

# Involuntary Resettlement Monitoring Report

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# 2 Quarterly Report  
July 2013

VIE: University of Science and Technology of Ha Noi  
Development (New Model University) Project

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## **INDEPENDENT RESETTLEMENT REVIEW REPORT NO. 2**

REPORTING PERIOD: FIRST QUARTER 2013

**Prepared by Independent Resettlement Review Specialist**

*July 2013*

## ABBREVIATIONS

ADB	- Asian Development Bank
AH	- Affected Household
AP	- Affected Person
CPC	- City Peoples' Committee
DBCAR	- District Board of Compensation, Assistance and Resettlement
Ha Noi CPC	- Ha Noi People Committee
HHTP	- Hoa Lac High Tech Park
HHTP-MB	- Management Board of Hoa Lac High Tech Park
Tan Xa CPC	- Tan Xa Commune People's Committee
PMU-USTH	- Project Management Unit for USTH
DMS	- Detailed Measurement Survey
GOV	- Government of Vietnam
IRR	- Independent Resettlement Review
IOL	- Inventory of Losses
LURC	- Land Use Rights Certificate
MOET	- Ministry of Education and Training
MPI	- Ministry of Planning and Investment
MOF	- Ministry of Finance
MOST	- Ministry of Science and Technology
QD	- Decision
RCS	- Replacement Cost Study
RP	- Resettlement Plan
SES	- Socio-Economic Survey
STC	- Department of Finance
Thach That DPC	- Thach That District People's Committee
TNMT	- Natural Resource and Environment
UBND	- People Committee
USD	- US dollar
USTH	- University of Science and Technology of Hanoi
VND	- Vietnamese dong

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## I. Introduction

1. The University of Science and Technology of Hanoi Development (USTH) (New Model University Project) is funded by the Asian Development Bank (ADB) with the total budget of USD 210 million, plus USD 3 million for land clearance to be paid by the Vietnamese Government. The Project aims to: (i) set up and pilot a new policy framework for the governance, financing and quality assurance of new-model research universities; (ii) establish and develop one universities as centers of research and teaching excellence that create dynamic synergies between research and teaching by strengthening university-industry linkages in science and technology fields, as well as by presenting a "public-private partnership model" of higher education/research institutions. The tentative duration for project implementation is 05 years (2011 – 2017). The Project has four outputs as follows:

- Output A: an effective management and governance system for the USTH will be developed and implemented
- Output B: systems to promote high-quality and relevant academic programs at the USTH will be developed and implemented
- Output C: physical facilities at the USTH will be constructed and outfitted.
- Output D: effective project management and implementation.

2. The Project shall be located in Hoa Lac High Tech Park (HHTP)<sup>1</sup> with the total area of 65 ha. Implementation of Output C shall result in the involuntary resettlement through loss of land and assets of households, utilities and organizations at: (i) area of 65 hectare [26 hectare at Tan Xa and 39 hectare at Thach Hoa commune which is currently managed by the 02 military units]; and (ii) the land of 52.7 hectare at Binh Yen commune which is allocated as a relocation site for 02 military units losing 39 ha at Thach Hoa commune.

3. Independent resettlement review (IRR) aims to ensure objectives, policies and principles on social safeguard as well as entitlements of affected persons (APs). IRR is being implemented on quarterly base, commencing from December 2012 which shall provide the ADB, MOET, PMU-USTH and stakeholders independent review and assessment of: (i) achievement of resettlement objectives; (ii) changes in living standards and livelihoods of affected people; (iii) restoration and/or improvement of the economic and social base of the affected people; (iv) effectiveness and sustainability of entitlements; and (v) the need for further mitigation measures of project adverse impacts

4. The IRR Report No. 1 (final version) was prepared and submitted to ADB, MOET, PMU-USTH in June 2013.

5. The IRR Report No. 2 (1<sup>st</sup> Quarter 2013) will focus on reviewing and evaluating results of RP implementation in 1<sup>st</sup> Quarter 2013 at sites of 65 hectare, 52.7 hectare and relocation site of 30.5 hectare. The IRR Report No. 2 includes parts as follows:

- Part I: Introduction
- Part II: Approach and Implementation Arrangement
- Part III: Findings and Evaluation
- Part IV: Recommendations

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<sup>1</sup> HHTP has total land area of 1,432 ha, incl. land of communes of: Thach Hoa; Tan Xa; Ha Bang; Dong Truc of Thach That Dist., and Phu Cat of Quoc Oai Dist., Ha Noi City.

## II. Approach and Implementation

### A. Approach

6. As per the RP (November 2010), scope of resettlement impacts caused by the USTH Project is within the area of 26 hectare at Tan Xa Commune, but did not cover impacts caused by the acquisition of 39 hectare which is currently used by the 02 military units. HHTP-MB, Hanoi PPC and General Command of Artillery decided new relocation site of 52.7 hectare for 02 military units at Binh Yen Commune, Thach That District, Ha Noi City.

7. As per ADB's Safeguard Policies (SPS 2009) – Involuntary Resettlement, resettlement impacts caused by the USTH Project shall cover resettlement impacts on the land of 65 hectare and 52.7 hectare which was already mentioned in MoU between ADB Review Mission and PMU-USTH in Hanoi on 20-28 May 2013. Therefore, IRR No.2 will review results of resettlement implementation at the sites of: (i) area of 65 hectare and (ii) area of 52.7 hectare; and (iii) relocation site of 36.04 hectare.

8. Details of the methods used by IRR Specialist for the Second IRR are as follows:

- a. Data collection and information study, analysis: Data, information to be collected and studied including: (i) RP; (ii) reports, RP implementation documents of HHTP-MB; (iii) documents provided by Thach That DBCAR (decisions, compensation, DMS; (iv) concerned legal document of land acquisition of Vietnam and Hanoi CPC;
- b. Household survey targeted at 100% of severe AHs by household questionnaires in order to collect information on demography, socio-economic of AHs, consultation activities, compensation and payment; grievance redressing.
- c. In-depth interviews with key persons of PMU-USTH; HHTP-MB; Thach That DBCAR; Tan Xa and Binh Yen CPC.
- d. 02 group discussions were conducted with AHs for collecting necessary information on RP implementation. Each group discussion included from 12-15 persons, of which 50% participant is female to ensure gender equity in monitoring and evaluation process
- e. Site inspections was conducted at: Project area 65 hectare (Tan Xa and Thach Hoa); 52,7 hectare area (Binh Yen), 36.04 hectare area (Binh Yen).

### B. Implementation

9. The review on the implementation of RP during the 1<sup>st</sup> Quarter 2013 was conducted by IRR Specialist with effective supports from PMU-USTH, HHTP-MB, Thach That DBCAR via providing documents (list of AHs, DMS, compensation policies, etc.) and arranging in-depth interviews, group discussions.

10. Questionnaire which was used during the First IRR was adjusted and supplemented making it more relevant to AHs' characteristics on awareness and socio-economic status and other relevant features as a results of resettlement. Details are given at Appendix 4.

11. Those following activities were conducted by Specialist. Synthesis of all activities done by Specialist for RR – No. will be presented in Table 1.

- *Questionnaire*: All AHs caused by the USTH Project will lose over 10% of their agricultural and forest land and some need to be relocated. Therefore, survey has been carried out at 100% (n=168) of severe AHs. Of which, 51 (100%) AHs are now living at the area of 26 hectare have got compensation and 117 (100%) of remarkable AHs in 52,7 hectare. Questionnaire based survey at Tan Xa commune mainly focused on studying issues on living standard, possibility of income recovery, and training and job link for AHs which got compensation and gave land in return.

- 01 group discussion was conducted at Tan Xa commune (including 12 people: 7 men and 5 women) and 01 group discussion occurred at Binh Yen commune (including 15 people: 8 men and 7 women). Results of group discussion will be mentioned in Appendix 3.
- In-depth interviews with key officers of PMU-USTH, Thach That DBCAR, Tan Xa CPC, Thai Binh CPC, and HHTP-MB
- Site inspections.
- Information collected from questionnaire, group discussion and interviews with key people was processed, compared and analyzed to make objective and true conclusions on RP implementation for Project.

**Table 1: Synthesis of activities done during Resettlement Review No.2**

No	Activities	Time
1	Collecting documents	15-30/4/2013
2	Studying documents	25/4-5/5/2013
3	Reviewing and adjusting questionnaire for AHs	1-5/5/2013
4	Conducting survey	5-30/5/2013
5	Conducting group discussion	5-6/5/2013
6	Interviewing key officers concerned	6-8/5/2013
7	Site inspection	5/5/2013
8	Studying, analyzing and processing collected information	5-29/5/2013
9	Writing report	1-15/6/2013

Source: IRR Report, June 2013

### **C. Constraints and Measures**

12. IRR Specialist received active and effective supports from PMU-USTH; HHTP-MB, Thach That DBCAR, CPCs of Tan Xa and Binh Yen through provision of documents, datas on progress of resettlement implementation; organization of interviews and group discussions. However, during the independent review, there have been a number of constrains, difficulties were found and measures to overcome these difficulties are as follows:

- RP has been updated and expected to finish by Quarter 2/ 2013, hence resettlement review was conducted on the basis of RP, ADB's Safeguard Policies (SPS 2009); current policies on compensation for land clearance promulgated by Vietnam and Hanoi City and actual resettlement implementation.
- Information and documents relating to land acquisition for the USTH Project and the 02 military units provided by Thach That DBCAR are huge and complicated due to not-yet-coded and categorized system. As a result, IRR Specialist coped with difficulties in analyzing, processing information and writing report. The IRR Specialist worked closely with officers of Thach That DPC, Tan Xa and Binh Yen CPCs to refine, categorize, and identify specifically AHs and make a full list of affected assets owned by all households.

