed installation of a second transformer bank (as a subproject of PTIP Tranche 3) in the 220 kV Vung Tau SS that NPT has yet to construct using government funds depends on the satisfactory implementation of the RP to be agreed by NPT and ADB. Relatedly, a DDR has been prepared for the existing 220 kV Ba Ria – Vung Tau TL because this 14 km transmission line was primarily constructed (in 2009-2014) for the purpose of connecting the yet to be built 220 kV Vung Tau SS to the Ba Ria Gas-Power-Fertilizer Plant located in Ba Ria City. In other words, the existing 220 kV Ba Ria – Vung Tau TL is an associated facility of the subproject Second Transformer Bank for 220 kV Vung Tau Substation.

management. In addition, 4 DDRs were also prepared for the subprojects involved the installation of second transformer within the existing boundary of the substations⁵.

9. During the implementation phase, based on the detailed design and detailed measurement survey (DMS) progress as well as compensation payment progress, the RPs will be updated by district and component as shown in the table above. Particularly for the 220 kV Vung Tau SS, due to the compensation payment which is already conducted and emergence of 28 additional affected households for the connection line, a resettlement due diligence document is therefore required for this subproject. As of September 2018, the uRPs for the TL segment in Duc Hoa District⁶ in Long An Province under the 500 kV My Tho-Duc Hoa TL and Duc Hoa Substation Component under the 500 kV Duc Hoa SS and connection lines have been prepared and submitted to ADB for review and approval. However, as of March 2019, compensation payment has been conducted for the affected households of the 500 kV Duc Hoa SS subproject prior to the approval of the submitted uRP; therefore, a DDR-CAP is required to prepare for this subproject. In March 2019, uRPs for TL segment in Duc Hoa, Thu Thua and Thanh Hoa districts have been approved by ADB and uploaded in ADB website.

10. Internal monitoring of the subproject implementation under Tranche 3 is undertaken by SPMB with the support of Loan Implementation Consultant (LIC). The general objectives for internal monitoring are to ensure:

- (i) The implementation of land acquisition and resettlement of project is in compliance with the approved Resettlement Plans, and the other safeguard documents;
- (ii) The availability of resources and the efficient, effective use of these resources to implement land acquisition and resettlement activities;
- (iii) That resettlement institutions are well-functioning during the course of project implementation;
- (iv) Resettlement activities are undertaken in accordance with the implementation schedule described in the RPs and the others safeguard documents;
- (v) Identification of problems, if any and proposal of remedial actions and solutions.

11. The internal monitoring report is submitted to NPT and ADB for review and concurrence on a quarterly and semi-annual basis. This quarterly report is prepared to cover the internal monitoring activities over the period of first quarter of 2019, from **January to March 2019**, concerning the subprojects of which the land acquisition, compensation, assistance, and resettlement activities are ongoing.

C. Scope of Impacts

12. As of March 2019, the scope of impacts of the subprojects are determined and summarized as follows:

⁵ Government has to prepare 4 resettlement plans (RPs) for (i) the 220 kV Vung Tau SS (see the footnote 4); (ii) 500 kV My Tho – Duc Hoa TL – Tien Giang segment; (iii) 500kV My Tho – Duc Hoa – Long An segment; and (iv) 500kV Duc Ho ass and connection lines. Government has to prepare 6 DDRs for: (i) the existing 220 kV Ba Ria – Vung Tau TL (see the footnote 4); (ii) for Extension of 220 kV Tra Vinh SS; (iii) Extension of 220 kV Uyen Hung SS; (iv) Extension of 220 kV Duc Hoa SS; (v) Extension of 500 kV Cau Bong SS; and (iv) 500 kV My Tho SS as associated facility of 500kV My Tho – Duc Hoa TL.

⁶ DMS and compensation plan preparation have been completed for the project districts in Long An. However, due to the termination of individual consultant contract (LIC), SPMB has updated the RPs with ADB Consultant's support during this period.

Table 2. Scope of Impacts of the Subproject

No.	Subproject	Total affected area (m ²)	Total of AH/ organization (HHs)	Total of AP	Impact on houses (m ²)	Impact on structures (m ²)	Impact on tree (tree)	Impact on crops (m ²)
I	500 kV My Tho-Duc Hoa TL	937,680.40	1,005	4,367	6,462.96	2,960.02	188,227	683,055.4
1	Tien Giang Province	23,639.40	575	2,415	3,240	356	21,632	557,778
1.1	Chau Thanh District	6,441.50	218	893	2,040	137	534	127,607
1.2	Tan Phuoc District	17,197.90	357	1,522	1,200	219	21,098	430,171
2	Long An Province	914,041.00	430	1,952	3,222.96	2,604.02	166,595	125,277.4
2.1	Thanh Hoa District	195,614.10	67	388	329.2	391	13,519	968.1
2.2	Thu Thua District	396,170.20	131	663	2015.13	1,135.9	115,848	121,635.2
2.3	Ben Luc District	213,441.20	146	538	301.23	215.13	26,645	1,911.4
2.4	Duc Hoa District	108,815.50	86	363	478.4	861.99	10,583	762.7
II	500 kV Duc Hoa SS and connection lines	273,201.20	1,859	7,862	7,959	25.8	53,819	209,798.10
1	Substation Component	142,369.10	31	186	66.04	25.8	6,631	135,931.10
1.1	Duc Hoa District	142,369.10	31	186	66.04	25.8	6,631	135,931.10
2	Connection Lines	130,832.10	1,828	7,676	7,893	0	47,188	73,867
2.1	Duc Hoa District	97,820.60	1049	4,405	4,623	0	6,660	42,772
2.2	Ben Luc District	33,011.50	779	3,271	3,270	0	40,528	31,095
III	Extension of 220 kV Vung Tau SS	0	0		0	0	0	0
IV	Extension of 220 kV Tra Vinh SS	0	0		0	0	0	0
V	Extension of 220 kV Uyen Hung SS	0	0		0	0	0	0
Vi	Extension of 220 kV Duc Hoa SS	0	0		0	0	0	0
VII	Extension of 500 kV Cau Bong SS	0	0		0	0	0	0
	Total	1,210,881.60	2,864	12,229	14,422	2,985.82	242,046	892,853.50

Source: District Land Fund Development Centers as of 31 March 2019

Notes: Data not yet finalized and updated based on the available data as of March 2019.

13. To date, the 2 subprojects, 500 kV My Tho-Duc Hoa TL and 500 kV Duc Hoa SS and Connection Lines affect a total of 1,210,881.60 m², affecting 2,864 households. In addition, the two subprojects will cause impacts on 6,529 m² of houses and 2,629.82 m² of secondary structures. 173,226 trees of various kinds and 261,208.5 m² of crops are affected in Duc Hoa, Ben Luc, Thanh Hoa and Thu Thua Districts. The details on land impacts by district are presented in the Table 3 below.

Table 3. Impacts on Land (m²)

No.	Project Component/ District	Permanently acquired land area (m ²)					Total
		Agricultural land	Residential land	Aquaculture land	Forest land	Others	
I	500 kV My Tho-Duc Hoa TL	54,144.90	23.20	0.00	3,548.20	0.00	57,716.30
A	Tien Giang Province	21,308.50	0.00	0.00	2,330.90		23,639.40
1	Chau Thanh District	6,441.50	-	-	0	-	6,441.50
2	Tan Phuoc District	14,867.00	-	-	2,330.90	-	17,197.90
B	Long An Province	32,836.4	23	0	1,217.3	0	34,076.9
1	Thanh Hoa District	4,235.80	-	-	1,217.30	-	5,453.10
2	Thu Thua District	13,579.70	-	-	0.00	-	13,579.70
3	Ben Luc District	9,650.40	23.2	-	0.00	-	9,673.60
4	Duc Hoa District	5,370.50					5,370.50
II	500 kV Duc Hoa SS and connection lines	249,663.20	8,627.60	0.00	13,132.00	0.00	271,422.70
A	Substation Component	136,564.70	4,026.00	0.00	0.00	0.00	140,590.70
1	Duc Hoa District	136,564.70	4,026.00	0	0	0	140,590.70
B	Connection Lines	113,098.50	4,601.60	0.00	13,132.00	0.00	130,832.00
1	Duc Hoa District	82,686.20	3,661.40	-	11,473	-	97,820.30
2	Ben Luc District	30,412.30	940.2	-	1,659	-	33,011.70
	Total	303,808.10	8,650.80	0.00	16,680.20	0.00	329,139.00

Source: District Land Fund Development Centers as of 31 March 2019

14. As of March 2019, the total permanently affected land area in both subprojects are 329,139.00m². By land use, 303,808.10m² of these affected landholdings are agricultural land, accounting for 92.3% of the total affected land area; 8,650.80m² are residential land, equivalent to 2.63%; 16,680.20m² are productive forest land, or 5.07%. The total number of severely affected households identified as of March 2019 is 65 households (340 APs), in which 26 households (161 APs) affected by the 500 kV Duc Hoa substation in Hoa Khanh Dong Commune, Duc Hoa District and 39 households (179 APs) by the My Tho – Duc Hoa TL, in Duc Hoa District (14HHs/58 APs), Thu Thua District (19HHs/92 APs), Thanh Hoa (3HHs/18 APs) and Ben Luc (3HHs/11 APs). As DMS results in four districts in Long An province, none vulnerable household to be affected by project. The number of severely affected households, vulnerable households, and relocated households are presented in the table below and will continue being updated in the next internal monitoring reports.

15. As for Duc Hoa Substation component, per the draft uRP prepared for the 500 kV Duc Hoa SS, 31 households and one Commune People's Committee (CPC) are affected by the permanent acquisition of 142,369.1 m². In addition, one household is affected on 66.04 m² of grade-4 house and has to relocate. Secondary structures including 17.28 m² of kitchen, 2.88 m² of bathroom, one electric meter and four wells of four AHs are also affected. An aggregate of 135,931.1 m² of annual crops and 6,631 trees are affected by the 500 kV Duc Hoa SS.

