

# Internal Social Safeguards Monitoring Report

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## Viet Nam: Urban Environment and Climate Change Adaptation Project

Hoi An City, Quang Nam Province

## CURRENCY EQUIVALENS

Currency unit: Vietnam Dong (VND) and US dollar (US\$)

Exchange rate on 15<sup>th</sup> July 2022: \$1 = VND 23,200

## ABBREVIATIONS

ADB	Asian Development Bank
AHs	affected households
APs	affected persons
CAP	Corrective Action Plan
CARB	Compensation Assistance and Resettlement Board
CPC	Commune People's Committee
CSB	Commune Supervisory Board
DDR	due diligent report
EMA	external monitoring agency
DMS	detailed measurement survey
GoV	Government of Vietnam
HAPMU	Hoi An Project Management Unit
HHs	households
IOL	inventory of losses
IPP	indigenous people's plan
IRM	internal resettlement monitoring report
LFDC	District Land Fund Development Center
LIC	Loan Implementation Consultant
MOLISA	Ministry of Labor, Invalids and Social Affairs
ODA	Official Development Assistance
PISC	Project Implementation and Supervision Consultant
PMU	Project Management Unit
PPC	Provincial People's Committee
PPTA	Project Preparatory Technical Assistance
RP	Resettlement Plan
SAH	severely affected household
SPS	Safeguards Policy Statement
uRP	updated Resettlement Plan
VND	Vietnamese Dong
VWU	Vietnamese Women's Union

## WEIGHTS AND MEASURES

km	-	kilometer
kg	-	kilogram
ha	-	hectare
m	-	meter

## NOTE

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## GLOSSARIES

- Compensation** - Means payment in cash or in kind to replace losses of land, housing, income and other assets caused by the Project. All compensation is based on the principle of replacement cost, which is the method of valuing assets to replace the loss at current market rates, plus any transaction costs such as administrative charges, taxes, registration and titling costs.
- Cut-off date** - Means the date of Project land acquisition announcement by competent agency. The AHs will be informed of the cut-off date for each project component, and any person who settled or assets created in the project area after the cut-off date will not be entitled to compensation and assistance under the Project.
- Detailed Measurement Survey (DMS)** - Based on the approved detailed engineering design, this activity involves the finalization of land acquisition and resettlement impacts, including final cost of resettlement. This is the process where all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; secondary structures, such as fences, tombs, wells; trees with commercial value; etc.) and sources of income and livelihood inside the Project right-of-way (project area) are identified, measured, their owners identified, their exact location pinpointed, and their replacement costs calculated. Additionally, the severity of impact to the affected assets and the severity of impact to the livelihood and productive capacity of AHs will be determined.
- Ethnic Minority** - Any group of Vietnam, except the dominant *Kinh* Group, who has the following characteristics at different levels - living in the rural and remote area or having ancestor's territory in the project area and depending on natural resources of these area and territory, and having a social or cultural identity distinct from that of the dominant group (*Kinh-Viet*).
- Entitlement** - A range of various activities including compensation, assistance in income restoration, shift in occupation, relocation... etc, provided to affected people based on the type and level of impact which aims to restore their socio-economic conditions.
- Income Restoration Program** - A program designed with various activities that aim to support affected persons to recover their income / livelihood to pre-project levels. The program is designed to address the specific needs of the affected persons based on the socio-economic survey and consultations.
- Land acquisition** - Refers to the process whereby an individual, household, firm or private institution is compelled by a public agency to alienate all or part of the land it owns or possesses to the ownership and possession of that agency for public purposes in return for compensation at replacement costs
- Rehabilitation** - This refers to additional support provided to APs losing productive assets, incomes, employment or sources of living, to supplement payment of compensation for acquired assets, in order to achieve, at a minimum, full restoration of living standards and quality of life
- Relocation** - This is the physical relocation of an AP from her/his pre-project place of residence and/or business.
- Replacement Cost** - The amount needed to replace an affected asset net of transaction costs such as administrative charges, taxes, registration and titling costs

- Resettlement** - This includes all measures taken to mitigate any and all adverse impacts of a project on AP property and/or livelihoods, including compensation, relocation (where relevant), and rehabilitation as needed.
- Severely affected household (SAH)** - This refers to affected households who will (i) lose 10% or more of their total productive land and/or assets, (ii) have to relocate; and/or (iii) lose 10% or more of their total income sources due to the Project.
- Stakeholders** - Individuals, groups, or institutions that have an interest or stake in the outcome of a project. The term also applies to those potentially affected by a project. Stakeholders include land users, country, regional and local governments, implementing agencies, project executing agencies, groups contracted to conduct project activities at various stages of the project, and other groups in the civil society which may have an interest in the project.
- Vulnerable groups** - These are distinct groups of people who might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically include: (i) female-headed households with dependents, (ii) disabled household heads, (iii) households falling under the generally accepted indicator for poverty, (iv) children and the elderly households who have no other means of support, (v) landless, and (vi) ethnic minorities.

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**Project Overview**

Project loan and title	Loan number: L3340 Urban Environment and Climate Change Adaptation Project	
Social Safeguards Category	Indigenous Peoples	C
	Involuntary Resettlement	A
Reporting period:	From January to June 2022	
Summary on resettlement implementation during the reporting period (from January to June 2022)	<p>Completed the compensation and assistance plans and payment 545 AHs by Improvement of Provincial Road No.608 with an amount of VND 24,710,625,000.</p> <p>Completed the compensation and assistance plans and payment 152 AHs by Improvement of Lai Nghi Reservoir and Intake Culvert with an amount of VND 10,870,988,000.</p> <p>Completed the DMS for 361/401 AHs by Dredging Co Co river and the compensation and assistance plans will be prepared in the third quarter of 2022</p> <p>In term of restoring the income and livelihood of affected households, 205 AH's members have been supported to get jobs for contractors</p>	
Key activities planned in the next reporting period (from July to December 2022)	<p>Complete the compensation payment for remaining AHs.</p> <p>Continue to accelerate the land acquisition, compensation and resettlement for all subproject-items.</p> <p>Implement the IRP for severely AHs and vulnerable households.</p> <p>Engagement with severely AHs and vulnerable households to monitor or provide supports to these households who need to be supported in restoring their income or livelihood</p>	



# 1 GENERAL INFORMATION

## 1.1 Objectives of the Project

1. The “Urban Environment and Climate Change Adaptation Project” funded by ADB will construct and upgrade the infrastructure facilities to improve urban environmental conditions, water supply systems and sewerages, waste and water treatment facilities, and flood control in order to reduce environmental pollution, improve urban environment and climate change adaptation for two coastal cities, namely Dong Hoi (Quang Binh) and Hoi An (Quang Nam).

The Project is in line with the Viet Nam's Socio-Economic Development Plan (SEDP), 2011-2015 (at the time of Project preparation), now also in line with the SEDP 2016-2020, which aims to create a foundation for the country to be an industrialized country by 2020. The SEDP accords high priority to construction of urban infrastructure, taking into account environmental protection, in which special importance is attached to traffic networks, water supply systems and sewerages, waste and water treatment facilities, facilities for collection, transport, treatment and burial of waste, especially hazardous waste in urban areas and industrial zones. The water supply and other municipal infrastructure services is one of the key priority areas identified in the Asian Development Bank's (ADB) country and partnership strategy (CPS), 2012 - 2015.

