



# Social Monitoring Report

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## PRC: Xinjiang Altay Urban Infrastructure and Environment Improvement Project-Fuhai County

Prepared by Sichuan Jingdu Junyi Engineering Consultation Company, Xinjiang Branch for the Xinjiang Uygur Autonomous Region Government and the Asian Development Bank

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Asian Development Bank

**Resettlement Plan Monitoring Report**

**Fuhai County Municipal Infrastructure and Environmental  
Improvement Project**

**Report No.4**

Sichuan Jingdu Junyi Engineering Consultation Company

Xinjiang Branch

February 2016

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## Content

1 Overview of the Project.....	3
1.1 The main components of the Project.....	3
1.2 Project investment and construction period.....	4
1.3 Independent External Monitoring for Involuntary Resettlement .....	5
2 Project Progress.....	6
2.1 Project implementation schedule .....	6
2.2 Project Resettlement Progress.....	9
3 Project impacts.....	10
4. Compensation Standards for State-owned land.....	12
5 Policy Framework and Rights .....	13
6 Public Participation and Grievance Redress .....	15
6.1 Public Participation.....	15
6.2 Appeal procedures .....	16
7 Organization and Responsibilities.....	18
7.1 Setting Organization.....	18
7.2 Evaluation of organization ability.....	18
8 Conclusion and Suggestions.....	20
8.1 Conclusion.....	20

## List of Tables and Figures

Table 1-1 Project Components.....	3
Table 1-2 Project investment costs overview.....	4
Table 1-3 external monitoring and evaluation contents and schedule .....	5
Table 2-1 Project implementation schedule .....	6
Table 2-2 implementation schedule for each sub-component.....	8
Table 2-3 Project resettlement progress.....	9
Figure 5-4 Propaganda on Prevention of AIDS in the construction site .....	14
Table 6-1 Key public participation activities during project preparation.....	16
Figure 6-1 Appeal procedures.....	17
Table 7-1 Fuhai County PMO Staff .....	18
Figure1: Current status of Landfill and Office Area .....	<b>Error! Bookmark not defined.</b>

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Figure2: Sewage Treatment Plant Construction Site .....**Error! Bookmark not defined.**  
Figure3: Current situation of Xingfudong road .....**Error! Bookmark not defined.**

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# 1 Overview of the Project

## 1.1 The main components of the Project

Because Fuhai County adjusted its overall planning, 3 of the planned building roads in Railway station area (Wenxing Road, Zongsi Road and Zongsan Road ) were canceled, Which had adjusted into 9 roads' extension sections in Zhanqian District (in front of train station), which are North extension section of Fumin Road, North extension section of Xinyang Road, Xiyi Road, West extension section of Maochang Road, West extension section of Wanfu Road, West extension section of Zhanqian Road, West extension section 1 and section 3 of Hengyi Road, West extension section 2 of Hengyi Road, North extension section of Zongyi Road, and Guangchang Road. The 5 roads extension in old town area and the other sub-components have remained the same as planned. ADB and the XJDRC had approved the adjustments of this project in March 2012.

The Project consists of the road construction, sewage treatment, and refuse disposal and central heating components. The components of the project are :

(1) Road construction: Construction and expansion of 8 roads, with a total length of 9.6km, together with lighting, landscaping, traffic marking facilities, and maintenance equipment; (2) Sewage treatment: Construction of a 7,000m<sup>3</sup>/d sewage treatment plant, branch sewer pipes of 8.8km, 164 drainage manholes, an access road of 2.0km and 10KV power lines of 2.0km; (3) Refuse disposal component: Construction of a 60t/d refuse disposal system and operating equipment, 70 refuse collection points, 522 trash bins, an access road for 7,000m and 10KV power lines for 7.0km; (4) The Central heating Component: Reconstruction of primary and secondary heating networks for 7,946m. Details were shown in table 1-1.

**Table 1-1 Project Components**

<b>Component</b>	<b>Brief description</b>
Road Component	Construction and expansion of 8 roads, with a total length of 9.6km, together with lighting, landscaping, traffic marking facilities, and maintenance equipment;
Sewage treatment	Construction of a 7,000m <sup>3</sup> /d sewage treatment plant, branch sewer pipes of 8.8m, 164 drainage manholes, an access road of 2.0km and 10KV power lines

Component	Brief description
	of 2.0km;
Refuse disposal	Construction of a 60t/d refuse disposal system and operating equipment, 70 refuse collection points, 522 trash bins, an access road for 7,000m and 10KV power lines for 7.0km;
Central heating	Reconstruction of primary and secondary heating networks for 7,946m, and the length of first part of network is 3015m, and the second is 4391m.