16. As for My Tho-Duc Hoa TL segment in Duc Hoa District, per the draft uRP prepared, the subproject in Duc Hoa District will impact a total of 108,815.5 m² of landholdings, of which 5,370.5 m² of agricultural land will be acquired permanently for the foundation of suspension and tension towers. Of the 16 affected households (AHs) (67 affected persons) (APs) that will lose land permanently for the tower foundations, all of the 16 AHs lose less than 10% of their total productive landholdings. The remaining 103,445 m² of landholdings belonging to 86 AHs (363 APs) will not be acquired, but since they are in the 32 m wide right-of-way (ROW) of the transmission line, their continued use will be regulated for safety reasons. The trees will have to be cut but farmers may continue planting annual crops in the ROW. Moreover, 14 houses belonging to 14 AHs (58 APs), in addition to a number of secondary structures, such as kitchens; latrines/bathrooms, animal shelters of 17 AHs (70 APs) will have to be relocated outside of the ROW for safety reasons. No relocation site is envisaged because AHs affected by the total loss of their houses and secondary structures under the TL have sufficient unaffected landholdings on which to rebuild.

17. As for My Tho-Duc Hoa TL segment in Thu Thua District, per the draft uRP prepared, the subproject in Thu Thua District will impact a total of 396,170.20m² of landholdings, of which 13,579.70m² of agricultural land will be acquired permanently for the foundation of suspension and tension towers. Of the 24 affected households (AHs) (128 affected persons) (APs) that will lose land permanently for the tower foundations, all of the 24 AHs lose less than 10% of their total productive landholdings. The remaining 382,590.5m² of landholdings belonging to 107 AHs (535 APs) will not be acquired, but since they are in the 32 m wide right-of-way (ROW) of the transmission line, their continued use will be regulated for safety reasons. The trees will have to be cut but farmers may continue planting annual crops in the ROW. Moreover, 19 houses belonging to 19 AHs (92 APs), in addition to a number of secondary structures, such as kitchens; latrines/bathrooms, animal shelters of 21 AHs (105 APs) will have to be relocated outside of the ROW for safety reasons. No relocation site is envisaged because AHs affected by the total loss of their houses and secondary structures under the TL have sufficient unaffected landholdings on which to rebuild.

18. As for My Tho-Duc Hoa TL segment in Thanh Hoa District, per the draft uRP prepared, the subproject in Thanh Hoa District will impact a total of 195,614.10m² of landholdings, of which 5,453.1m² of agricultural and productive forest land will be acquired permanently for the foundation of suspension and tension towers. Of the 15 affected households (AHs) (87 affected persons) (APs) that will lose land permanently for the tower foundations, all of the 15 AHs lose less than 10% of their total productive landholdings. The remaining 190,161m² of landholdings belonging to 67 AHs (388 APs) will not be acquired, but since they are in the 32 m wide right-of-way (ROW) of the transmission line, their continued use will be regulated for safety reasons. The trees will have to be cut but farmers may continue planting annual crops in the ROW. Moreover, 3 houses belonging to 3 AHs (18 APs), in addition to a number of secondary structures, such as kitchens; latrines/bathrooms, animal shelters of 3 AHs (18 APs) will have to be relocated outside of the ROW for safety reasons. No relocation site is envisaged because AHs affected by the total loss of their houses and secondary structures under the TL have sufficient unaffected landholdings on which to rebuild.

19. As for My Tho-Duc Hoa TL segment in Ben Luc District, per the draft uRP prepared⁷, the subproject in Ben Luc District will impact a total of 213,441.20m² of landholdings, of which 9,673.6m² of agricultural land will be acquired permanently for the foundation of suspension and tension towers. Of the 27 affected households (AHs) (98 affected persons) (APs) that will lose land permanently for the tower foundations, all of the 27 AHs lose less than 10% of their total productive landholdings. The remaining 203,767.6m² of landholdings belonging to 111 AHs (356 APs) will not be acquired, but since they are in the 32 m wide right-of-way (ROW) of the transmission line, their continued use will be regulated for safety reasons. The trees will have to be cut but farmers may continue planting annual crops in the ROW. Moreover, 3 houses belonging to 3 AHs (11 APs), in addition to a number of secondary structures, such as kitchens; latrines/bathrooms, animal shelters of 16 AHs (64 APs) will have to be relocated outside of the ROW for safety reasons. No relocation site is envisaged because AHs affected by the total loss of their houses and secondary structures under the TL have sufficient unaffected landholdings on which to rebuild

20. The differences in impacts between the uRPs and 2015 draft RPs are summarized in the following table.

Table 4. Differences between 2018 uRPs and 2015 draft RPs of the 500 kV Duc Hoa SS and 500 kV My Tho-Duc Hoa TL Segment in Duc Hoa District

No.	Types of Impacts	Draft RP (2015)	Updated RP (2018)
	500 kV Duc Hoa Substation and connection lines		
I	500 kV Duc Hoa Substation		
A	Number of AHs and organization	20 AHs	31 AHs
	- Severely AHs due to loss of 10% and more of total productive landholding	20 AHs	26 AHs
	- Relocated households	1 AH	1 AH
	- Vulnerable households	0 AH	0 AH
B	Impacts on land		
	Total affected land area:	139,621 m ²	142,369.1 m ²

⁷ Draft uRP for Ben Luc district prepared for 137 AHs only; A RP addendum or DDR will prepare for 9 AHs who have not identified for DMS;

No.	Types of Impacts	Draft RP (2015)	Updated RP (2018)
	- Agricultural land	139,321 m ²	138,734.5 m ²
	- Residential land	300 m ²	1,829.2 m ²
	- Public land	0	1,805.4 m ²
C	Impacts on house and structures		
1	Affected houses	75 m ² of 1 AH	66.04 m ² of 1 AH
2	Affected structures	1 electric meter of 1 AH	17.28 m ² of kitchen; 2.88 m ² of bathroom, one electric meter, and 4 wells of 4 AHs
D	Impacts on trees and crops		
1	Crops	135,242 m ²	135,931.1 m ²
2	Trees	1,020 trees	6,631 trees
500kV My Tho – Duc Hoa TL subproject			
II	500 kV My Tho-Duc Hoa TL- Duc Hoa District TL Segment		
A	Number of AHs and organization	51 AHs	86 AHs
	- Severely AHs due to loss of 10% and more of total productive landholding	0 AHs	0 AHs
	- Relocated households	7 AHs	14 AHs
	- Vulnerable households	5 AHs	0 AHs
B	Impacts on land		
1	Permanently acquired area	4,996 m ²	5,370.5 m ²
2	Affected land area within ROW	133,917 m ²	103,445 m ²
3	Temporarily affected area	Not yet identified	9,000 m ²
C	Impacts on house and structures		
1	Affected houses	7 houses	14 houses
2	Affected structures	8m ² kitchen, 43 m ² of animal shelter, 54 m ² of fence, and 6m ² of latrines	80.21 m ² kitchen, 172.66 m ² of animal shelter, 168.9 m ² of fence, and 15.56m ² of latrines
D	Impacts on trees and crops		
1	Crops	4,996 m ² at tower foundations	762.7 m ² at tower foundations
2	Trees	9,353 trees	10,583 trees
III	500 kV My Tho-Duc Hoa TL- Thu Thua District TL Segment		
A	Number of AHs and organization	73 AHs	131 AHs
	- Severely AHs due to loss of 10% and more of total productive landholding	1 AHs	0 AHs
	- Relocated households	8 AHs	19 AHs
	- Vulnerable households	4 AHs	0 AHs
B	Impacts on land		
1	Permanently acquired area	12,918m ²	13,579.7m ²
2	Affected land area within ROW	404,681m ²	382,590.5 m ²

No.	Types of Impacts	Draft RP (2015)	Updated RP (2018)
3	Temporarily affected area	Not yet identified	16,295.64m ²
C	Impacts on house and structures		
1	Affected houses	8 houses	19 houses
2	Affected structures	10m ² kitchen, 40 m ² of animal shelter, and 6m ² of latrines	295.29 m ² kitchen, 137.02 m ² of animal shelter, 513.66m ² roof and warehouse; 137.02 m ² latrines and bathrooms and 1,338m ² cement yard;
D	Impacts on trees and crops		
1	Crops	9,340 m ² at tower foundations	121,635.2 m ² at tower foundations
2	Trees	192,590 trees	115,848 trees
IV	500 kV My Tho-Duc Hoa TL- Thanh Hoa District TL Segment		
A	Number of AHs and organization	54 AHs	67 AHs
	- Severely AHs due to loss of 10% and more of total productive landholding	0 AHs	0 AHs
	- Relocated households	3 AHs	3 AHs
	- Vulnerable households	3 AHs	0 AHs
B	Impacts on land		
1	Permanently acquired area	6,036m ²	5,453.1m ²
2	Affected land area within ROW	228,204m ²	190,161 m ²
3	Temporarily affected area	Not yet identified	6,543.72 m ²
C	Impacts on house and structures		
1	Affected houses	3 houses	3 houses
2	Affected structures	Not yet identified	56.4 m ² kitchen, 329.62 m ² of auxiliary structure, 5.04m ² bathrooms and 5.174m ² manhole;
D	Impacts on trees and crops		
1	Crops	4,041 m ² at tower foundations	968.41 m ² at tower foundations
2	Trees	115,497 trees	13,519 trees
V	500 kV My Tho-Duc Hoa TL- Ben Luc District TL Segment		
A	Number of AHs and organization	69 AHs	146 AHs
	- Severely AHs due to loss of 10% and more of total productive landholding	2 AHs	0 AHs
	- Relocated households	7 AHs	3 AHs
	- Vulnerable households	0 AHs	0 AHs
B	Impacts on land		
1	Permanently acquired area	10,845m ²	9,673.6m ²
2	Affected land area within ROW	284,772m ²	203,767.6m ²
3	Temporarily affected area	Not yet identified	15,750 m ²

No.	Types of Impacts	Draft RP (2015)	Updated RP (2018)
C	Impacts on house and structures		
1	Affected houses	7 houses	3 houses
2	Affected structures	Not yet identified	122.58 m ² kitchen, 46.25m ² animal shelter, 605.11m ² of roof and cement yard, 18.73m ² latrine and 46.30m ² fence;
D	Impacts on trees and crops		
1	Crops	6,551 m ² at tower foundations	1,911.4 m ² at tower foundations
2	Trees	113,346 trees	26,645 trees

Source: Compiled by LIC based on draft RPs (2015) and draft uRPs (2018).