2. Therefore, the Project will contribute to comprehensive socio-economic development with the objectives of increasing urban population, reducing poverty in urban and rural areas, solving social security problems, improving the quality of life, developing and integrating suburbs, promoting tourism and services, creating a competitive environment for Dong Hoi and Hoi An.

## 1.2 Information on components

At the time of Project preparation 2013-2014, five (5) components were proposed under “Urban Environment and Climate Change Adaptation Project” in Hoi An city, Quang Nam Province. These components are expected to fulfill the objectives of the Project including (i) Climate-proofed urban development and (ii) Improved water supply management and resilience for Hoi An City, Quang Nam Province. The details of components are as follows:

- i) **Construction of access road to Cua Dai Bridge:** The total length of this dual carriage way road (4 lanes) will be 4.86 km, commencing in Lac Long Quan Street and linked to the Cua Dai Bridge which is currently being constructed. This is designed to ensure a southern connectivity to Tam Ky from Hoi An, which is currently lacking.
- ii) **Improvement of Provincial Road TD608:** The road sections that will be improved including the sections in Dien Phuong, Dien Nam Dong Commune (Dien Ban Town) and in Hoi An City. The main objective of this component is to create a flood evacuation route on the south-west side of Hoi An City as opposed to the Cua Dai new road and bridge on the north-east side of Hoi An.
- iii) **Construction of Co Co River New Urban Development Area:** The main objective of this component is to reinforce the Hoi An City Master Plan developed in 2005 to render Hoi An more eco-friendly and also more recently to promote Vietnam's Green Growth Strategy.
- iv) **Improvement of Lai Nghi Reservoir and Intake:** This component involves dredging of Lai Nghi Reservoir to ensure more water with better quality is available to meet the demand in Hoi An.
- v) **Improvement of Phap Bao Lake:** This component is linked to the detention of storm-water in the ancient city that is often impacted upon by river-based surface flooding during storms and the management of upstream sewage.

3. Due to Project delay, there are several parts of roads in Hoi An constructed under provincial own budget and the Master Plan of Co Co new urban area need to be revised. Therefore, the number of components have been changed: The Co Co new urban area component will not be implemented and instead two new components are proposed: (i) Dredging of Co Co River and (ii) Upgrading the access road to Southern Cua Dai Bridge. Besides, the component Improvement of Provincial Road TD608 is also changed for some sections with ADB financing, thus a total of 6 components have been approved by ADB as follows:

- 1) **Construction of access road to Northern Cua Dai Bridge:** The total length of this dual carriage way road (4 lanes) will be 4.86 km, commencing in Lac Long Quan Street and linked to Cua Dai Bridge which is being constructed. This is designed to ensure a southern connectivity to Tam Ky from Hoi An, which is currently lacking.
- 2) **Improvement of Provincial Road TD608:** The road sections that will be improved include 4 sections:
  - a. From Hoang Dieu Street to flyover over NH1 (Km1.090 to Km1.494);
  - b. From flyover over NH1A to Lai Nghi (Km1.494 to Km4.753);
  - c. From the end of Hoang Dieu Street to DH14 Bridge of Dien Ban (Km0 to Km1.394 of DH14 route in Dien Ban Town); and
  - d. From DH14 in Dien Ban Town to new DT609 (Km1.874 to Km3.100 of old DT609 road).
- 3) **Improvement of Lai Nghi Reservoir and Intake:** This component involves dredging of Lai Nghi Reservoir to ensure more water with better quality is available to meet the demand in Hoi An.
- 4) **Improvement of Phap Bao Lake:** This component is linked to the detention of storm-water in the ancient city that is often impacted upon by river-based surface flooding during storms and the management of upstreamsewage.
- 5) **Dredging of Co Co River:** Total length of Co Co River dredging in Quang Nam Province is about 19 km, in which dredging of the first section of 14 km (Km 0 to Km 14) with government funding and dredging of the second section of 5 km (Km 14 to Km 18+900) with ADB financing.
- 6) **Upgrading access road to Southern Cua Dai Bridge:** This access road going to Tam Ky is planned with a total length of 36.5 km, including roadway lanes of 4 x 3.5 m, shoulder of 2 x 2.5 m, safety strips of 2 x 0.75 m, and sidewalk of 2 x 7.5 m.

4. All six (6) proposed components are not for completely new construction; most of them are for upgrading of existing facilities. According to the results of site survey and evaluation of the components in terms of social impacts and land acquisition issues, most components have partially or wholly completed land acquisition by local authorities and following Vietnam regulations on compensation, support and resettlement. Details of the current land acquisition status of specific components are as follows:

**Table 1. Summary of components situation**

No.	Name of Subproject (SP)	Main works and status	Status of land acquisition
1	Construction of an Access Road to Northern Cua Dai Bridge	Construction of 4,86 Km from Lac Long Quan Street to Cua Dai bridge: <ul style="list-style-type: none"> <li>- Cam An, Hoi An city</li> <li>- Cam Chau, Hoi An city</li> <li>- Cam Thanh, Hoi An city</li> </ul>	Land acquisition is started since late 2009, early 2010, compensation is made in 2011, however the completion is October 2014 due to delay in allocating the resettlement land plots for relocated households.
2	Improvement of Provincial Road No.608	Total of length is 6.63 km	
2.1	Improvement of Provincial Road No.608	From Hoang Dieu Road to Lai Nghi, 3.66km long, in the communes/wards: <ul style="list-style-type: none"> <li>- Dien Minh commune, Dien Ban town</li> <li>- Dien Phuong commune, Dien Ban town</li> <li>- Dien Nam Dong ward, Dien Ban town</li> </ul>	<p>The compensation and site clearance was completed for 264 households in 2012 and the site was delivered for the project for the section from Km1 + 494 to Km4 + 421.</p> <p>The progress of compensation and site clearance for the rest is as follows:</p> <p>The total affected households are 266, in which 223 households have been compensated. The rest of 43 households have not been compensated, specifically:</p> <ul style="list-style-type: none"> <li>- 04 resettled households in Dien Phuong commune have not received payment and relocation because the compensation plan has not been approved.</li> <li>- 39 households affected on residential land, structures and fences in Dien Nam Dong ward are currently in the process of preparing compensation plan.</li> </ul> <p>In addition, another item that has not yet been prepared for compensation plan is the irrigation canal: for the affected part of this irrigation canal, compensation plan was made but the People's Committee of Quang Nam province has not approved the land unit price, therefore the compensation plan has not been approved yet.</p>
2.2	DR14	From the end of Hoang Dieu Street to the bridge across Vinh Dieu river, 1.4km long, in the communes/wards: <ul style="list-style-type: none"> <li>- Dien Minh commune, Dien Ban town</li> </ul>	<p>The total affected households are 167:</p> <ul style="list-style-type: none"> <li>- For the households whose residential land is affected: The compensation plan and compensation payment have been approved for 49/49 households.</li> <li>- For the households whose residential land is affected (118 affected households): The compensation plan and compensation payment for 31 non-resettlement households have been approved.</li> </ul> <p>At present, the compensation plan for 46 relocated households and 41 non-resettlement households have not been finalized. The reasons are as follows:</p> <ul style="list-style-type: none"> <li>- 41 non-resettlement households whose residential land is affected: there are some</li> </ul>