**Figure 1: Locations of all areas affected by Project, including: USTH (1); Relocation site of 52,7 hectare – Binh Yen Commune for 02 military units (2); Relocation site of AHs (3)**



**Table 2: Scope and Level of Resettlement Impact of USTH Project**

No.	Description	Unit	Q'ty
<b>A</b>	<b>USTH Site</b>	<b>ha</b>	<b>65</b>
<b>1</b>	<b>Land at Tan Xa Commune</b>	<b>ha</b>	<b>26</b>
1.1	Land acquired in 2008	ha	5,3
1.2	Pond and Lake under Management of Tan Xa CPC	hectare	3,6
1.3	AHs' land ha of	ha	17,3
1.3.1	Affected land	ha (hh)	17,3 (90)
	- Rice Field	ha (hh)	3,2 (89)
	- Crop Land	ha (hh)	2,05 (43)
	- Forestation Land	ha (hh)	10,1 (08)
	- Garden Land	ha (hh)	1,58 (07)
	- Residential	ha (hh)	0,35 (02)
1.3.2	Affected trees and crops		
	- Area of rice field	ha (hh)	3,2 (89)
	- Area of cassava and potatoes	ha (hh)	2,05 (43)
	- Area of eucalyptus	ha (hh)	10,1 (08)
	- Vegetable plant	ha (hh)	1,58 (07)
1.3.3	Affected house and structure	ha (hh)	*
1.3.4	Affected business	VND (hh)	0
<b>2</b>	<b>Land used by 02 military units**</b>	<b>ha</b>	<b>39</b>
<b>B</b>	<b>(relocation site for 02 military units)</b>	<b>ha</b>	<b>52,7</b>
3	Number of acquired land plots	plot (ha)	468 (52,7)

Source: Thach That DBCAR, May, 2013

Note: \* not yet identified as DMS is not available, \*\* = information on loss of 02 military units excluded in scope of IRR; \*\*\* By March, 2013, 27,83 of agricultural land and land managed by Binh Yen CPC has been finished and DMS of the whole area of 52,7 hectare to be finished by Quarter 2-3/2013.

## **B. Resettlement Plan- Implementation Progress**

14. As per the RP, the total 65 hectare of land at Tan Xa and Thach Hoa communes will be acquired and handed over to HHTP-MB in December, 2012.

15. During the 1<sup>st</sup> Quarter 2013, thanks to active and effective instruction made by Office of Government, MOST, MOET, Hanoi CPC and Thach That DPC, Thach That DBCAR, the following activities were implemented and fulfilled. (Note: By the time of development IRR Report No. 2, July 2013, resettlement progress has been improved remarkably, specifically, (i) for the area of 26 hectare (DMS for the total 26 hectare was completed. Of which 22.5 hectare was acquired); for the land of 52.7 hectare (DMS of 52.7 hectare was completed and 18.9 hectare of land was acquired); (ii) HHTP-MB handed over the land of 13.6 hectare to PMU-USTH; (iii) 02 military units allowed PMU-USTH to carry out activities, including: construction of boundary fence; on-site temporary office; land demining on site of 39 hectare which help to speed up the USTH Project implementation. Details of resettlement progress will be mentioned in IRR Report No. 3 for 2<sup>nd</sup> Quarter 2013):

- Conducted activities of: community consultations; DMS, verifying; appraising and development of plans on compensation, support and land acquisition for AHs who are now living at area of 52,7 hectare. DMS of 15,8 hectare has been completed in 1<sup>st</sup> Quarter 2013.
- Prepared necessary arrangements for handing over 13,6 hectare of clear land to HHTP – MB during 2<sup>nd</sup> Quarter 2013 to PMU-USTH.
- Developed cost estimation for compensating the remaining AHs at the 26 hectare and 52.7 hectare area and prepared request for budget allocation to HHTP-MB so that compensation can be paid to AHs during the 2<sup>nd</sup>-3<sup>rd</sup> Quarter 2013.

- Participated in meetings held by HHTP-MB, Thach That DPC, Hanoi CBCAR on topics of: (i) effective interventions for the payment of compensation and support to AHs at the 26 hectare and 53,7 hectare areas; and (ii) finding measures for settlement of difficulties in recovering the 39 hectare area used by 02 military units and relocating them to the new site, the 52,7 hectare area.

16. As such, by March 2013, the Thach That DCARB completed the compensation and recovering total area of 23.83 hectare, counting for 20.2% of the total area needed (117.7 hectare). Expectedly, payment of compensation to AHs and land recovery for the USTH Project will be implemented more effectively during the period from 2<sup>nd</sup> – 3<sup>rd</sup> Quarter 2013.

### **C. Public consultation and awareness of resettlement policy and entitlements of Affected People (APs)**

17. *26 hectare Area – Tan Xa commune:* During 1<sup>st</sup> Quarter 2013, there was no additional DMS and compensation payment delivered to AHs at Tan Xa commune. Public consultation and awareness raising on resettlement policies as well as entitlements was carried out in the area of 26 hectare following requirements of Project (details are given in IRR Report No.1)

18. *52,7 hectare Area – Binh Yen commune:* Results from group discussions and interviews with key officers of Thach That DBCAR, HHTP-MB, PMU-USTH, Binh Yen CPC showed that, public consultation and awareness raising on resettlement policies as well as entitlements was in line with Project requirements. These activities were conducted in forms of: (i) establishment of panel disseminating information of HHTP Project and projects within HHTP site; (ii) organized public consultation at HHTP-MB, Binh Yen CPC, Thach That DBCAR; (iii) provision of information on policies, resettlement entitlements to APs.

19. Results of household survey on AHs at Binh Yen commune showed that, during in 2012, 100% (n=117) AHs had participated at the following activities:

- Public meetings at CPC to obtain information on land acquisition of the 02 military units. AHs attended at least 02 public consultation meetings (before and after DMS).
- Be provided with information on compensation, support and resettlement policies of Vietnam.
- Participated at DMS; checked and signed on DMS paper.
- Approached compensation and support policies prepared by Thach That DBCAR for consideration before actions. AHs were clearly explained of compensation and resettlement policies, calculation of compensation amount.

20. In addition, as recommended by ADB on the application of the resettlement policies of the USTH Project to the 52,7 hectare area, during the 1st Quarter 2013, Thach That DBCAR and Binh Yen CPC organized further propaganda and consultation activities on in RP to all AHs. 100% (n=117) AHs at 52,7 hectare area said that, they well understood about the concerned contents and find it suitable with Viet Nam policies on resettlements.

### **D. DMS of affected assets and compensation payments as per policies in the approved RP**

#### ***DMS of affected assets***

21. *Area of 26 hectare – Tan Xa commune:* During 1st Quarter 2013, there was no DMS of affected assets carried out in this area.

22. *Area of 52,7 hectare – Binh Yen commune:* Survey results showed that DMS of affected assets is conducted by Thach That DBCAR in accordance with requirements stated in RP. This activity was done by Task Force of Thach That DBCAR. Details are given as follows:

- AHs are requested to fill the form with information of: household member; affected assets (affected land; asset on affected land; trees and crops) and submit the completed form to Thach That DBCAR for consideration and verification.

- Thach That DBCAR verify, cross-check information provided by the households and document kept by CPCs of Tan Xa and Binh Yen; Thach That Division of Natural Material and Environment
  - Based on the verification of AHs' forms, Task Teams of Thach That DBCAR implemented detail measurement of AHs' assets and properties to have additional verification of current asset status, assets (land; asset on land; trees, crops) and agree with AHs on affected assets. Minutes of DMSs were prepared and signed by and between AHs' representatives and members of Task Team.
23. Survey results show that 100% respondents (n=117) were satisfied with DMS procedures and results provided by Thach That DBCAR.

***Compensation payments as per policies in the approved RP***

24. During 1<sup>st</sup> Quarter 2013, there was no compensation activity done at sites of 26 hectare and 52,7 hectare. Compensation payment to AHs at site of 26 hectare made in 2008 was viewed to be satisfying with requirements of Project (for details, please see IRR report – No.1). Therefore, this report will evaluate the current status of compensation made to AHs at site of 52,7 hectare in 2012.

25. In 2012, Thach That DBCAR made compensation payment to AHs which lost agricultural land, annual plants. Payment was made following policies and regulations of Vietnam and Hanoi CPC on compensation and land recovery, as follows:

- Decision No. 108/2009/QĐ-UBND (Decision 108) dated 29 September 2009 by Ha Noi CPC on compensation, assistance and resettlement due to land recovery by the State in Ha Noi City.
- Document No. 11056/UBND-TNMT dated 16 November 2009 by Ha Noi CPC
- Document No. 3081/UBND-TNMT dated 27 April 2011 by Ha Noi CPC
- Document No. 7331/UBND-TNMT dated 31 August 2011 by Ha Noi CPC on supplementary of regulations on compensation, assistance and resettlement to settle issues, constraints arisen at Thach That District.
- Document No. 6323/STC-BG (Document No. 6323) dated 29 December 2011 by Ha Noi DOF on unit rate for compensation, assistance for trees, crops etc on land for land recovery in Ha Noi City in 2012.
- Decision No. 50/2011/QĐ-UBND (Decision No. 50) dated 30 Dec. 2011 by Ha Noi CPC issuing land prices in Ha Noi City (2012).

26. As per above regulations, compensation rate for agricultural land is 105.000 VND/m<sup>2</sup>. Details of compensation are shown at Decision 108 and Annexes: policies on compensation, assistance and resettlement applied for some projects implemented at Thach That District. Belows are samples of a number of compensation rate for lands in 2012:

**Table 3: Summary of compensation rates (2012)**

No.	Type of assets	Unit	Unit price (VND)
1	Agricultural land	m <sup>2</sup>	105.000
2	Agricultural land for annual crop	m <sup>2</sup>	98.000
3	Compensation rate for rice	m <sup>2</sup>	7.000

Source: Thach That DBCAR, May 2013

27. In 2012, there was no trading of agricultural and forestry land in site of 52,7 hectare because agricultural land transaction is not allowed by Vietnam regulations. Survey of replacement rate for agricultural and forestry land and crops within the Project zone is conducted basing on calculation of average income within 03 recent years of the Project land and interview of AHs on compensation rate

as per their expectations. The survey results show that 100% of AHs (n=117) were satisfied with compensation rate they received

28. As per findings from replacement cost survey, interview of households and key officers, DMS and compensation payment at site of 52,7 hectare were undertaken in compliance with RP requirements.