21. The Table 4 above shows that there are differences in terms of scope of impacts between the 2015 draft RPs and 2018 uRPs. The main reason is that the 2015 data was based on basic design while the 2018 data is based on detailed design; the 2015 data was mainly initial survey and 2018 data is detailed measurement results for which the exact land users have been identified more accurately. The land type and land use ownership records were also verified and appraised by the district authorities (Land Fund Development Center and Division of Natural Resources and Environment), resulting in the difference in the land type and area. Crops and trees are also counted and measured at the DMS time, thus the difference with the draft RPs in 2015.

22. Vung Tau 220kV substation: the subproject affects 107 households (including 79 households at the substation location and 28 households by the connection line component) and acquires 36,485.8 m². The 220 kV Vung Tau SS requires the relocation of 15 households who have already moved to the resettlement site in Ward 10 of Vung Tau City since 2016.

Table 5. The number of severely affected households, vulnerable households, and relocated households

No.	Subproject	Severely HH	Relocated HH	Vulnerable HH	Total
I	500 kV My Tho-Duc Hoa TL				
1	Tien Giang Province	N/A	N/A	N/A	N/A
1.1	Chau Thanh District	N/A	N/A	N/A	N/A
1.2	Tan Phuoc District	N/A	N/A		N/A
2	Long An Province	0	39	0	39
2.1	Thanh Hoa District	0	3	0	3
2.2	Thu Thua District	0	19	0	19
2.3	Ben Luc District	0	3	0	3
2.4	Duc Hoa District	0	14	0	14
II	500 kV Duc Hoa SS and connection lines				
1	Substation Component	26	1	0	26
1.1	Duc Hoa District	26	1	0	26 ⁸

⁸ 1 HH is both relocated HH and severely affected household losing more than 10% of their productive landholding.

No.	Subproject	Severely HH	Relocated HH	Vulnerable HH	Total
2	Connection Lines Component	N/A	N/A	N/A	N/A
2.1	Duc Hoa District	N/A	N/A	N/A	N/A
2.2	Ben Luc District	N/A	N/A	N/A	N/A
	Total	26	39	0	65

Source: District Land Fund Development Centers as of 31 December 2018

23. Four subprojects which install second transformers do not cause land acquisition impacts as well as economic impacts to any household or organization.

D. Detailed Resettlement Implementation Progress

Public information disclosure and consultation

24. Project information disclosure is an important task during project implementation. When this work is implemented effectively, it will facilitate project implementation process as local people will have comprehensive understanding of project information and benefits. Therefore, they will support and actively participate in the project. Project information disclosure and public consultation are aimed to: (i) increase local people's awareness of the project; (ii) avoid the risks of project delay; (iii) design an appropriate resettlement program for APs and maximize the project's socio-economic benefits; (iv) ensure that AP's can really participate in making decisions on issues directly concerning their income and living standards; and (v) ensure transparency of all activities related to land acquisition, resettlement, and living restoration.

25. **Public consultations** have been conducted during the preparation process for all subprojects by the Project Preparation Consultant in 2015. In the implementation phase, before implementation of DMS, the DMS plan has been discussed and agreed between SPMB, District Compensation, Assistance, and Resettlement Boards (DCARBs), Commune People's Committees (CPCs), village chiefs, representatives of local organizations and affected households and then disclosed on audio media. Women are encouraged to participate in all activities of DMS such as inventory on affected land and assets, participating in meetings to disseminate information to complete and announce the results, concerns over inventory.

26. DCARB and SPMB coordinate with the CPC have held meetings with village chiefs, representatives of mass organizations and all those affected to provide inventory form for the affected people to fill in themselves. The content of the inventory form has been explained and clarified for households to easily complete. During the DMS in 2017, also involved the participation of the party as the head of household, women, heads of villages and cadastral officials, representatives of the CPC and others. DMS outcome of each household is listed in public places (office of People Committee, the Cultural house of Village) within 07 days for households to crosscheck and edit errors.

27. **Information disclosure.** RPs and DDRs in English have been posted on the ADB website and the summary documents in Vietnamese have been disclosed to the affected households in 2015 for the subprojects.

Table 6. Consultation, Participation, and Information Disclosure by Subprojects

Subproject	Participation, Consultation, Information disclosure in preparation phase	Participation, Consultation, Information Disclosure in implementation phase
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	Public consultation	Distribution of PIB	On ADB web	Public consultation	Disclosure of uRP/DDR
500 kV My Tho-Duc Hoa TL	Done	Done	Done	Done (for some districts)	Done (for Thu Thua and Thanh Hoa districts)
500 kV Duc Hoa SS	Done	Done	Done	Done (for some districts)	Not yet
220 kV Vung Tau SS	Done	Done	Done	Done except for 7 AHs missed during DMS and not yet identified	Done except for 7 AHs missed during DMS and not yet identified
TOTAL	Done	Done	Done	-	-

Source: District Land Fund Development Centers as of 31 March 2019

28. On January 15, 2019, SPMB and Duc Hoa District Construction and investment Board cooperated with Hoa Khanh Dong CPC to hold meeting on Implementation of land acquisition decision and handing-over the land to contractor for construction of 500kV substation. There are 22 persons participated in the meeting and the AHs requested SPMB and Duc Hoa CIB to delay the deadline to hand-over the land until end of February 2019 for harvesting rice on the affected land area; (Refer to **Annex 1** for the documentation of the meeting).

DMS process

29. As of March 2019, the DMS progress of the subprojects by district is as follows:

Table 7. DMS Status of the Subprojects

No.	Subproject	DMS commencement date	DMS completion date	Remarks
I	500 kV My Tho-Duc Hoa TL			
1	Tien Giang Province			
1.1	Chau Thanh District	10/06/2017	15/08/2018	
1.2	Tan Phuoc District	15/09/2017	30/08/2018	
2	Long An Province			
2.1	Thanh Hoa District	01/07/2017	30/10/2017	
2.2	Thu Thua District	20/06/2017	15/07/2017	
2.3	Ben Luc District	15/06/2017	10/09/2017 and ongoing	Completed for 137 AHs out of 146 AH AHs
2.4	Duc Hoa District	20/05/2017	20/07/2017	
II	500 kV Duc Hoa SS and connection lines			
1	Substation Component			
1.1	Duc Hoa District	05/06/2017	15/06/2017	
2	Connection Lines Component			
2.1	Duc Hoa District	20/05/2017	Ongoing	Completed for 726 AHs out of 1049 AHs
2.2	Ben Luc District	15/06/2017	Ongoing	Completed for 225 AHs out of 779 AHs

Source: District Land Fund Development Centers and DPMUs as of 31 March 2019

30. Regarding 500 kV My Tho-Duc Hoa TL, DMS has been completed for 3 districts in Long An Province. For Ben Luc district, DMS has been completed for 137/146 AHs, 9 AHs are not living in Thanh Loi commune. Ben Luc DPC has issued Announcement to inform them to contact with SPMB or Ben Luc Construction, investment project management board for DMS. For 2 districts in Tien Giang Province, DMS and the internal checking of DMS results have been completed. Draft policy compensation plans have been completed in November in Tan Phuoc and December in Chau Thanh.

31. Regarding 500 kV Duc Hoa SS and connection lines subproject, the DMS activities have been completed for the substation component in Duc Hoa District in June 2017 while the DMS for the connection lines component in Duc Hoa and Ben Luc districts are ongoing. In Duc Hoa district, the DMS has been completed for 726 AHs out of 1,049 AHs and 225 AHs out of 779 AHs in Ben Luc district.

32. For Vung Tau substation, as for the 7 AHs who are missed during the DMS process of the 220 kV connection line subproject which is the associated facility of the 220 kV Vung Tau SS, DMS was completed for these 7 AHs in August 2017.

Preparation and approval of compensation plans

33. Corresponding to the DMS progress as described above, the compensation plans for the affected households have been prepared in 4 districts of Long An Province for the 500 kV My Tho-Duc Hoa TL segment in Long An and the 500 kV Duc Hoa substation component. Details are summarized in the table below:

Table 8. Compensation Plan Preparation and Approval Progress

No.	Subproject	CP Preparation		CP Approval	
		Commencement date	Completion date	Commencement date	Completion date
I	500 kV My Tho-Duc Hoa TL				
1	Tien Giang Province				
1.1	Chau Thanh District	24/9/2017	3/10/2018	-	-
1.2	Tan Phuoc District	17/8/2017	15/10/2018	-	-
2	Long An Province				
2.1	Thanh Hoa District	14/05/2018	05/2018	04/06/2018	03/09/2018
2.2	Thu Thua District	03/11/2017	16/03/2018	10/04/2018	11/05/2018
2.3	Ben Luc District	03/11/2017	17/04/2018	05/2018	14/12/2018
2.4	Duc Hoa District	10/01/2018	20/03/2018	05/2018	11/11/2018
II	500 kV Duc Hoa SS and Connection Lines				
1	Substation Component				
1.1	Duc Hoa District	10/01/2018	20/03/2018	15/04/2018	07/05/2018
2	Connection Lines Component				
2.1	Duc Hoa District	-	-	-	-
2.2	Ben Luc District	-	-	-	-

Source: District Land Fund Development Centers as of 31 March 2019

34. As of March 2019, the preparation of compensation plans for the affected households in Thanh Hoa district have been completed August 2018 and CP has been approved by

Thanh Hoa DPC on 8 November 2018 with VND 11,556,616,109 (equivalent to US\$ 507,984). For Ben Luc, the CP was approved by Ben Luc DPC in December 2018 with VND 31,000,000,000 (equivalent to US\$ 1,362,637.362).

35. In Duc Hoa district, Long An province, on 19 October 2018, the Duc Hoa Construction, Investment Projects Management Unit submitted official document No 2247/PA-BT, HT&TDC - Compensation, assistance and resettlement plan for land acquisition of 5,370.5m² of land for 500kV My Tho – Duc Hoa construction in Huu Thanh and Hoa Khanh Dong communes, Duc Hoa district, Long An province to Duc Hoa DPC for approval.

36. In Tan Phuoc, Chau Thanh districts, Tien Giang province, the policy for compensation, assistance and resettlement are submitted to DPCs for approval under the official document No 374/PA-TT.PTQD dated 12 November 2018 and 267/TTr-UBND dated 13 December 2018.

37. As for 220 kV Vung Tau SS, the CPs for the 7 additional AHs has not been prepared because the measurement of each parcel of land and determination of the boundaries of the affected land plot cannot be done. Currently, SPMB is cooperating with local authorities to invite people with land adjacent to the affected land plots to sign the cadastral map that has been measured.

