

Social Monitoring Report

Biannual report: January - June 2021
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Uzbekistan: Second Central Asia Regional Economic Cooperation Corridor 2 Road Investment Program (Tranche 3)

(Reconstruction of 87km A380 Guzar–Bukhara–
Nukus–Beineu highway on section km 228-km315)

Prepared by the Republican Road Fund under the State Committee for Roads for the Republic of Uzbekistan and the Asian Development Bank.

CURRENCY EQUIVALENTS

(as of June 23, 2021)

Currency Unit - sum (UZS) UZS 1,000.00 = \$0.0945

\$1.00 = UZS 10571.88

ABBREVIATIONS

ADB	Asian Development Bank
AH	affected household
AP	affected person
CAP	corrective action plan
EA	executing agency
IEE	Initial Environmental Examination
EMP	environmental management plan
FAM	facility administration manual
IR	involuntary resettlement
LARP	land acquisition and resettlement plan
PIU	project implementation unit
SCR	State Committee for Roads
SMR	social monitoring report
SPS	Safeguards Policy Statement

NOTE

In this report, "\$" refers to United State dollars (USD) and UZS refers to Uzbekistan sum.

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GLOSSARY

Affected persons	Affected persons (APs) are those who experience full or partial, permanent or temporary physical displacement (relocation, loss of residential land, or loss of shelter) and economic displacement (loss of land, assets, access to assets, income sources, or means of livelihoods) resulting from (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. Although this definition of affected person is at variance with the usage in the Safeguards Policy Statement (SPS) 2009, this is how it is understood and officially used in Uzbekistan, and it is not different from 'displaced person' defined in the SPS-2009.
Affected household	A household consists of one or more people who live in the same dwelling and also share at meals or living accommodation, and may consist of a single family. In the project, household is the unit for compensation and all the members in a household are considered as affected persons.
Compensation Cut-off-date	Payment for an asset to be acquired or affected by a project at replacement cost. The date after which people will not be considered eligible for compensation i.e., they are not included in the list of Affected households (AHs) as defined by the census. If the country expropriation law does not specify a cut-off date, normally, the cut-off date is the date of the detailed measurement survey which will be based on the detailed and final engineering design.
Economic Displacement	Loss of land, assets, access to assets, income sources, or means of livelihoods as a result of (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas.
Entitlement	The range of measures comprising cost of compensation, relocation cost, income rehabilitation assistance, transfer assistance, income substitution, and relocation which are due to /business restoration which are due to AH, depending on the type and degree nature of their losses, to restore their social and economic base. All entitlements will be given to all affected households as per the entitlement matrix.
Inventory of Loss Illegal/Non recognizable	The inventory of assets getting affected by project. HHs that are not registered their business, agriculture, residential and orchard and those who have no recognizable rights or claims to the land that they are occupying and includes people using private or state land without permission, permit or grant i.e. those people without legal lease to land and/or structures occupied or used by them. ADB's SPS explicitly states that such people are entitled to compensation for their non-land assets.
Khokimiyat	Local government authority that interfaces between local communities and the government at the regional and national level. It has ultimate administrative and legal authority over local populations residing within its jurisdiction.
Land acquisition	The process whereby a person is compelled by a public agency to alienate all or part of the land s/he owns or possesses, to the ownership and possession of that agency, for public purposes, in return for fair compensation.
Land Use rights	According to Land Code (article 17) real persons (can have the land plot under the right of lifelong inheritable possession and land parcel use transferred as descent. This right is given to individual residential housing construction and collective gardening and vineyard (orchards), peasant farms. Juridical persons (enterprises, stores, and business) can possess land parcels according to the right to permanent

possession, permanent use, temporary use, lease and property. In two above cases when person wants to sell the Property (land and building), he will sell the building & structure and subsequently land parcel will be sold as an attachment (right is being sold).

Leaseholder	Juridical person (farm) running agricultural production with the use of land parcels granted to him on a long-term lease. Lease term is limited up to fifty years but not less than for ten years. Leaseholder cannot sell - buy, mortgage, present, exchange the land.
Low Income	Low-income households are classified as households where the monthly per capita income is less than US\$ 2 per capita per day).
Makhalla	Is a local level community-based organization recognized official by the Government of Uzbekistan that serves as the interface between state and community and is responsible for facilitating a range of social support facilities and ensuring the internal social and cultural cohesiveness of its members. Mahalla leaders are elected by their local communities.
Meaningful Consultation	A process that (i) begins early in the project preparation stage and is carried out on an ongoing basis throughout the project cycle; (ii) provides timely disclosure of relevant and adequate information that is understandable and readily accessible to affected people; (iii) is undertaken in an atmosphere free of intimidation or coercion; (iv) is gender inclusive and responsive, and tailored to the needs of disadvantaged and vulnerable groups; and (v) enables the incorporation of all relevant views of affected people and other stakeholders into decision making, such as project design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues.
Replacement cost	Replacement cost is the principle to be complied with in compensating for lost assets. Calculation of which should include: (i) fair market value; (ii) transaction costs; (iii) interest accrued, (iv) transitional and restoration costs; and (v) other applicable payments, if any. Where market conditions are absent or in a formative stage, APs and host populations will be consulted to obtain adequate information about recent land transactions, land value by types, land titles, land use, cropping patterns and crop production, availability of land in the project area and region, and other related information. Baseline data on housing, house types, and construction materials will also be collected. Qualified and experienced experts will undertake the valuation of acquired assets. In applying this method of valuation, depreciation of structures and assets should not be taken into account.
Severely Affected Households	Severely affected households are those who will experience major impacts such as losing more than 10% of their productive asset (income generating productive land) or (ii) losing 10% or more of their productive assets (income generating).
Vulnerable Households	Low-income households, female-headed households with no support, the elderly headed household with no support, households having physically challenged persons

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EXECUTIVE SUMMARY

1. This report is the 3^d Biannual Report on Social Safeguards Monitoring Report (SSMR) for the Second CAREC Corridor 2 Road Investment Program, Project 3 (Reconstruction of 87 km A380 Gubar-Bukhara-Nukus-Beineu highway on section Km 228-Km 315).
2. The report describes the implementation and evaluation of social safeguards activities for January - June 2021 reporting period. This social safeguard monitoring report preparation covered the progress and current status of the LAR and social safeguards implementation of the project considering the updated Land Acquisition and Resettlement Plan (LARP), in a cumulative manner based on detailed design developed in April 2019.
3. Purpose of this report: The purpose of this report is the requirements of the paragraph 14 of Local agreement of the project (September 2019) (i) submission of semi-annual Safeguard monitoring reports to ADB and their disclosure; (ii) reporting of any unanticipated social and IR impacts or risks during the construction, implementation or operation of the project; (iii) reporting of any actual or potential breach of compliance with the measure and requirements set forth in LARP. This report is prepared to ensure that the project is implemented in compliance with social safeguards according to the ADB's Safeguard Policy Statement 2009, specifically to ensure that LARP is implemented in compliance with the requirements of ADB.
4. Based on the detailed design the LARP was updated in April 2019. Since the LARP was implemented and verified in September 2019, the scope of this social safeguard monitoring is assessment of emerging LARP issues and grievances during the project implementation, status of temporary land acquisition and IR related activities, including consultations and meetings that have been planned and conducted within the reporting period.
5. This social safeguard monitoring provides information on: (i) evaluation of the implementation progress of the land acquisition and resettlement by establishing social safeguard compliance status, (ii) evaluation of the implementation of social safeguard measures for all persons affected by the project taking into account the available and potential social and LAR risks resulting from implementation of the project, (iii) identification of non-conformances / unanticipated impacts and implement necessary mitigation / remedial measures (in case of adverse impacts), and (iv) monitoring and evaluation of implementation of safeguard measures.
6. **Project implementation status:** The ADB safeguard policy also requires that project implementation will subject to the LARP related conditions. The most important conditions are:
(i) land acquisition including demolishing of any structure and housing is allowed only after all compensations are fully paid, and (ii) commencement of civil works is conditional to the satisfactory implementation of the final LARP as documented in a LARP implementation compliance report. (iii) monitoring and evaluation of unanticipated impacts within the construction period.
7. The project category was specified as Category B for IR in accordance with ADB's Safeguard Policy Statement (SPS), 2009. There are no Indigenous Peoples in the project area. The draft LARP was prepared in October 2014. Updated LARP based on detailed design was prepared and provided by State Committee for Roads, the Executing Agency (EA) in April 2019. The construction period is July 27, 2020- July 07, 2023.
8. Based on the Cabinet of Ministers Resolution # 146 (25.05.2011) of the Government of Uzbekistan any land acquisition and demolition of the structures only can be carried out after the value of compensation has agreed by the land leaseholder and owner of structures. The Government

of Uzbekistan's law and regulations on land acquisition require also that civil works can be commenced only after compensation for land acquisition is fully paid.

9. Project Impacts and Status of implementation:

Permanent land use: The LARP based on the detailed design was updated in April 2019, implemented and verified in September 2020. Based on the detailed design and updated LARP the project permanently impacted 8 households (5 residential and 3 an individual entrepreneur). The compensation for lost assets of the project affected people described by the entitlement matrix of LARP was completed in 2019. The compensation payments for the associated compensation payments such as assistance in transportation / relocation, payments for severely affected households, business losses, and compensation for involved workers) were completed in August – September 2020. The amount of LARP compensation amounted at 539 327 792 UZS or 63 785.24 USD¹. Based on this, the tender for civil works was announced. The contract for civil work was awarded to **China Railway 20 Bureau Group Corporation (CR20)**. The notice to contract commencement was on July 27, 2020. The civil works are in progress.

10. **Temporary land use:** In order to start the construction work, the civil contractor required land plots for temporary use for the construction of batching plants and borrow pits for a period of 3 years. In this regard, in July 2020, at the request of the civil contractor, the khokimiyats of the project districts allocated land plots for construction batching plants and borrow pits in compliance with the khokimiyat decrees (See Annex 2). In accordance with the mentioned orders of khokimiyats, an assessment of the temporary land acquisition and land use was carried out and compensation was calculated. The civil contractor paid compensation based on the decrees of the khokimiyat. The compensation for the temporary losses was provided in compliance with ADB's Safeguard Policy Statement (SPS), 2009 and entitlement matrix of updated LARP 2019. The compensation was calculated based on the results of the evaluation commission of khokimiyat. The calculated amount of compensation is **428 910 198.3 UZS**. The paid amount of compensation is **351 893 897.4 UZS**. During the monitoring period, Consultant received from the civil contractor all supporting documents on the payment of compensation for the temporary acquisition of land plots according to the table below. The detailed information on temporary impacts and compensation payments is given in Section 3.