#### **E. Coordination of resettlement activities with construction schedules**

29. In 1st Quarter 2013, there was no construction activity started in acquired land. Expectedly, construction activities including boundary fence, on-site temporary office will be undertaken with permission from HHTP-MB

#### **F. Land acquisition and transfer procedures**

30. In general, the implementation of land acquisition and procedures at sites of 26 hectare and 52,7 hectare was made in compliance with requirements of ADB, Vietnam and Hanoi CPC (for details, please see Decision No. 108). Details are belows:

- Land recovery notification is sent to AHs.
- Establishment of committee on compensation and land recovery; task teams
- Approve and allocate fund for compensation, assistance and resettlement
- Prepare, approve detail plan on land recovery; verification of cost estimate for the implementation of compensation, assistance and resettlement
- Declaration of affected assets; detail measurements of actual affected asset and verification of declaration.
- Develop, public consultation of plan on compensation, assistance and resettlement
- Finalise and verify detailed plan on compensation, assistance, resettlement
- Issue decision on land recovery, approve plan on compensation, assistance and resettlement; public statement of plan and deliver notice on payment of compensation and hand over of land
- Making payment of compensation, assistance and arrangement of resettlement land to AHs.
- Hand over recovered land as per decision by Thach That DPC.

31. Once Thach That DBCAR completed the payment of compensation and recovery of land from AHs. Thach That DPC would hand over land to HHTP-MB who would forward it to PMU-USTH.

#### **G. Construction/rebuilding of replacement houses and structures on residual land or relocation to new sites**

32. So far, compensation has been made to AH losing agricultural and it is acknowledged that no construction/re-building of replacement houses and structures on residential land or relocation to new sites have been made by the time of IRR, thus the issue will be reviewed during the following IRR.

#### **H. Level of satisfaction of APs with the provisions and implementation of the RP**

33. *Area of 26 hectare – Tan Xa commune:* In Quarter 1/2013, there was no compensation payment made to the rest of AHs in this site.

34. *Area of 52,5 hectare – Binh Yen commune:* Results of household survey showed that AHs are satisfied with the provision of implementation of compensation, details are given belows:

- 100% (n=117) of AHs said that they knew the Project's information, regulations as well as policies on compensation
- 100% (n=117) of AHs who received compensation and resettlement assistance are satisfied with declaration and DMS conducted by Thach That DBCAR. Some questions of AHs was

responded by Thach That DBCAR, Binh Yen commune and adjustments were made to be relevant to requirements of Vietnam and policies of ADB.

- 100% (n=117) of AHs who received compensation and resettlement assistance are satisfied with compensation rate and resettlement assistance made in 2012 because the applied rate was equivalent to market price. Additional to compensation by cash, AHs can take part at vocational training, job introductions.

#### **I. Grievance redress (documentation, process, resolution and satisfaction of APs)**

35. *Area of 26 hectare – Tan Xa commune:* In Quarter 1/2013, there was no DMS and no compensation payment made to the rest of AHs in this site

36. *Area of 52,7 hectare – Binh Yen commune:* Household survey and key informant interviews with key officers Thach That DBCAR and Binh Yen CPC showed the similar situations with what happened to AHs in area of 26 hectare at Tan Xa Commune. Of 117 respondents (100% households received compensation payment in 2012), only 12 AHs made claims to Binh Yen CPC and Thach That DBCAR about resettlement activities, including: DMS [5 households]; legal status of affected assets [3 households] and compensation price rate [1 household]. All AHs' claims were appropriately settled by the Thach That DBCAR and Binh Yen CPC and all claimed AHs were satisfied with settlement of the Thach That DBCAR and Binh Yen CPC.

#### **J. Effectiveness and sustainability of entitlements and income rehabilitation measures for APs**

37. Entitlements and income rehabilitation for AHs at Tan Xa and Binh Yen commune include cash assistance, provision of vocational training, and job introduction to workers. In 2011 and 2012, Tan Xa and Binh Yen CPCs held 06 vocational courses for workers (03 at Tan Xa and 03 at Binh Yen) for 250 people in total. Training subjects provided at the training courses, include: machine sewing; bamboo and rattan; civil works, mechanics; carpenter, etc. As per comments of key members of Tan Xa CPC and Thach That DBCAR, contents and curriculum of the provided training courses are suitable with demands of the market and workers' capability

38. Besides, Tan Xa CPC and Binh Yen CPC also cooperates with enterprises within the area (Binh Yen Garment Company and other enterprises which are located at communes of Huu Bang and Chang Son) to recruit and introduce job to APs, helping them to gradually restore and stabilize their livelihood.

39. Results from household survey at Tan Xa and Binh Yen commune showed that, 151 AHs (89,9%) out of the total of 168 surveyed AHs (51 at Tan Xa and 117 at Binh Yen) said that they are confident in livelihood restoration; 03 households (1.7%) had no idea; and 14 households (8.3%) expressed their requests to Binh Yen and Tan Xa CPCs and Thach That DPC to strengthen the provision of vocational trainings and job introduction to APs, especially those who are over 35 year old.

#### **K. Gender impacts and strategy**

40. It was realised that almost all female APs will be suffered more than male APs in seeking job, livelihood rehabilitation, etc.

41. Compensation and land acquisition was made by the end of 2012, CPCs of Tan Xa and Binh Yen are surveying and assessing demands for type of supports which are appropriate for female APs so as to develop necessary assistance plan for female APs. Expectedly, assistance to female APs will be provided during 2<sup>nd</sup>-4<sup>th</sup> Quarter 2013 and the year 2014. Therefore, assessment of gender impacts and strategy shall be provided in the coming IRRs.

#### **L. Capacity of APs to restore/re-establish livelihoods and living standards. Special attention provided or to be provided to severely affected and vulnerable households**

42. Survey of 168 AHs (51 AHs at Tan Xa Commune and 117 AHs at Binh Yen) who received compensation and handed over agricultural land, showed that those AHs are severely affected (lost of more than 10% of agricultural land). However, all acquired lands are low quality and only used for planting low-productivity crops therefore AHs' income from agricultural activities is not sufficient. Almost all AHs' main income is generated from small business, small scale trading activities or services, etc. As results, with compensation paid by the Thach That DCARB, AHs can invest a certain amount of received money to their current small business or put in to banks to earn banking interest, etc. All these activities can help them, step by step, stabilize their living conditions.

43. This is confirmed via results on the improvement in AHs main income compared to that of prior compensation (in 2012). Percentage of AHs with main income from small business, handicraft, small shop and value added services increases 5.9% (Tan Xa) and 3.4% (Binh Yen) than before compensation payment. Xx will present main income sources of AHs at Tan Xa and Binh Yen.

**Table 4: Changes in main income sources from handicraft, business, shop of AHs before and after compensation payment.**

Locations	Main income sources from handicraft, business, shop (household [%])		
	December 2012	March 2013	Different
Area of 26 hectare at Tan Xa commune (n=51)	36 [70,6%]	39 [76,5%]	3 [+5,9%]
Area 52,7 hectare at Binh Yen commune (n=117)	69 [59%]	73 [62,4%]	4 [+3,4%]

Source: AHs survey – IRR No. 2

44. Labors, Invalids and Social Affairs Division showed that capacity of APs to restore/re-establish livelihood is rather concrete due to the following reasons:

- Tan Xa and Binh Yen Communes are located closely with traditional villages (carpenter, bamboo and rattan, garment) and small-scale industry enterprises such as Binh Yen Garment Company; wood manufacturing and trading in commune of Chang Son and Huu Bang;
- Tan Xa and Binh Yen Communes location are to about 30 km far from Hanoi Centre which requires a large number of workers and therefore there will be big opportunities for APs .
- Thach That CPC, especially Thach That Labors, Invalids and Social Affairs Division are developing necessary programs including training courses and job introductions to help USTH APs to restore their livelihood

#### **M. Resettlement impacts caused during construction activities**

45. Till now, construction activities have not been started yet so that their impacts have not been fully identified. This will be studied and presented in the following IRR Reports.

#### **N. Participation of APs in RP planning, updating and implementation**

46. Household survey showed that all APs participated in RP preparation and RP update via responding to household surveys, focus group discussion, public consultation, etc. During public consultations, all APs were provided with information and consulted on the project's resettlement policies, grievance procedures and redressing and entitlements of APs

47. Household survey of 100% surveyed AHs (n=168) showed that they were informed about DMS and options on compensation and assistance and they are free in selecting options which are most appropriate for AHs. In case of grievance, APs have right to make grievance to higher level authority for solutions and legal assistance, etc.

#### **O. Institutional capacity, internal monitoring and reporting**

48. RP has been implemented with participation of concerned parties of: MOET, HHTP-MB, Thach That DPC, Thach That DBCAR, Hanoi CBCAR. These agencies are acknowledged to be capable enough to carry out RPs (for details, please refer to IRR Report No.1). These agencies

advised GoV and Hanoi CPC to issue typical policy mechanism aiming at accelerating resettlement progress for Project.

49. In addition, during Quarter 1/2013, PMU-USTH recruited 01 specialist (Mr. Truong Hoang Nam) who is in charge of internal monitoring of RP implementation.

50. Internal Monitoring Report No. 1 – reporting period of the 1<sup>st</sup>Quarter 2013 was prepared and submitted PMU-USTH by end of April. Basically, this Report presented internal monitoring indicators as required in RP including: (i) compensation paid to AHs as Project policies; (ii) implementation and fulfillment of all resettlement activities and start up of construction activities; (iii) consultation and information dissemination and consultation process; (iv) process of grievance redress; (v) information on fund source and fund allocation for resettlement activities.

**P. Source and flow of funds for compensation payments and allowances for APs or displaced APs**

51. Budget for compensation payment and assistance for APs by HHTP Project (including USTH) is allocated from Stage Budget and given to Thach That DBCAR. During 1<sup>st</sup>Quarter 2013, HHTP-MB prioritized the allocated budget for the implementation of DMS, verification of affected lands and assets and for the preparation of relocating the 02 military units.

52. HHTP-MB developed budget plan and submitted it to MPI, MOF with total amount of 242 million VND (equivalent to 12,000 USD) to make compensation and assistance payment for AHs at areas of 26 hectare and 52.7 hectare.