Process of compensation payment

38. For **500 kV My Tho-Duc Hoa TL subproject**, as of October 2018, no compensation activity was undertaken. However, 79 AHs received advance payment by the contractor so the construction units got their permissions to conduct advanced construction of 73 tower foundations, in Tan Phuoc (4 towers), Chau Thanh (5 towers) districts (Tien Giang province) and Thu Thua (27 towers), Thanh Hoa (7 towers), Duc Hoa (10 towers) and Ben Luc (20 towers) district in Long An; the total of advance is **VND 2,036,173,500** (Please see **Appendix 3** for summary of compensation payment).

39. The advance payment procedures are as follows:

- The contractor has coordinated with the local authorities to meet with the affected households and disseminate to the household the reason for construction prior to compensation, negotiate on advanced payment for land and assets recovered by foundations. Negotiation on advanced payment is based on acquired land area, affected assets, provincial compensation rates and AH's opinions;
- Once the agreement of the household has been reached, the contractor shall make a minute of advance payment and pay to the household. In the advance payment minute, the contractor also stated that this was only the advance; compensation payment will be made when the official compensation plan is approved in accordance with the PTIP policies.
- The 79 households commit to repay the advanced amount when receiving fully compensation according to the project policies.
- The construction of tower foundations is only carried out after the advance payment is completed to the affected households.

40. As of the December 2017, the Consultant has requested the SPMB and relevant agencies to stop these activities. The payments made will be assessed vis-à-vis requirements of the project resettlement policy in the uRPs which are currently being prepared. Corrective actions required will be included in the uRP as well. Land acquisition and resettlement activities will only proceed once the uRPs have been concurred by ADB. Construction activities will only proceed once compensation and assistance payments have

been completed and rehabilitation measures are in place, and there are no more encumbrances in the site.

41. As of March 2019, 131 AHs in Long Thuan commune Thu Thua district have fully received compensation payment with VND 27,301,066,000, except for the Solid Waste Treatment Company with VND 1,332,372,000 due to the LURC adjustment progress. In Thanh Hoa district, the compensation has been fully paid to 55 AHs with VND 7,182,926,817. The list of the HHs who received the compensation is attached in **Annex 4**.

42. For **500 kV Duc Hoa SS and connection lines**, the compensation payment has been paid from November 2018 to March 2019 to 31 AHs with the amount of VND 55,470,676,478.⁹The compensation amount has been paid for 29 AHs; one relocated household has received VND 2 billion in advance, accounting for more than 41.8% of the compensation amount (VND 2 billion out of the approved compensation amount of VND 4,791,875,034) to rebuild the house and one AH is waiting for the results of land dispute resolution with other households. She has fully received compensation and assistances for the other affected land plot of her household.

43. For **220 kV Vung Tau SS**, the compensation payment has been carried out for 96 out of 107 AHs, including 79 AHs under the 220 kV Vung Tau SS and 28 AHs under the 110 kV connection line. While all of the AHs under the 220 kV Vung Tau SS have already been provided with compensation, 17 out of 27 AHs have been paid in the third quarter of 2017. The three remaining households under the connection line component have not been provided with compensation because the local authorities have not been able to identify the exact land owners due to several transactions while seven households were missed out during the DMS activities.

Table 9. General Progress in Compensation

No.	Project Component/ Location	Total Number of AHs (APs)	Number of AHs (APs) received advance or compensation	AHs (APs) eligible for compensation and/or assistance/allowances		
				Advance or compensation payment amount	Number of AHs (APs) fully paid	% Progress
I	500 kV My Tho-Duc Hoa TL	1,005	191	35,873,495,817	186	18.51%
1	Tien Giang Province	575	15	355,000,000	0	0%
1.1	Chau Thanh District	218	11	235,000,000	0	0%
1.2	Tan Phuoc District	357	4	120,000,000	0	0%
2	Long An Province	430	176	35,518,495,817	186	43.26%
2.1	Thanh Hoa District	67	55	7,182,926,817	55	82.09%
2.2	Thu Thua District	132	131	27,301,066,000	131	99.24%
2.3	Ben Luc District	146	32	868,435,000	0	0.00%
2.4	Duc Hoa District	86	6	166,068,000	0	0.00%

⁹ According to the Land Law 2013, the compensation has to be paid to AHs within 30 days after the compensation plan is approved by DPC/PPC; As the compensation plan has been approved by Long An PPC in June 2018 so Duc Hoa CIPMU has to paid the compensation to the AHs to avoid re-evaluation of compensation price and re-preparation of compensation plan;

II	500 kV Duc Hoa SS and connection lines	1,859	30	53,208,575,776	29	1.56%
1	Substation Component	31	30	53208575776	29	93.55%
1.1	Duc Hoa District	31	30	53,208,575,776	29	93.55%
2	Connection Lines Component	1,828	0	0	0	0.00%
2.1	Duc Hoa District	1049	0	0	0	0.00%
2.2	Ben Luc District	779	0	0	0	0.00%
	Total	2,864	221	89,082,071,593	215	7.51%

Source: District Land Fund Development Centers as of 31 March 2019

Process of resettlement and site clearance

44. The subproject 500 kV My Tho-Duc Hoa TL has acquired 533,061.82m² (57% of the total land area required); this area is acquired as of March 2019. In the reporting period, 132 AHs in Thu Thua have handed over the 392,590.60 m² of land to SPMB, including the Solid Waste Treatment Company who have not received the compensation payment due to LURC adjustment progress. In this reporting period, 54 AHs in Thanh Hoa district have handed over the 26,687.30m² to SPMB.

Table 10. General Progress in Land Clearance and Handing Over of Land for Civil Works

Project Component/ Location Province/ District	Total affected land area (m ²)	Land handed over (m ²)			Land that still has to be acquired/handed over (m ²)	
		Land Handed over this reporting period	Cumulative amount of land handed over		Amount in m ²	% of total affected land
			Amount in m ²	% of total affected land		
500 kV My Tho-Duc Hoa TL	937,680.40	126,687.30	533,061.82	57%	401,106.10	43%
Tien Giang Province	23,639.40	0	4,562.00	19%	19,077.40	80.70%
Chau Thanh District	6,441.50	0	636	9.87%	5,805.50	90.13%
Tan Phuoc District	17,197.90	0	3,926.00	22.83%	13,271.90	77.17%
Long An Province	914,041.00	126,687.30	528,499.82	58%	382,028.70	42%
Thanh Hoa District	195,614.10	126,687.30	130,176.10	66.55%	65,438.00	33.45%
Thu Thua District	396,170.20	0	396,170.20	100%	0	0%
Ben Luc District	213,441.20	0	3,516	1.65%	209,925.20	98.35%
Duc Hoa District	108,815.50	0	2,150	1.98%	106,665.50	98.02%
500 kV Duc Hoa SS and connection lines	273,201.20	0	0	0.00%	273,201.20	100.00%
Substation component	142,369.10	0	0	0.00%	142,369.10	100.00%
Duc Hoa District	142,369.10	0	0	100.00%	142,369.10	100.00%
Connection lines component	130,832.10	0	0	0.00%	130,832.10	100.00%
Duc Hoa District	97,820.60	0	0	0.00%	97,820.60	100.00%
Ben Luc District	33,011.50	0	0	0.00%	33,011.50	100.00%
TOTAL	1,210,881.60	126,687.30	533,061.82	44.02%	674,307.30	55.69%

Source: District Land Fund Development Centers as of 31 March 2019

45. For 500 kV Duc Hoa SS and connection lines, the land acquisition has not yet been conducted as of March 2019 despite 31 AHs have received compensation but not yet handed over their land. The affected land will not be handed over to SPMB until DDR-uRP for Duc Hoa substation is approved by ADB .

Table 11. General Progress in Relocation

Location	Total Number of AHs (APs)	# of AHs Required to Relocate	# of AHs Opting for Replacement Land	# of AHs Opting for Self Relocation (HHs)	# of AHs that Can Rebuild on Remaining Land	Progress
My Tho - Duc Hoa 500kV TL	1,005					
Tien Giang province	575	39	0	39	22	56.4%
Chau Thanh district	218	N/A	N/A	N/A	N/A	N/A
Tan Phuoc district	357	N/A	N/A	N/A	N/A	N/A
Long An province	430	39	0	39		
Thanh Hoa district	67	3	0	3	3	100%
Thu Thua district	131	19	0	19	19	78.9%
Ben Luc district	146	3	0	3		0
Duc Hoa district	86	14	0	14		0
Duc Hoa 500kV ss and connection lines	1,719	1		1	0	100%
Substation component	32	1		1	0	100%
Duc Hoa ss	32	1		1	0	100%
Connection lines component	1,687	N/A	N/A	N/A	N/A	N/A
Duc Hoa district	908	N/A	N/A	N/A	N/A	N/A
Ben Luc district	779	N/A	N/A	N/A	N/A	N/A
Total	2,724	40	0	40	0	100%

Source: District Land Fund Development Centers as of 31 December 2018

46. A total 38 households have to relocate by the 500kV My Tho – Duc Hoa TL in Ben Luc, Thanh Hoa, Thu Thua and Duc Hoa districts and 1 HH has to relocate by the 500kV Duc Hoa substation. In this reporting period, 18 households in Thanh Hoa (3 HHs) and Thu Thua (15 HHs) have built their houses on their remaining land and moved and land has been handed over to SPMB; 5 HHs in Thanh Hoa and 1 HH in Duc Hoa are rebuilding houses on their remaining land and expected to move and hand over the land in the second quarter of 2019.

Income Restoration Program

47. The project is classified category A for Involuntary Resettlement and C for Indigenous People because there is no ethnic minority present in the project area of all subprojects under SPMB management. Severely affected households including those losing 10% and above of their productive landholdings and relocating households as well as vulnerable households are entitled to participate in the Income Restoration Program (IRP) of the Project. As of now, the IRP has been prepared for 65 SAHs in 4 districts of Long An province (table 12). The progress of the IRP will be included in the next monitoring report.