No.	Name of Subproject (SP)	Main works and status	Status of land acquisition
			<p>problems with legal procedures on land, building houses on agricultural land.</p> <ul style="list-style-type: none"> <li>- 46 relocated households: because resettlement site has not been approved.</li> </ul>
2.3	PR609	<p>From Bang An tower to the intersection with PR609 road, 1.57km long, in the communes/wards:</p> <ul style="list-style-type: none"> <li>- Dien Phuoc commune, Dien Ban town</li> <li>- Dien An commune, Dien Ban town</li> </ul>	<p>The total affected households are 268.</p> <p>The compensation plans for 239/268 households in Dien Phuoc and Dien An communes were approved. For the remaining 21 households: the procedure for certification of residential land is progressing, so that the compensation plans will be prepared.</p> <p>The compensation has been paid to 218/239 households in Dien Phuoc and Dien An communes. 11/239 households have not agreed to receive compensation complaining that the compensation unit prices are low. Currently, Dien Ban LFDC is continuing to mobilize the households to receive money.</p>
3	Improvement of Lai Nghi Reservoir and Intake	<p>Reinforce embankment, guardrails; lakeside promenade road; sewage drainage pipes; and wastewater pumping stations in the communes/wards:</p> <ul style="list-style-type: none"> <li>- Thanh Ha ward, Hoi An city</li> <li>- Dien Nam Dong ward, Dien Ban town</li> <li>- Dien Phuong commune, Dien Ban town</li> </ul>	<p>The total affected households are 177.</p> <p>The compensation plans for 19 households in Thanh Ha ward, Hoi An city were approved and 18/19 households have been compensated. 01 household has not been paid yet because their acquired land area is being considered for reduction.</p> <p>In Dien Nam Dong ward, Dien Ban town: There are 158 affected households, of which 133 households are affected on agricultural land and 25 households are affected on residential land. The compensation plans for 133 households affected on agricultural land have been established and approved and 121/133 households have been compensated. The remaining 12 households are in the process of re-checking the origin of land.</p> <p>25 households affected whose residential land is affected are waiting for checking land origin, have no residential land price, so the compensation has not yet formulated and paid for them.</p>
4	Improvement of Phap Bao Reservoir	<p>Dredging about 50,000m<sup>3</sup> of sediment in the lake in the communes/wards:</p> <ul style="list-style-type: none"> <li>- Cam Pho ward, Hoi An city</li> </ul>	<p>The total affected households are 07</p> <p>The compensation plan was approved on September 6, 2021. Compensation has been paid for 05/07 households. 02 households have not agreed to receive compensation complaining about low unit price.</p>
5	Dredging Co Co river	Dredging 5.05km of Co Co river and building a bridge with a length of 180 m	
5.1	Section from Km14+00 to Km16+00	<p>In the communes/wards:</p> <ul style="list-style-type: none"> <li>- Dien Ngoc commune, Dien Ban town</li> <li>- Dien Duong commune, Dien Ban town</li> </ul>	<p>The total affected households are 305</p> <p>76 households in Dien Ngoc commune received compensation and handed over land in 2018.</p> <p>The remaining 229 households in 03 wards/communes are in the DMS process and</p>

No.	Name of Subproject (SP)	Main works and status	Status of land acquisition
		<ul style="list-style-type: none"> <li>- Dien Nam Bac ward, Dien Ban town</li> <li>- Dien Nam Trung ward, Dien Ban town</li> </ul>	<p>no compensation plan has been established as follows:</p> <ul style="list-style-type: none"> <li>- Dien Duong ward: Conducted the DMS for 175/182 households</li> <li>- Dien Nam Bac ward: Conducted the DMS for 27/27 households</li> <li>- Dien Nam Trung ward: Conducted the DMS for 20/20 households.</li> </ul>
5.2	Section from Km 16+00- Km 18+00	<p>In the communes/wards:</p> <ul style="list-style-type: none"> <li>- Dien Ngoc commune, Dien Ban town</li> <li>- Dien Duong ward, Dien Ban town</li> </ul>	<p>The total affected households are 377.</p> <p>The compensation plan for 205 households in Dien Ngoc commune was approved in 2018. The compensation was paid for 150 households in 2018 and 16 households have been paid in 2022. The remaining 39 households have not received and complained about low compensation price.</p> <p>For the remaining 172 households in Dien Duong and Dien Ngoc communes: the DMS for 139/172 households have been completed. For the remaining households, the DMS and land origin checking are progressing and the compensation plans have not yet formulated.</p>
6	Construction of an Access Road to Southern Cua Dai Bridge	<p>Upgrading 36.5 km of existing roads through the communes/wards:</p> <ul style="list-style-type: none"> <li>- Cam An, Hoi An city</li> <li>- Cam Chau, Hoi An city</li> <li>- Cam Thanh, Hoi An city</li> </ul>	The land acquisition was completed in 2012.

Source: Dien Ban LFDC, Hoi An LFDC

### 1.3 Social safeguards documents required for components

5. As above mentioned, there are 6 components in the whole project in Hoi An City, Quang Nam Province, and all components are subject to land acquisition. According to ADB's SPS 2009, social safeguards documents related to resettlement and social issues need to be prepared and approved for those components. In addition, the DDRs must also be prepared for components with completed compensation and land acquisition.

6. As per ADB Safeguards Policy, the objectives of the DDR were to (i) look into how local authority carried out for compensation plans; and (ii) prepare a corrective action plan (CAP), as needed, that outlines the remedial actions that local authority would undertake to make land acquisition consistent with the SPS 2009. By the time of this report, the total of 05 updating RP and 4 DDRs are required for 6 components. The status of preparation for these required documents is presented in the following sections. The table below describes the information of required safeguards documents by components.

**Table 2. Information of social safeguards documents required by components**

No	Component	Main works and status	Status of land acquisition	Social safeguard documents
1	Construction of access road to Northern Cua Dai Bridge	Construction 4,86 Km from Lac Long Quan Street to Cua Dai Bridge.	Land acquisition started in late 2009 and early 2010, compensation was carried out in 2011, however the completion date was October 2014 due to delay in allocating the resettlement land plots for relocating households	DDR
2	Improvement of Provincial Road TD608	Total of length is 6,62 km; of which	Of the total 6,62 km to be upgraded, Section from Km1.090 to Km1.494 has no impact; Section from Km1.494 to Km4.421 has completed for land acquisition since 2013; the remaining sections need to acquire land. More details of each sections are described below.	uRP and DDR
2.1	Section 1	From Hoang Dieu Street to flyover over NH1 (Km1.090 to Km1.494)	This is an old existing road without any impact on land acquisition or impact on local people	
2.2	Section 2	From flyover over NH1A to Lai Nghi (Km1.494 to Km4.753).	This section is an old existing road. In 2011, this road was planned to be upgraded and expanded, so the land acquisition to expand the road was implemented. However, due to lack of funds, the implementation of land acquisition has been conducted only for the section from Km1.494 to Km4.421 In addition, according to the detailed design approved in Dec 2019, some locations need to be additionally acquired to widen the road from Km4.421 to Km4.753, and other locations to expand intersections with residential roads, bus stops, intersections with irrigation canals, weight checking station.	
2.3	Section 3	From the end of Hoang Dieu Street to DH14 bridge of Dien Ban (Km0 to Km1.394 of DH14 route in Dien Ban Town)	This section is not existing road, currently there are about 48 houses and productive land of about 150 households in Vinh Dien Ward, Dien Ban Town. Accordingly, these households will be affected by land acquisition and relocation will be required to implement the project	
2.4	Section 4	From DH14 in Dien Ban Town to new DT609 (Km1.874 to Km3.100 old DT609 route):	This section is an existing road, the implementation of this segment will not impact on houses and no one must relocate, but some land areas belong to households living along the route will be checked to provide support due to restriction on land use and the compensation will be paid for affected	