Table 1 Temporary impacts of the project, January - June 2021

Type of lands	Area, ha	Location	Period of temporary acquisition	Amount of compensation, UZS	Compensation paid, UZS
Agricultural land: pasture land	1.6	Romitan district	3 years	152 189 897.5	152 189 897.5
	2.5			2 983 297.8	2 983 297.8
Agricultural land: pasture land	4.0	Jondor district	3 years	17 016 000.0	17 016 000.0
	94.76		1 year ²	256 721 003	179 704 702.1
Total	102,86			428 910 198,3	351 893 897,4

Source: Social safeguard monitoring results, January –June 2021

11. **Unanticipated LAR impacts:** Detailed design was developed in 2016. Since the civil works started, the engineering specialists faced with shortcoming of the detailed design prepared for the project. In order to make proper revisions of the detailed design of the road the civil contractor CR20 carried out detailed engineering evaluation and conducted geodesic surveying the project area. The geodetic measurements were made for the whole route of 228-315 km (87 km). In this regard, as a

¹ The exchange rate is 1USD = 8455.37 UZS as given in LARP, April 15, 2019

² The period of temporary using land of years was reduced up to 1 year.

part of safeguard monitoring Consultant visited the project site on June 7-8, 2021 to check if there are unanticipated LAR impacts. To confirm the LAR impacts on mentioned structures, Consultant in close cooperation with project engineering team studied the results of geodetic survey. To assess the actual impacts on site, Consultant visited the project site on June 23, 2021. As the result of site visit Consultant in cooperation with the engineers of CR20 confirmed that the project may potentially affect 6 structures along the road (1 residential household (partially) and 5 business structures). The summary potential LAR impacts are given in the table below:

Table 2 Summary potential LAR impacts, June 2021, site screening

District	Community	KMs	Number of affected structures	Type of structure	Type of impact	Type of loss
Romitan	Romitan	241	1	Residential	Permanent	Land plot (orchard) inside the residential structure
			4	Business	Permanent	Bus stop shops
		242	1	Business	Permanent	Bus stop shop
Total:			6			

Source: Social safeguard monitoring results, January –June 2021

9. **Grievance Redress Mechanism:** GRM is established for the Project. GRM shall remain operational for the whole period of the project implementation. Monitoring of grievances within the period of January – June 2021 covers complaints on the following issues: land for land compensation, tax payments for lands, compensation for temporary land use. Consultant carried out the monitoring of all the complaints, provided required support to the project affected persons in communication with the GRM responsible departments at the Level 1 and 2 of designed project GRM. Detailed information on complaints is given in Section 4. Consultant will continue monitoring of complaints.

10. **Public Awareness and Consultations:** Consultant conducted individual consultations with project affected persons on March 15-16, 2021. Discussion was focused on compensation payments and other mitigation measures provided to the project affected persons entitled by the LARP. Consultant conducted individual consultations with people assessed as potentially project affected persons by the unanticipated LAR impacts on June 23, 2021.

12. **Next Steps:** The following actions planned to be completed for the next period of July - December 2021: (i) monitoring the process on unanticipated LAR impacts and compensation process; (ii) monitoring and assessment of complaints received by the project; (iii) monitoring of emerging and unanticipated LAR impacts during the construction and developing required actions and measures to mitigate any impacts if required; (iv) regular coordination with the EA / PMU.

1. INTRODUCTION

1.1 Overview and Background of the Project

1. This report is the 3rd Semiannual Social Safeguard Monitoring Report (SSMR) prepared for Second CAREC Corridor 2 Road Investment Program, Project 3 (Reconstruction of 87km A380 Guzar-Bukhara-Nukus-Beineu highway on section Km 228-Km315), prepared by the construction supervision Consultant (SC) - DOHWA Engineering Co. This project is the third tranche of the Multi Tranche Financial Facility (MFF) program currently under implementation by Republican Road Fund of the Republic of Uzbekistan and is financed by the Asian Development Bank (ADB). The project area is located in Bukhara, Romitan, Peshku and Peshku districts of Bukhara province.

Figure 1 Project area map



Table 3: Length of the Road by District and Community Level

No	District	Community	KMs	Length by community (Km)
1	Bukhara	Kuchkumar	228-234	6
		Sufikorgar	234-236	2
		Bukharabalik	236-237	1
2	Romitan	Chelnogy	237-241	4
		Romitan	241-254	13
		Bogiturgan	254-262	8
		Shurcha	262-265	3
3	Peshku	Jongeldi	265-278	13
4	Jondor	Amir Temur	278-315	37
	Total:			87 KM

Source: Project background

1.2 Project management and contractors team

14. **Agencies involved in Investment Program Implementation:** Until 14.02.2017, the EA for the third CAREC Corridor 2 Road Investment Program was the Republican Road Fund, subordinated to the Ministry of Finance of the Republic of Uzbekistan. A project implementation unit (PIU) has been established as implementing agency.

15. Since Presidential Decree “On measures for further improvement of the Road Management System” dated on 14.02.2017 No.4954 the State Committee for Roads of the Republic of Uzbekistan is created and the main responsibilities are as follows:

- a. pursuing a unified technical policy in the field of highways;
- b. development and implementation of state programs for the development of roads;
- c. identifying prospects for the development and improvement of the road network;
- d. formation of international transit corridors of highways;
- e. providing a comprehensive solution to the issues of financing, designing, building, repairing and operating roads, taking into account the interests of road users in the context of modern traffic flows, as well as organizing effective customer service activities;
- f. monitoring the quality of construction, reconstruction, repair and maintenance of roads;
- g. coordination of work to ensure the safety of the existing network of inter-farm rural highways, streets of cities, urban settlements, villages and villages with their maintenance at a high transport and operational level; and
- h. organization of research and development, the introduction of innovative technologies and modern standards in the field of design, construction, reconstruction, repair and maintenance of roads.

16. Since then, the State Committee for Roads is the EA for the project. The previous project implementation unit (PIU) was moved to the State Committee on Roads of the Republic of Uzbekistan which is responsible for the overall land acquisition and resettlement (LAR) activities. The PIU has a designated safeguards specialist, who is specialized in safeguards activities and is responsible for ensuring that all the activities related to land acquisition and involuntary resettlement are being followed and implemented in accordance with Uzbekistan's legislation and policies and ADB's Safeguards Policy Statement (SPS), 2009.

Project team: PIU hired International Project management and construction supervision Consultant (CS) - DOHWA Engineering Co., Ltd. (Republic of Korea) in association with RHYTHM PLUS LLC and TEXNO STANDART TEST ALC. This Consultant is responsible to monitor the entire project implementation and construction activities of the contractor and preparing quarterly / biannual / annual progress reports, which included addressing safeguard issues that may occur during implementation of the project. All mitigation measures during construction will be implemented by the contractor and these will be monitored by the SC. The civil works contract was awarded on October 15, 2019. The Contractor appointed for civil construction works is China Railway 20 Bureau Group Corporation (CR20). The notice to proceed for contract commencement was on July 27, 2020. The PIU specialist responsible to oversee project's safeguard issues and GRM is Mr. Salimov Sh.

1.3 Project Categorization for Involuntary Resettlement

17. To comply with ADB requirements, a draft LARP was prepared in October 2014 before project loan approval. This draft LARP further was updated in April 2019 conducting required LAR impact assessments based on detail design, which finally confirmed impact on 8 entities (5 HHs and 3 business enterprises) comprising 58 population. The project does not have any impact on indigenous peoples. Therefore, considering the extent of the impact, the project has been defined as a category

“B” for Involuntary Resettlement and Category “C” for indigenous peoples following ADB Safeguard Policy Statement (SPS), 2009.

1.4 Ongoing Project Activities

18. After receiving the notice to proceed, CSC first mobilized their local consultants and team leader of the project. The CSC consultants started working under the guidance of the TL to review and update the design with ancillary tasks to prepare for starting of actual physical construction works. In parallel, monitoring of implementation of land acquisition and resettlement (LARP) and social safeguards aspects of the project including preparation of monthly progress reports, bi-annual SSMR and other safeguards-related reports to satisfy project and ADB conditionality and contractual obligations. These monthly and bi-annual SSMR reports are submitted to PMU for ADB on regular basis.

19. Currently, the construction activities are ongoing with overall achievements of 13.31%. Currently, the main activities/works include reconstruction of gas pipelines, repair of existing roads and installation of road signs to increase safety, filling of potholes with sand gravel, installation of concrete barriers, reconstruction of old bridges and construction of new bridges. Acquisition of some lands temporarily is required by the contractor for construction of batching and other plants, stake yards and other activities. These issues have been indicated in the LARPs that compensation for such temporary or unanticipated impacts should be paid as per entitlement matrix of the LARP.

2. OVERVIEW OF THE LARP AND IMPLEMENTATION STATUS

2.1 General

20. Guzar -Bukhara - Nukus - Beineu Road reconstruction project is the section of A380 highway Guzar -Bukhara - Nukus - Beineu is located in Bukhara region. The project road traverses over 4 districts of Bukhara region, namely are Bukhara, Romitan, Peshku and Jondor. The total length of this road section is 87 km, currently under reconstruction.

21. Prior to physical construction, LARP preparation was a necessary condition of ADB as mentioned in the loan agreement. To comply with ADB requirements, a draft LARP was prepared in October 2014 before project loan approval. This draft LARP further was updated in April 2019 based on detail design and conduct of detailed census, socioeconomic survey (SES) and consultations with the APs and relevant project stakeholders. The final LARP confirmed that the project will cause LAR related impact only on 8 households and individual entrepreneurs with their businesses. The project does not have any impact on indigenous peoples. Therefore, the project was categorized as “B” for Involuntary Resettlement and “C” for indigenous peoples following ADB Safeguard Policy Statement (SPS), 2009.

2.2 LAR Impacts

22. **Impacted Households and Population:** As per LARP, 8 HHs comprising 5 HHs and 3 business entrepreneurs were found impacted. The total population among the affected HHs is 58, comprising 30 males and 28 females. All the 5 HHs were impacted partially with their residential land and structures. Out of the 8 HHs, 3 of them affected with parts of their living rooms and 2 HHs with their ancillary structures such as bathroom, toilet, poach canopy, kitchen, bakery, barn, etc. Therefore, there was no need for complete displacement and relocation of these HHs. They reconstructed their affected structure within their remaining land plots. However, 3 business entrepreneurs were considered fully affected with their business structures and required relocation.

23. The project traverses 4 districts, but all the 8 APs are in the districts of Bukhara and Romitan. The table below shows the APs impacted by types of impact and districts of residence.

Table 4 LAR impacts on PAPs by types and districts

Type of Impacts	Name of districts				Total Project
	Bukhara	Romitan	Peshku	Jondor	
Residential household	0	5	0	0	5
Business entity	1	2	0	0	3
Total	1	7	0	0	8

Source: Approved LARP, April 2019

24. **Impact on Land and Structure:** The 8 affected HHs were found affected with a combination of their residential and commercial land plots with structures of different categories. In total, 912.47 m² land with structures were impacted. Of these, 724.22 m² is residential and 188.25 m² is commercial. Three APs are individual entrepreneurs whose mini shops are fully impacted while 5 are residential households whose part of their living rooms and others are partially affected. Therefore, there were no requirement for total physical displacement or relocation of residential structures. The summary information of the affected residential and commercial land is provided in Table 5 below.

Table 5 : Area of affected residential and commercial land and structures by types of impacts under project districts

Type of loss	Name of the Districts		Affected area, m ²	Total number of AP
	Bukhara	Romitan		
Residential	-	5	724.22	5
Commercial	1	2	188.25	3
Total	1	7	912.47	8

Source: Approved LARP, April 2019

25. According to the final design and updated LARP, no agriculture or other types of land, common property resources (CPR) and public utility will be impacted.