Table 12. IRP prepared for the affected households

Expected programs (*)	The number of participation HHs	Estimated cost	Responsibility
A, Duc Hoa substation	26	463,100,000	
1. Allowance for career change	26	Included uRP	SPMB and Duc Hoa CIPMU
2. Vocational training program	26	91,000,000	Duc Hoa DPC and SPMB
3. Lending loans for production and business	11	330,000,000	Duc Hoa DPC and SPMB
4. Implementation management cost		42,100,000	SPMB
B. 500kV My Tho – Duc Hoa TL			
B.1. Thu Thua District	19	3,372,087,000	
1. Rehabilitation package	19	3,298,937,000	Thu Thua DPC and SPMB
2. Vocational training program	19	66,500,000	Thu Thua DPC and SPMB
3. Implementation management cost		6,650,000	SPMB
B.2. Thanh Hoa District	3	796,976,400	
1. Rehabilitation package	3	785,426,400	Thanh Hoa DPC and SPMB
2. Vocational training program	3	10,500,000	Thanh Hoa DPC and SPMB
3. Implementation management cost		1,050,000	SPMB
B.3. Duc Hoa District	14	3,219,552,480	
1. Rehabilitation package	14	3,165,652,480	Duc Hoa DPC and SPMB
2. Vocational training program	14	49,000,000	Duc Hoa DPC and SPMB
3. Implementation management cost		4,900,000	SPMB
B4. Ben Luc district	3	611,264,320	
1. Rehabilitation package	3	599,714,320	Ben Luc DPC and SPMB
2. Vocational training program	3	10,500,000	Ben Luc DPC and SPMB
3. Implementation management cost		1,050,000	SPMB
Total	65	8,462,980,200	

48. As of March 2019, 3 IRPs have been approved (Duc Hoa, Thu Thua and Thanh Hoa districts). The need assessment survey will be implemented for 36 SAHs in these districts in Q3/2019 after the relocating AHs finish the relocation progress.

E. Operation of Grievance Redress Mechanism (GRM)

49. A grievance mechanism has been set up to help ensure that the complaints of AHs are resolved in a timely and satisfactory manner. Through the holding of consultations during RP preparation and implementation, including the distribution of PIBs, the AHs have been and will be made fully aware of their rights to file a complaint, as needed. The resolution of a complaint will pass through 3 stages, beginning with the commune, then the district, then the provincial level of the People's Committee before it is elevated to a court of law as a last resort. The NPT will shoulder all administrative costs and legal fees incurred in the resolution of grievances.

50. During the previous reporting period, disagreement on compensation rates for land of 3 households affected by 500kV Duc Hoa SS has been recorded. 3 households who have not agreed, requesting that the compensation rates applied to their rice growing land area (further location from the road) should be the same as the rates applied to the land area at nearer location (from the road)¹⁰. Nevertheless, these opinions are not supported by the local communities (other AHs) as well as authorities. The district and commune authorities and mass organization in Hoa Khanh Dong continue explaining to these affected households about the project policies and compensation rates and 3 AHs agreed with the response from DPC explanation. 3 AHs receipt compensation (agreement minute in Annex 4).

51. In Long Thuan commune, Thu Thua district, 3 AHs submitted their complains to Thu Thua DPC. The complaints, resolving progress and results are presented in table below:

Table 12. General Progress in Grievance Resolution

Complaint	Content of complaint	Results	Required action, responsibility and timeline for resolution
Long Thuan commune, Thu Thua district			
Mr Vo Thanh Long Impact by ROW, Not relocation HH	Complain on land compensation rate Request to buy two resettlement land plots in the flood-proof residential area without auction.	Thu Thua DPC and SPMB held the negotiation meeting with Mr. Vo Thanh Long then Mr. Vo Thanh Long has withdrawn his complaint letter;	Resolved No more action required
Mr Le Hoang Dam Impact by ROW; Relocation HH	Complain about the house compensation price application is not correct Requested an additional resettlement plot	Thu Thua DPC, Thu Thua DCIPMU and SPMB have checked the DMS and the site. On 4 October 2018, Thu Thua DPC issued the decision 2923/QD-UBND on resolving complaint of Mr. Le Hoang Dam: (i) Request Thu Thua DCIPMU to recalculate the additional compensation for house at the rate for house	Resolved No more action required

¹⁰ These 3 households have paddy land farther away from the highway (more difficult to access), however, they require compensation at the same price as the plots close to the highway (more easy to access)

		with toilet inside; Mr Le Hoang Dam get the additional compensation for house at the rate for house with toilet inside (VND 26,200,000); (ii) Do not agree with Mr Dam's request for an additional resettlement land plot; Mr Le Hoang Dam agreed with the decision 2923/QD-UBND;	
Mr Nguyen Dinh So and Thai Thi Dung Impact by ROW and Foundation tower; Relocation HH	Complained about being affected during construction: (i) the base of the tower foundation is covered with soil higher than the surface of the rice field too much (about 20 - 30 cm), which will be the place for the rats to cause damage to the rice – AH requested contractor to return the site, lowering the foundation of the base foundation;	Contractor is negotiating with AH. The contractor wants to pay the AH VND 30 million for all the impact but AH requested VND 70 million; The representative of Long Thuan CPC participated in the negotiation meeting but did not record.	SPMB and Long Thuan CPC cooperate for resolution. The construction and Long Thuan Farmers' Association cooperated to calculate the impact and estimate the compensation amount. Then the payment amount will base on that result; To be completed in Q2, 2019
Trinh Dinh So and some AHs Verbal complaint	(ii) When constructing, deep excavation of the pit foundation caused alum on the entire remaining area of the field, AH requested the construction unit to support the rehabilitation of the field.	Some AHs waiting for the result negotiation between contractor and Mr. Trinh Dinh So;	
Tan Tay Commune Thanh Hoa district			
14 HHs	Verbal complaint Complain about lower compensation rate for land.		SPMB and Thanh Hoa DCIPMU and Tan Tay CPC cooperate for resolution. To be completed in Q2, 2019

F. Institutional Matters and Capacity Training

52. In order to ensure the compliance of the project with regard to the implementation of safeguard policies of ADB, since the beginning time of project preparation stage, the SPMB has assigned an officer who take responsible for social safeguard policy issues, including social and land acquisition and environment with support of the Loan Implementation Consultant. In addition, for each subproject, SPMB has assigned additional staff to be responsible for land acquisition activities on site. The replacement LIC Consultant has been selected and the contract has been signed in July 2018.

53. The SPMB social safeguard officers have participated in a social safeguard training course organized by ADB on 14 and 15 May 2018. The training course focused on the ADB safeguard policies and experience-sharing among different ongoing projects financed by

ADB. However, due to each staff of Compensation Division of SPMB is assigned to be in charge of one subproject in Tranche 3 or one district (for My Tho – Duc Hoa TL), these staff do not clearly understand about project policies or SPS 2009. Therefore, there is a need for additional training courses for staff who are in charge of IR issues of Tranche 3. These hands-on training courses will be conducted by LIC and expected to be carried out in Q3 2019.

G. Compliance Status

54. The table below summarizes the compliance status of the project activities with the loan covenants, 2009 ADB SPS on SR2 and SP3 and the approved RPs.

Table 13. Status of Safeguards-Related Loan Covenants

Covenant	LA Reference	Status
<p>The Borrower shall cause NPT to ensure that all land and all rights-of-way required for the project are made available to the Works contractor in accordance with the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with: (a) the Borrower’s applicable laws and regulations relating to land acquisition and involuntary resettlement; (b) the Involuntary Resettlement Safeguards; and (c) all measures and requirements set forth in the RPs, REMDPs, and DDRS, and any corrective or preventative actions set forth in the Safeguards Monitoring Report.</p>	<p>LA, Schedule 5, Para 3</p>	<p>Being complied</p> <p>(Advance compensation payments have been stopped. Updated resettlement plans are being prepared and shall include corrective actions. LAR activities will only proceed once the uRPs are concurred by ADB and construction activities will only proceed when compensation and assistance payments have been completed and rehabilitation measures are in place)</p>
<p>The Borrower shall cause NPT to ensure (a) that the local authorities do not commence any land acquisition and relocation activities until the uRPs, REMDPs, and DDRs (as applicable) have been prepared following detailed design, and (b) that the uRPs, REMDPs, and DDRs have been reviewed and approved by ADB. The Borrower shall cause NPT to further ensure that the APs are compensated at replacement cost and assisted before they are displaced from their houses, land, and assets. No APs shall be displaced until suitable alternative land within the same or neighboring commune has been allocated to them or compensation has been paid that is sufficient to purchase suitable alternative land within the same or a neighboring commune.</p>	<p>LA, Schedule 5, Para 4</p>	<p>(a) Partially complied</p> <p>(advance compensation payment activities at some tower foundations have been stopped)</p> <p>(b) Being complied</p> <p>(uRPs are being prepared that covers the valuation results carried out by the independent valuation consulting company to ensure that APs are compensated at replacement cost. Affected households will be compensated and assisted before they are displaced from their houses, land and assets)</p>
<p>The Borrower shall cause NPT to carry out internal monitoring of involuntary resettlement activities and updating of the RPs, REMDPs, and DDRs, and submit quarterly Safeguard Monitoring Reports to ADB together with the quarterly Project reporting requirements. The Borrower shall cause NPT to ensure that an independent external monitoring body or person is engaged. External monitoring reports</p>	<p>LA, Schedule 5, Para 5</p>	<p>Being complied.</p> <p>(Internal and external monitoring activities are ongoing)</p>

