

# Social Monitoring Report

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Bi-Annual Report (July–December 2015)  
February 2016

**KAZ: CAREC Corridor 2 (Mangystau Oblast  
Sections) Investment Program, Tranche 2  
(Reconstruction of Road Shetpe–Zhetybay–Aktau  
[170 Km])**

Prepared by SMEC International Pty in association with Sapa SZ LLP for the Ministry of Investments and Development of the Republic of Kazakhstan and Asian Development Bank.

# Re-construction of Road Shetpe-Zhetibay-Aktau (170Km)



**Republic of Kazakhstan**  
**Ministry of Investment & Development**  
**Committee For Roads**



LOAN NUMBER 2967-KAZ:

**MFF CAREC Transport Corridor 2: INVESTMENT PROGRAM-PROJECT 2**  
(Re-construction of Mangystau Oblast Road Sections Connecting Shetpe – Zhetibay - Aktau)

**Contractor: Cengiz İnşaat Sanayi ve Ticaret A.Ş.**

Contract 001 & 002 (Km 632.3-802.3)

**Bi-Annual**  
**Social Monitoring Report**  
July-December, 2015  
Prepared and Submitted by  
**Social Development Specialist**



**SMEC International Pty** in association with **Sapa SZ LLP** in accordance with the reporting requirements of the Contract for Consultant's Services No. 01-ADB/CSC-2014 dated 22 July 2014



*The views expressed in this report are those of the Social Development Specialist of the Consultant and they do not necessarily reflect the views of either the Client or the Donor.*

## Table of Contents

Abbreviations	2
Glossary	3
<b>1. INTRODUCTION</b>	<b>7</b>
1.1 General	7
<b>2. THE PROJECT DESCRIPTION</b>	<b>8</b>
<b>3. PRESENT STATUS OF CIVIL WORKS</b>	<b>10</b>
<b>4. COMPLETION REPORT ON LAND ACQUISITION AND RESETTLEMENT PLAN (LARP)</b>	<b>12</b>
4.1 The Land Acquisition and Resettlement Plan (LARP)	12
4.2 LAR-Related Conditionality	12
4.3 Land Acquisition & Resettlement Impact (Permanent)	12
4.4 New Cases for Land Acquisition and Resettlement	20
4.5 Land Acquisition & Resettlement Impact (Temporary)	28
<b>5. INTERNAL MONITORING OF LARP IMPLEMENTATION AND COMPLIANCE</b>	<b>33</b>
<b>6. PUBLIC CONSULTATIONS AND REDRESS OF GRIEVANCES</b>	<b>35</b>
6.1 Feedback of Consultation Processes	35
6.2 Redress of Grievances	42
<b>7. SOCIAL IMPACT MONITORING SURVEYS</b>	<b>43</b>
<b>8. CONCLUSION</b>	<b>43</b>
<b>9. REFERENCES</b>	<b>45</b>
<b>Annexure I</b>	
Terms of Reference (International Social Development Specialist)	46
<b>Annexure II</b>	
Updated Details of Affected Land Users Due To Permanent Land Acquisition	47

### Abbreviations

ADB	Asian Development Bank
AIDS	Acquired Immune Deficiency Syndrome
APs	Affected Persons
CAREC	Central Asia Regional Economic Cooperation
CfR	Committee for Roads
EA	Environmental Assessment
EMA	External Monitoring Agency
FS	Feasibility Study
IEC	Information Education and Communication
IFIs	International Financial Institutions
KZT	Kazakhstan Tenge
LAR	Land Acquisition and Resettlement
LARF	Land Acquisition and Resettlement Framework
LARP	Land Acquisition and Resettlement Plan
LARU	Land Acquisition and Resettlement Unit
LE	Legal Entity
LLP	Limited Liability Partnership
MODoR	Mangystau Oblast Department of Roads
MFF	Multi-Tranche Financing Facility
MIS	Management Information System
MID	Ministry of Investment and Development
PFR	Periodic Financing Request
PMC	Project Management Consultant
RCM	Road Construction Material
RD	Roads Department
RoK	Republic of Kazakhstan
ROW	Right of Way
TSA	Targeted Social Assistance

## Glossary

**Compensation:** Payment made in cash or kind to the project affected persons/households at replacement cost of the assets acquired for the project, which includes the compensation provided under the Land Code of the Republic of Kazakhstan (RoKCodeNo.442-II of 20 June 2003 and amended on 6 July 2007, Article 87.1 that refers stipulated in the Land Acquisition and Resettlement Plan (LARP).

**Cut off Dates:** These are the dates on which censuses of the project affected persons and their assets to be affected are commenced in a particular area. Assets like houses/ structures and others which are created after the cut-off dates, and the persons or groups claiming to be affected, become in eligible for compensation and assistance.

**Displaced Person (DP):** Any person who has to involuntarily change his/her place of residence and/or workplace or place of business/livelihood from the current location as result of the project. This may include moving out from his/her land or building.

**Encroacher:** A person who has extended his property into public land; a person who has trespassed on government land, adjacent to his/her own land or asset, to which he/she is not entitled, and derives his/her livelihood or extended his/her property prior to the cutoff date.

**Entitlement:** Refers to mitigation measures, which includes cash payments at replacement cost or through replacement land equal in value/ productivity to the plot lost and at location acceptable to APs where feasible as stipulated in the LARP. Entitlements include compensation for structure (permanent & temporary), crops, trees, business, wage, etc., for which compensation is already paid.

**Household:** A household is a group of persons who commonly live together with common in comes and take their meals from a common kitchen.

**Income Restoration:** Refer store-building the capacity of the project affected household store-establish income sources at least to restore their living standards to the pre-acquisition levels.

**Indigenous Peoples:** Indigenous Peoples are those who are identified in particular geographic areas based on these four characteristics: (i) self-identification as members of a distinct indigenous cultural group and recognition of this identity by others; (ii) collective attachment to geographically distinct habitats or ancestral territories in the project area and to the natural resources in these habitats and territories; (iii) customary cultural, economic, social, or political institutions that are separate from those of the dominant society and culture; and (iv) an indigenous language, often different from the official language of the country or region.

**Involuntary Resettlement:** The unavoidable displacement of people and/or impact on their livelihood, assets, and common property resulting from development projects that create the need for rebuilding their livelihoods, sources of income, and asset bases.

**Legal Entity:** Legally registered enterprise established by two or several individuals or companies vested with its separate property, rights and liability such as a limited liability partnership (LLP), and joint stock company (JSC). It also includes former Soviet collective farms that were re-privatized into collective enterprises, and production cooperatives.

**NGO:** Non-Government Organizations (NGO) are private voluntary organizations registered in Kazakhstan Government. There are about 2930 NGOs working in Kazakhstan performing activities to relieve suffering, promote the interests of the poor, protect the environment, provide basic social services, or undertake community development, etc.

**Participation/Consultation:** Defined as a continuous two-way communication process consisting of: 'feed-forward' the information on the project's goals, objectives, scope and social impact implications to the project beneficiaries, and their 'feed-back' on these issues (and more) to the policy makers and project designers. In addition to seeking feedback on projects specific issues, the participatory planning approach also serves these objectives in all development projects: public relations, information dissemination and conflict resolution.

**Physical Cultural Resources:** Defined as movable or immovable objects, sites, structures, groups of structures, and natural features and landscapes that have archaeological, paleontological, historical, architectural, religious, aesthetic, or other cultural significance. Physical cultural resources may be located in urban or rural settings, and may be above or below ground, or underwater. Physical cultural resources are important as sources of valuable scientific and historical information, as assets for economic and social development, and as integral parts of a people's cultural identity and practices. Their cultural interest may be at the local, provincial or national level, or within the international community.

**Project-Affected Person/Household/Legal Entities:** Persons/households/Legal Entities whose livelihood and living standards are adversely affected by acquisition of lands, houses, and other assets, loss of income sources and the like, due to undertaking of the project.

**Rehabilitation:** Refers to improving the living standards or at least re-establishing the previous living standards, which may include re-building the income earning capacity, physical relocation, rebuilding the social support and economic networks.

**Relocation:** Moving the project-affected households/Legal Entities to new locations and providing them with housing, water supply and sanitation facilities, lands, schools, and other social and health care infrastructure, depending on locations and scale of relocation.

**Replacement Cost:** The Asian Development Bank's Safeguard Policy Statement (SPS2009) describes

“replacement cost” as the method valuation of assets that helps determine the amounts insufficient replace lost assets and cover transaction costs. In applying this method valuation, depreciation of structures and assets is not taken into account. For losses that cannot easily be valued or compensated for in monetary terms (e.g. access to public services, customers, and suppliers; or to fishing, grazing, or forest areas), attempt share made to establish access to equivalent and culturally acceptable resources and earning opportunities. Where domestic law does not meet the standard of compensation at full replacement cost, compensation under domestic law is supplemented by additional measures necessary to meet there placement cost standard.

**Resettlement Plan (RP):** A time-bound action plan with a budget, setting out resettlement policy, strategy, objectives, options, entitlements, actions, approvals, responsibilities, monitoring, and evaluation.

**Severely Affected Households:** As per SPS 2009 households/ entities losing more than 10% of his/her income/productive assets called severely affected.

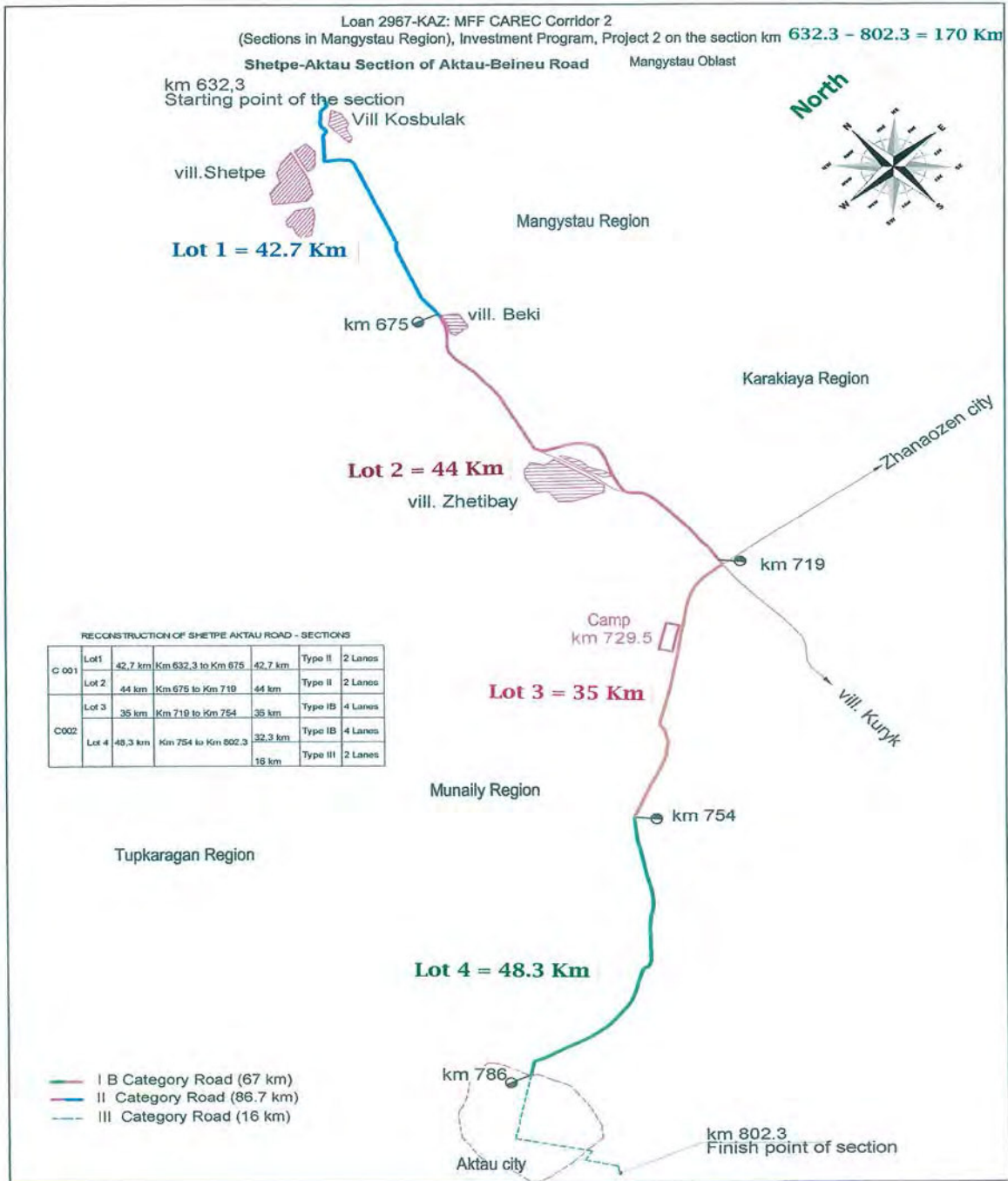
**Squatter:** Household or person occupying public lands without legal arrangements with the Government of Kazakhstan or any of its concerned agencies is a squatter to the lands.