No	Component	Main works and status	Status of land acquisition	Social safeguard documents
			assets that includes fences, gates, walls, etc. or trees.	
3	Improvement of Lai Nghi Reservoir and Intake	Reinforcement for the embankments, replacing motorized regulating sluices, installing pump stations, installing water collection and drainage systems	The activities of this component impact on 170 AHs (12 AHs in Thanh Ha ward, Hoi An city, 158 AHs in Dien Nam Dong ward, Dien Ban town).	uRP
4	Improvement of Phap Bao Lake	Dredging about 50,000m <sup>3</sup> of sediment in the lake	The activities of this component affect 7 AHs, compensation plan was approved in September 6, 2022	uRP
5	Dredging of Co Co River	Dredging 5.05 km of Co Co River and building a bridge with a length of 180 m to complete the remaining 5.05 km (km 14 - km19) of interregional waterway traffic in Da Nang - Quang Nam	The section from km 14 to km 19 is implemented with ADB financing. Compensation has been carried out for Km14 to Km 16 and Km17.2 to Km 18.9	uRP, DDR
6	Upgrading access road to Southern Cua Dai Bridge	Upgrading 36.5 km of existing roads	Land acquisition was completed in 2012, no compensation item under this component/project	DDR

Source: HAPMU, June 2022

#### 1.4 Objectives of internal monitoring

7. Because this project is categorized as A in involuntary resettlement, it requires both internal and external monitoring reports during project implementation. Note that ethnic minority is of no concern in this Project. The main purpose of internal monitoring is to ensure that matters related to involuntary resettlement have been implemented in accordance with the policies and procedures of the RPs/uRPs/DDR's following ADB SPS 2009 and Project policy.

8. The general objectives of internal monitoring are to:

- i) report on the status and assess the compliance with the agreed social safeguards documents, including RP/DDR;
- ii) confirm the availability/handover of land to the proposed components;
- iii) monitor contractors' compliance to policy framework's provisions related to temporary land acquisition during civil works;
- iv) ensure that the standards of living of affected households is restored or improved;
- v) monitor the implementation process;
- vi) assess the sufficient implementation of compensation, resettlement measures and social development assistance programs;
- vii) identify problems or potential problems; and

viii) identify and implement quick response measures to mitigate emerging issues.

9. This report is prepared by HAPMU to provide information and report to ADB on the implementation status of social safeguard for first half of 2022, covering the period from January to June 2022.

## **1.5 Institutional arrangements**

10. In order to ensure the compliance of the Project with regard to the implementation of safeguard policies of ADB, since the beginning of the project preparation stage, HAPMU has assigned an officer who is responsible for social safeguard policy issues, including social and land acquisition and environment with the support of resettlement and environment specialists from project implementation and supervision consultant (PISC).

11. In addition, an external monitoring agency (EMA) on resettlement was also recruited due to the Project category A for involuntary resettlement as requirement of ADB SPS 2009. Therefore, all of the social safeguard issues of the project are being implemented in compliance with ADB's requirements and approved project policy as resettlement plan.

## **1.6 Summary of impacts based on basic designs and IOL results**

12. Two components “Improvement of Provincial Road TD608” and “Upgrading access road to Southern Cua Dai Bridge” are new in comparison with the proposed components at the time of PPTA. Therefore, by the time of this report, there is no information of impacts by these components. The data of these components will be updated when DMS and DDR are completed which is expected to complete in Q3/ 2022.

13. According to IOL results and DDR for component “Construction of access road to Northern Cua Dai Bridge” conducted by PPTA consultant in 2014, the implementation of the construction of 4 remaining components will affect 1,073,938.6 m<sup>2</sup> of land and 770 households, of which 69 households will lose houses and have to relocate (including relocating on the remaining land and relocating to another location), 200 households will lose more than 10% of their productive land and 29 households belong to vulnerable groups. The following table summarizes the impacts by components.



**Table 3. Summary of impacts by components**

No.	Component	Total affected land (m <sup>2</sup> )	Total AHs			
			Total	HHs lost >10%	Relocating HHs	Vulnerable HHs
1	Construction of access road to Northern Cua Dai Bridge	238,099.0	235	82	66	12
2	Improvement of Provincial Road TD608	198,728.0	701	101	107	14
3	Improvement of Lai Nghi Reservoir and Intake	199,684	170	21	0	6
4	Improvement of Phap Bao Lake	13,750.0	7	7	0	0
5	Dredging of Co Co River	785,017.6	499	86	3	3
6	Upgrading access road to Southern Cua Dai Bridge	-	-	-	-	-
	<b>Total</b>	<b>1,435,278.60</b>	<b>1,612</b>	<b>297</b>	<b>176</b>	<b>35</b>

Source: LFDC, June 2022

### 1.7 Summary of impacts based on detailed designs/DMS results

14. As of 6/2022, all 06 works cause land acquisition and resettlement impacts, in which 02 works made compensation for 100% of AHs before the ADB financing, namely (i) Construction of an Access Road to Northern Cua Dai Bridge for (100% AHs) and (ii) Construction of access road to Southern Cua Dai bridge (100% AHs); and 02 works have partially compensated for AHs before the ADB financing: (ii) Improvement of Provincial Road No.608 sections (20% AHs at section Km1+494 to Km4+421) and Dredging 5.05km of Co river (56% in Dien Ngoc ward).

15. The total AHs reflected in the RP and DDR are 2,360 households, of which 604 are severely affected (436 households are acquired from 10% of their productive land and 168 are resettled) and 52 are vulnerable. 1,368 households affected by 04 works mentioned above were compensated before the ADB financing. The total compensation paid is VND 167,854,320,348 for 1,952,752m<sup>2</sup> of affected land.

16. In addition to the households affected by 04 works that were compensated before the ADB financing, there are 1,286 households affected by the works, of which 1,246 households participated in the DMS, 704 households have compensation plans prepared, and 664 households got compensation payment. There are 152 relocated households, 138 severely affected households from 10% of the productive land area, and 20 affected households belonging to the vulnerable group. The total amount paid is VND 38,111,062,000.

17. The progress of compensation and site clearance for the remaining households is as follows:

- **Improvement of Provincial Road No.608:** In addition to the 20% which was compensated in the previous stage, the DMS has been made for 701/701 households, the compensation plans have been made for 545 households, and the compensation has been made for 520 households.
- **Improvement of Lai Nghi Reservoir and Intake Culvert:** The DMS has been made for 100% of the affected households (177 households); the compensation plans have been made for 152 households; and the compensation has been made for 139 households.