**Q. Replacement cost**

53. *Area of 26 hectare – Tan Xa commune:* In 1<sup>st</sup>Quarter 2013, Thach That DBCAR made no compensation payment to AHs.

54. *Area of 52.7 hectare – Binh Yen:* In 1<sup>st</sup>Quarter 2013, Thach That DBCAR made no compensation payment to AHs. Hence, the IRR specialist review the replacement cost applied to those AHs received compensation and supports in 2012

55. Compensation rate for loss of land of AHs in 2012 was based on Decision No. 50. Compensation price for trees, crops was based on Paper No. 6323. So far, compensation payment and assistance was only made to AHs losing agricultural land, land for cassava, forestry land; but not for loss of residential land, housing and structures.

56. Survey of replacement cost for agricultural and forestry land in USTH site shows that there is minor difference between rate on agricultural land at Tan Xa and Binh Yen communes and rate applied in 2012 by Hanoi CPC, as follows:

**Table 5: Replacement Cost Survey**

No.	Type of assets	Unit	Unit Price by Hanoi CPC in 2012 (VND)	Replacement Cost (VND)
1	Agricultural land	VND/m <sup>2</sup>	105,000	103,000-106,000
2	Agricultural land for annual crop	VND/m <sup>2</sup>	98,000	96,000-98,500
3	Compensation rate for rice	VND/m <sup>2</sup>	7,000	6,700-7,300

Source: Replacement cost survey – IRR Specialist (May 2013)

57. With such small difference between unit price as regulated by Hanoi CPC and replacement costs, application of unit price as specified at the Decision 50 by Hanoi CPC is totally suitable with policies of USTH and ADB.

**R. Arrangement of resettlement area**

58. One (01) resettlement area which is located next to Road No 84 at Binh Yen Commune with area of 36,04 hectare has been developed for relocation of AHs by projects within the HHTP area.

This resettlement area was equipped with infrastructure such as internal roads; basic water supply; drainage and basic electricity;

59. This resettlement area is about 1,0-1,5 km far from the acquired area. Currently, internal roads, infrastructure connecting with the surrounding area have been basically completed so that living and commuting of people is convenient. Particularly, this area is equipped with social utilities (kindergarten, primary school, market and hospital).



## **IV. Recommendations**

### **A. Public consultation and information**

60. Public Consultation and Information Teams established by Thach That DPC need to maintain and improve public disclosure and consultation of policies of compensation, assistance, resettlement, land clearance of USTH to AHs at Tan Xa and Binh Yen Communes especially the remaining AHs at Binh Yen Commune who have not receive compensation and supports.

### **B. Implementation arrangement**

61. Thach That DBCAR needs to provide accurate and timely information to PMU-USTH for necessary supervision and monitor of the RP implementation.

62. To enhance effectiveness of livelihood restoration and living stability program for AHs, it is essential to assign 01 unit within Thach That DPC (i.e. Vocational Training Center of Thach That District) as focal point for developing plan and arranging implementation of vocational training program and job introductionsto AHs.

### **C. Income rehabilitation**

63. Hanoi CPC should consider to conduct monitoring activities or supports in order to ensure that restoration programs provided to AHs at Tan Xa and Binh Yen Communes, especially those losing more than 10% of agricultural land and vulnerable groups such as female headed households or households with disabled persons.

64. Thach That DPC and CPCs of Tan Xa and Binh Yen need to have adequate intervention to ensure that vocational training courses and job introduction are effective and suitable with capability and knowledge of local workers. Activities include:

- i. Survey of labour market and evaluation on labour demands and recruitment of potential units;
- ii. On a monthly and quarterly basis, carrying out survey and monitoring of results created by assistance, vocational training and job introduction.

65. Working with relevant agencies including Women's Union of Hanoi city, and of neighbouring districts to develop suitable measures for supporting female AHs, especially those over 35 year old.

### **D. Preparation activities**

66. PMU-USTH needs to accelerate preparation activities, including: topography, geology survey, boundary fence, land mining, campus planning and designing once obtaining decisions on land hand-over of HHTP.

### **E. Internal monitoring**

67. PMU-USTH's internal monitoring consultant needs to regularly monitor and report to PMU-USTH on implementation of resettlement process done by those concerned including HHTP-MB, Thach That DBCAR, CPCs of Tan Xa and Binh Yen, which will help improving the progress of resettlement activities at sites of 26 hectare and 52.7 hectare and relocation of the 02 military units.

68. As required, internal monitoring consultant has to produce quarterly reports to PMU-USTH. Quarterly internal monitoring reports need to highlight information on: cost, budget; plan and outcomes, effectiveness of livelihood restoration assistance and gender impacts and strategy for Project.

### **F. Gender issues and gender action plan**

69. Thach That DPC and CPCs of Tan Xa and Binh Yeen need to continue vocational training course (bamboo, rattan, machine sewing...) and job introduction for APs, especially female AHs aged over 35 years.

70. Information on gender issues and gender action strategy needs to be viewed as an indicator for internal monitoring review and included in internal monitoring review report.

**G. Coordination with construction activities**

71. Preparation activities for the USTH Project should be ready for commencement of construction works once taking over clean land from HHTP-MB.

72. PMU-USTH needs to have measures ensuring the commencement of construction works on cleared land only when are AHs paid with compensation and provided with assistance.

**H. Budget allocation**

73. HHTP-MB needs to closely coordinate with concerned ministries and local authorities to ensure that budget for compensation, assistance and resettlement will be fully allocated and timely as required by Quarter 2 and 3/2013.

## **Annex 1: List of persons met by IRR Specialist**

### **1. PMU-USTH**

- Mr.Nguyen Van Ngu-Director, PMU-USTH
- Mr.Nguyen Quoc Huy – Deputy Director, PMU-USTH

### **2. HHTP-MB**

- Mr.Nguyen Duy Thang – DeputyHead of Land Management Unit
- Mr. Chu SyHuan –Staff of Planning Division

### **3. Thach That DBCAR**

- Mr. Cấn Van Lai –Head of the Thach That DBCAR
- Mr.Nguyen Truong Giang – Deputy head of Thach That DBCAR

### **4. Tan Xa Commune**

- Mr.Le Van Bac–Chairman of Tan Xa CPC
- Mr. Le Van Loi –Land management officer - Tan Xa CPC
- Mr. Lê Hong Minh –Head of Commune 1 - Tan Xa CPC

### **5. Binh Yen Commune**

- Mr. Le Van Mao – Chairman of Binh Yen CPC
- Mr. Nguyen Xuan Huong – Cadastral Officer
- Mr. Ngo Van Ngot – Head of Thai Binh Village

**Annex 1: List of AHs at Tan Xa Commune (12/2012)**

No.	Code	Name of Household Head	Page	Plot	Total area	Paid Area	Types of land				
							Rice	Crop	Residential	Forestry	Garden
I	Households received compensation (2012)										
1	TX-001-1	Dương Tiến Chức	12	155	324.6	324.6	324.6				
2	TX-002-1	Nguyễn Đình Nghi	12	172	262.6	262.6	262.6				
3	TX-003	Nguyễn Đình VT (Sự)	12	115	304.4	304.4	304.4				
4	TX-004-1	Dương Tiến Thành	12	117	281.2	281.2	281.2				
5	TX-004-2	Dương Tiến Thành	12	170	563.1	563.1	563.1				
6	TX-005	Đỗ Văn Sắc	12	148	517.2	517.2	517.2				
7	TX-006-1	Chu Văn Tuấn (Quyền)	12	122	612.1	612.1	612.1				
8	TX-006-2	Chu Văn Tuấn (Quyền)	12	123	95.9	95.9		95.9			
9	TX-006-3	Chu Văn Tuấn (Quyền)	12	124	73.0	73.0		73.0			
10	TX-007	Dương Tiến Yêu (Thiên)	12	128	426.9	426.9	426.9				
11	TX-008	Nguyễn Tiến Thuận	12	156	339.4	339.4		339.4			
12	TX-009-1	Trương Hồ Cung	12	88	684.5	684.5	684.5				
13	TX-009-2	Trương Hồ Cung	12	143	275.1	275.1	275.1				
14	TX-010-1	Nguyễn Văn Lượng (Sáng)	12	131	189.3	189.3	189.3				
15	TX-010-2	Nguyễn Văn Lượng (Sáng)	12	132	717.8	717.8	717.8				
16	TX-011	Dương Tiến Tình	12	113	110.5	110.5		110.5			
17	TX-012	Trương Công Kim	12	174	330.8	330.8	330.8				
18	TX-013	Chu Văn Mơ (Kiếm)	12	142	287.1	287.1	287.1				
19	TX-014	Chu Văn Hành (Thực)	12	193	241.3	241.3	241.3				
20	TX-015-1	Dương Tiến Ái	12	96	399.4	399.4	399.4				
21	TX-015-2	Dương Tiến Ái	12	166	295.6	295.6	295.6				
22	TX-016	Trương Thị Hồi	12	173	221.8	221.8	221.8				
23	TX-017-1	Chu Hữu Điều	12	133	255.9	255.9	255.9				
24	TX-017-2	Chu Hữu Điều	12	139	154.8	154.8	154.8				
25	TX-018-1	Nguyễn Văn Đô	12	169	219.5	219.5	219.5				
26	TX-019-1	Nguyễn Văn Hà (Mỹ)	12	91	292.2	292.2	292.2				
27	TX-019-2	Nguyễn Văn Hà (Mỹ)	12	150	1,084.7	1,084.7		1,084.7			
28	TX-020	Nguyễn Huy Càn (Sự)	12	119	291.7	291.7	291.7				