26. **Associated LAR Impacts:** The LARP considered all the 3 Individual entrepreneurs for payment of full displacement and relocation. Among 5 HHs losing residential land and structure, 3 have their living rooms partially affected, for which they didn't require full displacement or relocation. These 3 businesses and 3 residential impacted HHs were considered as severely affected due to losing more than 10% of their assets. However, the remaining two APs affected with ancillary structures impacted less than 10% of their productive assets and are not considered as severely affected. The approved LARP also identified 3 mini shop workers for their employment loss due to the project and were considered for compensation. No vulnerably affected HHs or APs found impacted due to the project.

2.3 Temporary Land Acquisition

27. LARP covered only permanent impacts of the project for implementation because temporary land acquisition can only be envisage during the construction period. However, this issue has well defined in the LARP entitlement matrix that the SCR is to ensure any kind of temporary impact on private and public assets during project implementation for civil work purposes. For such temporary land acquisition, contractor will need to pay compensation based on replacement value for structures, rent land for the period of use, pay replacement value of crop and trees and. This temporary land and other unforeseen issues are usually covered by the monthly and biannual SMR reports of the CSC and contractor.

2.4 Compensation Payment

28. The review of the compensation payment data/information from LARP implementation compliance monitoring report revealed that all compensation amounts including resettlement benefits/assurances were paid to the APs prior to the construction work as per LARP entitlement. The land acquisition and resettlement cost for the project includes eligible compensation, assistance and support cost for LARP implementation was estimated following project entitlement matrix.

3. BRIEF METHODOLOGY

29. This section presents information on general and special methods which is used to prepare the Social safeguard monitoring report, including progress of LARP implementation and Corrective Action Plan (if required).

30. According to ADB Safeguards Policy Statement (2009) (SPS) each project with involuntary resettlement should have internal monitoring system on place to monitor planning and implementation of the project safeguards component. The requirements of the paragraph 14 of Local agreement of the project (May 2016) are (i) submission of semi-annual Safeguard monitoring reports to ADB and their disclosure; (ii) reporting of any unanticipated social impacts or risks during the construction, implementation or operation of the project; (iii) reporting of any actual or potential breach of compliance with the measure and requirements set forth in LARP.

31. The report describes the project's performance in dealing with community consultation and stakeholders' participation, impacted assets registration/records and compensation processes, and grievances received and redressed. Lessons learned and the recommendations for the implementation of safeguards component of the project in the next stage of the program are summarized at the end of the report.

32. Internal monitoring data is collected through desk review of project documents provided by the civil contractor, interviews with stakeholders and affected people, analysis of grievances, if any, observations during field site visits. During this period of monitoring Consultant visited the project site to collect required data for monitoring.

33. Indicators for monitoring are the follows:

- a. Monitoring of compensation payments for permanently acquired land and assets remains, if any;
- b. Monitoring of temporary land acquisition and compensation payments;
- c. APs satisfaction regarding compensation amount;
- d. Monitoring of unanticipated LAR impacts during implementation (if any);
- e. Grievances by type and resolution status (if any);
- f. Public consultations (as required);
- g. Compliance status of social and resettlement issues in compliance with ADB SPS, 2009.

34. This semi-annual Social Safeguards Monitoring Report (SSMR) will be disclosed on ADB and SCR websites.

4. COMPLIANCE TO SAFEGUARDS PROVISIONS IN AGREEMENTS UNDER THE PROJECT

4.1 Compliance to Facility Administration Manual

35. The Facility Administration Manual (FAM), updated in November 2020, describes the essential administrative and management requirements to implement the project on time, within budget, and in accordance with Government and ADB policies and procedures. The FAM is mandatory and serves as the main document describing implementation details.

Table 6 Compliance with Facility Administration Manual

Details	Compliance Status	Remarks
Uzbekistan through the Road Fund will ensure that all land all rights-of- way required by the projects under the Facility are made available to the Works contractors in accordance with the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with (a) all applicable laws and regulations of Uzbekistan relating to land acquisition and involuntary resettlement; (b) the involuntary resettlement safeguards under the SPS (2009); (c) the LARF; and (d) all measures and requirements set forth in the respective LARP, and any corrective or preventative actions set forth in safeguards monitoring reports.	Complied with	<p>EA will ensure compliance with FAM requirements. LARP was prepared in October 2014. Updated LARP was approved in April 2019.</p> <p>LARP is completed. LARP implementation compliance report was prepared and disclosed in September 2020.</p> <p>Semiannual monitoring on social and involuntary resettlement issues is carried out. The social safeguard specialist was mobilized in compliance with the contract on July 27, 2020.</p> <p>All measures and requirements set forth in the respective LARP, and any corrective or preventative actions are considered as a part on safeguards monitoring and set forth in reports.</p>
<p>Without limiting the application of the involuntary resettlement safeguards under the SPS (2009), the LARF or LARPs, Uzbekistan through the Road Fund will ensure that no physical or economic displacement takes place in connection with any project under the Facility until: (i) compensation and other entitlements have been provided to affected people in accordance with the respective LARP; and (ii) a comprehensive income and livelihood restoration program have been established in accordance with the respective LARP.</p> <p>Any unanticipated involuntary resettlement impacts shall be mitigated following the project LARF / LARP.</p>	Complied with	<p>EA will ensure compliance with FAM requirements. No physical or economic displacement took place until: (i) compensation and other entitlements had been provided to affected people in accordance with the LARP.</p> <p>According to the LARP, the project affected 8 households (5 residential households and 3 individual entrepreneurs). Compensation payments to PAPs are fully paid prior the displacement in full compliance with Entitlement Matrix of LARP.</p> <p>As a part of social safeguard monitoring Consultant assessed unanticipated LAR impacts of the project implementation. As the result of monitoring Consultant identified potential LAR impacts at the project area. According to the site screening 6 project structures were identified as affected. Detailed</p>

Details	Compliance Status	Remarks
		information on potentially project affected persons is given in Section 3.2.3 of this report. Consultant ensures that all the mitigation measures guaranteed by the Entitlement Matrix in updated LARP will be applied to these project affected persons.
Uzbekistan through the Road Fund will ensure that the preparation, design, construction, implementation and operation of the projects under the Facility comply with (a) all applicable laws and regulations of Uzbekistan relating to ethnic minorities; (b) the indigenous peoples safeguards under the SPS (2009); (c) the EMPF; and (d) all measures and requirements set forth in the respective EMP, and any corrective or preventative actions set forth in safeguards monitoring reports.	Complied with	EA will ensure compliance with PAM requirements. There are no impacts related to ethnic minorities or Indigenous Peoples in the project area.
For the subsequent projects of the Investment Program that will involve LAR and/or ethnic minorities, Uzbekistan through the Road Fund will ensure that no civil works contract is awarded until a respective LARP and EMP (as required), has been prepared and agreed with ADB.	Complied with	EA will ensure compliance with FAM requirements. Based on the Cabinet of Ministers Resolution # 146 (25.05.2011) of the Government of Uzbekistan any land acquisition and demolition of the structures only can be carried out after the value of compensation has been fully paid.

4.2 Compliance to Land Acquisition and Involuntary Resettlement Covenants of the Loan (3355-UZB)

36. The project, in accordance with ADB SPS (2009), was categorized as “B” for involuntary resettlement and as “C” for indigenous peoples. The status of compliance with the social safeguards requirements, set out in schedule 4 and 5 of the loan agreement are provided in table below (Safeguard Covenants of Loan Agreement).

Table 7 Compliance to social safeguard covenants

Details	Progress Status	Remarks
Conditions for Award of Contract: The Committee for Roads shall not award any Works contract involving resettlement impacts until the Road Fund has prepared and submitted to ADB the final RP based on the Project's detailed design, and obtained ADB clearance of such RP.	Completed	LARP was prepared in October 2014, updated 2019 based on the detailed design in April 2019. The updated LARP was approved when the contract for civil works was awarded. LARP implementation compliance report was approved and disclosed in September 2020.

Details	Progress Status	Remarks
		<p>Monitoring of unanticipated impacts is done during the reporting period. As the result of monitoring unanticipated impacts were identified at the project site. The detailed information on potentially project affected persons is given in Section 3.2.3.</p> <p>Consultant ensures that all the mitigation measures guaranteed by the Entitlement Matrix in updated LARP will be applied to these project affected persons.</p>
<p>Land Acquisition and Involuntary Resettlement: The Committee for Roads shall ensure that all land and all rights-of-way required for the project are made available to the works contractor in accordance with the schedule agreed under the related works contract and all land acquisition and resettlement activities are implemented in compliance with (a) all applicable laws and regulations of Uzbekistan relating to land acquisition and involuntary resettlement; (b) the involuntary resettlement safeguards of ADB; (c) the RF and d) all measures and requirements set forth in the resettlement plan, and any corrective or preventative actions set forth in the safeguards monitoring report.</p>	Completed	<p>EA ensured that all land all rights-of-way required for the project are made available to the works contractor in accordance with the schedule agreed under the related works contract and all land acquisition and resettlement activities are implemented in compliance with (a) all applicable laws and regulations of Uzbekistan relating to land acquisition and involuntary resettlement; (b) the involuntary resettlement safeguards of ADB; and (c) all measures and requirements set forth in the resettlement plan, and any corrective or preventative actions described in the safeguards monitoring report.</p>
<p>Without limiting the application of the involuntary resettlement safeguards of ADB or the resettlement plan, the Committee for Roads shall ensure that no physical or economic displacement takes place in connection with the project until: (a) compensation and other entitlements have been provided to affected people in accordance with the resettlement plan; and (b) a comprehensive income and livelihood restoration program have been established in accordance with the resettlement plan.</p>	On-going	<p>EA ensured that physical or economic displacement took place with the project once: (a) compensation and other entitlements had been provided to affected people in accordance with the resettlement plan; and (b) a comprehensive income and livelihood restoration program had been established in accordance with the resettlement plan.</p> <p>The compensation payments to all the project affected people entitled by LARP 2019 were fully paid in September 2020. LARP implementation compliance report was prepared and disclosed in September 2020.</p> <p>Temporary land acquisition for the period of construction was required for the project needs. Consultant ensures that all the mitigation measures guaranteed by the Entitlement Matrix in updated LARP are provided for</p>

Details	Progress Status	Remarks
		temporary land acquisition. Civil contractor paid compensation for lands temporary allocated for project needs.
Indigenous Peoples: Borrower shall ensure and cause the State Committee for Roads to ensure that the project does not have any indigenous peoples impacts within the meaning of ADB's Safeguard Policy Statement (2009). In the event that the project does have any such impact, Uzbekistan shall take and cause the State Committee for Roads to take all steps required to ensure that the project complies with the applicable laws and regulations of Uzbekistan and with ADB's Safeguard Policy Statement (2009).	N/A	The project has been classified as category C for indigenous peoples safeguard.
Human and Financial Resources to Implement Safeguard Requirements: The Committee for Roads shall make available or cause the State Committee for Roads to make available necessary budgetary and human resources to fully implement the resettlement plan.	On-going	PMU's Evaluation and Monitoring Specialist is assigned to carry out periodical social safeguard monitoring and monitor progress compensation payment activities.
Safeguards Monitoring and Reporting: The Committee for Roads shall do the following or cause the State Committee for Roads to do the following: a) submit semi-annual safeguards monitoring reports to ADB and disclose relevant information from such reports to affected persons promptly upon submission; b) if any unanticipated social risks and impacts arise during construction, implementation or operation of the project that were not considered in the resettlement plan, promptly inform ADB of the occurrence of such risks or impacts, with detailed description of the event and proposed corrective action plan; and c) report any actual or potential breach of compliance with the measures and requirements set forth in the resettlement plan promptly after becoming aware of the breach.	On-going	<p>The semi-annual safeguard monitoring reports are submitted to ADB and disclosed on ADB website. The semiannual SMR will be submitted to ADB by middle of January and July in each year.</p> <p>This 3rd SSMR will be submitted to the ADB in July 2021 and disclosed on ADB web-site.</p> <p>Monitoring of unanticipated social risks and impacts raised during construction, implementation or operation of the project that were not considered in the resettlement plan was done during the reporting period. Consultant promptly reported about potential unanticipated impacts on 6 PAPs identified during monitoring period through site visit report (June 23, 2021 Site visit report).</p>
Prohibited list of investments. The Committee for Roads shall ensure that no proceeds of the Loan are used to finance any activity included in the list of prohibited investment activities provided for SPS.	Completed	EA ensured that no proceeds of the Loan are used to finance any activity included in the list of prohibited investment activities provided for SPS.