- **Improvement of Phap Bao Reservoir:** The DMS and compensation plans have been made for 07/07 households and the compensation payment have been completed for 05/07 in Cam Pho ward, Hoi An city.
- **Dredging Co river 5.05km from Km14-Km19:** The DMS and the compensation plans are progressing.

18. The total acquired land of 4 components is 1,410,746 m<sup>2</sup> of which 544,535 m<sup>2</sup> has been handed over, including: i) Improvement of Provincial Road 608 Sections; (ii) Improvement of Lai Nghi Reservoir and Intake (iii) Improvement of Phap Bao Lake; and (iv) Dredging 5.05km of Co Co river.

## 2 DETAILED PROGRESS OF RESETTLEMENT IMPLEMENTATION PLANS

### 2.1 Organization of site clearance

19. The site clearance for the project is carried out by Dien Ban District Land Fund Development Center and Hoi An City Land Fund Development Center. Each center has appointed a staff in charge of the site clearance for each work of the project.

20. Currently, the PMU has assigned a staff in charge of site clearance of the project, who will cooperate with Dien Ban District Land Fund Development Center and Hoi An City Land Fund Development Center for the project site clearance. This will facilitate the site clearance of the project (if any issues arise).

21. *Assessment:* The system of compensation and site clearance (Dien Ban LFDC and Hoi An City PMU & LFDC) meets the implementation of compensation and resettlement of the subproject. Although facing with many difficulties and consequently some delays, site clearance compensation has implemented in accordance with the regulations of the Government of Vietnam and complies with the ADB regulations. The Dien Ban LFDC and Hoi An LFDC has implemented its functions and duties in site clearance properly. Quang Nam province Traffic Investment and Construction Project Management Unit (PMU) has closely coordinated with Dien Ban LFDC and Hoi An LFDC to compensate for the project. In general, the compensation process has been implemented in accordance with the regulations

### 2.2 Progress of preparation of social safeguards documents for components

22. During PPTA in 2014, a resettlement plan (RP) for five original proposed components was prepared and approved by ADB. This RP is attached with two due diligence reports prepared for (i) component “Construction of access road to Northern Cua Dai Bridge” and (ii) existing road DH15 road considered as associated facility of that component, since land acquisition of these roads were completed at the time of PPTA.

23. During 2019, due to changing of the original proposed components as above mentioned, a RP for the component “Dredging of Co Co River” was also prepared and approved.

24. By the end of June 2022, 4 uRPs were prepared and approved for 3 components:

- Improvement of Provincial Road TD608;
- Improvement of Lai Nghi Reservoir and Intake;
- Improvement of Phap Bao Lake;
- Dredging of Co Co River

Whereas 4 DDRs were prepared and approved for 3 components:

- Construction of access road to Northern Cua Dai Bridge;
- Improvement of Provincial Road TD608;
- Dredging of Co Co River; (preparation completed, not yet approved)
- Upgrading access road to Southern Cua Dai Bridge.

25. See more details on progress of preparing the social safeguards documents by components in the following table.

**Table 4. Status of preparation required safeguards documents for components**

No	Components	Required social safeguard documents	Status of safeguard document preparation
1	Construction of access road to Northern Cua Dai Bridge	DDR	Approved by ADB in Nov 2014

No	Components	Required social safeguard documents	Status of safeguard document preparation
2	Improvement of Provincial Road TD608	uRP/DDR	Approved by ADB in Aug-2020
3	Improvement of Lai Nghi Reservoir and Intake	uRP	Approved by ADB in Dec-2020
4	Improvement of Phap Bao Lake	uRP	Approved by ADB in Dec-2020
5	Dredging of Co Co River	uRP/DDR	Approved by ADB in Feb-2022
6	Upgrading access road to Southern Cua Dai Bridge	DDR	Not yet completed

### 2.3 Information dissemination and community consultation

26. The first time of dissemination of information and community consultation was organized by HAPMU and design consultant during the FS process in 2013 & 2014 and then many consultation meetings and information disclosures have been conducted by HAPMU, LFDCs, CPCs and social safeguards consultants during preparation the RPs and DDRs for components in 2014 to 2019. The information dissemination through consultation meetings was conducted with communal authorities and representatives of affected HHs in their communes. In addition, as for ADB's requirement, the approved RPs were also disclosed to all affected households and other stakeholders, and the copies of these RPs were placed in the commune/and villages. The information disclosure process covers the following topics:

- i) Project technical design, AHs eligible for compensation and resettlement assistance.
- ii) Principle of compensation payment.
- iii) Consulting on the replacement cost, compensation payments and other entitlements of AHs as well as on additional assistance and allowances to the severely affected households, affected vulnerable group;
- iv) Gender and vulnerable group issues;
- v) Implementation schedule.

27. In order to ensure that affected households are able to participate in and understand the project information, as well as contribute ideas or recommendations to the project, HAPMU has implemented a variety of activities and methods of information dissemination as follows:

- i) Delivering the Project information leaflets to households;
- ii) Organizing community consultation meetings;
- iii) Notifying through community speaker system; and
- iv) Disseminating information through other commune and village meetings such as Women's Union, Veterans', Elderly's, Farmer's Union.

28. As results of all public consultation meetings, all attendants were aware of project benefits and they fully understood the information provided, especially information about policies and regulations relating to compensation and support of the project and they had no comments about these. In addition, during the implementation process of land acquisition for the components, the LFDCs held many other consultation meetings to (i) disseminate the Project information, (ii) notice of land acquisition plan, detailed measures survey (iii) public posting of DMS records and draft compensation plans. Therefore, the households affected by the Project are fully aware of the

project's construction activities and purposes as well as the project's policies on compensation, assistance and resettlement. No affected households have questions about the project information.

29. For component “Dredging of Co Co River”, the consultations were started from 2019 with the following details:

**Table5: Information of public consultation meetings during RP preparation**

Ward	Date	Discussed Issues	Total	No. of participants	
				Male	Female
Dien Nam Trung	19-Jun-2021	Scope of the project implementation; cope of impacts; schedule for land acquisition, compensation, assistance and resettlement; grievance redress mechanism for component	19	12	7
Dien Nam Bac	19-Jun-2021	Scope of the project implementation; cope of impacts; schedule for land acquisition, compensation, assistance and resettlement; grievance redress mechanism for component	16	11	5
Dien Duong	20-Jun-2019	Scope of the project implementation; cope of impacts; schedule for land acquisition, compensation, assistance and resettlement; grievance redress mechanism for component	29	20	9
Dien Ngoc	21-Jun-2019	Scope of the project implementation; cope of impacts; schedule for land acquisition, compensation, assistance and resettlement; grievance redress mechanism for component	29	12	17
<b>Total</b>			<b>93</b>	<b>55</b>	<b>38</b>

Source: RP approved in December 2019 for the Component.

30. In period from July to December 2021, the LFDC and HAPMU have organized many public consultation meetings for affected households affected by component “Dredging of Co Co River” from km14-km19 during DMS process and preparation the compensation plans such as public meetings for dissemination of the notification of land acquisition, consultation with AHs for DMS results, compensation plans and also consultation meeting with local authorities and households. During updating RP and DDR for component “Dredging of Co Co River”, 4 other public consultation meetings were held in December 2021 in the affected wards with stakeholders such as local officials, representatives of mass organizations such as women’s union; fatherland front and affected households. Other participants were from HAPMU, LFDC and PISC. A total of 58 persons (41 men and 17 women) participated in those meetings and consultations held in 4 communes/wards. The table below includes more details of the participants by communes.