**Stakeholder:** Refers to recognizable persons, and formal and informal groups who have direct and indirect stakes in the project, such as affected persons/households, shop owners, traders in road side markets, squatters, community-based and civil society organizations.

**State Land:** State lands are public lands those are not recorded in the name of any private citizen/entity of the country. Local Regional Akimina respective region is the custodian of all state lands in a district.

**Vulnerable Household:** Households with an average per capita income below poverty line (defined as 40% of the subsistence minimum) are considered vulnerable and are entitled to get the Targeted Social Assistance (TSA) from the Government. It includes very poor, women headed household, old & aged and handicapped.

## Project Layout Map 1



## **1. INTRODUCTION**

### **1.1 General**

The Central Asia Regional Economic Cooperation Program (CAREC) Corridor 2 is a very long route that passes through Azerbaijan, People's Republic of China, Kazakhstan, Kyrgyz Republic, Turkmenistan, and Uzbekistan. The proposed investment program will reconstruct 790 kilometers of roads in Mangystau Oblast of Kazakhstan under CAREC Corridor 2, which connect Kazakhstan to Azerbaijan, Europe and Turkey through the Caspian Sea to the west; to the Russian Federation to the north; to Uzbekistan to the south east; and to Turkmenistan to the south. Loans for this Investment Program are made by using a financing instrument referred to as a Multi-Tranche Financing Facility (MFF). The tool allows ADB to provide for smaller incremental loans referred to as "Tranches" rather than one large loan to finance all of the actions in the corridor at one time. The total project has been sub-divided into two tranches; Tranche-1 and Tranche-2. The project is co-financed by the Asian Development Bank (70%) and the Government of Kazakhstan (30%). Ministry of Transport and Communications (MOTC) is the executing agency of this project, and the Committee for Roads under MOTC is the implementing agency. This is the second social monitoring report covering progress achieved during July to December 2015.

This Bi-annual Social Monitoring Report is prepared on implementation of the land acquisition and resettlement plan (LARP) for Tranche-2 (169.97 km) which is divided into two sections. Section 1 (Category II) includes the entire route between Shetpe bypass start (km 632.3) to the end of Shetpe bypass 9km 644) and continuing to Zhetibay bypass (km 711-719) until joining the national highway from Zhanaozen and Turkmenistan to Aktau (km 719). Section 2 (Category I) includes the stretch between Zhetibay junction (km 719) westwards to Aktau urban periphery, at the junction at km 802.27. Tranche-2 is classified as Category B in terms of resettlement. A land Acquisition and Resettlement Plan (LARP) was developed and implemented for Tranche-2 by MOTC.

This report reflects status of land acquisition, compensation payment, and temporary use of land for the project activities; grievance mechanism and outcome as prescribed in the updated Land Acquisition and Resettlement Framework (LARF) of the project and LARP for Tranche-2.

Civil works for Tranche-2 are divided into four contract packages:

Package 1 (km 632.3 – km 675);

Package 2 (km 675 – km 719);

Package 3 (km 719 – km 754);

Package 5 (km 754 – km 802.27).

## 2. THE PROJECT DESCRIPTION

The Project aims at providing an efficient and safe road transportation system for the movement of passengers, goods and services in an environmentally sustainable manner. Overall, the Project will improve transport infrastructure of the oblast, provide improved corridor for the national and international movement of passengers and freight. It will facilitate regional trade, support poverty reduction while accelerating growth and development in the country as a whole, establish fast transportation link, and accelerate social and economic development while raising the living standard of local inhabitants. The road rehabilitation will further promote traffic safety. It will also contribute to reduction of overall emissions by eliminating/reducing the deceleration-acceleration cycles due to smooth road surface. In addition, operation of improved section will contribute to reduction of commercial risks during goods delivery, decrease in transport costs and passengers travel time. It should be also noted, that the Project will create temporary employment opportunities during construction works.

The Project comprises reconstruction of 169.97 kilometers between the settlement of Shetpe and the city of Aktau and its construction supervision. The road will be reconstructed in accordance with the national highway categories. Technically, the road length can be divided into 2 sections:

- Section 1 includes the entire route between Shetpe bypass start (km 632.3) to the end of Shetpe bypass (km 644), and continuing to Zhetibay bypass (km 711-719) up to the junction with the national highway from Zhanaozen and Turkmenistan to Aktau (km 719);
- Section 2 includes the stretch between Zhetibay junctions (km 719) westwards to Aktau urban periphery, at the junction at km 802.27.

The Civil works are divided into four contract packages:

- Package 1 (km 632.3 – km 675);
- Package 2 (km 675 – km 719);
- Package 3 (km 719 – km 754);
- Package 4 (km 754 – km 802.27).

The civil works involve the following:

- Up-gradation of section 1 (total length 85.44km) to Category II road between Shetpe bypass (km 632.3-km 644), and Zhetibay bypass (km 711-719). The section ends at junction on km 719. In this section, it is anticipated to construct the following:
  - Construction of 5 crossings for cattle / livestock;
  - Construction of 1 bridge;
  - Installation of 32 water pipes; and
  - Construction of 1 overpass
- Section 2 (total length 83.891 km) involves the stretch between Zhetibay Junction end (km. 719) westwards to Aktau urban periphery, at the junction at km 802.27. This section includes the followings:
  - Construction of 5 crossings for cattle / livestock;
  - Construction of 1 junction;
  - Installation of 33 water pipes;
  - Construction of 1 bridge on the river Ashiagar;
  - Construction of 1 overpass;

- Construction of 1 Railroad overpass

This section (km 719 – km 786) includes up-gradation of an existing road to technical category 1B (total length 68.296 km), with dual carriageway and with widening of the roadbed (27.5 m) on the top. It also includes construction of a road junction at the intersection of two levels of roads "Aktau - Zhanaozen" and "Shetpe - Kuryk" on km.720 with four-lane carriageways passing through the top of "Shetpe - Kuryk" road. Besides, this section (total length 15.595 km) belongs to category III passing urban periphery (km 786 - km 802.27) and involves repairing of carriageway and pavement. The road sections included in Tranche 2 are also a part of the East-West National Road Corridor, linking major economic areas with Europe and the People's Republic of China. The road sections pass through the area of Aktau town and three rayons (districts) - from east to west being Munaily, Karakia and Mangystau Rayons. The location of the proposed Project area within Mangystau Oblast in Western Kazakhstan is presented in Figure 1:



### 3. PRESENT STATUS OF CIVIL WORKS

The civil works for the project comprise the following road sections. Status of physical progress up to the end of December 2015 is as given in **Table 3.1**:

#### Section 1, km 632,3 – km 719 (Shetpe-Beki-Zhetibay):

Overall progress achieved for today on the work performed is 31.71%.

**Table 3.1. Status of physical progress at the end of December 2015**

NoSl. No	Work description	Unit	Total as per contract	Planned for 2015	Achieved in December	Cumulat. to date	Achieved in 2015 (%)
1	Earthworks	thousand m3	4 136.4	1 647.550	78 981	1 555, 807	94,43
2	Sub-base h-21	km	85.4	31.0	1,15	37,77	121,8
3	Base h-15	km	85.4	31.0	3,81	35,39	114,1
4	High Porous course asphalt Concrete h-12cm	km	85.4	31.0	3,81	31,61	101,9
5	Porous Asphaltic concrete base course h-10cm	km	85.4	31.0	3,78	31,57	101,8
6	Wearing course h-5 cm	km	85.4	-	-	-	-

#### Section 2, km 719 – km 802,27 (Zhetibay-Asyagar-Aktau):

Overall progress achieved for today on the work performed, is 23.19 %.

Sl No	Work description	Unit	Total as per contract	Planned for 2015	Achieved in December	Cumulative to date	Achieved in 2015 (%)
1	Earthworks	thousand m3	5 219.9	3 198.4	51.50	3040.80	95.0
<b>2</b>	<b>NEW CARRIAGEWAY SIDE OF AXIS</b>						
3	Sub-base h-20cm	km	68.3	18.5	1.58	24.25	131.0
4	Base h-20cm	km	68.3	18.5	1.04	23.11	124.9
5	High Porous asphalt concrete h-12cm	km	68.3	18.5	1.46	22.72	122.8
6	Porous Asphaltic concrete base course h-	km					

	10cm		68.3	18.5	2.81	21.75	117.5
7	Wearing course h-5 cm	km	68.3	-	-	-	-
<b>EXISTING CARRIAGEWAY SIDE of Axis</b>							
8	Sub-base h-20cm	km	68.3	18.5	0.06	19.00	102.7
9	Base h-20cm	km	68.3	18.5	0.5	18.98	102.6
10	Porous course asphalt mixture h-12cm	km	68.3	18.5	0.78	18.77	101.4
11	Asphaltic concrete base course h-10cm	km	68.3	18.5	0.74	18.64	100.7
12	Wearing course h-5 cm	km	68.3	-	-	-	-
<b>13</b>	<b>Repair of existent pavement 786-802 km, Aktau</b>						
14	Asphaltic concrete base course h-7cm	km	15.6	15.6	3.01	15.48	99.8
14.1	Wearing course h-5 cm	km	16.0	16.0	-	-	-
15	Bridges & overpasses	Units	3	23.3	0.2	0.2	
16	Culverts	Units	53	21		17	80.9

## **4. COMPLETION REPORT ON LAND ACQUISITION AND RESETTLEMENT PLAN (LARP)**

### **4.1 The Land Acquisition and Resettlement Plan (LARP)**

This Land Acquisition and Resettlement Plan (LARP) was updated under (CAREC) Corridor 2 (Mangystau Oblast Section) Investment Program, Project 2 for Asian Development Bank. It aims to provide assessment of the Project impacts and plan needed compensation/rehabilitation measures. Its preparation involved: (i) detailed measurement surveys, (ii) asset valuation/documentary research on affected plots, (iii) consultation with rayon governments, and displaced parties; and (iv) a census / socio-economic survey of displaced persons (DPs). It should be noted that most LAR activities are already completed. This LARP also consists of corrective actions to fill-in gaps in the previous work. This LARP addresses relevant Kazakhstan legislation and the ADB's Safeguard Policy Statement of 2009 (SPS 2009).

The affected persons (APs) for this Project were originally identified based on the feasibility study for Beineu-Aktau Road section carried out by Kazakhstan Scientific Research and Design Institute "Dortrans" LLP in 2010 and final design for Shetpe-Zhetibay-Aktau road section developed by the "Engineering Center Astana" LLP in 2011.

Corridor of impacts was defined and list of affected land plots and structures and their owners was prepared during the design (with involvement of Mangystau branch of Land State Scientific and Production Center for Land Management "GosNPTsZem"), and the licensed valuation company "Center of development of new educational and legal technologies" LLP was hired by design organization to provide valuation of affected assets. The valuation reports were prepared and submitted to Joint Stock Company (JSC) "NC KazAutoZhol" (formerly named as Mangystau Oblast Department of Committee of Roads) along with the design documentation in January 2013. Based on above mentioned reports, more precise road design (January 2013) revealed some changes in land owners/users. The effect of this on the AP's has been checked in the new socio-economic survey conducted by Project Management Consultant (PMC) for the Tranche-2 in June-July 2014. Since then, the LARP has been updated after the supplementary census /socio-economic survey of displaced persons (DPs) and based on official data obtained from state institutions.

### **4.2 LAR-Related Conditionality**

Based on ADB policy and practice, the appraisal of the project and the draft LARP, signing of contract awards and civil works implementation are subject to the following conditions: Contract awards signing and LARP implementation: i) approval of the final/implementation-ready LARP by ADB and the Government;

Provision of no-objection to initiation of civil works: i) full implementation of the compensation program described in the LARP including the full delivery of compensation to the DPs and ii) satisfactory review by ADB and the Government of the LARP completion report.

### **4.3 Land Acquisition & Resettlement Impact (Permanent)**

After the disintegration of Soviet Union, all land in Kazakhstan came under the state ownership. Kazakhstan laws and regulations regarding land and landownership are derived from the Constitution,

which states that land (surface and underground) is owned by the State, but can also be privately-owned (Article 6.3). Article 26.3 also states that no one may be deprived of property unless stipulated by a court decision. Forceful expropriation of property for public use in extraordinary cases stipulated by law may be exercised on condition of its equivalent compensation.

A summary of land acquisition and resettlement impact is presented in **Table 4.1**.