Details	Progress Status	Remarks
<p>Gender and Development. The Committee for Roads shall ensure that the principles of gender equality aimed at increasing Project benefits and impacts on women in the Project area consistent with ADB's Policy on Gender and Development (1998) are followed during the implementation of the Project, including a) equal pay to men and women for work of equal value; b) enabling working conditions for women workers; and c) taking necessary actions to encourage women living in the Project area to participate in the design and implementation of the Project activities.</p>	On-going	<p>EA ensured that the principles of gender equality are complied with the ADB's Policy on Gender and Development.</p> <p>Equality of gender rights and conditions are monitored through of Monthly report of the contractor.</p> <p>As a part of site visits, Consultant conducted monitoring of implementation of the following: a) equal pay to men and women for work of equal value; b) enabling working conditions for women workers; and c) taking necessary actions to encourage women living in the Project area to participate in the design and implementation of the Project activities.</p> <p>Consultant ensures that the civil contractor follows the principles of gender equality are complied with the ADB's Policy on Gender and Development.</p>
<p>Labor standards. The Committee for Roads shall ensure that the core labor standards and the Borrower's applicable laws and regulations are complied with during the Project implementation, The Committee for Roads shall include specific provisions in the bidding documents and contracts under the Project requiring that the contractors, other providers of goods and services and their subcontractors: a) comply with the Borrower's applicable labor law and regulations and incorporate applicable workplace occupational safety norms; b) do not use child labor; c) do not discriminate workers in respect of employment and occupation by providing , inter alia, equal pay for men and women or people from different ethnic groups for work of equal value, and to the extent possible, employing women and local people, including disadvantaged people, living in the Project area, provided that the requirements for efficiency are adequately met; d) do not use forced labor; e) allow freedom of association and effectively recognize the right to collective bargaining; and f) disseminate or engage appropriate services providers to disseminate information on the risks of sexually transmitted diseases, including HIV/AIDS to employees of contractors</p>	On-going	<p>EA ensure that the core labor standards and laws and regulations of Uzbekistan are implemented during the civil works at the construction site.</p> <p>Civil contractor and subcontractors comply with the labor law and regulations and incorporate applicable workplace occupational safety norms; do not use child labor; c) do not discriminate workers in respect of employment and occupation by providing , inter alia, equal pay for men and women or people from different ethnic groups for work of equal value, and to the extent possible, employing women and local people, including disadvantaged people, living in the Project area, provided that the requirements for efficiency are adequately met; do not use forced labor; allow freedom of association and effectively recognize the right to collective bargaining; and disseminate or engage appropriate services providers to disseminate information on the risks of sexually transmitted diseases, including HIV/AIDS to employees of contractors engaged under the Project and to members of the local communities surrounding the project area, particularly women.</p>

Details	Progress Status	Remarks
<p>engaged under the Project and to members of the local communities surrounding the project area, particularly women.</p>		<p>Civil contractor submitted to ADB the Occupation and Health Safety Plan at the stage of commencement of civil works in July 2020. Civil contractor submits monthly HSE reports to notice about the HSE measures taken to guarantee safety of health of the contractor employees.</p> <p>Contractor for civil works provides monthly reports, including safety trainings plan. Safety trainings for the workers at the construction site are conducted once per month.</p> <p>Occupation risk presentation trainings are conducted once per month.</p> <p>Trainings on HIV / AIDS are conducted once per months.</p> <p>The results of training are recorded and included into the monthly reports of the contractor.</p> <p>Consultant as a part of social safeguard monitoring visits the project site in order to collect required information on health and safety measures provided by the civil contractor to guarantee Occupation and Health Safety Measure to the contractor employees.</p> <p>In case of violations, Consultant prepares an appropriate notification / prescription to the civil contractor about the requirements to comply with the HSE measures and sets the deadline for the implementation of the necessary improvements. Consultant as a part of monitoring site visits collect data on the improvements made by the civil contractor in compliance with the HSE requirements.</p>

5. LARP AND SOCIAL SAFEGUARD MONITORING RESULTS, JANUARY – JUNE 2021

5.1 Scope of Social Safeguard Monitoring Report (SSMR)

37. SSMR has been prepared for PIU and ADB by the Social/Resettlement Specialists of the supervision consultant through assessments of social safeguards and LAR related issues that may occur within the period of construction and develop mitigation measures, required respectively.

38. Social safeguard monitoring objects are the following:

- Land acquisition and resettlement issues (permanent / temporary);
- Compensation payments;
- Unanticipated LAR and social issues;
- Labor, gender, health, and social protection issues;
- GRM and complaints.

39. The following activities were carried out by Consultant during the current reporting periods for monitoring purpose:

- **Review of Project Documents:** The documents/reports included LARP Compliance Monitoring Report, Monthly progress reports of civil contractor and other relevant project reports. The purpose of such a documents review was to get necessary secondary data about the project in general and to understand about the current project implementation status particularly in connection to LAR and social issues;
- **Site visit and examination:** As a part of monitoring, Consultant visited the project sites in different times and conducted required survey, investigations and consultation among different project stakeholders and affected people. The period of site visits as under:
 - i. March 5-16, 2021 –Project International and National Resettlement Specialists (IRS and NRS) visited project sites together. During the site visit, Consultants carried out inspection of the project area and conducted consultations with different stakeholders, including District Khokimiyat (Local District Administration) and Committee officials, local leaders, professionals etc. In addition, individual consultations with the project affected persons, followed a short social impact assessment survey of the APs, were conducted to obtain data to assess their satisfaction level with the provided compensation and other mitigation measures, as well as to obtain information about any complaints and appeals relating to the provided compensations and other mitigating measures; and data on living standards after land acquisition and resettlement. The site visit also covered monitoring on temporary land acquisition issues and compensation payment status through consultation with the contractor and some of the land owners and recorded their dissatisfaction or grievances of the temporarily acquired land plots, if any. In addition, Consultant also oversaw and assessed the provision of Labor, Gender, Health, and Social Protection issues of the contractor and monitored, whether the social and health safety and labour issues following Labour Code of the Government of Uzbekistan were maintained by the contractor.
 - ii. May 19, 2021 - a site visit of the project area to monitor and evaluate mitigation measures for temporary land acquisition. Also, as a part of monitoring, an assessment of the provision of labor, health and social protection at the project site was carried out. Based on the identified inconsistencies, Consultant prepared and provided recommendations/instructions to the civil contractor for necessary improvements to

comply with health and safety requirements. Copy of the recommendations is given in Annex 5.

- iii. June 7-8, 2021 – Identified some laps and gaps during site visit monitoring in connection to safety and social protection issues. Accordingly, civil contractor has been notified by the consultant to mitigate and improvements of the identified gaps with regards to the existing gaps of the health and social risks for personnel. Copy of the prescription is given in Annex 5.

During the site visit, Consultant also assessed unforeseen LAR impacts, which are considered potential impacts on land acquisition, resettlement and required mitigation measures in accordance with the entitlement matrix of LARP. In addition, during the site visit Consultant monitored status of complaints and appeals from the APs and population along the project area, as well as monitoring the functioning of the contractor's GRM at all construction sites (contractor's office, batching plants).

- iv. June 23, 2021 – Site survey to screen the structures that are considered as affected structures. Consultant discussed with the potentially project affected people the impacts on their structures, briefly collected the social information of these people, Gathering information on complaints.

- **Prepared Survey Instruments:** To conduct impact evaluation and socioeconomic survey, a short questionnaires and checklist for consultation were prepared. As a part of site visits Consultant conducted surveys and consultations as planned.
- **Conducted Survey and Consultations:** Social survey was conducted during the period of 5 to 16 March, 2021 with assistance of CSC engineer and contractor's health and safety (H&S). Individual consultations with the project affected persons also were conducted as a part of monitoring during site visits.
- **Site visit report:** A field visit report was prepared after completion of site visiting, consultation meetings with different project stakeholders (APs and project beneficiaries), community people, local Government bodies and civil contractor. The objective of such consultation meetings was to understand and assess their understanding/perception level about the project and their participation/ involvement in different activities of the project from beginning to date as well as monitoring of any complaints related to the project implementation.
- **Monitoring report:** After compilation of data/information collection through documents review, survey, consultation, all data has been analyzed and incorporated into this report.

The main results of monitoring for the current reporting period (January – June 2021) are furnished in this section below:

5.2 Land acquisition and resettlement

5.2.1. Permanent LAR impacts

40. Permanent LAR impacts were covered by LARP prepared in October 2014 and updated in April 2019. LARP is completed. LARP implementation compliance report was prepared and disclosed in September 2020.

41. **Impact on land structures:** The project impacts a mixed residential and commercial land plots of 8 households. The total 912.47 m² lands were impacted (residential 724.22 m²/ commercial 188,25m²). Out of 8 entities, 3 of them were individual entrepreneurs (mini shops) and 5 residential households. Individual entrepreneurs were considered as losing more than 10% productive assets and full economic displacement. There were no physical displacements for residential structures.

The 3 residential household impacts were on residential buildings and other 2 structures were non-residential building with partial impact.

42. **Impact on Employees** of affected business: According to the LARP 3 mini shop workers were affected. The compensation for employment loss up to 6 months wages was provided. The compensation amount had been agreed with workers and fully paid to them. LARP implementation compliance report confirmed all payments to the affected people.

43. **Associated LAR Impacts:** The LARP considered all the 3 Individual entrepreneurs for payment of full displacement and relocation. Among the 5 HHs losing residential land and structures, 2 had their living rooms partially affected, for which they didn't require full displacement or relocation. The 3 businesses and 3 HHs were considered as severely affected due to loss of more than 10% of their assets. The remaining two APs affected with ancillary structures impacted less than 10% of their productive assets and are not considered severely affected. In addition, the approved LARP also identified 3 mini shop workers for their employment loss due to the project who were considered for compensation. None of the APs are found to be vulnerable.