Covenant	LA Reference	Status
shall be submitted to ADB every 6 months. Internal and external monitoring reports shall be uploaded onto the ADB website, until involuntary resettlement and income restoration programs are completed. The monitoring reports shall include resettlement audit statements and shall be verified by such independent resettlement monitoring body or person.		
Without limiting the application of the Involuntary Resettlement Safeguards or the RPs, REMDPs, and DDRs, the Borrower shall cause NPT to ensure that no physical or economic displacement takes place in connection with the project until compensation and other entitlements have been provided to the APs in accordance with the RPs, REMDPs, and DDRs; and a comprehensive income and livelihood restoration program has been established in accordance with the RPs, REMDPs, and DDRs.	LA, Schedule 5, Para 6	Partially complied (uRPs are being prepared with the provisions/ requirements to ensure that no displacement takes place until compensation and other entitlements have been provided to the APs. Advance compensation payment has been stopped and LAR activities as well as construction shall not proceed until the uRPs are concurred by ADB and compensation and assistance payments have been completed and rehabilitation measures are in place).
The Borrower shall cause NPT to make available necessary budgetary and human resources to fully implement the EMPs, the CAPs, RPs, REMDPs, and DDRs.	LA, Schedule 5, Para 8	Being complied (Available necessary budgetary and human resources are being arranged by NPT/SPMB)
The Borrower shall cause NPT to ensure that all bidding documents and contracts for Works contain provisions that require contractors to: comply with the measures relevant to the contractor set forth in the IEEs, the EMPs, the CAPs, RPs, REMDPs, and DDRs and any corrective or preventive actions set forth in a Safeguards Monitoring Report; make available a budget for all such environmental and social measures; and provide NPT with a written notice of any unanticipated environmental, resettlement, or indigenous peoples risks or impacts that arise during construction, implementation, or operation of the project that were not considered in the IEEs, the EMPs, the CAPs, the RPs, the REMDPs, or the DDRs.	LA, Schedule 5, Para 9	Being complied (Civil work contracts shall include provisions to ensure compliance with the relevant measures in RPs, DDRs, and corrective actions set forth)
The Borrower shall cause NPT to do the following: submit Safeguards Monitoring Reports to ADB quarterly during construction and semi-annually during project operation until the project completion and disclose relevant information from such reports to the APs promptly upon submission. If any unanticipated environmental and/or social risks and impacts arise during construction, implementation or operation of the project that were not considered in the IEEs, EMPs, CAPs, RPs, REMDPs, DDRs, and REMDF, as applicable, promptly inform ADB of the	LA, Schedule 5, Para 10	Being complied (SMRs of Quarter 3 and Quarter 4 of 2017, second semi-annual report of 2017; and Quarter 1 and 2 of 2018 and first semi-annual report of 2018; and Quarter 1 of 2019 have been submitted)

Covenant	LA Reference	Status
occurrence of such risks or impacts, with detailed description of the event and proposed corrective action plan.		

H. Follow up Actions, Recommendation and Disclosure

1. Follow up Actions plan for the second bank subprojects

55. 4 DDRs including 4 CAPs were also prepared for the subprojects involved the installation of second transformer within the existing boundary of the substations and 2 DDRs with CAPs for 2 associated facility subprojects. CAPs are being implemented and the result has been present in the table below.

2. Vung Tau Substation

56. For 220kV Vung Tau, compensation payment for affected households in Phase 1 has been conducted since 2013 (project preparation of Tranche 3); Due to the incompleteness of compensation payment, during the document preparation for Phase 2 (installment of the second transformer for the SS), one RP was prepared for Vung Tau SS covering all affected households in Phase 1. Gaps between policies of GoV and Project (according to RP) are presented in three aspects, including (i) compensation for households building houses on agricultural land; (ii) rate applied for compensation is in accordance with rate issued by PPC; (iii) assistances related to affected households losing 10% or more of their productive land and additional assistances for vulnerable households.

57. In January 2019, SPMB has reviewed 79 approved CPs and all compensation and allowances that have already been provided to the AHs, SPMB ensured that the entitlements applied for all AHs are according to the Provisions of the PTIP resettlement policy (see **Annex 6**).

Table 114. Summary of shortcomings and corrective action implementation status

Areas of Concerns	Gaps in the 2013 implementation of land acquisition vis-à-vis PTIP resettlement policy	Corrective actions	Implementation status as of March 2010	Remark
CAP for second bank subprojects				
I. INSTALLATION OF A SECOND TRANSFORMER BANK FOR 220 kV DUC HOA SUBSTATION				
Payment for life stabilization assistance	Losing 30% of one's total agricultural (productive) land was used in determining who were severely affected. Only 1 AH was deemed severely affected and for which it was provided life stabilization assistance	(i) Review all DMs forms to find out which among the remaining 11 AHs lost 10%-29% of their total agricultural (productive) land. (ii) Prepare payment vouchers or plans for AHs that lost 10%-29% of their total agricultural (productive) land for approval by the PPC. (iii) Pay life stabilization assistance to the eligible AHs	According to DMS records, 3 households are losing more than 10% total of productive land area and not yet received the life stabilization assistance; However, this rate of loss is currently calculated on the area of the affected land parcel but not the total area of land held by the household. SPMB to contact the Tan My CPC to determine the ratio of land loss but it is difficulty to contact the AHs because some of them work far away and are not often available locally;	Continuous for 3 remaining AHs and expected completed all in Q2 2019.
II. INSTALLATION OF A SECOND TRANSFORMER BANK FOR 500 kV CAU BONG SUBSTATION				
Payment for life stabilization assistance	Losing 30% or more of one's total agricultural (productive) land was used for determining who were severely affected. 33 AHs (i.e., 13 lost 30% - 70%, and 20 lost more than 70% of productive lands) were deemed severely affected and for which they were provided life stabilization assistance	(i) Review all DMS forms to find out which among the remaining 87 AHs lost 10%-29% of their total agricultural (productive) land. (ii) Prepare payment vouchers or plans for AHs that lost 10%-29% of their total agricultural (productive) land for approval by HCMCPC. (iii) Pay life stabilization assistance to the eligible AHs	According to DMS records, there are 21 household losing more than 10% total of productive land area but not yet received life stabilization assistance. SPMB requested Cu Chi Compensation, Clearance Board and Tan Phu Trung CPC collect the total of AHs' landholdings to determine the ratio of land loss; Many AHs do not want to show the LURC because they put it in the bank to get the loan.	Continuous for 21 remaining AHs and expected completed all in Q2 2019
III. INSTALLATION OF A SECOND TRANSFORMER BANK FOR 220 kV TRA VINH SUBSTATION				All completed
IV. INSTALLATION OF A SECOND TRANSFORMER BANK FOR 220 KV UYEN HUNG SUBSTATION				All completed
CAP for associated facility subprojects				

Areas of Concerns	Gaps in the 2013 implementation of land acquisition vis-à-vis PTIP resettlement policy	Corrective actions	Implementation status as of March 2010	Remark
V. 220 KV BA RIA – VUNG TAU TRANSMISSION LINE				All completed
VI. 500kV MY THO SUBSTATION				All completed

I. Recommendation and CAP

58. DMS activities and compensation plans are available in the project districts in Long An Province under the 500 kV My Tho-Duc Hoa TL, the preparation of the uRPs for these districts have been prepared to ensure full compliance with process and procedure of the project on implementation of compensation, assistance, and resettlement and 3 uRPs have been approved by ADB, including Duc Hoa, Thanh Hoa and Thu Thua districts. SPMB needs to cooperate closely with the DCARBs and CPCs to ensure that compensation procedures are in compliance with the regulations of the project policies. For Tien Giang province, the DMS has been completed but can not prepare the compensation plans for the project – Tien Giang segment due to delay of progress to approve the project policies; SPMB needs to cooperate closely with Tien Giang PPC for accelerating the approval progress;

59. As for the 220 kV Vung Tau Subproject, the compensation payment has been completed for 17 out of 27 AHs under the connection line component. Currently, the compensation amount could not have paid to remaining 3 households because these 3 households share have certificate of land use rights with 10 other households, so it is necessary to have the signature of all households who named in LURC for AHs to be able to receive compensation. This is required by law to avoid further disputes and complaints. SPMB in close coordination with Vung Tau Land Fund Development Center to invite 10 other HHs to sign the payment record or get all the authorized document for payment.

60. With regards to the remaining 7 AHs missed during the DMS process, due to uninvited land owner who have land adjacent to the affected land plot to sign the cadastral, although the DMS has been completed, the Vung Tau city CLDF has not been able to prepare compensation plan for these 7 households; SPMB has to cooperate with local authority to push up the progress for preparing CPs because Vung Tau SS has been completed construction and put into operation since April 2018.

Table 15. Time-bound Required Actions

No.	Issues	Required Actions	Responsibility	Completion Time
1	Preparation of uRPs for the districts where DMS and compensation plans are available. IRP for eligible households included in the uRPs.	2 uRPs for Chau Thanh district and Tan Phuoc district, Tien Giang province need prepared and sent to ADB for review in June 2019.	SPMB, LIC	June 2019
2	Pending payment of 3 AHs under the connection line component	Invited sharer LURC for signing the document payment and pay the compensation amount to 3 AHs	SPMB, LIC, Vung Tau Land Fund Development Center, Ward People's Committee	Q2/2019
3	Issues of 7 AHs under 220 kV Vung Tau connection lines	Prepare Resettlement Plan Addendum of the 27 AHs	SPMB, LIC	Q2/2019
4	Issues of CAP for 220kV Duc Hoa substations	There are 3 AHs losing more than 10% total of productive land area but not yet received life stabilization assistance; SPMB to contact the Tan My CPC to determine the ratio of land loss	SPMB, LIC	Q2/2019

No.	Issues	Required Actions	Responsibility	Completion Time
		but it is difficult to contact the AHs because some of them work far away and are not often available locally		
5	Issues of CAP for 500kV Cau Bong substations	There are 21 AHs losing more than 10% total of productive land area but not yet received life stabilization assistance; SPMB requested Cu Chi Compensation, Clearance Board and Tan Phu Trung CPC to collect the total of AHs' landholdings to determine the ratio of land loss;	SPMB, LIC	Q2/2019
6	Vung Tau Substation	Review of all approved CPs and all compensation and allowances that have already been provided to the AHs for the purpose of ensuring that the entitlements applied for all AHs are according to the Provisions of the PTIP resettlement policy.	SPMB, LIC	Completed
7	GRM	Complaints of some AHs from Thu Thua on impacts during construction. SPMB and Long Thuan CPC cooperate for resolution. The construction and Long Thuan Farmers' Association to cooperate to calculate the impact and estimate the compensation amount. Then the payment amount will base on that result;	SPMB and LIC	Quarterly report.
8	Prepare DDR-CAP for 500 kV SS subproject as households have received compensation prior to the approval of the uRP	DDR-CAP has been prepared for the 500 kV Duc Hoa Substation to be submitted to ADB for review and approval	SPMB and LIC	April 2019

Annex 1 – Meeting minute on implementation of land acquisition and land hand over decision to constructor of Duc Hoa District;

UBND HUYỆN ĐỨC HÒA
BAN QUẢN LÝ DỰ ÁN
ĐẦU TƯ XÂY DỰNG

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập- Tự do- Hạnh phúc

Số:/BB.BQLDA

Đức Hòa, ngày 15 tháng 01 năm 2019

BIÊN BẢN

V/v thực hiện quyết định thu hồi đất và bàn giao mặt bằng cho đơn vị thi công thuộc dự án Trạm biến áp 500KV Đức Hòa và các đường dây đầu nối do Ban QLDA các công trình điện Miền nam làm chủ đầu tư trên địa bàn xã Hòa Khánh Đông, huyện Đức Hòa, tỉnh Long An.