**Table 4.1: Summary of Land Acquisition and Resettlement Impacts**

No.	Description	Number/ Quantity (in ha)
1	Total number of permanently affected land plots a. Leased lands (natural pastureland, industrial, commercial lands) b. Privately owned lands	22 12 10
2	<b>Total land (ha) needed for the project</b> a. Area of land to be taken from state reserved lands (ha) b. Area of land to be taken from private and leased land (ha)	373.40 279.1292 94.2708
3	<b>Total area of land to be acquired permanently (in ha)</b> <b>Including:</b> a. Leased lands (natural pastureland, industrial, commercial lands) b. Privately owned lands	94.2708 70.9917 23.2791
4	Total area of residential land to be acquired permanently (in ha)	0.184
5	Total area of commercial land to be acquired permanently (in ha)	1.2108
6	Total area of agricultural land to be acquired permanently (in ha)	77.8307
7	Total area of industrial land to be acquired permanently (in ha)	15.0453
8	Total number of affected households and legal entities	22
9	Total number of permanently affected legal entities	18
10	Total number of permanently affected households	4
11	Total number of affected structures (permanent)	3
12	Total number of households and legal entities who need to relocate their houses/business structures-2 residential and 1 gas station/café	3
13	Total number of households who need to relocate their houses	2
14	Total number of and legal entities who need to relocate	1
15	Total number of APs (including members of households and workers)	36
16	Total number of affected workers	5
17	Total number of vulnerable households	1

**AP: Affected Persons**

**Table 4.2: Number of Permanently Affected Plots by Land-Type and Ownership Status**

Land Type	Number of affected plots by ownership status		Total number of plots	Ownership status of acquired plots (in ha)		Total (in ha)
	Private Land	Leased Land		Private	Leased Land	

		on long-term basis (for 49 years)			on long term-basis (for 49 years)	
Residential Land	2	-	2	0.184	-	0.184
Agricultural Land	2	7	9	21.217	56.614	77.8307
Commercial Land	3	-	3	1.2108	-	1.2108
Industrial Land	3	5	8	0.6671	14.3782	15.0453
<b>Total</b>	<b>10</b>	<b>12</b>	<b>22</b>	<b>23.2791</b>	<b>70.9917</b>	<b>94.2708</b>

**Table 4.3: Number of Permanently Affected HHs & Legal Entities by Land-Type and Ownership Status**

Land Type	Private Owners	Leased Land	Total
Residential Land	<b>2 HH</b>	HH-	<b>2 HH-</b>
	- LE	LE	LE
Agricultural land	HH	HH -	HH-
	<b>2 LE</b>	<b>7 LE</b>	<b>9 LE</b>
Commercial Land	HH	HH-	HH-
	<b>3 LE</b>	<b>LE</b>	<b>3 LE</b>
Industrial Land	<b>2 HH</b>	HH-	<b>2 HH</b>
	<b>1 LE</b>	<b>5 LE</b>	<b>6 LE</b>
<b>Number of HH/LE</b>	<b>4 HH</b>	<b>HH-</b>	<b>4 HH</b>
	<b>6 LE</b>	<b>12 LE</b>	<b>18 LE</b>
<b>Total 22</b>			

As **Table 4.3** shows, 4 affected parties (3 LEs, 1 HH) have lost more than >10% of their land. Out of these, 1 LE has privately owned commercial land, 1 HH has privately owned industrial land and 2 LEs have leased industrial land. In these lands, no structure exists.

**Table 4.4: Names and Quantity of HH and LE Losing More Than 10% of Land**

N	Land-use category / Name of AP	Location	Status(HH/LE)	Total land- holding (ha)	Acquired land (ha)	Land type	Percentage from total land- holding (%)
<b>Privately Owned Land</b>							
1	Utesinov A.I.	Munaily Rayon	HH	1	0.3252	Industrial Land	32.52

2	Tlegenov N. T.	Mangistau Rayon	LE	0.015	0.0058	Commercial Land without any structure	38.67
<b>Leased Land</b>							
3	Aktautas" JSC ( Long term lease for 49 years)	Karakia Rayon	LE	12.9	1.5194	Industrial Land	11.78
4	"Managistau Road Building Materials Enterprises" JSC (Long term lease for 49 years – till 2054)	Mangistau Rayon	LE	24.0078	3.5978	Industrial Land	14.986
<b>Total</b>			<b>1 HH 3 LEs</b>		<b>5.4482</b>		
<b>Affected Structures</b>							
1	Ersyltanova A.	Mangistau Rayon	HH	0.084	0.084	Residential Land	100
2	Mukhanova Zh.	Mangistau Rayon	HH	0.1	0.1	Residential Land	100
3	Mendikulova G.	Karakia Rayon	LE	132.2	132.2	Commercial Land	100
<b>Total</b>			<b>2 HHs 1 LE</b>	<b>132.384</b>	<b>132.384</b>		

As mentioned in Table 4.5 below, a total of 77.8307 ha agricultural land have been acquired by the project, out of which 56.614 ha of land have been leased on long term basis (for 49 years) by 7 (seven) affected farms/legal entities. Besides, 2 LEs also privately own 21.217 ha of agricultural land. These lands are mostly dry and rain-fed and are primarily used as pasture for camel and other cattle. Details of the lease holders and private owners are listed in **Table 4.5**.

**Table 4.5: LEs Losing Agricultural Land**

No.	Owner	Location	Owner LE	Initial Land (ha)	Acquired area (ha)	Land Usage
<b>Leased land on long term basis (for 49 years)</b>						
1	Kyzylbaev K.N	Munaily rayon	LE - peasant farm	1200	1.1176	-
2	Meyrmanova U.	Munaily rayon	LE- peasant farm	3106.5	3.4743	-
3	Meyrmanov Zh.	Munaily rayon	LE- peasant farm	1000	8.5122	-

4	U.Karzhaubayeva	Munaily rayon	LE-peasant farm "Elaman"	1403	1.6852	-
5	"Baytubetov"	Munaily rayon	LE - peasant farm	1363.88	39.966	-
6	A. Kalmuratov	Munaily rayon	LE-peasantfarm"Erik"	100	0.0205	-
7	Zhumakhanov N.	Mangistay rayon	LE-peasant farm "Kozha"	1500	1.8377	-
<b>Sub-total</b>			<b>7 LEs</b>	<b>9673.38</b>	<b>56.6135</b>	
<b>Private land</b>						
8	Tyretayev N.	Mangistay rayon	LE-Peasant farm "Simbat"	2000	0.0922	-
9	Tastemirov I.	Munaily rayon	LE-PeasantFarm"Madi"	1915.1	21.125	-
<b>Sub-total</b>			<b>2 LEs</b>	<b>3915.1</b>	<b>21.2172</b>	
<b>Total</b>			<b>9LEs</b>	<b>13588.48</b>	<b>77.8307</b>	

Here, it is to be mentioned that LE/ Farm "Kozha" (Zhumakhanov N.) from village Shetpe, Mangastau Rayon, who has leased agricultural land on long-term basis (for 49 years) agreed (in writing) to provide a part of his leased land (1.8377 ha) voluntarily for the project purpose without receiving any compensation. A letter (with signature) of request confirming that was received by the authority on 06.08.2014. As these lands are completely barren, no trees or crops are being affected in the abovementioned transactions.

In total 15.0453 ha industrial land is affected by the project. These lands belong to 8 owners/leaseholders consisting of 5 (five) LEs leased industrial land, and 1 LE and 2 (two) HHs privately owned industrial land. For details, please see **Table 4.6**.

**Table 4.6: LEs and HHs Losing Industrial Land**

No.	Owner	Location	LE	Initial Land (ha)	Acquired area (ha)	Land Usage
<b>Leased land on long term basis (for 49 years)</b>						
1	"Mangistau RoadBuilding Materials Enterprises" JSC	Mangistau rayon	LE	24.0078	3.5978	Operating space, production site
2	"MMG" JSC	Karakia rayon	LE	4629.37	8.1721	User of mineral resources (oil production)

3	“Ulutas” JSC	Karakia rayon	LE	50	0.4726	Quarry
4	“Aktautas” JSC	Karakia rayon	LE	12.9	1.5194	Quarry
5	“KurykKurylysServis”	Munaily rayon	LE	10	0.6163	Power grid company
<b>Sub-Total</b>			<b>5 LEs</b>	<b>4726.2778</b>	<b>14.3782</b>	
<b>Private land</b>						
6	Kydairbegenov Zh. "Bayterek"	Mangistau rayon	LE	5	0.2203	-
7	A.I. Utesinov	Munaily rayon	HH	1	0.3252	-
8	Shermagambetov Sh.K.	Munaily rayon	HH	1.5	0.1216	-
<b>Sub-Total</b>			<b>1 LE 2 HHs</b>	<b>7.5</b>	<b>0.6671</b>	-
<b>Total</b>			<b>6 LEs 2 HHs</b>	<b>4733.7778</b>	<b>15.0453</b>	

Here, it is to be noted that 3 (three) LEs leased industrial land on long-term basis (for 49 years) voluntarily agreed (in writing) to provide a part of their leased land for project purposes without compensation. They are "Mangistau Road Building Materials Enterprises" JSC (3.5978 ha)-Mangistau rayon; “Ulutas” JSC (0.4726 ha), Karakia rayon and “MMG” JSC (8.1721 ha), Karakia rayon.

In total 1.2108 ha privately owned commercial land is affected by the project. The commercial land is owned by 3 (three) LEs. Out of these 3 commercial lands, two (2) are unused, where no structure is built. For details, please see **Table 4.7**.

**Table 4.7: LEs Losing Commercial Land**

No.	Owner	Location	LE	Initial Land (ha)	Acquired area (ha)	Land Usage
<b>Private land</b>						
1	Tlegenov N. T.	Mangistay rayon	LE	0.015	0.0058	No structure
2	Otemyratova G.	Karakia rayon	LE	0.6	0.6	No structure
3	Mendiculova G.D.	Karakia rayon	LE	0.05	0.605	Gas station with café-bar "Gularsha"
<b>Total</b>			<b>3 LEs</b>	<b>1.22</b>	<b>1.2108</b>	

Out of these 3 owners 1 LE (Tlegenov N.T.) lost more than 10% of his commercial land. There is no structure built on the land plot and it was not in use at the time of possession. By decision of Mangistau rayon akimat (№849, 06.08.2014) the owner LE (Tlegenov N.T.) has been provided with land plot equal in size (0.015 ha) to the land plot lost (0.015 ha).

1 LE (Otemyratova G.) privately owned commercial land (0.6 ha) in Karakia rayon has been provided with a land plot of equal size (0.6 ha) to the land plot lost (0.6 ha). Similarly, no structure was built on

her land plot during the time of possession and the land was not used for commercial purposes. The commercial land of 1 LE (Mendikulova G.D. - Gas station with café-bar "Gularsha") was evaluated by licensed valuation company LTD "valuation of property" in 18.10.2013 based on the market value (as of 18.10.2013). The owner Mendikulova G.D. was compensated an amount of 27 360 784KZT after independent valuation of the property was made.

A total of 3 structures are affected by the project implementation. These structures are owned by 2 households and 1 legal entity respectively. The structures include 2 houses (with extensions) and one gas station with cafe-bar "Gularsha". For details, please see **Table 4.8**.

**Table 4.8: Affected Structures**

Structure	Type of materials used	Number of structures	Total area (m2)	Number of displaced HH and LEs
House <sup>1</sup>	Cement - concrete	1	0.1	1 HH
House	concrete and coquina limestone	1	0.084	1 HH
Gas station with café bar <sup>2</sup>	concrete	1	132.2	1 LE
<b>Total</b>		<b>3</b>	<b>132.384</b>	<b>2 HH 1 LE</b>

**Vulnerable DPs:** In Kazakhstan, as per the law on State Targeted Social Assistance (N246 II dated 17 July 2001), a family is considered as poor and vulnerable, if having an average per capita income below the poverty line (defined as 40% of the subsistence minimum); families with 4 or more children below 18 years old; household headed by widow, elderly or with disabled family members. As of July 2014, the official subsistence minimum income of HH in Kazakhstan is 20 176 KZT per capita. In case the HH has an income less than 80,704 KZT per month (average size of HH consisting of 4 members), then they are declared as poor and vulnerable and are entitled for targeted social assistance of the government. One household (Mukhanova Zh. - Mangistay rayon) was identified as vulnerable during the socio-economic survey. The HH is headed by a widow woman of 75 years old.

**Table 4.9: Vulnerable Households Identified**

Vulnerability Criteria	Number of HH
Income below subsistence minimum	-
With disabled HH member	-
With 4 or more children below 18 years	-
Headed by elderly	1
<b>Total</b>	<b>1</b>

**Business and Employment Loss:** As far as business and employment loss is concerned, 1 legal entity Mendikulova G. (Gas station with café bar "Gularsha") lost her commercial structure and consequently lost her income from business activity. As a result, 5 (five) workers were affected those who were working there permanently. Average income of these workers were in the range of about 63 000 KZT per month. As the affected LE has several branches of the Gas station "Gularsha" in Mangistay oblast, all these workers were rehabilitated by the same owner in her other branches.

<sup>1</sup>According to valuation reports both houses include extensions. The sizes of such extensions are included in the overall size of the respective house.

<sup>2</sup>The data for the "gas station with café-bar" cannot be separated to "gas station" and "café-bar" sub-categories, as all available data (regarding size of the land plot, structure, etc.) is combined in total figures for the whole structure.

## Structures Loss:

In total, 3 structures are affected by the Project. These structures belong to 2 households and 1 LE. Structures, affected by the project, include 2 houses (with outbuildings) and 1 petrol station with café.