44. **Compensation:** LARP implementation report confirmed that all the compensation payments to the project affected persons for their permanent losses were provided. The mitigation measures and compensation for the lost structures and business of all 8 project affected persons are completed during September – December 2019. All the compensation payments to the losses and associated impacts (severely affected allowances, assistance in transportation / relocation, business losses and compensation for involved workers) were completed in August –September 2020. Payment orders confirming the payments of compensation within this monitoring period were given in LARP implementation compliance report (September 2020). Total amount of compensation calculated in LARP was **539 327 792 UZS** or **63 785.24 USD**³.

26. **Monitoring:** LARP implementation and compensation payment issues also were monitored under the current monitoring period. Site visits included conduction of impact evaluation survey on the APs (March 2021 by IRS and NRS). Such activities were conducted undertaking consultations individually followed by an impact evaluation survey on each APs using a structured questionnaire. The objective of the impact evaluation survey was to assess socioeconomic condition of APs after rehabilitation and using the compensation money as well as their level of satisfaction.

27. Summary outcome from these survey and consultation reveals that all the APs received their due compensation in time. They also expressed their gratitude and satisfaction for the compensation money they received and their current economic condition has improved to some extent but not deteriorated compared to pre-project situation. Moreover, the APs mostly also have been found rehabilitated with necessary reconstruction or renovation of their demolished houses and business structures due to the project. 4 out of 5 households whose residential structures were affected confirmed that they do not need a replacement land plot. They will use the compensation provided to build new structures on their remaining land plot. **One among 5 residential households expressed his willingness to receive a replaced land plot for his demolished structure.**

28. As a part of monitoring, Consultant held individual consultations with this owner of a residential building (Ruziev Sultan, 247 + 775 km, Romitan district) in March 2021 and June 2021. According to the LARP, the AP lost a small part of his land plot with structure intended for keeping livestock (cowshed). In accordance with the supporting documents presented in the LARP implementation compliance report (September 2020) and a receipt from the owner of the demolished structure, he received full compensation for the lost structure in 2019 and had no claims to the

³ The exchange rate is 1USD = 8455.37 UZS as given in LARP, April 15, 2019

mitigation measures provided to him (See Annex 1). However, during the monitoring in June 2021, the affected person expressed willingness to receive a replacement land plot. Currently, the issue on land allocation is being considered by the khokimiyat of Romitan district under direct participation of PMU. The khokimiyat of Romitan region has prepared a draft order for the allocation of land to the AP.

29. Among the 3 APs affected with business, two of them already restarted their businesses with construction of new business structure on the land allocated under the project in the same location. **One remaining AP is still waiting to have the replacement land allocation to construct his new business structure in other location.**

30. According to the monitoring data, 1 business owner (Nasriddinov Ekrin, business, 228-229 km, Bukhara region) has not received a land plot to continue running his business. Based on the LARP Implementation compliance report (September 2020) and a receipt from the owner of the demolished structure, the affected person also received full compensation for the lost structure and had no claims to the mitigation measures provided (See Annex 1). During individual consultations with the affected business owner, Consultant confirmed the following information: Before the project he had a shop in the bus stop, which was demolished in 2019. In 2019 he was paid compensation for the lost structure. Local administration offered AP a replacement land.⁴ Following the results of individual consultations with the owner of the business structure, he refused the provided land plot. The reason for the refusal is the remote location of the proposed land plot (about 30 km from his house). The provision of replacement land on the territory of the demolished structure was impossible, since the regional khokimiyat did not complete the master plan for the construction of facilities and communications in the district. Due to the fact that the lost business is not the main source of income of the affected person, during negotiations in 2019 affected business owner and the khokimiyat, reached the agreement on the allocation of a replacement land at 228-229 km once master plan for the district is approved.

31. In June 2021, as a part of monitoring Consultant requested information on the status of the allocation of a land plot to an affected person (Nasriddinov Ekrin, business owner) from the khokimiyat of Bukhara district. Consultant contacted the khokimiyat of the Bukhara region and discussed the deadline of the allocation of the land plot to the affected person. As a result of the request, the local administration discussed the issue of allocating a land plot to this AP. Consultant assisted the AP in discussing the potential options for the location of the land plot for new construction with the specialists of the architecture and construction department of the khokimiyat of Bukhara district. Currently, due to the restrictions on the allocation of land plots stated by the Presidential decree, the issue of allocating a land plot will be considered only in August 2021.⁵ To provide a guarantee to the affected person on the allocation of a preliminary agreed land plot, Consultant prepared a letter -request to the khokimiyat of Bukhara district on behalf of the affected business owner with a request to consider the possibility of providing a preliminary agreed land plot as “land for land” compensation. (See Annex 1)

⁴ The khokimiyat of Bukhara district submitted all documents for the last 3 years to the prosecutor's office for verification. As the result Consultant was unable to receive copies of documents for the proposed land plot.

⁵ At the moment, on the basis of the Decree of the President of the Republic of Uzbekistan PF 6243 dated June 8, 2021, there is a restriction on the allocation of land plots in Bukhara district until August 1, 2021

Table 8 Monitoring of LARP implementation, January- June 2021

Impact	Location	Status	Responsible institutes
Land for land compensation permanently land acquisition – 1 business structure	228-229 km Bukhara district, Bukhara region	Under monitoring	Bukhara district khokimiyat PMU / Social safeguard Consultant
Land for land compensation permanently land acquisition – 1 residential structure (partially affected)	247+775 km Romitan district, Bukhara region	Under monitoring	Romitan district khokimiyat PMU / Social safeguard Consultant

Source: Social safeguard monitoring results, January –June 2021

5.2.2. Temporary Land Acquisition

45. LARP implementation compliance report covered only permanent impacts of the project implementation. Social safeguard monitoring report will ensure that any kind of temporary impact on private and public assets during project implementation for civil work purposes will be compensated by the contractor based on replacement value for structures, rentals for land use, replacement value for crop and tree loss in compliance with the entitlement matrix of LARP.

46. Monitoring of social safeguard issues confirmed that the construction works required land acquisition of additional land plots. The land plots were allocated for the construction of 3 batching plants and borrow pits for construction needs. The permission for temporary use of land plots were given by the khokimiyat of Romitan and Jondor districts. Copies of the khokimiyat decrees are given in Annex 2. Period of temporary use of land is 3 years.

47. The amount of compensation on agricultural lands in reserve fund of khokimiyat (under lease) was calculated by the Evaluation Commission of Khokimiyat. Compensation for temporary land acquisition was paid by civil contractor. Copies of the receipts confirming the payment of compensation are given in Annex 2.

48. The table below presents the detailed information on lands and compensation payments.

Table 9 Temporary acquisition of lands for construction needs, January – June 2021

Type of lands	Use of lands	Owner	Area, ha	Location	Temporary use	Period of temporary acquisition	Amount of calculated compensation, UZS	Amount of paid compensation, UZS	Status of compensation payment	Decree of local administration for land allocation
Agricultural land	Pasture	District reserved land fund	1.6	Romitan district 249+000km	Batching plant at 249 km	3 years	152 189 897.5	152 189 897.5	Fully paid Date of payment order is dated August 26, 2020	No. 547 dated August 17, 2020, Romitan district khokimiyat
Agricultural land	Pasture	District reserved land fund	2.5	Romitan district 269+500 km	Batching plant at 269 km	3 years	2 983 297.8	2 983 297.8	Fully paid Date of payment order is dated August 26, 2020	No. 514 dated July 17, 2020, Romitan district khokimiyat
Agricultural land	Pasture	Amir Temur sheep breeding farm	4.0	Jondor district 284+600 km	Batching plant at 284 km	3 years	17 016 000.0	17 016 000.0	Fully paid Date of payment order is dated August 26, 2020	No. 442 dated July 15, 2020, Jondor district khokimiyat
Agricultural land	Pasture	Amir Temur sheep breeding farm	94.76	Jondor district 286+000 km 284+860 km 285+400 km 286+400 km 287+000 km 287+200 km 287+800 km 288+000km 293+200km 294+500 km 294+600 km 304+500 km 307+000km	Batching plant at 284 km + borrow pits	3 year According to Decree No. 352 dated April 05, 2021 the period of temporary use was re-considered. The period of temporary use of land is 1 year.	256 721 003	179 704 702.1	Partly paid Payment order is dated May 10, 2021	No. 92 dated February 12, 2021, Jondor district khokimiyat, amendments made by the decree No. 352 dated April 05, 2021
TOTAL			102,86				428 910 198,3	351 893 897,4		

Source: Social safeguard monitoring results, January –June 2021

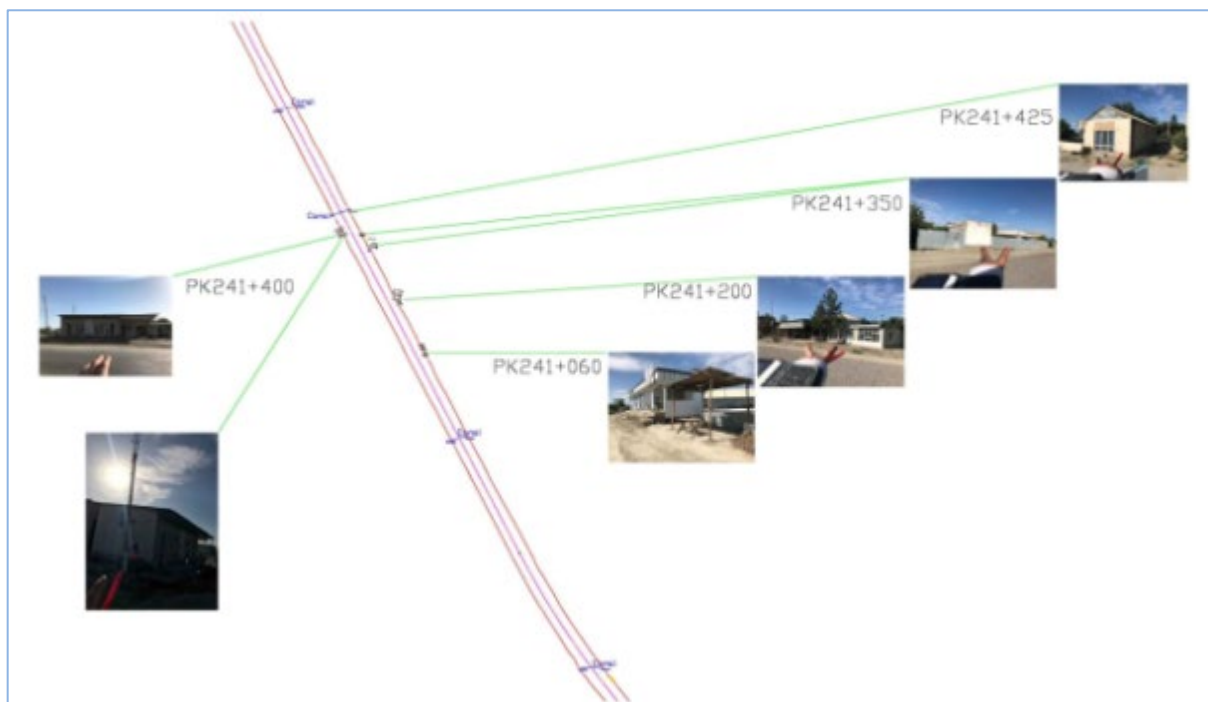
5.2.3. Unanticipated project impacts and land acquisitions

49. Detailed design was developed in 2016. Since the civil works started, the engineering specialists of civil contractor China Railway 20 Bureau Group Corporation (CR20) faced with shortcoming of the detailed design prepared for the project. In order to make proper adjustments of the road design the civil contractor CR20 carried out detailed engineering evaluation and conducted geodetic survey of the project area. As a result of site visit of the project area on June 7-8, 2021, the engineering specialists of the civil contractor China Railway 20 Bureau Group Corporation (CR20) notified the Consultant about possible adjustments to the existing project detailed design. The geodetic measurements are made for the whole route of 228-315 km (87 km).