## 1.2 Project investment and construction period

The total investment for this project is about CNY207.42million, Which ADB loaned for \$20 million, and the rest funds are county counterpart funds from local counterpart. Among them, the project cost is about CNY167.81million, other cost is CNY 20.16million. Reserve fund is about CNY 7.99million, the Interest during construction is CNY 11.47million. Specific investment costs are shown in Table 1-2.

According to the project implementation schedule, the implementation would start from 2012 and end in 2017; the project has to take 2 years for project preparation, mainly to complete the project preparatory work; and the project implementation period is five years (2012 to 2017).

The implementation for this project would start from 2012 and end in 2017;and the implementation is divided two phases; the first phase is project preparing period, which would cost 2 years (2009 to 2010), mainly to complete the project preparatory work, such as project approval, survey and design, environmental assessment, loan negotiations and other preparatory work; the second phase is implementation period which is five years(2012 to 2017) to complete the construction of road component,, sewage treatment component, refuse disposal component, central heating component. The project will be completed construction and put into use by the end of 2017.

**Table 1-2 Project investment costs overview**

No.	Component	Road Component	Sewage Treatment Component	Central Heating Component	Refuse Disposal Component	Sub-total
1	Project cost	10136.4	2175.57	1888.59	2580.38	16780.94
2	Other cost	756.12	277.27	153.86	828.29	2015.54

3	Reserve fund	482.57	98.12	81.7	136.35	798.74
4	Interest during construction	654.47	146.69	167.09	178.96	1147.21
Total		12029.56	2697.65	2291.24	3723.98	20742.43

### 1.3 Independent External Monitoring for Involuntary Resettlement

Independent external monitoring for involuntary resettlement is prepared by Sichuan Jingdu Junyi engineering consultation company, Xinjiang Branch.

The main external monitoring contents and schedules (including the baseline survey) were shown in table 1-3.

**Table 1-3 external monitoring and evaluation contents and schedule**

Time	Items	Contents
Jan 17	Docking with Fuhai County Construction Bureau, and the leader of PMO	To understand and collect overview of road project, the preliminary design reports, project feasibility report, the project actual implementation progress, and compared with the feasibility study, engineering adjustment programs and
Jan 18	To visit the PMO, Construction Bureau and to learn the complained and	to know the complained and the appeals of APs in project area, and the production and livelihood recovery of affected by land acquisition



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## 2 Project Progress

### 2.1 Project implementation schedule

The Preliminary design for ADB loaned Fuhai County infrastructure and environmental improvement projects has been approved at August 5, 2011 by the Xinjiang Autonomous Region Development and Reform Commission (DRC). The sub-project for the refuse disposal component started to construct in 2011. As of this monitoring, the construction of landfill district and main part of the office building has been finished, the preliminary examination of the land used for county's landfill projects construction had been issued, and the land use planning had been approved, environmental impact assessment had done, and the project preliminary procedures as DRC required had been prepared. The relevant permit documents for landfill construction land also had been issued by Fuhai County Construction Bureau. Details shown in Table 2-1.

Table 2-1 Project implementation schedule

No.	Approved documents	Responsible agency	Time
1	Preliminary design approval	Xinjiang DRC	August 5 ,2011
2	Road component design alter approval	Xinjiang DRC	August 24, 2012
3	Road planning construction land permit	Fuhai Construction Bureau	September 10, 2012
4	Sewage treatment planning construction land permit	Fuhai Construction Bureau	May 28, 2013
5	Refuse disposal planning construction land permit	Fuhai Construction Bureau	March, 23, 2010

Up to this monitoring, each progress of components shown as follows:

**Road Component:** The total investment is 120.296million yuan, which include 4 contract packages; they are the old-town rebuild road civil component (FH-T-C02), train station Zhanqian District road component (FH-T-C01), the general road equipment (FH-T-E01) and the special equipment for road (FH-T-E02). The contract of old-town rebuild road civil component (FH-T-C02) was signed in April 2013, with a contract price for CNY40.40million; the contract of train station Zhanqian District road

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component (FH-T-C01) was signed in April 2013, with a contract price for CNY50.11million; the contract of the general road equipment (FH-T-E01) was signed in June 2013, with a contract price for CNY5.75million; the contract of the special equipment for road (FH-T-E02) was signed in June 2013, with a contract price for CNY5.77million; currently, a total of CNY90.41million has been invested, and the road for train station Zhanqian district has been finished for 3.7km, according to the contract alteration, the remaining 700m of road will be no longer building, 82% of the component has been completed. The cement layer for Xingfu road is under paved; however the construction of the other roads had been completed.