Hôm nay, vào lúc 08h 30phút ngày 15/01/2019 trụ sở UBND xã Hòa Khánh Đông, huyện Đức Hòa.

Thành phần:

Phòng tài nguyên và Môi trường huyện Đức Hòa (Vắng)

Ông: Lê Văn Cổ chức vụ: BTĐU. UBND xã Hòa Khánh Đông;

Ông Nguyễn Thanh Bình chức vụ: PCT. UBND xã Hòa Khánh Đông;

Ông Trần Bến Thù chức vụ: CT. UBMTTQ xã Hòa Khánh Đông;

Ông Hồ Công Toàn chức vụ: CT. Hội nông dân xã Hòa Khánh Đông;

Ông: Nguyễn Việt Hải chức vụ: PGĐ. Ban QLDA. ĐTXD huyện Đức Hòa;

Ông: Nguyễn Ngọc Minh chức vụ: VC. Ban QLDA. ĐTXD huyện Đức Hòa;

Ông: Trương Duy Thanh chức vụ: Đ/D Ban QLDA các CT điện MN;

Ông: Lê Trọng Mẫn chức vụ: Đ/D Ban QLDA các CT điện MN;

Ông: Lê Hoàng Vũ chức vụ: CC.ĐC xã Hòa Khánh Đông;

Ông: Nguyễn Văn Sứa chức vụ: Trưởng ấp Bình Lợi;

Ông: Trần Đức Hiền chức vụ: Đ/D đơn vị thi công dự án;

Công ty TNHH Đại Toàn Thắng (vắng)

Hộ dân có đất trong dự án: Võ Văn Đào, Võ Văn Hào, Võ Văn Hằng, Nguyễn Văn Đức (chết) con ĐD: Nguyễn Công Lợi, Nguyễn Thị Phương (vợ đi thay chồng); Võ Văn Đình, Nguyễn Ngọc Lon (đi thay mẹ); Hồ Thị Bận, Nguyễn Thị Kim Thoa (vợ đi thay chồng); Nguyễn Thành Tích

(Người ghi biên bản: ông Nguyễn Ngọc Minh)

Nội dung làm việc:

Ông Nguyễn Thanh Bình PCT.UBND xã Hòa Khánh Đông giới thiệu thành phần tham dự, nêu nội dung cuộc họp và đề nghị các hộ dân bàn giao mặt bằng cho dự án Trạm biến áp 500KV Đức Hòa và các đường dây đầu nối do Ban QLDA các công trình điện Miền nam làm chủ đầu tư trên địa bàn xã Hòa Khánh Đông, huyện Đức Hòa.

Ông Nguyễn Việt Hải PGĐ Ban quản lý dự án ĐTXD huyện Đức Hòa thông tin, phân tích về các chủ trương chính sách nhà nước và quyết định thu hồi đất dự án Trạm biến áp 500KV Đức Hòa và các đường dây đầu nối trên địa bàn xã Hòa Khánh Đông.

Ý kiến của các hộ dân như sau:

- Ông Võ Văn Hăng: Gia đình tôi đề nghị xin các ban ngành chức năng xem xét phần diện tích lúa đang trồng còn khoảng 1,5 tháng nữa thì thu hoạch đề nghị các ban ngành và chủ đầu tư xem xét và giải quyết.

- Ông Võ Văn Đào: Từ lúc triển khai dự án gia đình chúng tôi chưa nhận tiền bồi thường nên chúng tôi trồng lúa để phục vụ đời sống.

Ý kiến của chủ đầu tư dự án:

- Ông Lê Trọng Mẫn: chính phủ đã có văn bản chỉ đạo thực hiện triển khai thi công dự án, và Ban quản lý dự án ĐTXD huyện cũng đã tiến hành chi trả tiền bồi thường cho các hộ dân. Trường hợp các hộ dân đề nghị xin được xem xét phần diện tích trồng lúa do mới trồng còn khoảng 1,5 tháng nữa thu hoạch nên chưa thể bàn giao mặt bằng dự án, Ban quản lý dự án các công trình điện Miền Nam và đơn vị thi công phối hợp với các ban ngành chức năng huyện, xã thống nhất đến ngày 20/02/2019 đề nghị các hộ dân thu hoạch lúa, phần tài sản trên đất và các công trình để bàn giao mặt bằng cho dự án.

Ý kiến thống nhất trong cuộc họp như sau:

- Qua trao đổi tất cả các hộ dân thống nhất đến ngày 20/02/2019 phải bàn giao mặt bằng cho đơn vị thi công dự án Trạm biến áp 500KV Đức Hòa và các đường dây đầu nối. Phần tài sản trên đất và các công trình khác nếu quá thời gian nêu trên các hộ dân vẫn không thu hoạch hoặc tiếp tục gieo trồng mới thì đơn vị thi công, chủ đầu tư dự án, các ban ngành chức năng vẫn tiến hành thi công dự án và không xem xét, giải quyết mọi khiếu nại của các hộ dân.

- Ban quản lý dự án ĐTXD huyện sẽ tổng hợp báo cáo cho Hội đồng bồi thường hỗ trợ và tái định cư chỉ đạo thực hiện.

Biên bản kết thúc vào lúc 12... giờ 00... phút cùng ngày, có đọc lại cho các thành phần tham dự cùng nghe và thống nhất ký tên.

Họ tên

Họ tên

Người ghi biên bản


Võ Văn Lạc


Ngô Văn Hưng


Nguyễn Ngọc Minh

Họ tên

Họ tên


Võ Văn Lạc

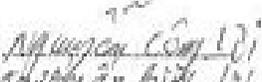

Nguyễn Thị Phương


Nguyễn Thị Kim Hoa


Nguyễn Văn Đón


Võ Văn Đón


CHỦ TỊCH
CHỦ TỊCH
Nguyễn Thành Bình
Đang ứng cử
1964

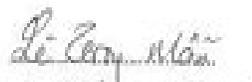

Nguyễn Công Lợi


Nguyễn Văn Công


Nguyễn Văn Sĩ


Trần Thị Miền


Lê Văn Có


Lê Công Mân


Lê Hồng An

Ban QLCH-ĐTKD hàng hóa


Trần Văn Lợi


Lê Văn Công


BAN
QUẢN LÝ DỰ ÁN
ĐẦU TƯ
XÂY DỰNG
1964

English Translation:

DUC HOA DISTRICT PEOPLE'S
COMMITTEE

**CONSTRUCTION INVESTMENT
PROJECT MANAGEMENT UNIT**

No. .../BB.BQLDA

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Duc Hoa District, 15th January 2019

MEETING MINUTES

On implementation of land acquisition and land hand over decision to constructor of 500kV Duc Hoa Substation and connection lines Project, Southern Power Projects Management Board as Project Owner in Hoa Khanh Dong Commune, Duc Hoa District, Long An Province

Today, at 8h30 on 15 January 2019 at Office of Hoa Khanh Dong CPC, Duc Hoa District.

Participants:

- Natural Resources and Environment Division of Duc Hoa District (Absent)
- Mr. Le Van Co Secretary of Party Committee. Hoa Khanh Dong CPC
- Mr. Nguyen Thanh Binh Vice president of Hoa Khanh Dong CPC
- Mr. Tran Ben Thu President of Hoa Khanh Dong Commune Fatherland Front
- Mr. Ho Cong Toan President of Hoa Khanh Dong Commune Farmer's Association
- Mr. Nguyen Viet Hai Deputy Director of Duc Hoa District CIPMU
- Mr. Nguyen Ngoc Minh Official of Duc Hoa District CIPMU
- Mr. Truong Duy Thanh Representative of SPMB
- Mr. Le Trong Man Representative of SPMB
- Mr. Le Hoang Vu Cadastral Official of Hoa Khanh Dong Commune
- Mr. Nguyen Van Sua Head of Binh Loi Hamlet
- Mr. Tran Duc Hien Representative of Constructor
- Dai Toan Thang Company LTD, (Absent)

Households having land in project area: Vo Van Dao, Vo Van Hao, Vo Van Hang, Nguyen Van Duc (died) – Nguyen Cong Loi (son), Nguyen Thi Phuong (the wife goes instead of the husband): Vo Van Dinh, Nguyen Ngoc Lon (the son goes instead of the mother): Ho Thi Ban, Nguyen Thi Kim Thoa (the wife goes instead of the husband): Nguyen Thanh Tich.

(Recorder: Mr. Nguyen Ngoc Minh)

Contents:

Mr. Nguyen Thanh Binh, Vice President of Hoa Khanh Dong CPC, introduces participants, contents of the meeting and requests households to hand over the site for

500kV Duc Hoa Substation and connection lines Project with Southern Power Projects Management Board as Project Owner in Hoa Khanh Dong Commune, Duc Hoa District.

Mr. Nguyen Viet Hai, Deputy Director of Duc Hoa District CIPMU introduces and explains policies of GoV and land acquisition decision of 500kV Duc Hoa Substation and connection lines Project in Hoa Khanh Dong Commune.

Comments of Households:

- Mr. Vo Van Hang: We request function agencies to consider and resolve for the land area growing rice that will be harvested in about 1.5 months.

- Mr. Vo Van Dao: Since the project implementation, we have not yet received compensation so we grow rice serving daily life.

Comments of Project Owner:

Mr. Le Trông Man: The Government issued decision on instructing project implementation and Duc Hoa District CIPMU carried out compensation payment to households. In case when household want to consider for the land area growing rice that will be harvested in about 1.5 months so they have not yet handed over the site, SPMB and constructor coordinate with district, communal function agencies to reach agreement that on 20 February 2019, it is requested that households harvest rice and assets attached on land and other structures to hand over the site to the project.

Agreement of the meeting:

- Through discussion with households, all agree on 20 February 2019 to hand over the site to constructor of 500kV Duc Hoa Substation and connection lines Project, assets on land and other structures. In case the above deadline is exceeded, households still do not harvest or continue planting the new crop, the Constructor, Project Owner, function agencies will implement the construction and will not consider and resolve complaints of households.

- Duc Hoa District CIPMU will summarize the report for the Compensation, Assistance and Resettlement Board to implement.

The Meeting ends at 10h00 on the same day, participants agreed and signed./.