13. In this regard, as a part of safeguard monitoring Consultant visited the project site to check the unanticipated LAR impacts on June 7-8, 2021. To confirm the LAR impacts on mentioned structures, on June 23, 2021 Consultant in close cooperation with project engineering team studied the results of geodesic survey. The Consultant in cooperation with the engineers of CR20 confirmed that the project may potentially affect 6 structures along the road (1 residential household (partially) and 5 business structures) at 241-242 km of Romitan district. The summary potential LAR impacts are given in the table below.

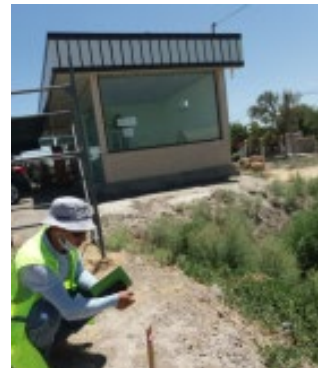


14. Any action related to potentially affected persons will comply with the requirements of the entitlement matrix of LARP. During the next stage of monitoring, Consultant will receive a conclusion from the project engineering specialists about the potential to minimize the negative impact on the structures along the road in order to reduce the impact on people and their assets. If the impacts on the structures cannot be avoided, all those affected properties/parties will be provided with mitigation measures/compensation through a corrective action plan (CAP) in accordance with the entitlement matrix of the LARP.




Figure 2 Map with potentially project affected structures at 241 -242 km




Source: China Railway 20 Bureau Group Corporation

Table 10 Unanticipated potential project impacts, June 2021

Structure	Location	Status	Notes	Picture
Bus stop shop	239+750/850 km Bukhara region, Romitan district, Hosa makhalla	No impact	<p>The shop is located on the road right of way. It is new construction after the design of the project. The permission for construction of the structure was issued in accordance with the order of the khokimiyat of Romitan district No. 3344 dated December 25, 2018. Structure area is 60 sq. meters. According to primary data, the protruding part of the roof of the shop was considered as an affected structure.</p> <p>According to the corrected data of surveyors, the bus stop is located in 28 m from the axis of the roads according to the changed cross-section. The shop at the bus stop was built after the design project and <u>does not found interfere with the construction of the highway.</u></p>	
Bus stop shop	240+195 km Bukhara region, Romitan district, Hosa makhalla	No impact	The shop is located behind the road right of way. The bus stop was built as a part of the project design.	N/A
Bus stop shop	241+060/075 km Bukhara region, Romitan district, Gazibiron makhalla	Potentially affected	A bus stop with a shop is located 23 m from the road axis. It was built after design project. <u>It interferes with the construction and arrangement of the sidewalk.</u> The project does not provide for a bus stop on this km.	
Bus stop shop	241+200 km Bukhara region, Romitan district, Gazibiron makhalla	No impact	Hardware store. The mesh canopy fencing has been moved beyond the road right of way.	

Iron fence of residential house	241+350 km Bukhara region, Romitan district, Gazibiron makhalla	Potentially affected	Iron fence of residential house. <u>According to interviews with HH, in order to widen the road, the fence was moved deeper into their land plot.</u> This building was built in 2003. The total size of the plot is 0.02 hectares, including 0.01 areas of the greenhouse. <u>According to the surveyors' data, the fence is 17.2 m from the axis of the road and falls on the foot of the slope; it does not interfere with the construction of the highway road.</u> Consultant requested data on this land plot from the khokimiyat to clarify the situation.	
Bakery (not operating)	241+370 km Bukhara region, Romitan district, Gazibiron makhalla	Potentially affected	According to the surveyors' data the bakers' building is located at 17.27 m and <u>falls on the slope of the road 0.53 m.</u> The structure was built in 2003. The area of the structure is 10 m ² . Illegal construction. No cadastral registration. The structure was used as hair cut shop, but currently it is not operating.	
Bus stop shop	241+400 km Bukhara region, Romitan district, Gazibiron makhalla	Potentially affected	The bus stop 16 m from the axis of the road was built after the design project. <u>The structure interferes with the construction and arrangement of the sidewalk.</u> The project does not provide for a bus stop for this km.	

Bus stop shop	241+430 km Bukhara region, Romitan district, Gazibiron makhalla	Potentially affected	The bus stop 17 m from the axis of the road was built after the design project. <u>The structure interferes with the construction and arrangement of the sidewalk.</u> The project does not provide for a bus stop for this km.	
Bus stop shop	242+050 Bukhara region, Romitan district	Potentially affected	The bus stop 17.8 m from the axis of the road was built after the design project. <u>It falls on the sidewalk slope.</u> The project does not provide for a bus stop for this km.	N/A
Bus stop shop	242+200 km Bukhara region, Romitan district	No impact	The bus stop was built after the design project. <u>The structure does not interfere with the construction of the highway.</u>	N/A
Bus stop shop	243+600 km Bukhara region, Romitan district	No impact	The bus stop was built after design project, located on the axis of the road at 20.77 m, from the foot of the sidewalk slope at 0.36 m. <u>The structure does not interfere with the construction of the highway.</u>	N/A
Bus stop shop	244+777 km Bukhara region, Romitan district	No impact	The bus stop was built as a part of the project design	N/A
Bus stop shop	244+986 km Bukhara region, Romitan district	No impact	The bus stop was built as a part of the project design	N/A
Bus stop shop	246+725 km Bukhara region, Romitan district	No impact	The bus stop was built as a part of the project design	N/A
Bus stop shop	247+900 km Bukhara region, Romitan district	No impact	The bus stop was built at 41 m from the road.	N/A

Bus stop shop	250+000 km Bukhara region, Romitan district	No impact	The bus stop was built at 37 m from the road	N/A
Bus stop shop	257+050 km Bukhara region, Romitan district	No impact	The bus stop was built as a part of the project design	N/A
2 sheds and 2 tandoors	260+063 km Bukhara region, Romitan district	No impact	Temporary structures - 2 sheds and 2 national tandoors were temporarily built by the owners in 2020. After consultations with the owners, it was found that the owners of the light structures are aware of the expansion of the road. In consultation, owners of temporary sheds will move their light structures to the back of their shops themselves. No assistance from the khokimiyat or project is required.	N/A

Source: Social safeguard monitoring results, January –June 2021

5.2.4. Corrective actions for LAR issues

50. All the compensation payments entitled by LARP were completed prior the commencement of civil works. Payments of compensation are fully completed to project affected people mentioned in LARP. During this monitoring period it was determined that land for land compensation shall be provided for 2 project affected people after consideration of their requests to local administration.

51. Implementation of the construction works required allocation of lands for the temporary use within the construction period (3 years). The lands are temporary allocated to the civil contractor by local administration. Till the reporting period, 82% of total calculated amount of compensation has been paid for the allocated lands by the civil contractor.

52. Besides that during the current monitoring period Consultant identified the potential unanticipated LAR impacts resulted by the engineering adjustments made by the civil contractor. The project may potentially affect 6 structures along the road at 241-242 km in Romitan district.

53. As the result of identified social and LAR risks Consultant developed correction actions of the project implementation to mitigate the project impacts in compliance with the Entitlement matrix of LARP.

Table 11 LAR corrective actions plan, January –June 2021

Social / resettlement indicator	Activities	Action	Responsibility / resources	Status
Land for land compensation (LARP)	This issue is under the responsibility of regional and local authorities. Consultant will continue monitoring of this indicator.	Proper and timely provision of lands	Bukhara district khokimiyat PMU / Consultant	Under monitoring
Temporary lands use: complete compensation payments	Contractor will fully cover the compensation for the temporary used of lands. Consultant will continue monitoring of this indicator.	Timely compensation payments	Municipality of Bukhara region / district (khokimiyats), Civil contractor, EA/PMU and relevant departments of Ministry of finance	Under monitoring
Unanticipated LAR impacts	The acquisition of lands will be implemented in compliance with the Entitlement Matrix of LARP. All impacts related to the lost rights to use the land within project will be compensated.	Proper and timely mitigation measures	Municipality of Bukhara region / district (khokimiyats), Civil contractor, EA/PMU, Consultant	Under monitoring

Source: Social safeguard monitoring results, January –June 2021

5.3 Labor, Gender, Health, and Social Protection

54. During the site visits of the project area, Consultant conducted monitoring of the compliance status in connection to the FAM's requirements for labor, gender, health, and social protection issues. As a part of monitoring, Consultant identified non-compliance issues with the core labor standards and laws and regulations of Uzbekistan as well as health, safety and social protection of the implementing civil works at the construction site. Taking into account, the results of the monitoring during site visits Consultant prepared notification / recommendations to the civil contractor about the requirements to comply with the HSE measures. Copies of recommendations/prescriptions given to the civil contractor within the current monitoring period are described in Annex 5.

55. In June 2021 site visits/monitoring by the consultant revealed the civil contractor has made proper improvements to comply with the labor, health and social protection requirements, following recommendation of the SC. The improvements made by the contractor, in connection aforesaid issues noted by the SC are furnished in the table below:

Table 12 Compliance with the labor, health and social protection requirements

Requirement	Status	Improvements noticed	
Core labor standards, applicable laws and regulations in Uzbekistan and incorporated applicable workplace occupational safety norms	Complied with	Labor issues	<ul style="list-style-type: none"> Personnel at the construction sites are provided with the personal protective equipment, seasonal uniform (winter set / summer set), special protective equipment when working on welding machines and other equipment in accordance with safety measures (shoes, glasses, gloves, helmets, and others); Accommodations and sanitary conditions of living places should be under monitoring and control; Proper medical examinations are carried out regularly. <ul style="list-style-type: none"> Labor contracts are signed with all contractor personnel. Salary payments are guaranteed in time.
	Complied with	Dining room	<ul style="list-style-type: none"> Improve sanitation and food preparation for local staff is good. Sanitary conditions of kitchens are provided. Mosquito nets to all windows to ensure that food and cooked foods free from flies and other insects are installed. Proper storage of dairy, meat and vegetable products is provided. All meat products are stored in plastic bags in a separate freezer. Tables and chairs (seats) are improved and now they are of proper quality and sufficient quantity. Seat places in the dining room are sufficient for the number of working personnel; Schedule of shifts to ensure proper nutrition conditions for all personnel in turn is established. A weekly menu is available and hangs at the entrance to the dining room. Household waste from the canteen is proper disposed in accordance with the requirements of sanitary standards.