**Sewage Treatment:** The total investment is 26.98 million yuan, there are 4 contract packages, and they are drainage of civil component (FH-D-C01), drainage pipeline (FH-D-E03), drainage machinery (FH-D-E02) and drainage equipment (FH-D-E01). The contract of drainage of civil component (FH-D-C01) was signed in June 2013, with contract price of CNY17.92million; the contract of drainage pipeline (FH-D-E03) was signed in June 2013, with contract price of CNY38.90million; the contract of drainage machinery (FH-D-E02) was signed in June 2013, with contract price of CNY27.14million; the tendering of drainage machinery (FH-D-E02) has not started yet; for now, 13.40 million has been put into the construction. The main part of sewage treatment plant has been completed, the installation of equipment is ongoing now, and 89.3% of the component has been completed.

**Refuse disposal:** Estimate investment is 37.24million yuan, for now, it has been put into use, and the actual investment is 33.45million yuan, including the civil construction 27.256million yuan, and purchase of equipment for 6.197million yuan.

**Heating Component:** Estimate investment is 22.91million yuan, include 3 contract packages, they are heating pipe network civil component (FH-H-C01), heating pipe network and equipment (FH-H-E01), and heating pipe network and equipment (FH-H-E02). The contract of heating pipe network civil component (FH-H-C01) was signed in Dec.2013, with contract price for CNY9.94million. By this monitoring, 55.4% of civil

construction has been completed.

**Table 2-2 implementation schedule for each sub-component**

No.	Items	Prophase Formalities	Time to start construct	Progress of the project(last monitoring)	Progress of the project (This monitoring)	Time to finish
1	Road construction	Done	June 2013	the road for train station Zhanqian district has been finished for 4.2km;the pavement work of Huuanchengdong Rd. Tuanjienan Rd. Beixinjie, Xingfuxi Rd. Zhenxingdong Rd. and Zhenxingxi Rd. had been finished, the attached facilities are under install; sidewalk of Xingfudong Rd. is under paved, , finishing for 84.61%.	Zhanqian district has been finished for 3.7km, according to the contract alteration, the remaining 700m of road will be no longer building, 82% of the component has been completed. The cement layer for Xingfu road is under paved; however the construction of the other roads had been completed.	Dec. 2016
2	Sewage treatment	Done	April 2014	The sewage treatment plant and the office building, garage, reception office, boiler room, and distribution room have been basically completed. The Dehydration machine rooms, Grill room, construction of inlet water pumping stations had been finished, primary sedimentation tank-aerated lagoons are dam body backfilling; currently,	The main part of sewage treatment plant has been completed, the installation of equipment is ongoing now, and 89.3% of the component has been completed.	Dec. 2016

				for the drainage machinery arrival of goods for water car for 1, excavator for 1, Pipeline dredge vehicle for 1, and the removal car for 2.		
3	Refuse disposal	Done	April 2013	Has completed and put into use	Has completed and put into use	November 2013
4	Central heating	Done	Not started yet	Not started yet	55.4% of civil construction has been completed.	December 2016

## 2.2 Project Resettlement Progress

As shown in table 2-3, road project and Central heating project do not exist house demolition and land expropriation; refuse disposal project and Sewage treatment project only takes up state-owned unused land, so the whole project generate no affected people, which does not involves land acquisition and resettlement caused by demolition. Up to this monitoring, there is no house demolition and resettlement.

**Table 2-3 Project resettlement progress**

No.	Items	Progress
1	Road component	No LA and HD affection
2	Sewage treatment	Occupied the Stated-owned unused land, no effect of population
3	Refuse disposal	Occupied the Stated-owned unused land, no effect of population
4	Central heating	No LA and HD affection

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## **3 Project impacts**

The project does not involve the house demolition or collective land occupation, only involves the state-owned land, so there is no population affected by the project. The PMO should comply with the ADB's safeguard policies and relevant domestic procedures and apply for approval and registration procedures for land before start to construct.

### **3.1 Road Construction**

Road component included expansion or building 14 roads. The five roads will be built along the original subgrade in the old town, so there will be no LA and HD affection. Now adjusted 9 roads extension sections in Zhanqian district (in front of train station) had no land acquisition in this district, because the land acquisition and house demolition had been completed in 2009 when this district was under developed. There's no impact in land acquisition or house demolition caused by these adjusted roads. DDR had been done on the HD&LA of the development of Zhanqian district in 2009.