## Households

- On 31.05.2013 the contract was signed between district department of land relations and licensed evaluation company “Mangystau center of auctions and property evaluation” LLP.
- On 01.07.2013 the assessment of structures (2 houses) was produced by the licensed evaluation company. According to the assessment, compensation in the amount of 7,732,400 KZT should be paid for the owner Mukhanova Zh. and 5,249,300 KZT for the owner Ersultanova A.
- On 15.07.2013 reports on evaluation of both structures were prepared and submitted to Akimat of Mangystau rayon. On both affected houses, the compensation has been determined as per market value (as of 01.07.2013). Evaluation process includes only an assessment of buildings, but not the land.
- On 02.08.2013 the Decree (No.297) of Akim of Mangystau rayon was issued, regarding land acquisition, for the purpose of permanent use for the state needs.
- On 16.08.2013 Mangystay rayon department of Land relations sent notifications to the owners/leasers of land, which were signed by the affected parties in the period from 20.08.2013 to 28.08.2013.
- On 28.08.2013 local newspaper “Zhana Omir” No.39 (9993) published notification about commencement of land acquisition process.
- On 10.06.2014 Contracts on compensation were signed between the owners (Mukhanova Zh., Ersultanova A.) and rayon department of land relations.
- On 12.06.2014 Justice Administration registered a contract on compensation between Ersultanova A. and Mangystau rayon department of land relations (13-198-022-224).
- On 17.06.2014 Justice Administration registered a contract on compensation between Mukhanova Zh. and Mangystau rayon department of land relations (13-198-002-462).
- On 19.06.2014 PMC sent the letter to Mangystau rayon department of land relations, with the request to provide lands of equal value to 2 permanently affected households (Mukhanova Zh., Ersultanova A.).
- On 20.06.2014 Mangystau rayon department of land relations made decision to compensate lands of equal value for the owners (Mukhanova Zh., Ersultanova A.) and to assist in shifting and transportation of construction materials to new place.
- On 20.06.2014 Head of rayon department of land relations Mr.Kozhayev E. informed Mukhanova Zh. and Ersultanova A. about this decision. Both of them were provided with copies of resolution. International and National Consultants of PMC attended the meeting.
- On 30.06.2013 the owner Ersultanova A. received cash compensation in the amount of 5,249,300 KZT (N4632754/14-03).
- On 01.07.2014 the owner Mukhanova Zh. received cash compensation in the amount of 7,732,400 KZT (N 4632754/14-02).
- Mangystau rayon Akimat informed both HHs (Ersultanova A., Mukhanova Zh.) about their rights to release houses within 3 months in the early July 2014 (till the end of September 2014).

According to the decision of Mangystau rayon Akimat (No.849, 06.08.2014), Akimat provided equal lands to HHs (Ersultanova A. – 0.084 ha, Mukhanova Zh. – 0.1 ha) and covered all the costs, concerned with registration of lands up to 15<sup>th</sup> of September 2014. As well, Mangystau rayon Akimat supported 2 HHs in transportation and assisted in shifting of construction materials till the end of September 2014.

#### 4.4 New Cases for Land Acquisition and Resettlement

Between August 2014 and June 2015, four new cases have been found, out of which three are business establishments and one is a private structure. The details of these cases who have staked a claim for compensation and resettlement are as follows:

- i) Car wash “Eurasia”, which falls on the stretch of road, according to the scheme no.1. This business structure was built approximately in summer 2015. As per the data of authorized state agencies, this section is not affected. However, according to the information after contractor’s measurements, this section falls under the significant impact. At present social specialists CSC and PMC try to solve this issue. The level of impact on Car wash “Eurasia” is presented in Scheme no.1.
- ii) Shed for camel (private property), which belonged to Zholdosboyev Isdibay and located on PK 34 has been demolished. The owner received from local Akimat equal land (860 sq.m.) near the old section. The Contractor supported the owner in shifting of this structure and at present new shed is constructed. After completion of all works by the Contractor, the owner was satisfied and there were no any complaints regarding this issue.
- iii) Fuel station, commercial structure, which was in non-operation condition for a long time, is situated on PK 0. This structure was not included into the LARP, as it was not mentioned in the land tenure scheme of Scientific-production center of land relations. At the moment, the owner and local Akimat agreed to allocate new land, and new equal land was given near the old location. Some abandoned structures, which the owner wanted to shift at his own cost, will be shifted with the Contractor’s support. Agreements concerning this issue were achieved between the owner, Akimat and Contractor. There were no any complaints from the owner’s side.
- iv) Café “Raihan”, the commercial structure, is fully operating, and it is a needed place for people to stop, who are travelling from Aktau to Zhetibay. It is situated in Lot 3, PK 264. This café is also located closely to the tourist zone. At present time this section is partly affected, there is no approach way to this café. Regarding this issue, the owner of café, Akimat and Contractor made agreements and a decision was made to arrange additional approach ways to this café along with resting area, toilets, signboards about cleanliness and touristic information on the section. Scheme no.2 reflects a decision of approach ways to the café “Raihan”.

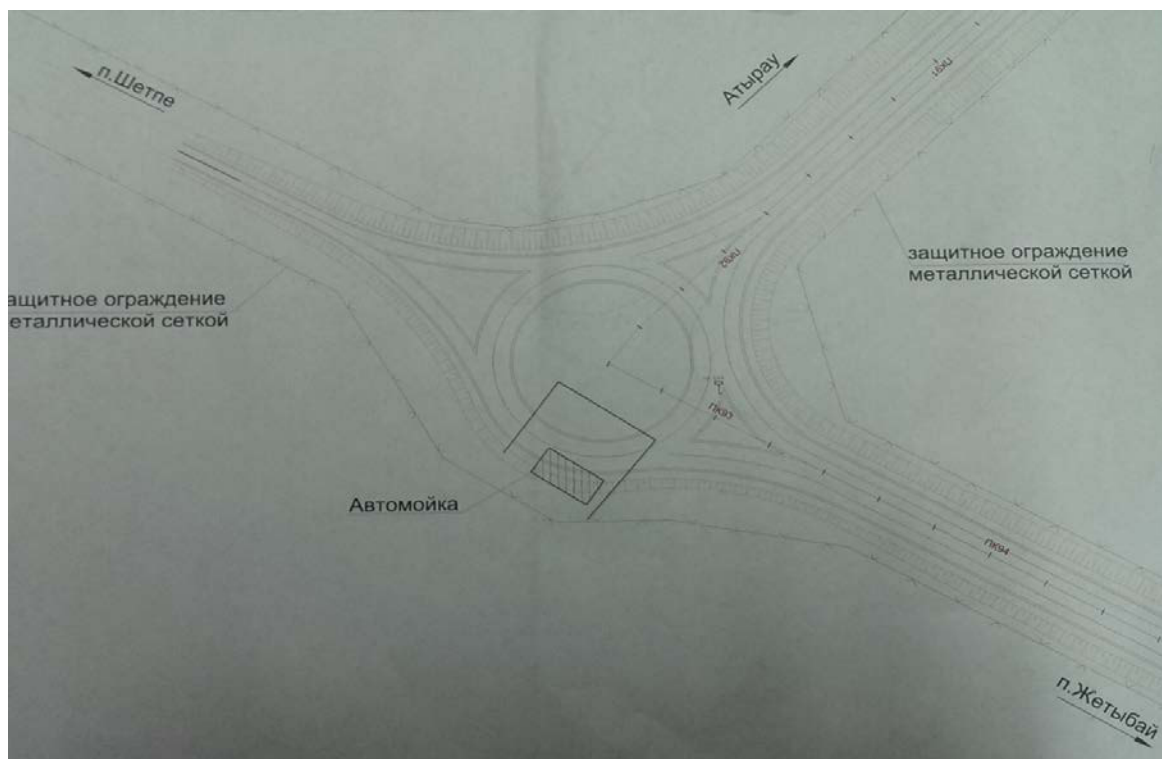
**Table 4.10. Action plan on new identified impacts**

No.	Section	Measures
1	Car wash “Eurasia”, PK 92, is affected according to the scheme No.1.	At the moment the Contractor prepared three options of scheme (vide Scheme No.2) of changing design on reducing belt road for solving problem of impact. If the Designer approves one of proposed schemes, then impact on car wash “Eurasia” will be excluded. In this case, a change in LARP is inadvisable. Payment of compensation is not required.
2	Café “Raihan”, PK 264, Impact: limited access roads	During project realization, café “Raihan” lost access ways. After negotiations with the owner of cafe, he expressed a desire to continue the business. In this case, with agreement of

		<p>the designer, scheme of approach ways (vide Scheme No.3) was prepared and agreed with the owner of café. Agreements have been reached, there are no complaints. At the moment the Contractor has organized temporary approach ways to the café to avoid financial losses of the owner. In this case, a changing in LARP is inadvisable. Payment of compensation is not required.</p>
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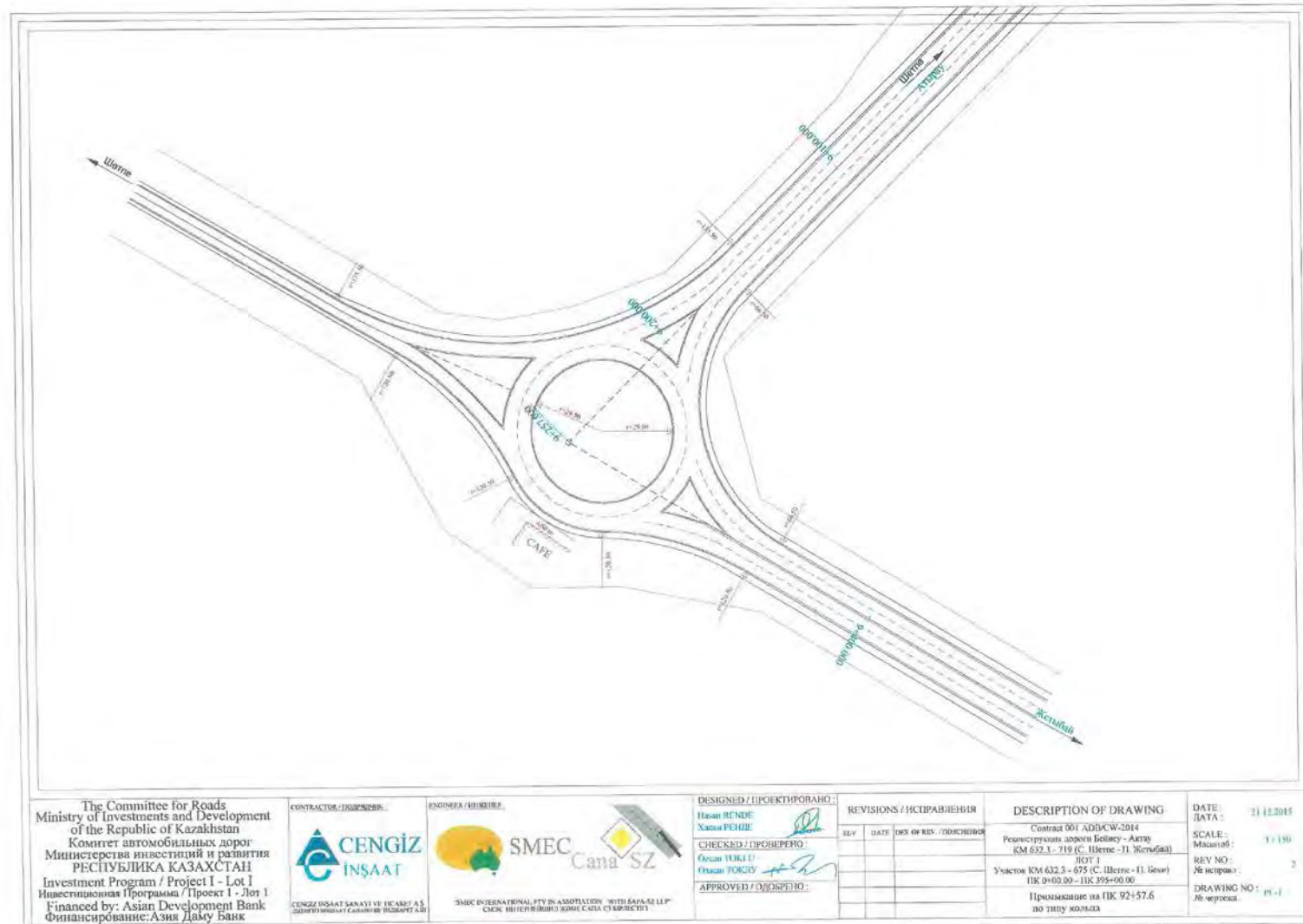