**Table 6: Details of consultation participants by communes**

<b>Ward</b>	<b>Date of consultation</b>	<b>Participants</b>	<b>Meeting content/ Schedule</b>	<b>Participants' opinions</b>
Dien Nam Trung	03-Dec-2021	14 people (7 male and 7 female) including - Deputy Chairman of CPC - Chairman of Father Front - Cam Sa residential group chief - 11 AHs	- Introduce Project scope, objectives, location, and project implementation plan - Disclose information on environmental impacts, mitigation measures and environmental management plans during project implementation - Consult community' opinions on land acquisition, assistance, resettlement and environment of the project	- Local authorities and people are very supportive of Co Co River dredging component, but it is necessary to carry it out synchronously to avoid affecting residents' livelihood. -The resettlement area and resettlement schedule should be informed to AHs so that they have time to plan for moving. - The Project should conduct proper inventory, compensation and assistance policies for affected households should be in place; - The Project should be implemented soon because Co Co River is deposited and polluted.
Dien Nam Bac	04-Dec-2021	14 people (8 male and 6 female) including - Chairman of CPC - Secretary of Party - Cam Sa residential group chief - 11 AHs	- Introduce Project scope, objectives, location, and project implementation plan - Disclose information on environmental impacts, mitigation measures and environmental management plans during project implementation - Consult community' opinions on land acquisition, assistance, resettlement and environment of the project	- Local authorities and people are very supportive of Co Co River dredging component, but it is necessary to carry it out synchronously to avoid affecting residents' livelihood. -The resettlement area and resettlement schedule should be informed to AHs so that they have time to plan for moving. - The Project should conduct proper inventory, compensation and assistance policies for affected households should be in place; - The Project should be implemented soon because Co Co River is deposited and polluted.
Dien Duong	3-Dec-2021	Total 17 people (14 male and 3 female) including - Chairman of CPC - Chairman of Father Front - Secretariat of Party - Cadastral staff - Chief of residential area - 12 AHs	- Introduce Project scope, objectives, location, and project implementation plan - Disclose information on environmental impacts, mitigation measures and environmental management plans during project implementation - Consult community' opinions on land acquisition, assistance, resettlement and environment of the project	- Local authorities and people are very supportive of Co Co River dredging component, but it is necessary to carry it out synchronously to avoid affecting residents' livelihood. -The resettlement area and resettlement schedule should be informed to AHs so that they have time to plan for moving. - The Project should conduct proper inventory, compensation and assistance policies for affected households should be in place; - The project should be implemented soon because Co Co River is deposited and polluted.

Ward	Date of consultation	Participants	Meeting content/ Schedule	Participants' opinions
Dien Ngoc	04-Dec-2021	Total 13 people (12 male and 1 female) including - Chairman of CPC - Chief of residential area - 11 AHs	- Introduce Project scope, objectives, location, and project implementation plan - Disclosure of information on environmental impacts, mitigation measures and environmental management plans during project implementation - Consult community' opinions on the land acquisition, assistance, resettlement and environment of the project	- Local authorities and people are very supportive of Co Co River dredging component, but it is necessary to carry it out synchronously to avoid affecting residents' livelihood. -The resettlement area and resettlement schedule should be informed to AHs so that they have time to plan for moving. - The Project should conduct proper inventory, compensation and assistance policies for affected households should be in place; - The Project should be implemented soon because the Co Co River is deposited and polluted. - Regarding the AHs that have houses affected and should resettle: the Project should have policy to compensate, assist for the dismantling and for new housing in the resettlement area
<b>Total</b>		58 participants from community and 3 people from Quang Nam PMU and PISC		

Source: HAPMU, June 2022

31. In general, 100% of affected households knew about project implementation and they fully understood the project rationale and its compensation policy. All AHs also participated in all consultative meetings accordingly to all steps of land acquisition implementation done by the LFDCs/CARBs or CPCs.

32. The community consultation and information dissemination will be maintained by the PMU throughout the project process and updated in the next internal monitoring reports (if any).

## 2.4 Implementation process of DMS

33. As the project policy and in compliance with the policies of Government of Vietnam and ADB, the DMS has been conducted by LFDC with the supports from Wards/CPCs. At the beginning of the DMS process, the LFDC has announced the DMS planning to Wards/CPCs and affected households. All affected households have participated in the DMS process. The result of DMS of households were publicly posted at the office of communes as required by law. 100% affected HHs are provided the copy of the DMS records after signing on the DMS minutes done by the LFDC.

34. To the time of report, 02/06 subprojects have been completed 100% DMS and 02 subprojects have been completed 27% DMS before ADB's loan.

35. In the phase of ADB' loan, up to 06/2022, 3 other subprojects have been completed 100% DMS and 01 subproject has been completed 94% DMS.

36. For the subprojects that have been completed with the DMS before the ADB's loan:

- The DMS for the *Construction of an Access Road to Northern Cua Dai Bridge and the Construction of an Access Road to Southern Cua Dai Bridge* was completed 100%. The land acquisition was completed in 2014 and 2010 according to the findings in the DDR in 2014. The DMS was conducted by Hoi An PMU and Hoi An LFDC with the supports of the people's committees of the ward/commune in the project area. At the beginning of the DMS process, Hoi An PMU and Hoi An LFDC announced the DMS planning to the people's committees of the wards/communes in the project area to inform the affected households. All affected households participated in the DMS process. The DMS results of the affected households were publicly posted at the headquarters of the people's committees according to the regulations. 100% of the affected households were provided with copies of the DMS records after signing on the DMS minutes implemented by the PMU and the LFDC. The DDR for the *Construction of an Access Road to Southern Cua Dai Bridge* has not been prepared yet
- *Improvement of Provincial Road No.608*: 27% of the DMS and land acquisition was implemented in 2014. The Land Fund Development Center of Dien Ban town completed the DMS and inventory documents in accordance with the ADB's requirements.
- *Dredging Co Co river*: 27% of the DMS and land acquisition was implemented in 2014. The Land Fund Development Center of Dien Ban town completed the DMS and inventory documents in accordance with the ADB's requirements.

37. With regard to the works implementing the compensation and site clearance during the ADB financing period

- Improvement of Provincial Road No.608:
  - ✓ PR.608 sections: 266/266 households have been engaged in the DMS. The affected types include agricultural land, residential land, trees, crops, structures and house relocation.
  - ✓ DR14 sections: 167/167 households have been engaged in the DMS. The affected types include agricultural land, residential land, trees, crops, structures.
  - ✓ PR609 sections: 268/268 households have been engaged in the DMS. The affected types include agricultural land, residential land, trees, crops, structures and house relocation.
- Improvement of Lai Nghi Reservoir and Intake Culvert: 170/170 households have been engaged in the DMS.
- Improvement of Phap Bao Lake: 07/07 households have been engaged in the DMS.
- Dredging Co Co river: 361/401 households have been engaged in the DMS.