29	TX-021	Nguyễn Tiến Luận (Ngà)	12	179	272.4	272.4	272.4				
30	TX-022	Dương Tiến Chiến	12	187	420.8	420.8	420.8				
31	TX-023-1	Trương Công Quỳnh	12	181	216.7	216.7	216.7				
32	TX-024-1	Nguyễn Huy Hình	12	81	759.8	759.8	759.8				
33	TX-024-2	Nguyễn Huy Hình	12	141	390.7	390.7	390.7				
34	TX-025-1	Trương Thị Phục	12	84	118.5	118.5	118.5				
35	TX-025-2	Trương Thị Phục	12	162	559.4	559.4	559.4				
36	TX-025-3	Trương Thị Phục	12	185	104.4	104.4	104.4				
37	TX-026-1	Trương Công An	12	85	340.3	340.3	340.3				
38	TX-026-2	Trương Công An	12	93	638.2	638.2	638.2				
39	TX-026-3	Trương Công An	12	118	306.0	306.0	306.0				
40	TX-027-1	Nguyễn Văn Quảng	12	109	123.5	123.5		123.5			
41	TX-028-1	Nguyễn Đình Tự	12	183	162.8	162.8	162.8				
42	TX-029-1	Phạm Viết Phụ (Nhật)	12	83	362.7	362.7	362.7				
43	TX-029-2	Phạm Viết Phụ (Nhật)	12	126	373.4	373.4		373.4			
44	TX-030	Chu Văn Dậu (Huệ)	12	191	545.4	545.4	545.4				
45	TX-031	Trương Công Danh	12	110	167.0	167.0		167.0			
46	TX-032-1	Nguyễn Tiến Toàn	12	120	255.5	255.5	255.5				
47	TX-033	Phạm Thị Nụ	12	87	331.5	331.5	331.5				
48	TX-034	Dương Tiến Lệ	12	192	222.7	222.7	222.7				
49	TX-035-1	Nguyễn Thị Oanh	12	89	361.0	361.0	361.0				
50	TX-035-2	Nguyễn Thị Oanh	12	112	146.8	146.8		146.8			
51	TX-035-3	Nguyễn Thị Oanh	12	153	186.8	186.8	186.8				
52	TX-036	Nguyễn Tiến Tích	12	116	474.1	474.1	474.1				
53	TX-037-1	Nguyễn Văn Hồng	12	97	396.2	396.2		396.2			
54	TX-037-2	Nguyễn Văn Hồng	12	146	772.7	772.7	772.7				
55	TX-038-1	Nguyễn Văn Lượng (Liên)	12	121	298.5	298.5	298.5				
56	TX-038-2	Nguyễn Văn Lượng (Liên)	12	129	181.2	181.2	181.2				
57	TX-001-2	Dương Tiến Chức	13	156	603.6	603.6		603.6			
58	TX-001-3	Dương Tiến Chức	13	187	836.3	836.3		836.3			
59	TX-039	Nguyễn Văn Lộc (Phợng)	13	252	519.2	519.2		519.2			
60	TX-040	Nguyễn Văn Trình	13	195	661.1	661.1	661.1				

61	TX-041-1	Nguyễn Văn Lượng (Hoa)	13	235	327.9	327.9		327.9			
62	TX-041-2	Nguyễn Văn Lượng (Hoa)	13	237	172.5	172.5		172.5			
63	TX-042-1	Chu Văn Tuấn (Quyền)	13	188	350.3	350.3		350.3			
64	TX-043-1	Nguyễn Văn Tròn (Dung)	13	154	340.8	340.8	340.8				
65	TX-044	Tô Thành Trung	13	239	212.7	212.7		212.7			
66	TX-045-1	Nguyễn Thị Hạnh	13	233	161.5	161.5		161.5			
67	TX-045-2	Nguyễn Thị Hạnh	13	242	252.5	252.5		252.5			
68	TX-045-3	Nguyễn Thị Hạnh	13	246	509.1	509.1		509.1			
69	TX-046-1	Nguyễn Tiến Lực (Duyên)	13	207	578.4	578.4		578.4			
70	TX-046-2	Nguyễn Tiến Lực (Duyên)	13	208	97.9	97.9		97.9			
71	TX-018-2	Nguyễn Văn Đò	13	238	80.8	80.8		80.8			
72	TX-018-3	Nguyễn Văn Đò	13	243	124.1	124.1		124.1			
73	TX-018-4	Nguyễn Văn Đò	13	244	347.2	347.2		347.2			
74	TX-023-2	Trương Công Quỳnh	13	241	571.9	571.9		571.9			
75	TX-047-1	Nguyễn Văn Diện( Nón)	13	201	389.5	389.5	389.5				
76	TX-047-2	Nguyễn Văn Diện( Nón)	13	209	87.0	87.0	87.0				
77	TX-047-3	Nguyễn Văn Diện( Nón)	13	210	88.0	88.0	88.0				
78	TX-027-2	Nguyễn Văn Quảng	13	211	233.3	233.3	233.3				
79	TX-027-3	Nguyễn Văn Quảng	13	218	188.5	188.5	188.5				
80	TX-027-4	Nguyễn Văn Quảng	13	219	51.8	51.8	51.8				
81	TX-027-5	Nguyễn Văn Quảng	13	220	249.8	249.8		249.8			
82	TX-027-6	Nguyễn Văn Quảng	13	222	267.4	267.4		267.4			
83	TX-027-7	Nguyễn Văn Quảng	13	223	118.3	118.3		118.3			
84	TX-048	Lê Văn Năm ( Hiền)	13	232	235.4	235.4		235.4			
85	TX-049	Nguyễn Thị Tuyết	13	155	408.3	408.3	408.3				
86	TX-050-1	Nguyễn Tiến Thu	13	247	270.8	270.8		270.8			
87	TX-050-2	Nguyễn Tiến Thu	13	248	135.3	135.3		135.3			
88	TX-050-3	Nguyễn Tiến Thu	13	249	419.2	419.2		419.2			
89	TX-050-4	Nguyễn Tiến Thu	13	253	282.0	282.0		282.0			
90	TX-051-1	Dương Tiến Quyền	13	202	44.4	44.4		44.4			
91	TX-051-2	Dương Tiến Quyền	13	206	318.2	318.2		318.2			
		<b>Total I</b>				<b>29,876.4</b>					

II. Households to be paid for compensation in 2013											
92	TX-052	Nguyễn Huy Bộ	12	82	442.3		442.3				
93	TX-053	Nguyễn Văn Ngạn	12	86	223.7		223.7				
94	TX-042-2	Chu Văn Tuấn (Quyền)	12	90	547.3		547.3				
95	TX-054	Dương Tiến Thái	12	94	520.0		520.0				
96	TX-055-1	Nguyễn Tiến Hoàng	12	95	434.3		434.3				
97	TX-056	Dương Tiến Đỗ (Hoa)	12	127	637.8		637.8				
98	TX-057	Nguyễn Huy Thiết (Thắm)	12	130	304.2			304.2			
99	TX-055-2	Nguyễn Tiến Hoàng	12	134	346.7		346.7				
100	TX-058	Dương Tiến Dụng (Hoa)	12	140	328.9		328.9				
101	TX-059	Nguyễn Huy Quang	12	144	281.2		281.2				
102	TX-060-1	Dương Tiến Chí	12	145	188.4		188.4				
103	TX-061	Nguyễn Huy Thịnh	12	147	228.1		228.1				
104	TX-062	Nguyễn Thị Tín	12	149	608.4		608.4				
105	TX-063	Nguyễn Thị Vân	12	151	4,439.7			4,439.7			
106	TX-002-2	Nguyễn Đình Nghi	12	152	312.1		312.1				
107	TX-064	Chu Văn Toán	12	154	193.2		193.2				
108	TX-060-2	Dương Tiến Chí	12	157	202.1		202.1				
109	TX-065-1	Bùi Xuân Thích (Vượng)	12	158	667.3		667.3				
110	TX-066	Nguyễn Hải Văn	12	159	404.1		404.1				
111	TX-067	Chu Văn Hà	12	160	142.7		142.7				
112	TX-028-2	Nguyễn Đình Tự	12	161	806.4		806.4				
113	TX-068-1	Nguyễn Tiến Toán	12	163	243.0		243.0				
114	TX-023-3	Trương Công Quỳnh	12	164	347.1		347.1				
115	TX-043-2	Nguyễn Văn Tròn (Dung)	12	165	367.7		367.7				
116	TX-009-3	Trương Hồ Cung	12	167	2,620.5			2,621.0			
117	TX-065-2	Bùi Xuân Thích (Vượng)	12	171	462.5		462.5				
118	TX-069	Nguyễn Thị Hoá	12	175	259.5		259.5				
119	TX-070	Dương Tiến Đồng	12	176	772.3		772.3				
120	TX-032-2	Nguyễn Tiến Toàn	12	177	929.9						929.9
121	TX-071	Phùng Văn Sỹ	12	178	287.4		287.4				
122	TX-072-1	Phạm Thị Thu ( Láng)	12	180	474.5		474.5				

123	TX-065-3	Bùi Xuân Thích (Vượng)	12	182	161.3		161.3				
124	TX-073	Phạm Thừa Sử	12	184	166.5		166.5				
125	TX-074-1	Đặng Thị Hà	12	186	341.9		341.9				
126	TX-075	Nguyễn Thị Hiền	12	188	178.2		178.2				
127	TX-074-2	Đặng Thị Hà	12	189	287.2		287.2				
128	TX-076-1	Nguyễn Tiến Dũng (Nhài)	12	190	383.3		383.3				
129	TX-077	Nguyễn Văn Thanh	13	182	15,398.8					15,398.8	
130	TX-078	Nguyễn Tiến Dũng	13	184	1,944.0				1,944.0		
131	TX-068-2	Nguyễn Tiến Toán	13	185	898.0			898.0			
132	TX-068-3	Nguyễn Tiến Toán	13	186	181.6			181.6			
133	TX-076-2	Nguyễn Tiến Dũng (Nhài)	13	189	1,563.3				1,563.3		
134	TX-032-3	Nguyễn Tiến Toán	13	190	774.8						774.8
135	TX-032-4	Nguyễn Tiến Toán	13	205	508.3			508.3			
136	TX-079	Chu Văn Toán	13	221	9,039.5					9,039.5	
137	TX-080	Trương Công An (cũ) Ng.V.Thanh (M)	13	228	6,173.5					6,173.5	
138	TX-081	Chu Văn Sáng	13	229	33,225.4					33,225.4	
139	TX-082	Nguyễn Đình Cảnh	13	231	885.3		885.3				
140	TX-001-3	Dương Tiến Chức	13	234	613.3			613.3			
141	TX-072-2	Phạm Thị Thu (Láng)	13	236	9,632.3					9,632.3	
142	TX-083	Lê Dương Thùy Chi	13	245	8,473.1					8,473.1	
143	TX-084	Nguyễn Văn Hoàn	13	251	15,595.3					15,595.3	
144	TX-085	Ng. Đ. Hạnh(M) Ng. V. Triệu (cũ)	13	240a	11,186.0						11,186.0
145	TX-086	Nguyễn Văn Triệu	13	240b	360.0						360.0
146	TX-087	Ng V Lượng ( UQ Ng. Đức Hạnh)	13	240D	316.0						316.0
147	TX-088	Ng. V Triệu ( UQ Ng. Đức Hạnh)	13	240C	1,106.0						1,106.0
148	TX-089	Ng. V. Dự (UQ Ng. Đức Hạnh)	13	240e	1,200.0						1,200.0
149	TX-090	Nguyễn Văn Quân	14	390A	3,710.4					3,710.4	
		<b>Total II</b>			<b>143,326.6</b>						
		<b>Total (I + II)</b>			<b>173,203.0</b>	<b>59,752.8</b>	<b>32,012.0</b>	<b>20,563.2</b>	<b>3,507.3</b>	<b>101,248.3</b>	<b>15,872.7</b>