			<ul style="list-style-type: none"> • Cooks are provided with special clothing (cap, mask, gloves, apron) at all the construction facilities. • The storage of propane cylinder complies with safety regulations.
	Complied with	Health issues	<ul style="list-style-type: none"> • First-aid boxes are fully equipped; Medical points are separate from other rooms; with a full range of medicines required for first aid at camp / batching plants • Necessary information about the telephone numbers and addresses of hospitals for the medical aid are available on the outside of the door of the first-aid post. • Medical examination of all workers who enter the construction site, as well as all drivers is carried out every morning. Corresponding registration of medical health checks (temperature, pressure, etc.) and the absence of alcohol (breathalyzer) are recorded in proper registration logbooks. • Registration of all cases of illness at the construction site is ensured. • Each camp/Batching Plant /office have a facility equipped with first aid kits and medicines for providing first aid to workers, telephone numbers of the doctor and hospitals and those responsible for providing medical care. • Compliance with the sanitary requirements for the disposal of medical wastes is ensured.
	Complied with	Fire safety / emergency plans	<ul style="list-style-type: none"> • Camps /Batching Plants are provided with proper number of firefighting equipment (fire extinguishers, shields, etc.). All equipment are with valid expiration dates and stored in good condition. Fire extinguishers are available to staff in case of fire. Personnel are trained in the use of a fire extinguisher in case of fire. • All construction sites are equipped with evacuation plans in case of fire or other emergencies. Regularly conduct training on fire safety and emergency situations are conducted. • Ensured compliance with fire regulations when using welding, electrical equipment, gas cylinders in the kitchen, any flammable and rapidly flammable substances.
Requirements not to make differentiate payment between men and women for work of equal value	Complied with	Payments	<ul style="list-style-type: none"> • Salary payments are provided in time. • Civil contractor ensures equal payment to women and men for work of equal value.

Requirements not to employ child labor in the construction and maintenance activities and eliminate forced or compulsory labor as well as eliminate employment discrimination	Complied with	Child labor Forced labor Labor discrimination	<ul style="list-style-type: none"> Civil contractor ensures compliance with the legislation of Uzbekistan. Child labor, forced labor and labor discrimination are prohibited.
Allow for freedom of association	Complied with	Freedom of association	<ul style="list-style-type: none"> Civil contractor ensures freedom of associations
Maximize employment of local people for project construction	Complied with	Local workers	<ul style="list-style-type: none"> Civil contractor attracted local people for project construction works. Proper labor contracts are signed. PPE are provided to all workers; COVID safety measures are ensured. COVID monthly tests are carried out for all project staff at the construction site. In case of positive results of COVID, contractor will follow the requirements of COVID safety regulation to avoid spread of coronavirus infection; Salary payments are provided in time. Medical examinations are carried out regularly.
Dissemination of information on the risks of sexually transmitted infections, including HIV/AIDS, to the employees of civil works contractors engaged under the Investment Program and to members of the local communities surrounding the road sections, particularly females.	Complied with	Health Safety and HIV / AIDS Awareness Program and Actions	<ul style="list-style-type: none"> Safety training and HIV AIDS Awareness Program trainings are scheduled and carried out regularly. Health and safety specialist conducts monthly trainings to disseminate HIV awareness among project staff and construction personnel in order to prevent spread of the infection and virus. First aid trainings at the construction site are scheduled and carried out regularly. Health and safety specialist instructs workers on safety and health requirements when working on a construction site, using materials or substances hazardous to health. The health and safety specialist also monitors health and safety issues on a regular basis in order to avoid possible violations. Proper records are provided. Civil contractor presents the trainings results at monthly HSE reports.

Source: Social safeguard monitoring results, January –June 2021

6. GRIEVENCE REDRESS MECHANISM

6.1 Project Grievance redress mechanism

56. A project-specific grievance redress mechanism (GRM) is established by the EA to provide a transparent mechanism to voice and resolve any concerns linked to the project. GRM developed within the project will be operating during the whole period of project implementation. The APs have the right to file complaints and/or queries on any aspect of LAR. Under the adopted grievance mechanism, APs may appeal any decision, practice or activity connected with the measurement, assessment or valuation of land or other assets, its acquisition and compensation. All possible avenues will be made available to the APs to voice their grievances. The EA through its PMU will ensure that grievances and complaints on any aspect of the land acquisition, compensation and resettlement, are timely and effectively addressed.

57. The grievance mechanism shall not impede access to the country's judicial or administrative remedies. Affected persons can approach a court of law at any time and independent of the project level grievance redress process.

58. Along with the ADB requirements on development and approval of grievance redress mechanism by implementation of investment projects, grievance redress procedure in Uzbekistan is also regulated by the national legislation of Republic of Uzbekistan, in particular by the law "On Citizens' Applications" and the "Law on the order of submission of appeals of physical and legal entities" (#378, 03 December 2014). According to the "Law on the order of submission of appeals of physical and legal entities", the application or complaint shall be considered within fifteen days from the date of receipt in the state authority, which is obliged to resolve the issue on the merits, as well as require additional study and (or) check, a request for additional documents - up to one month. The submission procedure for grievances and citizens' applications has been discussed during the public consultations in the project districts.

59. The GRM for the current project takes into account the national legislation, the specificity of the project sites and results of public consultations. According to the Resolution 97 (29 May 2006) the khokimiyats of the respective districts (cities) are obliged to notify owners of residential, production and other buildings, constructions and plantings on the made decision in writing for signature not later than six months prior to demolition, attaching to the notice copies of the relevant decisions of the khokims of districts (cities) and regions on the basis of the decision of the Cabinet of Ministers of the Republic of Uzbekistan on any land acquisition, demolition of residential, production and other buildings, constructions and plantings located in the land.

60. The APs will have the right to file complaints and queries on any aspect of land acquisition compensation and resettlement. The project level GRM was discussed PIU's manager and safeguard specialist and it was presented during the training on Involuntary Resettlement requirement. PIU will ensure that grievances and complaints on any aspect of the land acquisition, compensation, and resettlement are addressed in a timely and satisfactory manner. All possible avenues are made available to the APs to resolve their grievances at the project level. Under the proposed project level grievance mechanism, affected households may appeal any decision, practice or activity connected with the assessment or valuation of land or other assets, acquisition and compensation.

61. In addition, the GRM was discussed with PIU and updated into the format applicable for social aspects in term of resettlement impact and mitigation measures. After discussion with all parties, the following multi-level GRM was proposed for the project and is described below in table below:

Table 13 Grievance Redress Mechanism and Levels

Level/Steps	Process
Level 1- local makhalla committee, working office of Contractor and project district (Bukhara, Romitan, Peshku, Jondor)khokimiyats	<p>The aggrieved person applies directly to local makhalla committee or working office of Contractor. PIU Sociologist will be in charge for receiving and registration complaints and PIU ADB will collect information about received complaints from makhalla committee and working Contractor offices on weekly base.</p> <p>The alternative entry point for complaints will be also khokimiyats due to their obligations defined by national legislation: (i) khokimiyats of the respective districts (cities) are obliged to notify owners of residential, production and other buildings, constructions and plantings on the made decision in writing for signature not later than six months prior to demolition, (ii) there is a 1st deputy of khokim responsible for industry, capital construction communications and utilities, who is usually responsible for any issues/complaints regarding the construction and land allocation; After registration of received complaints, PIU sociologist will review nature/specificity of the complaint and will forward it to relevant party for resolving. In parallel, PIU sociologist will inform PIU in Tashkent about received complaint and further actions undertaken for its solution. Depending on nature of complaint it may go to Contractor, Land Cadaster, Makhalla or district branch of Nature Protection Committee. For example, complaints related to resettlement issues may be forwarded to Land Cadaster, khokimiyat and makhallas. In case of environmental issue, complaint will be forwarded to Contractor or District Nature Protection Committee. At this level complaint should be resolved during 2 weeks.</p>
Level 2 – PIU secretariat in Tashkent	<p>In case the grievance was not redressed on the first stage or applicant is not satisfied with the decision made/solution, s/he can submit the grievance directly to secretariat PIU in Tashkent. In accordance with established procedure, the secretariat of PIU will review the complaint and will forward complaints to respective department to made decision on its redress. In case the grievance is not related directly to the project, the further instance will be recommended to the applicant where s/he should apply for the decision making.</p> <p>In case, if the complaint is required more time and resources for resolution, the PIU may establish complaint handling team with following members such as representatives from secretariat PIU, district khokimiyat: cadastral department and makhalla or village assembly of Citizens or/and farmer's councils, or/and women association. All complaints will be resolved in 15 days, and in case additional details are required, a maximum of 30 days will be used to resolve and close the complaint with prior notification of complainant.</p>
Level 3- Economic Court	<p>If the issue was not solved or the applicant is dissatisfied with the decision/resolution, the aggrieved person may submit grievance to Economic Court (Court of Law) where decision will be made in accordance with relevant national legislation. However, APs can approach the court of law at time during the grievance redressed process independent of GRM and the grievance mechanism should not impede access to the country's judicial or administrative remedies.</p>

62. Most of grievances on land acquisition and resettlement issues are redressed at 1-2 levels. All grievances received from the APs and population of the project area will be registered in a logbook⁶ which should be available at all levels: at the site office of Contractor, makhalla committee of project area district. Besides, there are also logbooks will be established in project district khokimiyats where the grievances from the population are usually registered. Even so, the

⁶ The logbook should sequentially numbered and pages have been bound securely.

information on received by Contractor grievances and applications from the aggregated persons, and undertook measures should be submitted to the representatives of PIU on the project site for the accounting all grievances. Thereafter the information on all received grievances will be collected at the PIU.

63. The Contractor should include the information on grievances in monthly progress reports submitted to the PIU, who in their turn will include aggregated information to the semiannual social monitoring reports (SSMR) to be submitted to ADB.

64. The aggrieved persons can also use the ADB Accountability Mechanism (AM) through the direct citizens' application to the Head Quarter in Manila, particularly to Complaints Receiving Officer, Accountability Mechanism Asian Development Bank Headquarters ADB Avenue, Mandaluyong City 1550, Philippines Email: amcro@adb.org Fax +63-2-636-2086.

65. AM is the last resort and ADB has its availability as a recourse in case other mechanisms for dealing with harmful project effects are not successful. GRM is required by SPS and the use of project level GRM should be encouraged first.