38. All affected households have participated in the DMS process. The result of households DMS were publicly posted at the office of communes as required by law. 100% affected HHs are provided with a copy of the DMS records after signing on the DMS minutes done by the LFDC. The table below presents the progress of DMS implementation.

**Table 7. Implementation process of DMS**

No.	Name of Subproject (SP)	DMS status	Notes
1	Construction of an Access Road to Northern Cua Dai Bridge	100%	The land acquisition completed before the ADB funding
2	Improvement of Provincial Road No.608	100%	Including the land acquisition completed prior to the ADB funding



No.	Name of Subproject (SP)	DMS status	Notes
3	Improvement of Lai Nghi Reservoir and Intake Culvert	100%	Only includes land acquisition during ADB loan period
4	Improvement of Phap Bao Reservoir	100%	Only includes land acquisition during ADB loan period
5	Dredging Co Co river 5.05km from Km14 - Km19	94%	Including the land acquisition completed prior to the ADB funding
6	Construction of an Access Road to Southern Cua Dai Bridge	100%	The land acquisition completed before the ADB funding.

Source: LFDCs, June 2022

## 2.5 Preparation and approval of compensation plan

39. The compensation plans have been prepared based on the final DMS results and the replacement cost issued by Quang Nam PPC. The draft compensation plans were publicly posted at the office of communes as required by law. The LFDC and CPCs also organized a public meeting with the AHs to finalize the compensation plans. After approving the compensation plans by Districts, these approved compensation plans were again publicly posted at the office of communes as required by law. 100% affected households have reviewed the compensation plans and kept the copy of the compensation plans and all affected households have agreed with the Project compensation policy and satisfied with the replacement cost for land, structures, crops and trees according to the replacement costs issued by Quang Nam PPC.

40. At this report period, the compensation plan have been completed for 100% Ahs of 02 subproject and 27% of 02 other subprojects among six components since its land acquisition has completed before ADB support. According to the ADB's safeguard policy, SPS 2009, the DDR have been carried out for 04 subprojects above: Construction of an Access Road to Northern Cua Dai Bridge (100% of affected households); Construction of an Access Road to Southern Cua Dai Bridge (100% of AHs); Improvement of Provincial Road No.608 (27% affected households); and Dredging Co Co river (27% affected households).

41. Besides compensation plans have completed before ADB support, the compensation of the remaining subprojects in the ADB funding period are as follows:

- Improvement of Provincial Road No.608:
  - PR.608 sections: The compensation plans have been made for 233/266 AHs.
  - DR14 sections: The compensation plans have been made for 83/167 AHs.
  - PR609 sections: The compensation plans have been made for 247/268 AHs.
- Improvement of Lai Nghi Reservoir and Intake Culvert: The compensation plans have been made for 152/170 AHs.
- Improvement of Phap Bao Lake: The compensation plans have been made for 7/7 AHs.
- Dredging Co Co river: The compensation plans have been made for 281/401 AHs.

## 2.6 Progress of Compensation and assistance payment

42. Till the report stage, the total compensation paid is VND 231,477775,348, of which VND 193,366,713,348 was compensated before the ADB financing and VND 38,111,062,000 was compensated in the ADB financing period. The details are in Table 8 below.

**Table 1: Implementation status of compensation payment**

No.	Components	Number of AHs	Number of AHs have received compensation	Compensation and assistance amount paid to affected AHs (VND)	Notes
1	Construction of an Access Road to Northern Cua Dai Bridge	235	235	108,167,732,000	This payment was made in 2014
2	Improvement of Provincial Road No.608	989	264	4,890,869,348	This payment was made in 2014
			524	24,710,625,000	
3	Improvement of Lai Nghi Reservoir and Intake Culvert	171	139	10,870,988,000	
4	Improvement of Phap Bao Reservoir	7	5	2,529,449,000	
5	Dredging Co Co river, from Km14-Km19	771	281	29,551,429,000	This payment was made in 2018
	Section Km14+00 – Km16+00		76	5,960,964,000	This payment was made in 2018
	Section Km16+00-Km18+900		166	19,551,429,000	This payment was made according to the compensation plan in 2018
6	Construction of an Access Road to Southern Cua Dai Bridge	538	538	25,244,290,000	This payment was made in 2010
	<b>Total</b>	<b>2,004</b>	<b>2,108</b>	<b>231,477,775,348</b>	

Source: Dien Ban LFDC, Hoi An PMU and Hoi An LFDC 06/2022

## 2.7 Process of resettlement and site clearance

43. By the time of the report, 2,497,286.5m<sup>2</sup> of land were handed over. Particularly, 1,952,752m<sup>2</sup> were handed over before the ADB financing and 544,534.5 m<sup>2</sup> were handed over by the ADB financing project.

44. There are 03 works that 100% of the site was handed over, including 02 works that were handed over in the period before the ADB financing: Construction of an Access Road to Northern Cua Dai Bridge and Construction of an Access Road to Southern Cua Dai Bridge; and 01 subproject handed over in the period of the ADB financing: Improvement of Phap Bao Lake. Other works are progressing the compensation and site clearance.

45. The specific land areas handed over in the period before the ADB financing are as follows:

**Table 9. The land area handed over before the ADB financing**

No.	Name of works	Total affected land area (m2)	Total of handed over land area (m2)	Notes
1	Construction of an Access Road to Northern Cua Dai Bridge	238,099.4	238,099.4	2014
2	Improvement of Provincial Road No.608	198,728.0	32,421.2	2012
5	Dredging Co Co river 5.05km from Km14 - Km19	785,017.6	182,036.80	2018
6	Construction of an Access Road to Southern Cua Dai Bridge	1,500,195.0	1,500,195.0	2012
<b>Total</b>		<b>2,722,040.40</b>	<b>1,952,752</b>	

Source: uRPs, DDRs

46. The works handed over during the ADB financing period is shown in table below.

**Table 10: The process of implementing resettlement and site clearance**

No.	Components	Total area of affected land (m2)	Total area of land handed over (m2)
2	Improvement of Provincial Road No.608	198,728.0	148.549.9
3	Improvement of Lai Nghi Reservoir and Intake	422,529	392.791
4	Improvement of Phap Bao Reservoir	4471	3,193.6
5	Dredging Co Co river 5.05km from Km14 - Km19	785,017.6	NA
<b>Total</b>		<b>1,410,745.6</b>	<b>544,534.5</b>

47. The land area to be handed over is parallel with the project construction progress. There is no construction on the land that has not been handed over by the households.

## 2.8 Resettlement and relocation

48. There are the total of 152 AHs to be relocated: Construction of an Access Road to Northern Cua Dai Bridge has 66 AHs; Improvement of Provincial Road No.608 has 50 AHs; and Construction of an Access Road to Southern Cua Dai Bridge has 36 AHs;

49. For 66 relocated households by the Construction of an Access Road to Northern Cua Dai Bridge and 36 relocated households by the Construction of an Access Road to Southern Cua Dai Bridge: The project owner had arranged for these households the land plots in a relocation site that was invested by Government, and it was not only for the affected households by the component but also for AHs by different projects in the communes. Basic infrastructures of the relocation site such as street-lighting system, electricity, water system, access roads, drainage system... have been constructed and well operated. The area of a plot is 100 – 150 m<sup>2</sup> depending on the area of affected residential land. Cost for the land plots in the relocation site that the households had to pay is equal to compensation rate paid for the affected residential land. All relocated households have received their land plots in this relocation site, built the new houses and stabilized their life..