Household	Household	Recorder
Mr. Vo Van Dao	Mr. Vo Van Hang	Mr. Nguyen Ngoc Minh
Household	Household	Household
Mr. Vo Van Hao	Mrs. Nguyen Thi Phuong	Mrs. Nguyen Thi Kim Thoa
Household	Household	
Mr. Nguyen Van Duc	Mr. Nguyen Van Don	
Household	Household	Hoa Khanh Dong CPC
Mr. Nguyen Cong Loi	Mr. Nguyen Ngoc Lon	<i>(signed and sealed)</i>
Head of Binh Loi Hamlet	Representative of Constructor	Party Committee
Mr. Nguyen Van Sua	Mr. Tran Duc Hien	Mr. Le Van Co
Representative of SPMB	Cadastral Official	
Mr. Le Trong Man	Mr. Le Hoang Vu	
Fatherland Front	Farmer's Association	SPMB
Mr. Tran Ben Thu	Mr. Ho Cong Toan	<i>(signed and sealed)</i>

Annex 2. List of AH who received the advance compensation

List of AH who received the advance compensation
(Project: 500kV My Tho - Duc Hoa Transmission line)

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.].

Annex 3. Master List of Affected Households

3.1. Master List of Affected Households of Duc Hoa substation – 500kV Duc Hoa substation and connection lines subproject

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

3.2. Master List of Affected Households of Duc Hoa district – 500kV My Tho Duc Hoa TL subproject – Duc Hoa segment

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

3.3. Master List of Affected Households of Thu Thua District – 500kV My Tho – Duc Hoa TL – Thu Thua segment

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

3.4. Master List of Affected Households of Thanh Hoa District – 500kV My Tho – Duc Hoa TL – Thanh Hoa segment

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

3.5. Master List of Affected Households of Ben Luc District – 500kV My Tho – Duc Hoa TL – Ben Luc segment

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

Annex 4. Results of reviewing the Compensation Plans of the subproject of 220kV Vung Tau Substation (Phase 1)

4.1. List of affected households of the subproject of 220kV Vung Tau Substation (Phase 1)

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

4.2. Compensation and assistance for affected households of the subproject of 220kV Vung Tau Substation (Phase 1)

[This information has been removed as it falls within exceptions to disclosure specified in exception (x) Information that, if disclosed, would or would be likely to endanger the life, health, safety, or security of any individual, or safety or security of ADB assets, or to prejudice the defense or national security of a member) of ADB's Access to Information Policy 2018.]

Entitlement Matrix of 220kV Vung Tau sustation

No	Type of Impacts	Level of Impacts	Entitled persons	Compensation Policy	Implementation Issues
I. IMPACT ON LAND					
I.1. Agricultural land					
1	Permanently affected land (69 AHs)	Less than 10 percent of total productive landholding affected	Owners with LURC, owners in process of acquiring LURC, owners eligible to acquire LURC	<ul style="list-style-type: none"> a. Cash compensation at replacement cost at current market prices for affected portion if remaining holding is viable; OR b. Cash compensation at replacement cost at current market prices for entire landholding, if remaining holding is not viable. c. Entitled to allowance for vocational trainings career change and job seeking in cash not exceed 5 times of the affected land value. 	Complied; Do not apply land loss ratio as households do not use land for agricultural production but use agricultural land for building houses thereon.
		More than 10 percent or more of total productive landholding affected	Owners with LURC, owners in process of acquiring LURC, owners eligible to acquire LURC	<ul style="list-style-type: none"> Cash compensation at replacement cost at current market prices for affected portion if remaining holding is viable; or Cash compensation at replacement cost at current market prices for entire landholding, if remaining holding is not viable AND b. The Project will provide rehabilitation allowances for training/retraining and intensifying of the existing occupation or shifting to new ones, combining with technical assistance such as agricultural extension, credit etc. The forms of assistance and support shall be decided through close consultation with the entitled DPs to ensure appropriate and effective measures to restore their income generating capacity and income levels. c. DPs will be assisted with seedlings, agricultural forestry extension programs, husbandry, etc. (Item 4 of Article 19 of Decree 47/2014/ND-CP). d. Assistance for changing job, employment will be provided, 	

No	Type of Impacts	Level of Impacts	Entitled persons	Compensation Policy	Implementation Issues
				allowance in cash not exceed 5 times of compensation for agricultural land.	
I.2. Residential land and/ or non-agricultural land					
1	Permanently acquired residential land and/or non-agricultural land (02 AHs)	Loss of residential and/or non-agricultural landholding	Owners with LURC, owners in process of acquiring LURC, owners eligible to acquire LURC	<p>As a priority, allocation of replacement land; OR if affected person opts,</p> <ul style="list-style-type: none"> - Compensation in cash for acquired land at replacement cost at current market rates for affected portion without deduction for stamp, duties, fees or other payments; - Entitled to allowance for relocation in cash - Entitled to resettlement support if the household has to resettle at new location. 	<p>Complied</p> <p>Compensation by cash;</p> <p>Provide two resettlement land plots for two households affected residential land;</p> <p>Provide assistance for house rental;</p> <p>Provide assistance for transportation;</p>
II. MAIN HOUSES AND OTHER STRUCTURES					
1	All affected households have houses and structures which are dismantled (33 AHs)	Totally or partially dismantled but the rest portion will not ensure the safety so the houses or structures will be removed and rebuilt.	Owners of the houses with or without acceptable proof of ownership over the land; with or without building permit	<p>a/Compensation for the whole houses, structures by the replacement price</p> <p>b/ Assistance for removing (if relocation).</p>	Complied
III. COMPENSATION FOR AFFECTED TREES AND CROPS					
III. 1.Trees and crops on acquired land					

No	Type of Impacts	Level of Impacts	Entitled persons	Compensation Policy	Implementation Issues
	Land owners/ Users with lease whose trees and crops are affected (57 AHs)	Trees and crops affected (cut) by constructing the project	Owners regardless of tenure status	Compensation for annual crops and aquatic equivalent to current market value of crops / aquaculture at the time of compensation The owner/grower of perennials, trees and crops will be compensated according to the average market price of trees and crops based on average annual volume and/or replacement costs applicable to perennials.	Complied
IV. ASSISTANCES					
IV.1. Allocation of residential land and resettlement					
2	Resettlement (02 AHs)	Full acquisition of residential land	Households whose residential land is fully affected and thus have to relocate	a) When the State recovers land (all residential land plot), households and individuals who are eligible for resettlement allocation and the amount of compensation, support is less than the value of a minimum distribution of settlement shall be supported this difference. The minimum resettlement plot as prescribed by Vung Tau City is VND 198,760,000. b) When the State recovers land of households and individuals who are eligible for resettlement allocation, and wish to take care by themselves of accommodation, they shall be supported an amount equal to the value of the minimum resettlement plot.	Complied 02 AHs were allocated land plots in RS (03km from the former place); 13 AHs building houses on agricultural land received support XXX
IV. 2. Transportation assistance					
	Displacement of houses and assets (02 AHs)	Houses and assets to be displaced	Households and individuals who have to relocate due to land acquisitions	Households and individuals that have to relocate upon land recovery by the state are entitled to support: a) Cash assistance equivalent to VND 6,300,000 per household if relocating within the province area; b) Cash assistance equivalent to VND 11,060,000 per household if relocating in other provinces.	Complied
IV. 4. Allowance for Vocational Training, Career Change and Job Seeking					

No	Type of Impacts	Level of Impacts	Entitled persons	Compensation Policy	Implementation Issues
1	Households having agricultural land affected (68 AHs)	Affected and loss of land	Households directly engaged in agricultural activities having agricultural land affected	<p>Assistance for vocational training, career change and job seeking:</p> <p>a. Entitled to allowance for vocational trainings career change and job seeking in cash not exceed 1.5 times of the affected land value.</p> <p>b. If the AP has demand for training, he/she will be entitled to participate in a training course for free.</p>	Do not apply assistance for vocational training, career change and job seeking; due to (i) households do not use land for agricultural production; (ii) Households build houses on agricultural land so Vung Tau PPC applied rate of agricultural land equal to 60% of adjacent residential land;
IV.5. Assistance for rehabilitation and production					
	Assistance to restore livelihoods and incomes following acquisition of land or other productive assets		Severely affected households, displaced from housing or losing 10 percent or more of their productive, income generating irrespective of tenure status.	<p>Affected households directly cultivate on the affected land to be entitled:</p> <p>(i) Losing from 10 to 30% of land holding: Cash assistance equal to 30 kg of rice (valued at market price) per month per household member for 3 months, if not relocating; for 6 months if relocating; and for 12 months if relocating in a harsh living condition area;</p> <p>(ii) Losing more than 30% to 70% of total land holding. Cash assistance equal to 30 kg of rice (valued at market price) per month per household member for 6 months, if not relocating; for 12 months if relocating; and for 24 months if relocating in a harsh living condition area;</p> <p>(iii) Losing more than 70% of total land holding Cash assistance equal to 30 kg of rice (valued at market price) per month per household member for 12 months, if not relocating; for 24 months if relocating; and for 36 months if relocating in a harsh living condition area.</p>	Do not apply due to there are no households directly engaged in agricultural production on affected agriculture land.

No	Type of Impacts	Level of Impacts	Entitled persons	Compensation Policy	Implementation Issues
IV.9. Assistances for the Vulnerable Households					
		Subject to relocation or productive lands are acquired	Vulnerable households (social policy beneficiaries, poor households, single women-headed households, single elderly households)	<p>1. Households that have Vietnamese Heroic Mother, war invalid, sick soldier, martyr's household, whose productive lands or residential lands are fully acquired, will be entitled to cash assistance equivalent to VND 10,000,000.</p> <p>2. Households that have Vietnamese Heroic Mother, war invalid, sick soldier, or martyr's household, engaged in small business but their businesses are affected and residential land is fully acquired will be entitled to the same amount prescribed above.</p> <p>3. Poor households, ethnic minority households, single women-headed households (with confirmations from the Ward PC) directly engaged in agricultural activities, but their agricultural lands and residential lands are fully acquired; or engaged in small businesses but their businesses are affected and their residential lands are fully acquired, shall be entitled to cash assistance equivalent to VND 5,000,000/household.</p> <p>In case one household meets more than one vulnerability criteria, the household is entitled to receive both or all assistances.</p>	Do not apply due to there are no vulnerable households as defined in RP.