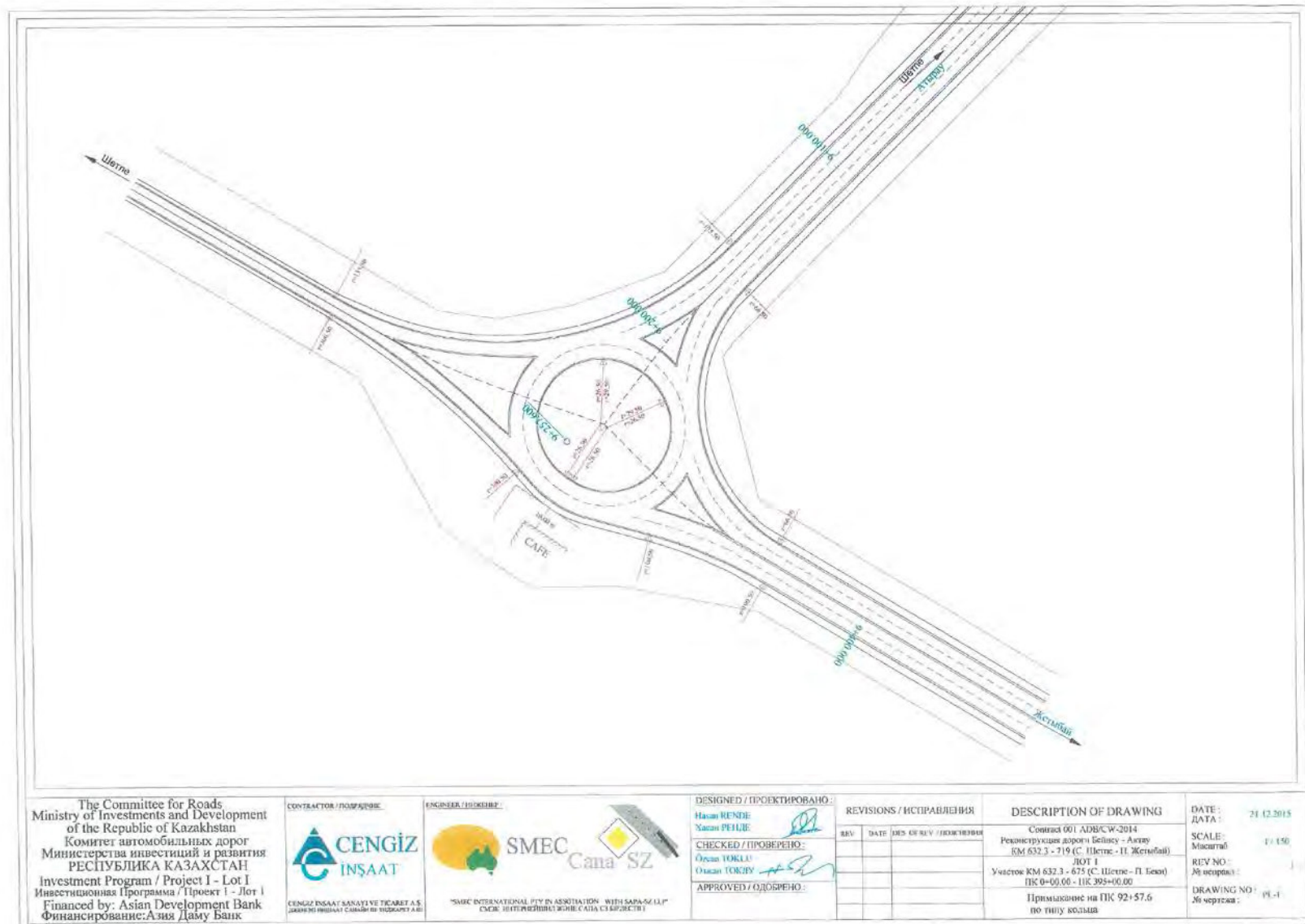
Car wash “Eurasia”

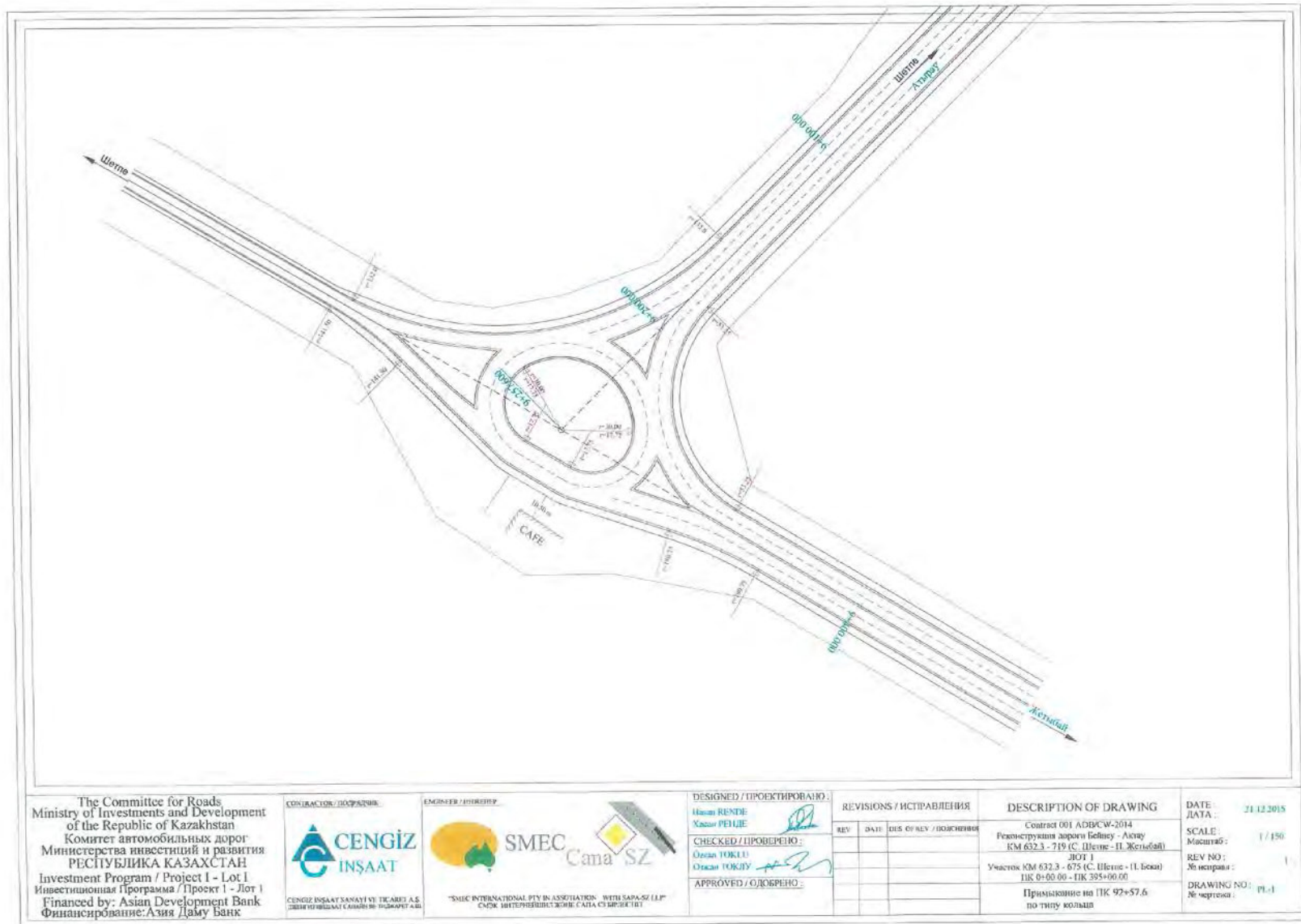


**Scheme No.1. Level of impact on car wash “Eurasia”**

### Scheme No.2. Changing design on reducing belt road







The Committee for Roads  
Ministry of Investments and Development  
of the Republic of Kazakhstan  
Комитет автомобильных дорог  
Министерства инвестиций и развития  
РЕСПУБЛИКА КАЗАХСТАН  
Investment Program / Project 1 - Lot 1  
Инвестиционная Программа / Проект 1 - Лот 1  
Financed by: Asian Development Bank  
Финансирование: Азия Даму Банк

CONTRACTOR / ПОДПИСАТЕЛЬ  
**CENGİZ**  
İNŞAAT  
CENGİZ İNŞAAT SANATİ VE TİCARİET A.Ş.  
DENEYİM YATIRIMCI SANATİ VE TİCARİET A.Ş.

ENGINEER / ПРОЕКТИРОВЩИК  
**SMEC**  
Cama SZ  
SMEC INTERNATIONAL PTY IN ASSOCIATION WITH SAPACSI LLP  
СМЕК ИНТЕРНЕШНЛ ПРАЙВТ ЛТД С АПАКСИ ЛП

DESIGNED / ПРОЕКТИРОВАНО:  
Hacı RENTE  
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CHECKED / ПРОВЕРЕНО:  
Özkan TOKLU  
Özkan TOKLU  
APPROVED / ОДОБРЕНО:

REVISIONS / ИСПРАВЛЕНИЯ			
REV	DATE	DES OF REV / ПОДПИСАТЕЛЬ	

DESCRIPTION OF DRAWING  
Contract 001 ADBCW-2014  
Реконструкция дороги Белыны - Алматы  
КМ 632.3 - 719 (С. Шерне - П. Жетібай)  
ЛОТ 1  
Участок КМ 632.3 - 675 (С. Шерне - П. Жетібай)  
ПК 0+00.00 - ПК 395+00.00  
Примыкание на ПК 92+57.6  
по типу кольца

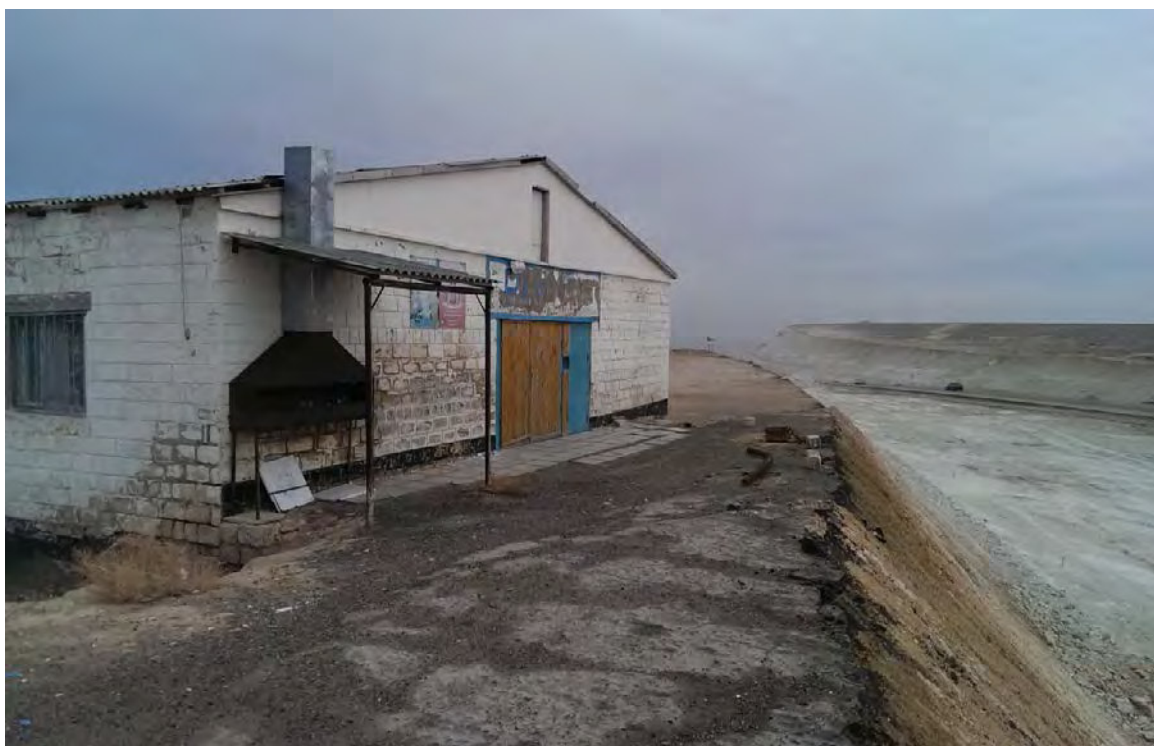
DATE / ДАТА: 21.12.2015  
SCALE / Масштаб: 1/150  
REV NO: 1  
№ исправл.:  
DRAWING NO: PL-1  
№ чертежа:



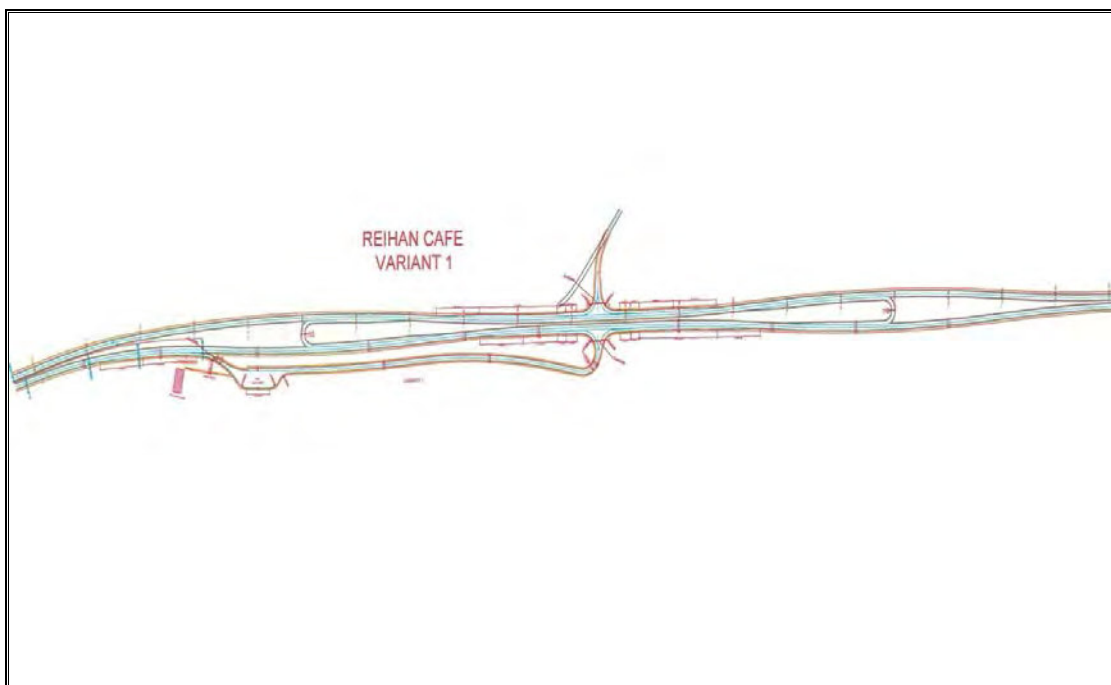
**New camel shed, December 2015**



**Café "Raihan" (Lot 3 PK 260), June 2015**



**Café “Raihan”, December 2015**



**Scheme No.3. Approach ways to the café “Raihan”**



Fuel station (Lot 3 PK 0)

#### 4.5 Land Acquisition & Resettlement Impact (Temporary)

List of state land and assets acquired by the Contractor for temporary use is listed in **Table 4.11**.

**Table 4.11: Status of Temporary State Land-Use and Payment of Rent**

№	Purpose	Lot	Land owner	Compensation (rental)	Land area (ha)	Rental period	Contract yes/no	Note
1	Crusher (Shetpe)		Manghystau oblast, Manghystau region, Reserve land area				--	Crusher is a property of the Contractor
2	Quarry 1	3-4	Manghystau oblast, Karakiya district.		36.45	Co-terminus with construction period	There is a Permission for subsoil use for more common minerals used in construction	
3	Quarry 2	3-4	Manghystau oblast, Karakiya district.		79.61			
4	Quarry 3	3-4	Manghystau oblast, Karakiya		20.74			

№	Purpose	Lot	Land owner	Compensati on (rental)	Land area (ha)	Rental period	Contract yes/no	Note
			district.				(reconstru ction) and repair of roads, railways and hydraulic works.	
5	Quarry 4	3-4	Manghystau oblast, Karakiya district.		25.16			
6	Quarry 5	3-4	Manghystau oblast, Karakiya district.		25.79			
7	Quarry 6	3-4	Manghystau oblast, Karakiya district.		33.85			
8	Quarry 7	3-4	Manghystau oblast, Karakiya district.		28.24			
9	Quarry 8	3-4	Manghystau oblast, Karakiya district.		8.70			
10	Quarry 9	1-2	Manghystau oblast, Manghystau region, Reserve land area		48.98			
11	Quarry 10	1-2	Manghystau oblast, Manghystau region, Reserve land area		24.12			
12	Quarry 11	1-2	Manghystau oblast, Manghystau region, Reserve land area		8.73			
13	Quarry 12	1-2	Manghystau oblast, Manghystau region, Reserve land area		25.04			

№	Purpose	Lot	Land owner	Compensation (rental)	Land area (ha)	Rental period	Contract yes/no	Note
14	Quarry 13	1-2	Manghystau oblast, Manghystau region, Reserve land area		7.19			
15	Quarry 14	1-2	Manghystau oblast, Manghystau region, Reserve land area		7.17			
16	Quarry 15	1-2	Manghystau oblast, Manghystau region, Reserve land area		25.86			
17	Quarry 16	1-2	Manghystau oblast, Manghystau region, Reserve land area		24.48			
18	Quarry 17	3-4	Manghystau oblast, Karakiya district.		24.60			
19	Quarry 18	3-4	Manghystau oblast, Karakiya district.		69.63			
20	Quarry 19	1-2	Manghystau oblast, Manghystau region, Reserve land area		8.52			
21	Quarry 20	1-2	Manghystau oblast, Manghystau region, Reserve land area		5.52			
22	Quarry 21	1-2	Manghystau oblast,		17.89			

№	Purpose	Lot	Land owner	Compensati on (rental)	Land area (ha)	Rental period	Contract yes/no	Note
			Manghystau region, Reserve land area					
23	Quarry 22	1-2	Manghystau oblast, Manghystau region, Reserve land area		2.51			
24	Quarry 23	1-2	Manghystau oblast, Manghystau region, Reserve land area		2.61			
25	Quarry 24	1-2	Manghystau oblast, Manghystau region, Reserve land area		2.68			
26	Quarry 25	3-4	Manghystau oblast, Karakiya district.		2.54			
27	Quarry 26	3-4	Manghystau oblast, Karakiya district.		2.56			
28	Quarry 27	1-2	Manghystau oblast, Manghystau region, Reserve land area		5.83			
28	Quarry 28	1-2	Manghystau oblast, Manghystau region, Reserve land area		12.20			
29	Shetpe		Manghystau		10.00	March 20,	Act on	

№	Purpose	Lot	Land owner	Compensation (rental)	Land area (ha)	Rental period	Contract yes/no	Note
	camp		oblast, Manghystau region, Reserve land area			2020	the right of temporary refundable (long-term, short-term) land use (rental)	
30	Zhetybaycamp		Manghystau oblast, Karakiya district.		5.00	January 16, 2018	Act on the right of temporary refundable (long-term, short-term) land use (rental)	
31	Zhetybaycamp Asphalt concrete plant		Manghystau oblast, Karakiya district.		11.00	September 30, 2017	Act on the right of temporary refundable (long-term, short-term) land use (rental)	
32	Bypass roads	1-2	Manghystau oblast, Karakiya district.		--	--	The documentation is in the final stage with the Akimat	
33	Bypass roads	3-4	Manghystau oblast, Manghystau region, Reserve land area		--	--	The documentation is in the final stage with the Akimat	
	<b>Total</b>				<b>613.20</b>			

A total area of 613.20 ha of state land have been formalized by the Contractor for temporary use with the relevant state agencies. Contracts for rent and state acts are on the stage of formalization in the Akimat of Mangystau Oblast. The payment for rent will be produced later.

## 5. INTERNAL MONITORING OF LARP IMPLEMENTATION AND COMPLIANCE

The Internal Monitoring Report on LARP implementation and compliance was based on monitoring of the implementation of the Corrective Action Plan, which was presented in the Land Acquisition and Resettlement Plan for Tranche 2, reviewed and approved by ADB in August 2014.

No	Corrective Action	Data	Responsible Agency
1	Cash compensation of 27 360 784 KZT for gas station with café-bar Gularsha was made	10.08.2014	Karakia raion akimat
2	Private land owners were compensated with alternative land plots of equal value to the plot lost or cash compensation 4 LEs /2 HHs	15 .09. 2014 25.09.2014 01.11.2014	Mangistau raion akimat Karakia raion akimat Munaily raion akimat
3	Leased land owners were compensated with alternative land plots of equal value for the plot lost by 8 LEs	15 .09. 2014 25.09.2014 01.11.2014	Mangistau raion akimat Karakia raion akimat Munaily raion akimat
5	Renegotiation of existing agreements on leased land for 12 leaseholders were done and new agreements were signed.	15 .09. 2014 25.09.2014 01.11.2014	Mangistau raion akimat Karakia raion akimat Munaily raion akimat
6	Relocation allowance for 2 HHs (Mukhanova Zh. Ersultanova A) were given.	30.09.2014	Mangistau raion akimat
7	Assistance to vulnerable HH (Mukhanova Zh.) of an amount equivalent of minimum living wage for 3 months and assistance in enrolment of Targeted Social Assistance (TSA) program of the government.	November 2014	Mangistau raion akimat
8	A mechanism to monitor temporary land use by Contractor has been worked out ensure that and ensure that Contractor obtains relevant agreements allowing use of such lands. After completion of civil works these lands shall be restored to their original conditions by Contractor and rent fees shall be fully paid to the owners.		JSC - NC KazAutoZhol with support from CSC, the Contractor
9	A mechanism to monitor grievance redress process has been worked out to ensure continuous liaison with Grievance Focal Points from		JSC - NC KazAutoZhol, CSC

	Akimats as well as CoR,	
10	Mechanism has been worked out to disseminate information on ongoing and planned works of the project to the affected communities.	JSC - NC KazAutoZhol, CSC

An updated detail of permanent land acquisition is presented in **Annexure II**.

## **6. PUBLIC CONSULTATIONS AND REDRESS OF GRIEVANCES**

### **6.1 Feedback of consultation processes**

During the time of initial survey and LARP implementation, 11 (eleven) public hearings and consultations took place at Akimat and Rayon level from 2010 to 2014. Apart from these public consultations, a series of individual consultation with DPs have also taken place during this period.

In June-July 2014 during socioeconomic investigation the consultations were organized with the displaced persons (DPs), in order to determine the level of satisfaction by the process of land acquisition and compensations. Consultations were held in an atmosphere free of coercion. All questioned persons actively participated in the interview, they were completely open and free to express their opinions about the issues and in relation to the project. Special attention was paid to female-headed households. In general, consultations have shown that DPs are well informed about the project, as there have been several meetings in 2011-2012. DPs are familiar with the engineering details of the road and expected consequences and redress mechanism at the level of the village and the district.

In general, the DPs are interested in expedite construction of a road, as it will provide an efficient and safe transportation for the movement of passengers and goods in the region. They highlighted that the road will improve their living conditions. A small group of DPs expressed their positive expectations from the project and some of them noted the readiness of the voluntary return of their leased land for the project without compensation. None of them expressed complaints about compensation. There were questions from the DPs on the procedures of re-registration of land, authorized organizations, duration of all the necessary procedures, construction schedule.

Information brochure, which includes details of Tranche 2 Investment Program of Corridor 2 CAREC (Section in Mangystau Oblast), as well as the requirements of ADB on resettlement have been published and distributed to the participants during individual consultations. Information brochure ensures their awareness of the project and their rights to compensation and assistance provided under this project.

The hard copy of the final version of LARP is available in the Akimats of Mangystauskiy, Munaylinskiy and Karakiyanskiy rayons. Soft copies are available in web-sites of these Akimats.

In May 2015, a public consultation meeting was organized with the villagers of Shetpe with the initiative of PMC and CSC's representatives. 12 villagers took part in this consultation, who live relatively close to the constructed section of the road. Residents were interested in issues of dust control, noise of construction equipment at night and employment. In time of consultation which was organized in the yard of one villager, issues were discussed in detail regarding the rights and entitlements of affected persons, procedures on land acquisition and resettlement, grievance redressal and disclosure of documents in local languages. They were also provided with brochures having contacts of focal points of Construction Supervision Consultant, RSE "Mangystau Zhol Laboratory", district Akimats, Project Management Consultant and Committee for Roads.

In the period of second social monitoring report preparation, consultations and meetings have been conducted with population and representatives of state agencies.

**Meetings in v.Shetpe on “Social issues, complaints and proposals. Traffic safety”.**

Efficient consultation was held in the presence of Akim of v.Shetpe.



**Meeting with Akim in the Akimat of v.Shetpe held on 23<sup>rd</sup> of December 2015**

Akim of v.Shetpe agrees to communicate with local community and consult them on issues of complaints and proposals if necessary. Akim noted that the Contractor should pay more attention to Kazakh population, cooperate with local entrepreneurs, and in case of availability of vacancies to give priority to the recruitment of villagers.