### **3.2 Sewage Treatment**

Sewage treatment will permanently occupy 690.30 mu unused state-owned land , including 525 mu for sewage oxidation pond, 163.2 mu for management area, and 2.1 mu for access roads. In addition, 1.8 mu state-owned urban roads and green belts were temporary occupied for the pipeline excavation. Since unused state-owned land was readily available, no unit or individual was affected by construction of sewage treatment component.

### **3.3 Refuse Disposal**

277.50 mu of state-owned unused land was permanently occupied for refuse disposal component, including waste landfill 204 mu, management area 9 mu, access roads 73.5 mu, all of the construction project will have no impact on any units and individuals.

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### **3.4 Central Heating**

Central heating involves the laying of the heating network only, which will occupy 21.89 mu of state-owned urban roads and green belts temporarily. No individuals or units will be affected

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## **4 Compensation Standards and Funds**

### **4.1 Compensation Standards for State-owned land**

The Project is a public program, where state-owned land will be acquired by allocation to the project owner.

For the allocated state-owned land, land use rights will be acquired by paying leasing fees (one time) at a rate of 8-15 yuan/m<sup>2</sup>. The Project will involve acquisition of state-owned land within and outside of the built-up area of Fuhai County, so the compensation rate is 15 yuan/m<sup>2</sup> within the built-up area and 8 yuan/m<sup>2</sup> outside of the built-up area. For land outside of the built-up area to be occupied by the Project, the land and resources authority will further collect leasing fees for construction land. According to Attached Table 1 of Document [XJJF (2001) No.500] (Collection standard of leasing fees for new construction land of XUAR), the rate of leasing fees for construction land of Fuhai County is 7 yuan/m<sup>2</sup>.

The locations of refuse disposal component and sewage treatment component belong to state-owned unused land, if any land occupied out of the built-up areas, the compensation for the use of state-owned urban construction land and new construction land using fee should be paid to related department.

The land used for road component in front of the train station in Fuhai county had been though all the registration procedures and land approval when this area in developing period. It is unnecessary to pay the compensation for the use of state-owned urban construction land and new construction land using fee to related department.

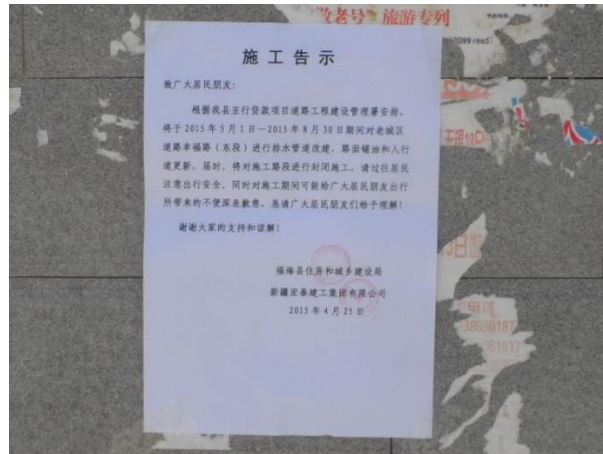
The project does not involve the house demolition or collective land occupation, only involves the state-owned land, so there is no population affected by the project. The PMO should comply with the ADB's safeguard policies and relevant domestic procedures and apply for approval and registration procedures for land before start to construct.

According to this monitoring, after the refuse disposal component getting into operation, PMO promulgated the recruitment information in the bulletin board with bilingual words, and ensure that the local residents can get benefit from the project. During the implementation of this project, Fuhai County PMO carried out the survey on public comments and suggestions from affected people and relevant units via community meetings and put up the bulletin to make the residents know the implementation and progress of this project. Under the construction of the project PMO publicized the propaganda on prevention of AIDS in the construction site.



13





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**Figure 5-3 Public Participation Meeting in Community**



**Figure 5-4 Propaganda on Prevention of AIDS in the construction site**

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## **6 Public Participation and Grievance Redress**

### **6.1 Public Participation**

According to the state, provincial (autonomous region), municipal and county policies and regulations on resettlement, the policies and implementation rules of land acquisition, house demolition and resettlement of the Project, and the RP have been further improved, and the organizing work for resettlement done properly in order to maintain the lawful rights and interests of the displaced persons and entities, reduce grievances and disputes, and realize the goal of proper resettlement. Great attention will be paid to the participation of and consultation with the displaced persons at the resettlement policy-making, RP preparation and implementation stages of the Project.