Source: Thạch Thất DBCAR, May 2013



**Annex2: List of AHs at Binh Yen Commune (12/2012)**

No.	Code	Name of Household Head	Page (Plot)	Total area	Paid area	Types of land							
						Rice	Crop	Exploited	Resident	Forestry	Garden	Hired	Public land
1	BY-091	Đỗ Văn Đắc (giấy giao đất)- Đỗ Minh Đắc - Nguyễn Thị Quy	98	470.9	470.9	470.9							
2	BY-092	Đỗ Văn Mun (con: Đỗ Văn Khởi)	47	275.7	275.7	275.7							
3	BY-093	Đỗ Văn Năm- Ng Thị Đường	10	222.4	222.4	222.4							
4	BY-094	Đỗ Văn Toàn - Nguyễn Thị Hiền	1(103)	170.6	170.6	170.6							
5	BY-095	Bùi Văn Tư - Nguyễn Thị Mỹ	2(46)	194.4	194.4	194.4							
6	BY-096	Hoàng Thị Huệ (Kiều Thị Lan)	1(39)	339.6	339.6	339.6							
7	BY-097	Ng Đình Ngôn - Hoàng Thị Nhân	1(99)	351.4	351.4	351.4							
8	BY-098	Ng Đình Thao (Ng Đình Việt)	1(37)	312.4	312.4	312.4							
9	BY-099	Nguyễn Ngọc Lan- Nguyễn Văn Lan (sổ HK)- Nguyễn Thị Lý	5(15)	690.2	690.2	690.2							
10	BY-100	Nguyễn Thị Thìn - 1927	1(21)	271.6	271.6	271.6							
11	BY-101	Ng Văn Nghĩa - Ngô Thị Huệ	1(68)	488.6	488.6	488.6							
12	BY-102	Nguyễn Văn Sửu- Ng Thị Khuyên	2(51)	236.6	236.6	236.6							
13	BY-103	Trần Văn Khôi- Hoàng Thị Diên	5(14)	111.4	111.4	111.4							
14	BY-104	Trần Văn Lợi - Trần Đức Lợi (sổ HK) - Nguyễn Thị Lý	2(77)	255.3	255.3	255.3							
15	BY-105	Trần Văn Sang - Trần Văn Ty (sổ HK)- Đỗ Thị Năm	1(20)	427.5	427.5	427.5							
16	BY-106	Đỗ Văn Xuất- Ngô Thị Hoa	1(76)	260	260	260							
17	BY-107	Nguyễn Thị Dán	1(15)	240	240	240							
18	BY-108	Nguyễn Thị Ngừ (Đỗ Văn Tân)	1(76a)	238.7	238.7	238.7							
19	BY-109	Ng Văn Chén - Ng Thị Thập	5(23a)	212.5	212.5	212.5							
20	BY-110	Trần Văn Cẩn- Nguyễn Thị Dậu	1(60, 84, 85)	450.3	-	244.3		206.					
21	BY-111	Ng Văn Huệ - Nguyễn Thị Thiệu	1(94); 4(56)	917.9	917.9	459.6		458.3					
22	BY-112	Đỗ Văn Kinh - Phùng Thị Na	1(22); 5(11a)	444.5	444.5	444.5							
23	BY-113	Đỗ Văn Hồng - Nguyễn Thị Ngà	1(104)	136.7	136.7	136.7							
24	BY-114	Đỗ Văn Hoan - Nguyễn Thị Chiêm	1(129)	176.7	176.7	176.7							
25	BY-115	Vũ Thị Chinh (Nguyễn Văn Hường)	1(73, 96); 2(41)	512.8	512.8	407	106.1						

26	BY-116	Nguyễn văn Khuê - Nguyễn Thị Chiến	1(57), 2(19)	911.3	911.3	-	911.3						
27	BY-117	Hoàng Thị Xuân (Trần Văn Thắng)	1(10)	127.3	127.3	-	127.3						
28	BY-118	Nguyễn Văn Nghĩa- Nguyễn Thị Na	2 (44)	516.8	516.8	0	516.8						
29	BY-119	Đỗ Văn Tý- Hoàng Thị Vườn	2(13, 55)	613.	613.	-	613.						
30	BY-120	Nguyễn Văn Bang- Khuất Thị Thủy	1(9)	47.4	47.4	-	47.4						
31	BY-120	Hoàng Văn An- Trần Thị Nga	2(20, 28	619.4	619.4	-	619.4						
32	BY-121	Đỗ Văn Huỳnh- Nguyễn Thị Sâm	2(68)	177.8	177.8	-	177.8						
33	BY-122	Nguyễn Thị Tích (Ng Thị Huyền)	1(116); 4(62)	846.5	846.5	-	846.5						
34	BY-123	Hoàng Thị Thỏ (con Lại Văn Hiệp)	2 (12)	840.3	840.3	-	840.3						
35	BY-124	Nguyễn Đình Định- 1954	4 (89)	787.8	787.8	-	787.8						
36	BY-125	Nguyễn Thị Giàng- 1960	4 (85)	433.2	433.2	-	433.2						
37	BY-126	Cao Văn Hẹ- Nguyễn Thị Lợi	4 (1)	754.	754.	-	754.						
38	BY-127-1	Nguyễn Thị Lan - 1959	1 (90)	543.	543.	-	543.						
39	BY-128	Đỗ Văn Lực - Kiều Thị Hên	2 (29)	824.3	824.3	-	824.3						
40	BY-129	Hoàng Văn Thơm- Đỗ Thị Mai	2 (21)	763.9	763.9	-	763.9						
41	BY-130	Nguyễn Thị Mầm- 1951	1 (50)	149.1	149.1	-	149.1						
42	BY-131	Nguyễn Đình Sáng- Đỗ Thị Hằng	2 (5)	374.	374.	-	374.						
43	BY-132	Hoàng Thị Huệ - 1961	2 (33)	833.9	833.9	-	833.9						
44	BY-133	Ng Đình Thất - Hoàng Thị Hương	2 (53, 67)	872.4	872.4	-	872.4						
45	BY-134	Đình Công Tỵ ( 1959)	12(22)	633	633	633							
46	BY-135	Ngô Đức Vụ ( 1957)	10(107, 128); 12(168)	1,008	1,008	1,008							
47	BY-136	Quách Thị Đà ( 1963)	12(95); 14(44, 92); 11(39)	1,735	1,735	1,735							
48	BY-137	Ngô Văn Tính ( 1920)	10 (108,112); 12(23, 63, 94a, 137); 14(31)	2,044	2,044	2,044							
49	BY-137-1	Đào Xuân Chi ( 1956)	10(62, 140); 11(69, 35); 12(48, 107)	2,131	2,131	2,131							
50	BY-138	Ngô Văn Lành ( 1958)	9(34); 11(49, 41); 10(91)	1,376	1,376	1,376							
51	BY-139	Quách Đình Lư ( 1942)	11(89); 13(23); 12(96, 106, 108)	1,653	1,653	1,653							

52	BY-140	Ngô Văn Ngạch ( 1930)	8(86); 12(70); 15(30)	1,010	1,010	1,010							
53	BY-141	Trần Đức Đạo ( 1939)	11(68)	330	330	330							
54	BY-142	Tăng Hữu Hà - Nguyễn Thị Chúc	10(93a, 100, 105)	1,422	1,422	1,422							
55	BY-143	Quách Thị Lành ( 1960)	14(61, 65, 46)	817	817	817							
56	BY-144	Ngô Văn Lễ ( 1961)	10(109); 11(103); 12(68, 69)	1,435	1,435	1,435							
57	BY-145	Trần Đức Yên ( 1955)	10(28)	426	426	426							
58	BY-146	Đào Xuân Anh ( 1981) (Bố Đào Xuân Mân - đã chết)	11(133)	223	223	223							
59	BY-147	Quách Đình Kết ( 1967)	12(94)	427	427	427							
60	BY-148	Khuất Duy Thắng - Đào Thị Na	10(81, 115); 12(5a)	1,107	1,107	1,107							
61	BY-149	Tăng Hữu Mộc ( 1955)	9(33); 11(63); 13(34)	1,258	1,258	1,258							
62	BY-150	Tăng Thị Thọ ( 1950)	10(132, 133)	494	494	494							
63	BY-151	Nguyễn Thị Ca ( 1955) (Bố Nguyễn Văn Tụng- đã chết)	11(16); 12(181)	461	461	461							
64	BY-152	Đào Xuân Sâm ( 1920)	10(90); 11(17); 7(74)	865	865	865							
65	BY-153	Quách Đình Cam (1960)	10(70); 14(15); 15(2); 12(105)	1,558	1,558	1,558							
66	BY-154	Ngô Gia Lạp ( 1960)	11(92, 125); 14(64, 55); 12(144); 13(4)	2,013.7	2,013.7	2,013.7							
67	BY-155	Khuất Duy Toàn ( 1973)	10(22, 78)	896.5	896.5	896.5							
68	BY-156	Ngô Đức Viên ( 1960)	10(29); 11(135); 12(155); 14(26); 15(7)	2,207.6	2,207.6	2,207.6							
69	BY-157	Tăng Hữu Giang - Vương Thị Đức	12(184)	249.4	249.4	249.4							
70	BY-158	Nguyễn Thị Thắng ( 1937) (GCN mang tên chồng là: Tăng Hữu Ninh - Đã chết)	9(29, 38); 12(143)	964.7	964.7	964.7							
71	BY-159	Tăng Thị Kỳ ( 1968)	11(70); 12(182)	397.7	397.7	397.7							
72	BY-137-2	Đào Xuân Chi ( 1956)	12(190, 192)	413	413	413.							
73	BY-160	Tăng Hữu Tuấn ( 1972)	10(134, 136)	258.1	258.1	258.1							