**Construction of CAREC-2 Road, Mangystau Oblast Section Project 2 (Loan 2967-KAZ)  
from Shetpe to Aktau via Zhetibay: Km 632.3 – 802.3 (Lot 1-4, Contract 001 & 002)**

Attendance of community consultation/FGDs on Road Safety Management & Social Awareness Issues

Venue		AKHIM OFFICE, SHETPE			
Date		23 DECEMBER 2015			
Time		10:00 am - 1:00 pm			
Sl No.	Name of Participants	Organization/ Company	Position	Signature	Remarks
1	Жамбылұлы	Жамбылұлы	Жамбылұлы	Жамбылұлы	Жамбылұлы
2	Мини Н. С.	Сара СЗ	Инженер БД	Мини Н. С.	
3	Бермисбаев Т. Н.	Джамбул	инженер ТБ.	Бермисбаев Т. Н.	
4	Мухаматова А.	...	...	Мухаматова А.	
5	Ибрагимов М. И.	Шетпе	Аким	Ибрагимов М. И.	Mayor.
6	Отегенов А.	Шетпе	з.и. спец.	Отегенов А.	
7	Жосымбетов А.	Шетпе	Депутат	Жосымбетов А.	
8	Жерімбаева Н.	Шетпе	Серлемар	Жерімбаева Н.	
9	AKM Shahid Ullah	SMEC INC	Road Safety	AKM Shahid Ullah	
10	Хабарова С. Х.	Джамбул	з.и. спец.	Хабарова С. Х.	
11	Шереметов О. Н.	Джамбул	з.и. спец.	Шереметов О. Н.	
12	Турсунбаева Р.	Джамбул	инженер	Турсунбаева Р.	
13	Саттарганов А.	Джамбул	з.и. спец.	Саттарганов А.	
14	Тонбаева З.	Сара СЗ	перевозчик	Тонбаева З.	
15	Н. Хамраев Н.	Сара СЗ	инженер	Н. Хамраев Н.	

Suggestions/comments if any: Construction of road from Shetpe to Aktau is  
with engine Economic development of this regional population &  
Mr. Mayor is highly pleased to ADB & Government of Kazakhstan  
and all of team members.  
All internal roads should be repaired upto standard  
limit of safety to ensure benefits of construction of  
main road to the population of Shetpe region

Facilitated by

Conducted by

A.K. M SHAHID  
ULLAH



### Focus Group Discussion (FGD)

In v.Beki, on 11<sup>th</sup> December 2015, FGD was held with community from 01.00pm to 03.00pm regarding social issues, including equal access of women and skilled employees to the working places. Villagers expressed their opinion on the fact that some of villagers work in Contractor's company. They noted that there are no any difficulties and limits for employment to work in the Contractor's company. There were no any negative impacts during the meeting.

Total number of households in the village is 65. The number of residents – 657. Local taxi drivers carry residents to the neighboring villages.

### Meeting of Akim in v.Beki on subject: “Traffic safety”.

Meeting of all concerned parties of v.Beki was organized by Akim of this village.



Meeting organized by Akim of v.Beki on 11<sup>th</sup> December 2015

### Proposals and comments from villagers of v.Beki:

1. Construction of pedestrian ways for children along parking for 50 vehicles is needed for ensuring safety for children and animals;
2. Marking on cattle pass with speed limit 60 km.
3. One sign for pedestrians must be installed near parking of taxi.
4. It should be recommended to the Contractor to give priority to the recruitment of villagers.

**Construction of CAREC-2 Road, Mangystau Oblast Section Project 2 (Loan 2967-KAZ)  
from Shetpe to Aktau via Zhetibay: Km 632.3 – 802.3 (Lot 1-4, Contract 001 & 002)**

Attendance of community consultation/FGDs on Road Safety Management & Social Awareness Issues

Venue	BEKI Village AKIM Conference Room				
Date	11 December 2015				
Time	1:00 PM				
Sl No.	Name of Participants Ф.И.О.	Organization/ Company Организация/Компания	Position Должность	Signature Подпись	Remarks Примечания
1	A.KM Shakhidulla	SMEC	Road Safety Engr		
2	Измитаев В.В.	Кемс Оуа	аким	Б. Зия	
3	Жим Н.С	SMEC/SAPOL	Road Safety		
4	Ишукенова В.В.	Кемс Оуа	инженер		
5	И.А.Хмутаев Н.	Кемс Оуа	инж. БД		
6	Сатоев КН	Кемс Оуа	инж. БД		
7	Ишукенова В.В.	Кемс Оуа	инж. БД		
8	Ишукенова В.В.	Кемс Оуа	инж. БД		
9	Ишукенова В.В.	Кемс Оуа	инж. БД		
10	Сыдыкхметов	Кемс Оуа	инж. БД		
11	Ишукенова В.В.	Кемс Оуа	инж. БД		
12	Ишукенова В.В.	Кемс Оуа	инж. БД		
13	Ишукенова В.В.	Кемс Оуа	инж. БД		
14	Ишукенова В.В.	Кемс Оуа	инж. БД		
15	Ишукенова В.В.	Кемс Оуа	инж. БД		
16	Ишукенова В.В.	Кемс Оуа	инж. БД		
17	Ишукенова В.В.	Кемс Оуа	инж. БД		
18					
19					

Suggestions/comments if any

60 км/сат рает 5 км.  
Бекі сая мекемі: знак керек.  
Қазир қол ишегіне уақытқа сәйкес сая,  
қол ишегіне бекіту.  
Авто жол, таспа құрылыстары салу  
автотаспа құрылыстары аяқталуына орналасуы.

Facilitated by

Мал Әскері Әзірету  
Измитаев Бактыбай Наузов.  
12.11.2015

Conducted by

A.KM Shakhidulla

### Meeting with local authorities

We regularly discuss with Akim the problems and ways of engaging local residents in our road construction project. Local authorities are called Akimats at the village level and Akimat is managed by Akim.



### Proposals and request of Akimat of v.Zhetibay:

- Road to the village must be fully reconstructed.
- Slopes of road should be constructed according to the project.
- Interchange in the village should be completed in a proper way in avoidance of accidents.

**Construction of CAREC-2 Road, Mangystau Oblast Section Project 2 (Loan 2967-KAZ)  
from Shetpe to Aktau via Zhetibay: Km 632.3 – 802.3 (Lot 1-4, Contract 001 & 002)**

Attendance of community consultation/FGDs on Road Safety Management & Social Awareness Issues

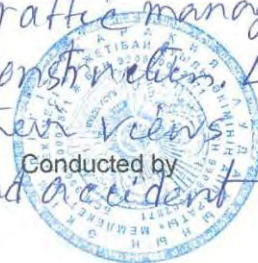
Venue	AKIMATH OFFICE, ZHETIBAY LOCAL GOV				
Date	21 DECEMBER 2015				
Time	10:00 am – 12:00 Noon.				
Sl No.	Name of Participants	Organization/ Company	Position	Signature	Remarks
1	AKM Shakhid	SMEC INT	Road Safety Engineer		
2	Асанов	Акматай			
	Кудайбергали	акмат	акпарат		
	Сапаров	акматай			
3	Карапалов	Акматай	Бас елмен		
	Рыкаев	Акматай			
	Сарсенбаев				
4	Мухоменова. Д.О.	Акматай	Бас елмен		
5		Акматай			
6	Мешенова. Р.	Акматай	Бас елмен		
		Акматай			
7	Кулбаганова. Н.	Акматай	Нотариус		
		Акматай			
8	Турганбаев. А.	Акматай	ис. к. к. к.		
		Акматай			
9	Алимухамбетов. А.	Сапар	перевозчик		
10	Касенов. С.	Акматай			
11	Ташкенова. В.	Акматай			
12	Мергенбаев. И.	Акматай			

Suggestions/comments if any

As per TOR (Annexure A, Section C) Fruitfull FGD is conducted with Local Government (Akimath) along with community people on implementation of traffic management and road safety plan during road construction. Local government of Zhetibay expressed their views in the community consultation to prevent road accident and manage a safe road for all.

Facilitated by

Conducted by



## 6.2 Redress of Grievances

The grievance redress mechanism is available for DPs in order to appeal any disputable decision, practice or activity related to the compensation for the land or other property. Information about the cession of land and other aspects of the project is provided to RSE "Mangystau Zhol Laboratory" Akimats of affected villages of Munaylinskiy, Karakiyanskiy, Mangistauskiy Rayons and Mangystau Oblast. Officials have been appointed who are responsible for obtaining and assistance in the resolution, reporting or transmission of complaints received from DPs and public. A large number of complaints at the local level arises due to non-understanding of the policies and procedures of the project, and can be quickly and properly resolved when explaining the situation on place. Thus, the effort was made to try to resolve these complaints at the local level with the help of the focal points in CSC, RSE "Mangystau Zhol Laboratory" and with the participation of the authorized officials of the local community, at the district level.

In order to address grievances of DPs, a four-tier mechanism has been suggested in the LARP. They are as follows:

- i) Tier I: Contractor / Construction Supervision Consultant level. At this level, Grievance Focal Points (GFP) are set up at village level. Complaints received through GFP will be addressed at DP level. In case, these cases are not resolved through consultation, then these cases will be passed to RSE "Mangystau Zhol Laboratory" for review.

A grievance mechanism is available to allow DPs to appeal any disagreeable decision, practice or activity arising from land or other assets compensation. The efforts were therefore made to first seek resolution of these grievances at the local level through the designated Grievance Focal Points, officials at local community and rayon levels as well as in RSE "Mangystau Zhol Laboratory".

The following are the Grievance Focal Points designated for the project:

- a. Mr. Demesin Sain, General Manager RSE "Mangystau Zhol Laboratory"; E-mail: mangjollab@mail.ru.
  - b. Mr. Bekov Kidirberdi Andirovich Vice akim of Karakya Rayon  
Telephone: 8-72937 – 2-13-07
  - c. Sarbalayev Abzal Berdibaevich Vice Akim of Mangystau Rayon  
Telephone: 8-72931 – 2-11-87
  - d. Ondabaev Kalnias Ondabaevich Vice akim of Munaily rayon  
Telephone: 8 7292 466204
  - e. Ms. Asel Karymbaeva Committee of Roads of Ministry of Transport and Communication  
Telephone: 8 71 72 24 26 11
  - f. Focal Point of Contractor – Umirbekova Natalya Elemesovna, tel.: 8 (701) 030 0406
  - g. Focal Point of CSC – Ossina Yana Igorevna, tel.: 8 (778) 568 7999.
- 
- ii) Tier II: Complaints received by RSE "Mangystau Zhol Laboratory" are reviewed and discussed with the Contractor to find out an amicable solution within a week's time. In case, unresolved, such cases will be referred to the Head of Akimat of the respective town / rayon.
  - iii) c) Tier III: The concerned Akimats will try to resolve these cases with the help of RSE "Mangystau Zhol Laboratory" within two weeks. In case they fail to resolve, then these cases are referred to the Akimat of Mangystau Oblast Province and also to CoR.
  - iv) d) Tier IV: The designated authority of the Akimat of Mangystau Oblast Province and CoR

would try to resolve these cases within two weeks through a grievance redress committee consisting of representatives from Government, NGOs, academics and other important citizens. In case these cases are not resolved at this level, the final recourse is made based on the court decision.

- v) Between August 2014 and till date, complaint has not been received by the focal points.

Responsible persons and focal points hold meetings at least once a quarter. State authorities in the face of the Akimat of Mangistau Oblast regularly inform the residents of the region on the implementation of the project on construction of Shetpe-Aktau-Zhetybai road through the mass media.

The group on monitoring, management and grievance redress was finally formed in December 2015. At present, there are no any complaints from affected persons and residents of villages adjacent to the project.

Moreover, it is planned to conduct additional meetings and consultations with local communities. In public places, informative materials will be distributed and arranged with contacts, by which people can lodge their complaints and proposals.

## **7. SOCIAL IMPACT MONITORING SURVEYS**

Progress of the over-all project work is at a nascent stage. Till date, physical progress of work is less than 20 per cent. The DPs and other affected who are going to benefit from this project have yet to see actual result on ground. Moreover, right now, local social development specialists are not available with CSC as well as with the Contractor. Therefore, when local expertise will be available, social impact monitoring surveys will be performed and the Poverty and Social Analysis (PSA) report prepared by the local expert will be reviewed.

## **8. CONCLUSION**

It can be concluded that due importance has been given to social safeguards and resettlement aspects of the project. As it is an ADB funded project, the project authority has gone through all procedures of land acquisition and resettlement that includes, preparation of Land Acquisition & Resettlement Framework (LARF); preparation and implementation of Land Acquisition & Resettlement Plan (LARP); preparation of due diligence report; conducting internal and external monitoring of land acquisition and resettlement. As involuntary resettlement of affected population is meagre, this project has been categorized “B” from social safeguards point of view.

- As per LARP, total number of Household (HH) and Legal Entities (LE) permanently affected by the project are 22, out of which 18 are LEs and 4 are HH. The Land Acquisition and Resettlement (LAR) process for all these cases have been settled by the project authority in August 2014 and the DPs have received their compensation and resettlement assistance.
- During the construction phase 4 new cases of impacts were identified, out of which three are commercial structures and one is a private structure. The problem on one of these cases is being solved by the social specialists of PMC and CSC. Regarding other cases, at present they are regulated with all owners with the help of the Contractor and local Akimats. The inspection revealed that all the changes and impact that will take place for these owners will improve their existing socio-economic situation and will lead to a greater development in the future.