In the project preparation phase, Fuhai County PMO carried out the survey on public comments and suggestions from affected people and relevant units via meetings, investigates, seminars.

During the project implementation period and project management and maintenance phase, Fuhai County PMO continued to conduct public participation activities, which is consistent with the project preparation stage. Such as release construction announcements and recruitment information, etc., and distributed to various communities and sub-districts columns, to ensure the local people can benefit from the project.

**Table 6-1 Key public participation activities during project preparation**

Purpose	Mode	Time	Agency	Participant	Purpose
Disclose information	Community public meeting	2014.11	PMO, sub-district office, community	residents	To make the residents understand the construction contents, and remind the residents of the safety during the construction period.
Post implementation started announcement	community, sub-district information column	2015.6	Fuhai PMO, LRB、HDMO	residents	Residents living in project area can know the implementation schedule and which road is closed
Publish recruitment information	community, sub-district information column	2015.6	PMO, Media , community, sub-district office	residents	Residents living in project area can know the basic situation of project

## 6.2 Appeal procedures

In order to address issues effectively, and ensure the successful implementation of project construction and land acquisition, a transparent and effective grievance redress mechanism has been established, as shown in Figure 6-1. The basic means of appeal is as follows:

Stage 1: If any displaced person is dissatisfied with the RP, he/she can report this to village/community committee orally or in writing. In case of an oral appeal, the village/community committee shall make a disposition and keep written records. Such appeal should be solved within 2 weeks;

Stage 2: If the displaced person is dissatisfied with the disposition of Stage 1, he/she may file an appeal to the township government/urban district office after receiving such disposition, which shall make a disposition within 2 weeks;

Stage 3: If the displaced person is dissatisfied with the disposition of Stage 2, he/she may file an appeal to the Fuhai County Land and Resources Bureau (QCLRB) / House Demolition Management Office (HDMO) after receiving such disposition, which shall make a disposition within 30 days;

Stage 4: If the displaced person is still dissatisfied with the disposition of Stage 3,

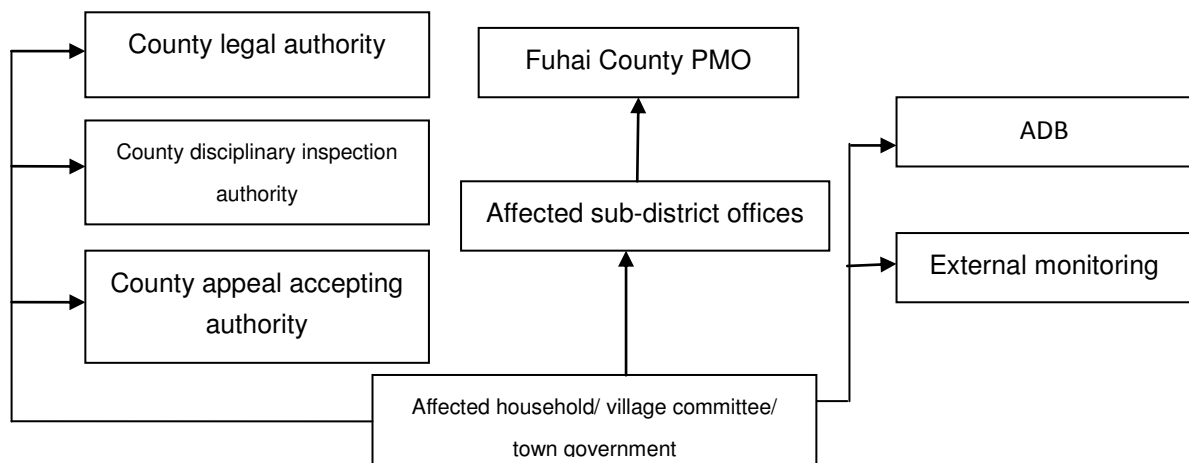
he/she may apply for administrative reconsideration with the County PMO or file an administrative action in the county people's court in accordance with the Civil Procedure Law of the PRC after receiving such disposition.

Stage 5: Populations affected by the project can also appeal to the ADB Project Team. If good faith efforts have been made and people are still dissatisfied and believe harm has been caused due to non-compliance with ADB's policies, they may appeal to ADB's Accountability Mechanism.