74	BY-161	Trần Đức Hùng ( 1960) (GCN: Trần Đình Hùng)	10(2, 80); 11(143); 12(27); 14(91)	1,514.5	1,514.5	1,514.5							
75	BY-162	Quách Đình Hồng ( 1965)	7(65); 12(150, 50, 25)	1,540	1,540	1,540							
76	BY-163-1	Ngô Thị Tuyết (1971)	7(70); 10(67, 82); 11(99); 12(61, 72, 15)	2,250	2,250	2,250							
77	BY-164-1	Khuất Duy Cát ( 1955)	11(40); 12(139+162+159)	2,584.1	2,584.1	2,584.1							
78	BY-165	Quách Thị Hương ( 1958)	9(30+35B); 12(120)	864.5	864.5	864.5							
79	BY-166	Quách Thị Tách - ( 1950)	10(152)	457.5	457.5	457.5							
80	BY-167	Ngô Ngọc Thanh ( 1968) (GCN:Ngô Văn Thanh)	11(140, 158)	347.5	347.5	347.5							
81	BY-168	Nguyễn Văn An - Đào Thị Thanh	15(32)	144.3	144.3	144.3							
82	BY-169	Ngô Văn Chúc ( 1945)	9(18); 11(98); 14(87)	1,496.4	1,496.4	1,496.4							
83	BY-170-1	Ngô Văn Thất - Nguyễn Thị Sáng	11(42)	444.8	444.8	444.8							
84	BY-171	Nguyễn Thị Nhân ( 1965) Chồng là Ngô Văn Phú (đã chết)	8(78); 11(15, 139); 12(46, 121)	2,136.5	2,136.5	2,136.5							
85	BY-172	Quách Đình Nền - Ngô Thị Bột	9(27); 10(127); 16(52); 14(63); 13(30)	2,434.2	2,434.2	2,434.2							
86	BY-173	Ngô Văn Thông ( 1964)	9(44, 26); 10(150); 11(13); 12(10, 20)	2,329.8	2,329.8	2,329.8							
87	BY-174	Tăng Hữu Sơn ( 1952)	11(32); 12 (145)	1,348.1	1,348.1	1,348.1							
88	BY-175-1	Ngô Gia Năng (1944) (GCN: Ngô Văn Năng)	11(19); 7(68); 10(149); 14(42); 15(15, 28)	2,524.9	2,524.9	2,524.9							
89	BY-176	Tăng Hữu Thu (1959)	9(43)	104.7	104.7	104.7							
90	BY-177	Ngô Văn Lai - Hoàng Thị Nụ	16(56)	567	567	567							
91	BY-177-1	Ngô Đức Bang - Nguyễn Thị Hoan	12(140, 156)	668.4	668.4	668.4							
92	BY-178	Lê Thị Lý (1965) Chồng Ngô Văn Nghi, đã chết	10(142a); 11(64); 12(172); 16(35)	1,519.5	1,519.5	1,519.5							

93	BY-179	Trần Đức Gia (1962)	8(83); 10(76); 11(26); 14(89); 16(50)	1,756.3	1,756.3	1,756.3							
94	BY-180	Nguyễn Văn Sinh (1960)	6(17); 7(69); 10(5, 19); 11(7, 43)	1,398.7	1,398.7	1,398.7							
95	BY-181	Ngô Văn Gia - Vương Thị Niên	12-(71); 15-(31)	487.6	487.6	487.6							
96	BY-182	Ngô Văn Ngãi (1930)	9(22); 10(142b); 11(66); 12(173); 13(11)	1,687.5	1,687.5	1,687.5							
97	BY-183	Ngô Văn Thôn (1955)	10(143); 12(52, 124)	1,277.2	1,277.2	1,277.2							
98	BY-164-2	Khuất Duy Cát (1955)	10(54)	257.2	257.2	257.2							
99	BY-177-2	Ngô Đức Bang - Nguyễn Thị Hoan	10(74)	111.2	111.2	111.2							
100	BY-184	Ngô Thị Hồng (1931)	11(102, 132)	353	353	353.0							
101	BY-163-2	Ngô Thị Tuyết -1971	11(161)	42.1	42.10	42.1							
102	BY-170-2	Ngô Văn Thát - Nguyễn Thị Sáng	14(30, 39)	501.1	501.10	501.1							
103	BY-175-2	Ngô Gia Năng (1944) (GCN: Ngô Văn Năng)	11(58)	303.9	303.9	303.9							
104	BY-185	Đào Xuân Nê - Tạ Thị Nghi	12(44)	77.1		77.1							
105	BY-186	Tăng Thị Đông (1961)	10(138, 167)	733.7		733.7							
106	-	UBND xã Bình Yên (thôn Thái Bình)	Có danh sách kèm theo	19,881	19,881.								19,881.0
107	BY-187	Nguyễn Thị Vồn (1955)	9(31, 32, 37); 11(31); 12(147)	1,261.7	1,261.7	1,261.7							
108	BY-188	Ngô Văn Sáu - Nguyễn Thị Thịnh	11(10)	499.4	499.4	499.4							
109	BY-189	Trần Đức Chắt (1972)	10(50)	226.1	226.1	226.1							
110	BY-190	Ngô Văn Thú - Nguyễn Thị Nê	10(20)	580.2	580.2	580.2							
111	BY-191	Ngô Văn Tăng (1975)	10(51);14(36, 37)	646.2	646.2	646.2							
112	BY-192	Nguyễn Xuân Dẻo (1950)	10(64); 7(75);11(163)	766.5	766.5	766.5							
113	BY-193	Ngô Đức Lân - Nguyễn Thị Sủ	12(24, 66, 60); 11(14); 14(11)	1,863.1	1,863.1	1,863.1							
114	BY-194	Trần Đức Tý - Nguyễn Thị Th	10(72); 12(30)	540.0	540.0	540.0							

115	BY-195	Ngô Văn Xuất (1960)	6(5); 10(46, 131, 141)	1,015.4	1,015.4	1,015.4							
116	BY-196	Nguyễn Tiến Quang (1966) (GCN: Nguyễn Văn Cường)	10(45)	85.7	85.7	85.7							
117	BY-197	Ngô Thị Đại (1938) (Chồng Quách Đình Thảo - đã chết)	11(48); 12(105B); 15(19); 13(16)	1,310.6	1,310.6	1,310.6							
118	BY-198	Trần Đức Liên (1957)	10(69, 12); 11(126); 12(56); 14(8); 16(19); 7(74B); 13(9).	2,942	0.0	2,676						266	
119	BY-199	Vương Thị Vách (1965) (GCN: Vương Thị Bách)	10(110)	248.1	248.1	248.1							
120	BY-200	Ngô Văn Tơ (1940)	11(61); 12(171)	613.1	613.1	613.1							
121	BY-201	Ngô Thị Năm (1942) (Chồng Ngô Văn Định - đã chết)	9(40, 41); 11(37); 14(38)	1,533.7	1,533.7	1,533.7							
122		UBND xã Bình Yên, thôn Cánh Chủ		5,900.4	5,900.4								5,900.4
123	BY-202	Đào Xuân Kháng - Ng Thị Sáu	12(42)	74.2	74.2	74.2							
124	BY-203	Ngô Văn Tục (Ngô Đức Tục)	11 (128)	281.5	281.5	281.5							
125	BY-204	Đào Xuân Sừu -Nguyễn Thị Lan	10(3)	423.70		423.70							
126	BY-205	Nguyễn Xuân Bùi - Ng Thị Thật	8(70); 13(17)	534.50		534.50							
127	BY-206	Trần Đức Sáu - Khuất Thị Hoa	11(60)	238.20		238.20							
128	BY-127-2	Nguyễn Thị Lan (1957)	12(154, 123); 10(139)	749.30		749.30							
129	BY-207	Quách Đình Thuận (1960) (GCN: Quách Đình Hảo)	9(23, 39, 35A); 11(34, 93); 12(74); 10(95); 16(31)	2,472.80		2,472.80							
<b>Total</b>				<b>128,207.5</b>	<b>119,585.9</b>	<b>90,354.3</b>	<b>11,141.5</b>	<b>664.3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>266</b>	<b>25,781.4</b>

Source: Thach That DBCAR (May 2013)