50. Improvement of Provincial Road No.608: 50 households. It is expected that these households will be relocated to Dong Hanh resettlement site in Dien Minh commune. The resettlement site is estimated 3.58ha with the total of 160 land plots. The average area for 01 plot is 100-120m<sup>2</sup>. The estimated price of the resettlement site is 6 million/m<sup>2</sup>. Currently, the resettlement site has been approved by the People's Council of Dien Ban town via the public investment portfolio in Resolution No.37/NQ-HDND dated August 31, 2021, and is being submitted to the Department of Urban Management of Dien Ban Town for appraisal of the feasibility study report (the Urban Management Department has issued Document No.358/QLDT dated March 14, 2022 on the return of appraisal documents, approving the feasibility study report), but it is yet approved for the construction of the resettlement site..

**Table 4. Progress of land plots allocation in RS to relocated households**

No.	Subprojects	AHs required to relocate	Number of relocated AHs	% progress
1	Construction of an Access Road to Northern Cua Dai Bridge	264	66	100%
2	Improvement of Provincial Road No.608	220	50	0
3	Improvement of Lai Nghi Reservoir and Intake Culvert	0	0	0
4	Improvement of Phap Bao Reservoir	0	0	0
5	Dredging Co Co river 5.05km from Km14 - Km19	NA	NA	NA
6	Construction of an Access Road to Southern Cua Dai Bridge	144	36	NA
<b>Total</b>		<b>628</b>	<b>152</b>	

## 2.9 Restoration of AHs' livelihoods, rehabilitation and vulnerable groups

51. By the time of this report, a total of 257 AH<sup>1</sup>s will be continued to take part of the IRP which will be developed by the project. In term of livelihood restoration for the AHs, especially the severely affected households and vulnerable groups, some supporting activities have been implemented for the households affected by the components as below:

52. In addition to compensation for affected land and property, the Project has adopted adequate policies to support AHs as follows:

- For AHs livelihood restoration, especially SAHs and vulnerable groups, cash will be provided equivalent to 30 kg of rice per month or VND 500,000 for each household member for 3 months (AHs losing from 10% to 15%), 4 months (AHs losing from 15% to 30%), 6 months (AHs losing from 30% to 70%), and 12 month (AHs losing more than 70%).
- Allowances for job changing/creation will be provided at amount equivalent to 3 times of land price for affected land area.
- In case the AHs are classified as poor household by MOLISA's regulations, cash of VND 2,000,000 will be provided to insignificantly AHs and of VND 5,000,000 to SAHs.
- For other vulnerable groups, each AH will receive VND 2,000,000.

53. Regarding the requests from consulted AHs to take suitable and unskilled jobs during the project implementation, up to date a total of 205 members of AHs have been employed by the contractors for doing jobs such as earth digging, construction material transport, the construction site cleaning, etc. There are two types of salary payment: (i) Daily payment from VND 300,000/working day to VND 500,000/working day and (ii) Monthly payment from VND 8,000,000/month to VND 10,000,000/month plus supports for food and accommodation. The following table describes the number of AH member recruited by contractors under each component.

**Table 5. AH member recruited by contractors under components**

No	Components	Number of AH member recruited by contractors	Notes
1	Construction of access road to Northern Cua Dai Bridge	88	Completed
2	Improvement of Provincial Road TD608	96	
3	Improvement of Lai Nghi Reservoir and Intake	21	Constructed as part of component in Thanh Ha ward, Hoi An City
4	Improvement of Phap Bao Lake	34	
5	Co Co River dredging	2	
6	Upgrading access road to Southern Cua Dai Bridge		Not yet constructed
	<b>Total</b>	<b>205</b>	

<sup>1</sup> This number is only mentioning to new AHs who have been affected by land acquisition for components by using ADB loans, the AHs since 2021 have restored their livelihood and income as findings of DDRs.

54. As approved uRP for component "Improvement of Provincial Road DT608", an IRP has been being prepared for 155 AHs including severely affected households and vulnerable households with a total of VND 936,600,000. HAPMU will coordinate with the related agencies to do need assessment for affected households who are entitled to take part in the income restoration program (IRP) for the implementation. The Consultant will continue to update this information in the next monitoring reports.

## **2.10 Grievance and redress**

55. The grievance redress mechanism for land acquisition and resettlement of the Urban Environment and Climate Change Adaptation Project for Hoi An City has been established following the project policy and also the provisions of Land Law No. 45/2013/QH13; Law on Grievances No. 02/2011/QH13; and Decree No. 47/2014/ND-CP by the Government, Regulations on compensation, support, and resettlement upon land expropriation by the State.

56. A grievance resolving task-force has been established by Dien Ban Town and Hoi An City PC that consists of representatives of concerned city agencies (Natural resources and Environment; Construction; Finance, Justice; Labours and Social Affairs; and LFDC) and two representatives of AHs (one male and one female). The grievance resolving task-force will manage the Project grievance redress process and support the AHs for grievances to be addressed satisfactorily.

57. Grievance redress mechanism was included in consultations and discussions with affected households, and affected households agreed with the mechanism – this has been recorded in minutes of public consultative meetings. By the time of this report, there is no any grievance or complaints relating to land acquisition implementation for the Project.

### 3 CONCLUSION, RECOMMENDATIONS AND NEXT ACTION

#### 3.1 Conclusions and recommendations

58. In general, the land acquisition process for components is behind schedule. This may cause risk of slowing down the progress of preparation of social safeguards documents in particular and the progress of Project's implementation in general.

59. Although the Project has supporting activities for households to access construction contractors' jobs to create livelihood and income. However, the IRP is designed for the component of "Improvement of Provincial Road TD608" has been being prepared for the affected households, especially the severely affected and vulnerable households as approved uRP.

60. HAPMU will work closely with the LFDCs to speed up the progress of land acquisition for components and also coordinate with related agencies to implement the IRP for affected households.

#### 3.2 Next Action

61. The proposed actions that need to be taken over the next stages of the Project to ensure compliance with the ADB SPS or Project policies are as follows:

**Table 6. Proposed Actions and Timeframe**

Working Items	Next actions		
	Actions	Responsibility	Timeframe
Preparation the compensation plans and payment	Compensation plans and payment for AHs by components of (i) Improvement of Provincial Road TD608; (ii) Lai Nghi and Phap Bao reservoirs; (iii) Co Co River dredging	LFDC of Dien Ban and Hoi An city	By Q3/ 2022
Income restoration program	Implementation of the IRP for AHs	HAPMU/ PISC and Quang Nam PPC/Dien Ban Town PC	By Q3/ 2022
Support for severely affected households	Apply the support for the living stabilization and production for the households that are severely affected from 10-15% of productive land in accordance with the Project Policy Framework.	Quang Nam Traffic Works Investment and Construction Project Management Unit, Dien Ban LFDC and the CPCs	QIII/2022
Development of the resettlement site	Speed up the approval and construction of the resettlement site to make compensation for the relocated households.	Quang Nam Traffic Works Investment and Construction Project Management Unit, Dien Ban LFDC and the CPCs	QIII/2022