Website is: [www.adb.org/Accountability-Mechanism/](http://www.adb.org/Accountability-Mechanism/)

Displaced persons may file an appeal on any aspect of resettlement, including compensation rates, etc. The above means of appeal, and the names, locations, persons responsible and telephone numbers of the appeal accepting agencies will be communicated to the displaced persons at a meeting, through an announcement or the RIB, so that the displaced persons know their right of appeal. Mass media will be used to strengthen publicity and reportage, and comments and suggestions on resettlement from all parties concerned will be compiled into messages for disposition by the resettlement organization at all levels.

Appeal procedures in detail see figure 6-1.



**Figure 6-1 Appeal procedures**

As the preparatory work is meticulous and a large number of public participation activities had been organized, there isn't any complain of appeals so far.

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## 7 Organization and Responsibilities

### 7.1 Setting Organization

During project implementation, the agencies that plan, manage, implement and monitor resettlement activities of the Project include:

1. Fuhai County Leading Group for the Promotion of the ADB-financed Road Reconstruction and Expansion Project;
2. Fuhai County ADB-financed Project Management Office
3. Fuhai County Construction Bureau implementing agency
4. Fuhai County Land Resource Bureau
5. Community/Sub-district office
6. Design institute

**Table 7-1 Fuhai County PMO Staff**

No.	Agency	Name	Position	Tel
1	Fuhai County construction bureau	Zhao Jian	Deputy Secretary	0906-3685782
2	Fuhai County construction bureau	Li Hongwei	PMO Director	0906-3685782
3	Fuhai County construction bureau	Cai Xinling	Clerk	0906-3685782
4	Fuhai County construction bureau	Ye Hua	Accountant	0906-3685782
5	Fuhai County construction bureau	Munila	Clerk	0906-3685782
6	Fuhai County construction bureau	Fan Xiaoqun	Clerk	0906-3685782

### 7.2 Evaluation of organization ability

In the process of project implementation, a set of organizations from top to bottom has been set up in order to planning, coordinating and monitoring for the immigration plan. The main responsibility is to strengthen the management of engineering projects, take charge of design of this project resettlement policy and to organize and coordinate relationship with all levels of immigration agency. Leading group consists of office, dealing with daily affairs; the office is located in the Fuhai

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County Construction Bureau. This monitoring found that the project units mainly adopted the following measures for the ability construction of immigration agency:

(1) The priority is to satisfy the technical personnel of immigration agencies, equipped with administrative personnel, strengthen the training of the professional quality and management level

(2) Organize the major staff of institutions at all levels to conduct business training, understand the immigration policy and the requirements of ADB in our country, and improve the ability of handling service quality and policy;

(3) To strengthen information feedback in order to make two-way information flow from top to bottom;

(4) To strengthen the internal responsibility system for the monitoring, solve problems after found, and establish early warning system for relevant risk.

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## 8 Conclusion and Suggestions

### 8.1 Conclusion

(1) Up to this monitoring, road component: The road for train station Zhanqian district has been finished for 3.7km, according to the contract alteration, the remaining 700m of road will be no longer building, and 82% of the component has been completed. The cement layer for Xingfu road is under paved; however the construction of the other roads had been completed. Drainage component: The main part of sewage treatment plant has been completed, the installation of equipment is ongoing now, and 89.3% of the component has been completed. Refuse disposal component: which has been completed and put into use, the heating Component: 55.4% of civil construction has been completed.

(2) This project does not involve HD and LA, since there is no Aps, only occupy the state-owned land.

(3) Project implementation agencies: The staffs of project leading group and PMO are reasonable assigned; they all have rich experience in resettlement. And the PMO is located in Fuhai Construction bureau. With the assistant of Fuhai government, Fuhai DRC, land and resource bureau, and affected community/village committee, the implementation is going smoothly.

(4) Appeal procedures and public participation: The way to appeal is convenient and easy for Aps, they satisfied with the compensation standards, there isn't any complain of appeals so far.

### 8.2 Suggestions

(1) Enhance the collection and arrangement of profile and data, the PMO stuff should pay close attention to the progress of the project, communicating with the implementing agencies to collect updated information in a timely manner, information classified in the archives, particularly in participation of the public records and other information.

(2) The public participation is the most important mechanism to ensure the restoration and improvement of the Aps, which can make the resettlement plan more

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reasonable, and consolidating the benefits. In the remainder of the residents still needs to continue to strengthen public participation in the work to ensure respect for the views and suggestions of the affected residents and interests from harm. Propose to strengthen communication between the implementing agencies, particularly the implementation of agency communication with affected village/street workers, work together to improve efficiency and ensure the good implementation of resettlement programs and give full play to their benefit.