### Annex 3: Findings of Group Discussions at Tan Xa and Binh Yen Communes (May, 2013)

Content	Tan Xa	Binh Yen
Public consultation and awareness on resettlement policies and entitlements of AHs.	AHs are invited to attend meetings on: (i) land acquisition; (ii) replacement price for agricultural land and affected assets; (iii) documents, regulations concerned and of Project on resettlement; (iv) formula of calculating compensation cost; (v) participating in DMS of AHs	To be informed of land acquisition; officers for CPC and BCAR explained on calculation; entitlements of APs as Vietnam's regulations.  In Quarter 1/2013, APs are informed of entitlements of APs as Project's regulation. In general, regulations of VN and ADB are rather similar  AHs have no questions on regulations of VN and ADB
DMS of AHs and compensation payment as RP's policies	APs are informed before DMS and compensation payment  APs to attend the whole process of DMS, sign on DMS minutes  Paying compensation before handing-over land  Payment relevant to entitlements of Project	AHs to join DMS and sign on DMS papers  Payment made once before handing-over land to BCAR  Entitlements as regulated by Vietnam and relevant to Project policies.
Procedures of land acquisition and transfer	Procedures are smooth	No comment on land acquisition and transfer procedures  Changes to Land Ownership Certificate done by relevant authority for free and by law.
Construction/ Re-building and structures on site or relocating to new site	No comment	No comment
Level of satisfaction of APs as per RP's regulation	Almost people are satisfied with regulation and implementation of resettlement activities  Some questions of people are responded and clarified within period of 2-3 weeks.	Satisfaction with organization and implementation of resettlement done by BCAR and Binh Yen CPC in the past.  Need to push progress of compensation payment for APs so that they can stabilize their living.
Complaints (document, progress, solutions for redressing, level of satisfaction of APs)	Questions of people are addressed quickly as regulation. People are happy with solutions of BCAR and CPC	Questions mainly on legal status of affected land and assets; area of DMS; schedule of DMS and payment. However, questions are addressed by local authority as regulation and by people's expectation.
Effectiveness and Sustainability of entitlements and income restoration of APs.	Assistance including cash, provision of vocational training, job introduction meets only a part of practical needs.  More vocational training and job introduction for people	In addition to rice provision, vocational training, job introduction, there is a need for mentoring and advisory services on how to use compensation payment effectively.  The demand for vocational training and job introduction, especially those aged over 35.
Gender Impacts and Strategy	Resettlement Impacts on female are remarkable. Gender Strategy is not detailed enough and not yet implemented	Almost women losing land are affected. There is no assistance for women yet
Ability of APs, especially severely affected AHs and vulnerability, ability of restoring/re-structuring life and living condition.	Almost APs have ability to restore income. Level of income restoration for female headed AHs is limited  Job opportunity for those aged over	Almost AHs aged under 35 are able to easily adapt and seek suitable job  Number of people aged over 35 have less possibility of find stable job and

	35 is less than those younger	suitable for the current condition
Impacts caused resettlement during construction period	No comment	No comment
Participation of APs in planning, updating and implementing RP	Participating in questionnaires during RP development	Consulted on land acquisition, compensation;
Replacement price	Generally, relevant with local price	Relevant with actual price in locality and surrounding areas.
Arrangement of relocation site	No comment	No comment

*Source: IRR Specialist – IRR Report No. 1 (1st Quarter 2013)*



#### Annex 4: Questionnaire for Households Survey

I am an expert who is monitoring the implementation of the resettlement plan for the project "University of Science and Technology of Hanoi". We wish you to provide the most accurate information on the implementation of the resettlement plan in the past

The provided information will only be used to evaluate and improve the effectiveness of implementation of the resettlement plan. Your information will be kept confidential.

##### I. General information about affected households and properties

1. Full name of respondent: .....
2. Full name of household's head: ..... sex: male ☐ / female ☐
3. Address (current housing)
  - No.: .....
  - Ward / commune:.....
  - District:.....
4. Accommodation address before receiving compensation (specify time) .....
  - No.: .....
  - Ward / commune:.....
  - District:.....
5. Your family is subject to family policy, vulnerable:
  - a. Poor ☐ 1
  - b. Households with disabled persons, invalids ☐ 2
  - c. Households with elderly martyrs solitary: ☐ 3
  - d. No ☐ 4
6. **Affected assets:**
  - a. Affected land: ☐ 1 (move to question 7)
  - b. Affected house/auxiliary work ☐ 2 (move to question 8)
  - c. Other affected assets ☐ 3 (move to question 9)
  - d. Affected trees and crops ☐ 4 (move to question 10)

##### II. Details of affected assets, compensation and entitlements

###### 7. Affected land

###### 7.1. Status of ownership prior to compensation

- Land ownership certificate: ☐ 1
- Land rent from legal owner: ☐ 2
- Land ownership and usage without legal paper: ☐ 3
- Other (specify) \_\_\_\_\_

###### 7.2 Years of affected land ownership/ occupation/ usage:

- Less than 5 years ☐ 1
- 5 - 10 years ☐ 2
- More than 10 years ☐ 3

###### 7.3 Type of affected land

- Residential land ☐ 1
- Land (rice) ☐ 2

- Land (crop) ☐ 3
- Forest land ☐ 4
- Garden land ☐ 5
- Other (specify): .....

#### 7.4 Details on Affected Land

- Fully affected ☐
- Partially affected, equivalent to % of your total land
  - 0-10% (a)
  - 10-70% (b)
  - More than 70% (c)
- Do not know.....
- Other (specify): .....

#### 7.5 Compensation Payment

- 1 fully payment ☐
- 2 installment payments ☐
- Do not know: .....
- Other (specify): .....

#### 7.6 If compensation payment is not received. Do you know what reasons?

.....

.....

#### 7.7 If land is acquired, what assistances did you receive?

- Bonus for early land hand over ☐ 1
- Support for job training, job changes ☐ 2
- Support on life stabilization ☐ 3
- Other (specify): .

#### 7.8 Are you satisfied with unit price applied in resettlement, compensation: Yes / No

### 8. Auxiliary work/house, shop (see answer 6.b or move to question 10)

#### 8.1 Status of ownership

- Have legal/legalizable paper: ☐ 1
- Do not have legal/legalizable paper ☐ 2
- Other (specify) .....

#### 8.2 Affected house, auxiliary works has been used for:

- Just for living ☐ 1
- Living and doing business ☐ 2
- Business Only ☐ 3
- Other (specify) .....

#### 8.3 Type of house/auxiliary work affected?

- Temporary ☐ 1
- Class 4 ☐ 2
- One store house ☐ 3
- Two store house ☐ 4
- Three or more than three store house ☐ 5

**8.4 Details on affected house / auxiliary work**

- Fully affected ☐ 1
- Partially affected ☐ 2, equivalent to ..... % of total house
- Do not know ☐ 3
- Other (specify): .....

**8.5 What compensation, support did you receive?**

- Compensation for land and house based on replacement cost ☐ 1
- Compensation for house, but land ☐ 2
- Support for house renting during house construction ☐ 3
- Other (give details): .....

**8.6 Phases of compensation payment**

- One payment ☐ 1
- Two installment payment ☐ 2
- Don't know/ Don't remember/ Not being a money recipient ☐ 3
- Other (specify): .....

**8.7 When did you receive payment?**

- Before house demolishment ☐ 1
- During house demolishment ☐ 2
- After house demolishment ☐ 3
- Do not know ☐ 4
- Other (specify) .....

**8.8 How long do you have to remove your house / works ?**

- Less than 01 month ☐ 1
- 1-2 months ☐ 2
- Do not know ☐ 3
- Other (specify) .....

**8.9 In case of relocation, are you satisfied with the new location ?**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3
- Other (specify) .....

**9. Other affected fixed assets**

- Well: ☐ 1, did you receive compensation based on replacement cost ? Yes/No
- Water pipe: ☐ 2, did you receive compensation based on replacement cost ? Yes/No
- Electricity line ☐ 3, did you receive compensation based on replacement cost ? Yes/No
- Worship hall ☐ 4, did you receive compensation based on replacement cost ? Yes/No
- Tomb ☐ 5, did you receive compensation based on replacement cost ? Yes/No
- Don't know

**10. Affected trees and crops**

- `Rice ☐ 1, did you receive compensation based on replacement cost ? Yes/No
- Crop ☐ 2, did you receive compensation based on replacement cost ? Yes/No
- Other tree ☐ 3, did you receive compensation based on replacement cost ? Yes/No

- Don't know: ..... VND

**11. Other type of supports: Yes/No/Not applicable. If yes, select type belows**

- Support for woman headed household ☐ 1
- Support for disable person ☐ 2
- Support for poor household ☐ 3
- Support for those household is fully affected ☐ 4
- No support ☐ 5
- Other, specify

**III. Community's involvement and Grievance Redress**

**12. Did you participate in community's meeting prior to DMS**

- Yes ☐ 1
- No ☐ 2
- Do not know ☐ 3
- Others

**13. Did you sign the DMS minutes**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3

**14. Did you receive compensation, support plan?**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3
- Other (specify): .....

**15. Did you receive explanation on compensation, support (if required)?**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3
- Other (specify): .....

**16. Did you sign on compensation payment invoice?**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3
- Other.....

**17. Do you have any complaint or grievance of compensation?**

- Yes ☐ 1
- No ☐ 2
- Don't know ☐ 3
- Other (specify) .....

**If Yes:**

**a. What do you complain of:**

.....

- .....
- b. What organization/ agency did you send your letter of complaint to**
- Name of the first organization/ agency .....
  - Name of the second organization/ agency .....
  - Name of the third organization/ agency .....
  - Don't know ☐ 1
  - Other (specify).....
- c. How soon did you get response from the above organization/ agency**
- Name of the first organization/ agency .....
  - Name of the second organization/ agency .....
  - Name of the third organization/ agency .....
  - Don't know .....
  - Other (specify).....
- d. Were you happy with their responses and solutions?**
- Yes ☐ 1
  - No ☐ 2
  - Don't know ☐ 3
  - Other (specify).....
- 18. What is your family's current occupation?**
- |                                      |  |
|--------------------------------------|--|
| <b>Primary job (spend more time)</b> | <b>Secondary job (spend less time)</b> |
| .....                                | .....                                  |
- 19. How much is your household's monthly average income?**
- ..... VND/month
  - Don't know ☐
- 20. What source of income do you have (before compensation)?**
- Primary .....
  - Secondary .....
- 21. What is your family's current occupation?**
- |                                      |  |
|--------------------------------------|--|
| <b>Primary job (spend more time)</b> | <b>Secondary job (spend less time)</b> |
| .....                                | .....                                  |
- 22. How much is your household's monthly average income?**
- ..... VND/month
  - Don't know ☐
- 23. What source of income do you have (before compensation)?**
- Primary .....
  - Secondary .....
- 24. In your opinion, your family's income can be restored**
- Yes ☐ 1
  - No ☐ 2
  - Do not know ☐ 3
  - Other (specify) .....
- 25. In your opinion, what assistance needed to help you restore income source and livelihood in future**

- Vocational training:    ☐ 1. Specify job: .....
- Provision of credit      ☐ 2.
- Other (specify).....

Hanoi, dd....mm...2013

***Interviewer***

***Respondent***

***Head of Village***