- In order to use for temporary purpose, the Contractor plans to acquire 613.20 ha of land and the process is on. This excludes bypasses and service roads. Negotiation with government for acquiring temporary land for bypasses is at a final stage.
- Service of an independent consultant was hired by the project authority for external monitoring of LARP implementation. The external monitoring report prepared by the consultant was submitted in December 2014. In his report, it is mentioned that all outstanding issues of DPs have been settled by the project authority. This was also cross-checked with PMC recently by Social Development Specialist.
- Public consultations were held regularly during LARP implementation but during the construction phase, no public consultation has taken place. There is a need for having consultation with the affected communities on a regular basis to sensitize them about positive impacts of the project; making them aware about HIV / AIDS and also creating more employment opportunities for them in the project work.
- A four-tier grievance redress mechanism has been suggested in the LARP, of which the Contractor set up Grievance Focal Point at the village level. So far, the Contractor has not received any grievances in writing from any DP.

## **9. REFERENCES:**

1. ADB Safeguards Policy Statement, June 2009
2. Conditions of Contract for Construction for Building and Engineering Works Designed by the Employer; Multilateral Development Bank Harmonized Edition, March 2006
3. Conditions of Contract for Construction for Building and Engineering Works Designed by the Employer; General Conditions, June 2010
4. Due Diligence Report for Land Acquisition and Resettlement; Kaz: CAREC Corridor 2 (Mangystau Oblast Section) Program, Tranche 2, Prepared by the Committee of Roads, Republic of Kazakhstan, for the Asian Development Bank, August 2014
5. External Monitoring of Compliance of Land Acquisition and Resettlement Plan (LARP): CAREC Corridor 2 (Mangystau Oblast Section) Program, Tranche 2, Prepared by kanat Serdaliyev, Independent Consultant, December 2014
6. Inception Report, Feb 2015: Prepared by SMEC International in Association with Sapa SZ LLP in accordance with the reporting requirements of the Contract for Consultant's Services No. 01-ADB / CSC-2014 dated 22 July 2014
7. Information Brochure on Displaced Persons' Rights and Entitlements; Republic of Kazakhstan: CAREC Corridor 2 (Mangystau Oblast Section) Investment Program Tranche 2, Prepared by the Committee of Roads, Republic of Kazakhstan, June 2014
8. Internal Monitoring Report for land Acquisition and Resettlement; Kaz: CAREC Corridor 2 (Mangystau Oblast Section) Program, Tranche 2, Prepared by the Committee of Roads, Republic of Kazakhstan, for the Asian Development Bank, December 2014
9. Resettlement Planning Document; Kaz: CAREC Corridor 2 (Mangystau Oblast Section) Program, Tranche 2, Prepared by the Committee of Roads, Republic of Kazakhstan, for the Asian Development Bank, August 2014

## Annexure I

### Terms of Reference (International Social Development Specialist)

The Social Development Specialist (SDS) will:

- (i) Obtain the “Land Acquisition and Resettlement Plan” (LARP) and manage/coordinate its implementation;
- (ii) Setup internal monitoring system on the project’s social and resettlement issues and requirements;
- (iii) Discuss with the Employer for selection of External Monitoring Agency (EMA);
- (iv) Setup redress of grievance mechanism;
- (v) Monitor Contractor’s compliance to Land acquisition and resettlement framework plan;
- (vi) Prepare a report on LARP implementation;
- (vii) Participate in the preparation of the proposed letters to Contractor and in preparation of Monthly reports, drafting Engineer’s site Instructions when needed;
- (viii) Monitor Contractor’s compliance to “main labor standards, labor laws and incorporate applicable work place occupational safety norms”;
- (ix) Follow up the issues raised in Engineer’s letter with respect to elimination of safety hazards, establishment of medical facilities, arrangements for welfare and hygiene requirements, provision of protective clothing, conducting orientation/safety induction course, and Information, Education and Communication (IEC);
- (x) Monitor Contractor’s compliance to “absence of differentiation of payment between men and women for work equal value”;
- (xi) Monitor Contractor’s compliance to “no child labor in the construction and maintenance activities”;
- (xii) Monitor Contractor’s compliance to “no forced or compulsory labor”;
- (xiii) Monitor Contractor’s compliance to “discrimination in respect of employment”;
- (xiv) Monitor Contractor’s compliance to “freedom of association”;
- (xv) Encourage the Contractor for maximizing employment of local poor and disadvantage persons for project construction purposes provided that the requirements for the job and efficiency adequately met;
- (xvi) Perform social impact monitoring surveys in project areas as part of project performance monitoring and evaluation;
- (xvii) Review the Poverty and Social Analysis (PSA) developed by national expert;
- (xviii) Assess and prepare capacity building program on social issues;
- (xix) Involve the Engineer’s local Environmental Social Development Specialist at every stage of review/monitoring during the assignment and provide him the technical knowhow and support so that he can follow up the issues at the times when the International Social Development Specialist is off site.

**Annexure II**  
**Updated Details of Affected Land Users Due To Permanent Land Acquisition**

**Mangistau Rayon**

No.	Owner	Address/ Residency	Cadastral number	Original land area (ha)	Land area acquired (ha)	% of area acquired (ha)	Land ownership status	Owner (HH or LE)	Category of land	Land usage	Land is acquired/ permanently/ temporarily	Date of evaluation	Amount of compensation	Use "+", if it is a market price
1	Ersultanova Altyn	Shetpe, mcr. Kossbulak, 2- 1	13- 198- 002- 224	0,084	0,08 4	100%	Privat e proper ty	HH	HH	Residential land	Permanent	01.0 7.20 13	5 249 300 KZT 34 600 USD	+
2	Mukhanova Zhaniyash	Shetpe,mcr. Kossbulak, 2-2	13- 198- 002- 462	0,1	0,1	100%	Privat e proper ty	HH	HH	Residential land	Permanent	01.0 7.20 13	7 732 400 KZT 50 900 USD	+
3	Kudaibergenov Zh. Baiterek LLP	Aktau 28- 10151	13- 198- 002- 158	5	0,22 03	4,41 %	Privat e proper ty	LE	Industrial	Industrial land	Permanent	N/A	Without compensatio n. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	Not appli cable

No.	Owner	Address/ Residency	Cadastral number	Original land area (ha)	Land area acquired (ha)	% of area acquired (%)	Land ownership status	Owner (HH or LE)	Category of land	Land usage	Land is acquired permanently/ temporarily	Date of evaluation	Amount of compensation	Use “+”, if it is a market price
4	Turetayev N. Symbat LLP  87015667080	Zhetybai	13- 198- 023- 011	2000	0,09 22	0,005 %	Lease d land	LE	Farm househol d	Agricultura l land —Symbat, Not used	Permanent	N/A	Without compensatio n. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	Not appli cable
5	TlegenovNurl an	Shetpe,mcr. Ashchibulak, unnumb.	13- 198- 002- 738	0,015	0,00 58	38,67 %	Privat e proper ty	LE	Commer cial	Commerci al land (shop, café)	Permanent	N/A	Without compensatio n. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	Not appli cable

No.	Owner	Address/ Residency	Cadastral number	Original land area (ha)	Land area acquired (ha)	% of area acquired (ha)	Land ownership status	Owner (HH or LE)	Category of land	Land usage	Land is acquired permanently/ temporarily	Date of evaluation	Amount of compensation	Use “+”, if it is a market price
6	Zhumakhano v NauryzKozha LLP	Shetpe, mcr. Zhanaorpa v, 8701323544 4 8701323544 4	13- 198- 019- 033	1500	1,83 77	0,12 %	Lease d land (for the term of 49 years)	LE	Farm househol d —Kozha	Agricultura l land	Permanent	N/A	Without compensatio n. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	Not appli cable
7	Bissenov Mukhtar MKDSM JSC	Station Mangyshlak, 8701512790 1 8701512790 1	13- 198- 002- 800	82,19 4 7	3,59 78	4,37 %	Lease d land (by 2059)	LE	Industrial	Manufactu ring area, site	Permanent	N/A	Without compensatio n. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	Not appli cable

**Munaily Rayon**

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Land to be Acquired (ha)	%expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "++" if it is market price
1	A.I. Utesinov	29-23-85 app., Aktau	13-203-084-3396	1	1	100%	Private	HH	Industrial Land	-	Land swap. Modification	N/A	Replacing land by alternate land.	N/A
2	Sh.K. Shermagambetov	11-20-30 app., Aktau	13-203-099-033	1,5	1,5	100%	Private	HH	Industrial Land	-	Land swap. Modification	N/A	Replacing land by alternate land.	N/A

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Land to be Acquired (ha)	%expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "+" if it is market price
3	Unzila Meyrmanova	12-44-56 app., Aktau, 87017775857	13-203-007-198	19.8	3,4743	17,5%	Leased Land (for 49 years)	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A
4	Azamat Kalmuratov - —Erik  peasant farm	11-23-82 app., Aktau, 87014635658	13-203-007-340	100	100	100%	Leased Land (for 49 years)	Peasant Farm	Agricultural Land	-	Land swap. Modification	N/A	Replacing land by alternate land	N/A

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Land to be Acquired (ha)	%expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "+" if it is market price
5	Zh. Meyrmanov	12-44-56 app., Aktau	13-203-011-076	901,0	8,5122	0,85%	Leased Land for 49 years)	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Land to be Acquired (ha)	%expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "+" if it is market price
6	K.N. Kyzylbayev	23 building, Kurzhymanuly str., Zhetybai v., Karakia raion	13-203-011-075	1200	1,1176	0,09%	Leased Land (for 49 years)	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A
7	U.Karzhaubayeva - Elaman peasant farm	57 building, Sagynysh str., Kyzyl to be rural district, Munaylyraion	13-203-011-043	1403,9	1,6852	0,12%	Leased Land (for 49 years)	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Acquired (ha)	%expropriation (ha)	Ownership/Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "+" if it is market price
8	—KurykKurylysSer visll LLP "MyrzAbek" JSC - AltynTas Group	Aktau, near the buildings of —Mangistau Distribution Power Grid CompanyJSC	-	10	10	100%	Leased Land (for 49years)	LE	Industrial Land	-	Land swap. Modification	N/ A	Replacing land by alternate land	N/A
9	Tastemirov I.- Peasant Farm - Otemuratov Kurmet Saparbayevich	230-2 building, Zhanakurylys micro dist., Zhetybay v., Karakia raion, 87018200258	13- 203- 011- 008	1227,7	21,125	1,7%	Private	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A

No	Owner	Address/Location	Cadastral number	Initial Land (ha)	Land to be Acquired (ha)	%expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	date of valuation	Sum of compensation	mark "+" if it is market price
10	Serik Baytubetov peasant farm "Torla"	151-1 building, Zhanakurylys micro dist., Zhetybay v., Karakia raion, 87783048528	13-203-011-135	1363,88	39,9660	2,93%	Leased Land (for 49years)	Peasant Farm	Agricultural Land	-	Permanent	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A

**Karakiya Rayon**

<b>No</b>	<b>Owner</b>	<b>Address/Location</b>	<b>Cadastral number</b>	<b>Initial land (ha)</b>	<b>Land to be acquired (ha)</b>	<b>% expropriation (ha)</b>	<b>Ownership/ Tenure Status</b>	<b>Owner HH/LE</b>	<b>Land type</b>	<b>Land Usage</b>	<b>Land to be acquired permanently/temporarily</b>	<b>Date of valuation</b>	<b>Sum of compensation</b>	<b>mark "+" if it is market</b>
1	<b>Mendikulova Gulzira Dukenbayevna</b>	Building 85, Tolky housing estate, 29 microdistrict, Aktau, 87015331510	13-197-008-376	0,605	0,605	100%	Private	LE	Commercial Land	Gas station with café-bar "Gularsha" cafe-bar	Permanent	<b>18.10.2013</b>	<b>27360784 KZT177 818 USD</b>	+
2	<b>“MMG” JSC, NuranovBek Mirzabekovich</b>	2 Mic. Aktau, Orda, 713. 212-427	13-197-004-585	4629,4	8,1721	0,18%	Leased Land (for 49 years)	LE	Industrial Land	User of mineral resources oil production	Voluntarily returned the part of land	N/A	Voluntarily returned the part of land	N/A
3	<b>“Ulutas” JSC, Tuyakov Zhanabay Elemesovich</b>	87015202293	13-197-006-957	50	0,4726	0,95%	Leased Land (for 49 years)	LE	Industrial Land	Quarry	Voluntarily returned the part of land	N/A	Voluntarily returned the part of the land	N/A

No	Owner	Address/Location	Cadastral number	Initial land (ha)	Land to be acquired (ha)	% expropriation (ha)	Ownership/ Tenure Status	Owner HH/LE	Land type	Land Usage	Land to be acquired permanently/temporarily	Date of valuation	Sum of compensation	mark "++" if it is market
4	<b>"Aktautas" JSC</b>	SerikSabyrbayev 87015267770	13-197-004-573	12,9	1,5194	11,78 %	Leased Land (for 49 years)	LE	Industrial Land	Quarry	Voluntarily return land	N/A	Without compensation. Owner was offered alternate land instead of lost land, but he voluntarily gave up the offered equal land confirming it in writing.	N/A
5	<b>Otemyratova Gulzhan</b>	App.62, Building 1, micro district 28, 33-08-70	13-197-007-035	0,6	0,6	100%	Private	LE	Commercial	For Land building	Land swap gas station	16.04.2013	Replacing land by alternate land	N/A