6.2 Monitoring of grievances for the period of January - June 2021

66. The complaints registration logbooks are available at all levels of project GRM: (i) Civil Contractor (Mr. Fang. Chief Engineer, E-mail: cr20g.a380@gmail.com, Tel: +998 993891440) (ii) Project management (Ms. Cherdikudi, Social Safeguards Specialist, cherdikudi@almarconsultin.org, Tel: +998 (78) 150 8887) (iii) Project district khokimiyats. The responsible khokimiyats are district khokimiyats of Romitan, Jondor, Peshku. (iii) PIU specialist responsible for safeguard issues and GRM (Mr. Shokhrukh Salimov E-mail: mff-pmu-uz1@mail.ru Tel: + 99871 2680163). Complainants or concerned individuals can visit, call or send a letter or e-mail or fax. Receipt of grievances lodged in person, via phone, through a letter or e-mail or fax will be acknowledged. Grievances are usually recorded in a standard format. The copies of the GRM logbooks are given in Annex 4.

67. Necessary records of complaints in the registration logbook are provided at the office of civil contractor, 3 batching plants, office of project management. All complaints and grievances from employees are also registered in this log with the date of the complaint, brief description of the complaint, the person responsible for resolving the issue and the result of the complaint.

68. Consultant will continue monitoring of complains under the project at further stage of project implementation to ensure the proper and timely address any complains under the project. The brief information on complaints received and resolution status covering the current monitoring/reporting period are given in the table below:

Table 14 Monitoring of project complaints, January -June21

Date of complaint received	Name of complainer and address	Type of Complaint	Summary of complaint	Steps taken for complaints resolution	Complaints Resolution Status	
					Status (closed/ in process)	Remarks
March 09,2021	Mr. Segov Odil, Land owner, Batching Plant K.249	Verbal complain during meeting about nonpayment of compensation for using his borrow pit land by contractor	In addition to land for batching plant, contractor is also using about 200 ha of his borrow pits lands for soil collection with paying compensation	Consultant advised him to submit formal complain to Project GRM or Khokimiyat	Closed	N/A
March 15,2021	Mr. Salahiddin, a shop owner and Mr. Ergash on behalf of Chartok local community people	Drain reconstruction	The drain currently located near the existing road, as it required relocate/reconstruct due to road extension, they heard, it will reconstruct closer to the houses and other structures of the community that will be dangerous and unsafe for their movement from village and may cause accident, So they are not agree with drain relocation close to their houses.	The engineer of the project clarified that the drain will be reconstructed under the asphalt using pipes and will not cause any impede or prevent from access to the houses.	Closed	N/A
March 15, 2021	Nasriddinov Erkin, business owner, Kuchkumar community, Bukhara district K. 228-229	Land for land compensation to reconstruct affected business structure	This business owner not yet received "land for land" compensation for construction of his demolished structure.	AP was invited to the Bukhara administration to clarify the delay of the issue. However, it is planned to allocate land plot to him once, engineering district master plan and networks planning is completed.	Under process	The written request on allocation the land plot provided to local administration. The local administration will consider the allocation of land plot at 228-229 km as agreed with AP in August 2021.
March 16, 2021	Chairman, Makhalla committee on behalf of community people. (chairman of makhalla committee)	Road access to Hofizrabod village/community	Project Road improvement will block direct access to Hofizrabod community using existing connecting road towards their community. Therefore, residents requested to keep provision of U-turning at this community road point for easier and time saving access to community. Otherwise people, fire services and	Social safeguard consultants (IRS and NRS) explained the procedure of GRM and advised them submit application to local Khokimiyat requesting to resolve the issue of provision of U-turning, traffic lights and pedestrian crossing. Then local administration will re-direct the complaints to Road committed	To be considered by the local administration once the complaint is submitted to the local administration	

			emergency / ambulance, car and other transport will need to go 5 km each direction of the highway to get entrance to community, and also Demanding a Zebra crossing at this point for pedestrians and cattle for road crossing for their agriculture and other activities	which is responsible for the issues related to the road construction, and design related issues e.g. U-turning, safety, Zebra crossing, road signs etc.		
June 23, 2021	Mr. Ruziev Sulton, K 247, Romitan district, Bogiturgon community, Taylok village	Land for land compensation to partially affected residential house (cowshed)	AP lost the small part of his land plot with structure intended for keeping livestock (cowshed). LARP Implementation compliance report (September 2020) confirmed payments of compensation. Receipt from the owner of the demolished structure confirmed his satisfaction with mitigation measures provided to him for lost structure. However, during the monitoring in June 2021, the affected person expressed a willingness to receive a replacement land plot.	The complaint was submitted to Khokimiyat. Local administration recommended applying to PMU as GRM level responsible for clarification issues on project mitigation measure provided to APs.	Under process	PMU, Consultant in close cooperation with Romitan khokimiyat consider the complaint.
June 23, 2021	K241 + K 242 Romitan district	Unanticipated LAR impacts.	Project construction will potentially affect 5 businesses and 1 residential structure on these kms. Owner of the structures are worried about project impacts on their property.	The acquisition of lands will be implemented in compliance with the Entitlement Matrix of LARP. All impacts related to the lost rights to use the land within project will be compensated.	Under process	PMU, Engineering Consultants in close cooperation with Romitan khokimiyat will consider the complaint.

Source: Social safeguard monitoring results, January –June 2021

7. PUBLIC AWARENESS AND CONSULTATIONS

69. During this monitoring period public meetings and consultations were conducted with the project stakeholders and PAPs. Individual consultations with the project affected persons to ensure the satisfaction with the provided mitigation measure and compensation were conducted on **March, 2021**. IRS and NRS, project engineering specialists and project affected persons took part in the consultations. The discussion was focused on compensation payments for project impacts to the project affected persons and their satisfaction with the provided mitigation measures. Project affected persons confirmed that the compensation for their lost structures were paid to them in compliance with the independent appraisal calculations made by evaluation company. Compensation for assistance such as assistance in relocation, allowances for partly residential impacted HHs and severely affected HHs, business losses and workers for lost incomes was provided to the affected persons.

70. On **June 7, 2021** Consultant conducted individual consultation with the AP on land for land recommendation issues. On **June 8, 2021** representatives of PMU, NSC, project engineering specialists conducted individual consultations with the owners of business structures located at 260 **km** in Romitan district. The owners of 2 business structures installed light shed constructions which fall on the RoW of the road lines. The discussion was focused on cut-off date mentioned in LARP and permission of installing constructions along the road. During the discussion business owners were informed that the installation of constructions on road lines are not allowed in compliance with the project design approved for Bukhara region. As a part of monitoring Consultant also conducted individual consultations with people assessed as potentially project affected persons by the unanticipated LAR impacts on **June 23, 2021** (241 km +242km, Romitan district). On **June 30, 2021** conducted individual consultation with the AP on land for land commendation issues.

71. Photos and minutes of public meetings and consultations are given in Annex 3. Consultant will conduct public consultations within monitoring to follow up any social safeguard issues and develop additional remedial measures (if required) to provide the compliance with LARP entitlements and requirements of ADB SPS (2009) on IR.

Table 15 Public consultations, January –June 2021

Date	Place of consultations	No. of participants	Type of participants	Issues Consulted	Outcomes of consultations
March 05,2021	Contractor Site Office at Khokishtovon community	6 persons including Contractor's Engineers, H&S Specialist, others	Engineers, Health safety/social Specialist, others	Introduction and program fixation for site visits	Introduced, preliminary idea about social and H&S issues undertaken by contractor
March 09,2021	Km. 249, Batching Plant site.	6 persons including Contractor's site Engineer/staffs, land owners etc.	Contractors Engineers, H&S Specialist, Owner of batching plan lands.	Health safety / labor and rent / compensation issues etc.	Information to confirm about temporary land compensation payments, satisfaction by land owners and visualized progress of batching plant construction and labor and H&S issues
March 09,2021	Km. 248: Tayloq community, Romitan district.	5 APs	Project APs	Project awareness, compensation, their satisfaction/grievances/complaints etc. Irrigation canal relocation / construction issue	Collected information about the project, RP implementation , compensation payments etc. and recorded complaints, if any
March 15,2021	Chartok Bus station Local people and professionals	5 Local people and professionals	Local community/village people Irrigation canal relocation/construction	Irrigation canal / relocation / construction	Visualized the existing and proposed sites, noted people's views regarding the canal and logics for canal relocation
March 15 -16, 2021	Kuchkumar community/ Sarfkarda village and Bogiturgon community Taylok village	APs	APs of Kuchkumar, Sarfard, Taylok and Bogiturgon community	Conducted face to face consultation and interview of APs for impact evaluation survey	Collected desired information/data for the APs impact evaluation survey and consulted face to face with noting of different issues, their impacts/prospects

Date	Place of consultations	No. of participants	Type of participants	Issues Consulted	Outcomes of consultations
					ct, complaints etc. and visualized their new rehabilitated location using compensation money from the project
March 16,2021	Khokimiyat Office, Bukhara district	5 Khokimiyat officials	Bukhara Assistant to Khokimiyat and relevant officers	Project implementation issues, their involvement and grievance redress	Introduced and got idea their perception and involvement in the project for land acquisition issues with mitigation of complains arise from LAR and its implementation
March 16,2021	Hofizrabod Makhalla Committee Office Chairman, Deputy Chairman and other members of the committee	8 including chairman and committee members and local people	Chairman, Deputy Chairman and other members of the Makhalla committee and local people	Project awareness, compensation, participation issues, their involvement, grievances etc.	Introduced and got idea their perception and involvement in the project for land acquisition issues with assistance to people/APs to receive complaints with assistance to mitigate of complains arise from LAR and its implementation
June 7, 2021	228-229 km Bukhara district	1 affected person	Business owner	Land for land consultation	Discussion with business owner the issue on allocation of replacement land plot.
June 8, 2021	260+063 km Kokishtovon community, Romitan district	8 including PMU representative, Contractor's site Engineer/staffs, business owners etc.	PMU representatives , Contractor's site Engineer, Project deputy TL, NSC, business owners	Cut-off date mentioned in LARP and permission of installing	Discussion with business owners the permission of installation of

Date	Place of consultations	No. of participants	Type of participants	Issues Consulted	Outcomes of consultations
				constructions along the road.	constructions along the road.
June 23, 2021	241- 242 km Romitan district	8 business owners	Business owners	Potential LAR issues	Discussion with business owner the issues on potential project impacts on them and mitigation measure by Entitlement Matrix of LARP
June 23, 2021	247 km Tayloq community, Romitan district.	1 affected person	Owner of cowshed mentioned by the LARP	Land for land consultation	Discussion with business owner the issue on allocation of replacement land plot.

Source: Social safeguard monitoring results, January –June 2021

8. CONCLUSION

72. The permanent LAR impacts based on the detailed design were covered by LARP updated and disclosed at ADB website in April 2019. LARP implementation compliance report was approved by ADB in September 2020. All compensation payments were paid to project affected persons.

73. As a part of the loan agreement Social safeguard Consultant conducts semiannual monitoring of social and LAR risks during the construction period. The main objective of the report is monitoring of LAR and social risks and development of mitigation and remedial measures for social and IR impacts, as required.

74. The overall progress resulted by social safeguard monitoring for January –June 2021 including all identified gaps on social and LAR risks are shown in table below.

Table 16 Overall progress and indicator for next monitoring stage, January – June 2021

Indicator	Progress	Next stage of monitoring
LAR Issues (permanent impacts)		
Land acquisition	2 project affected people applied with the complaints for land for land compensation	Under monitoring
Compensation payment for lost structures	Completed	Completed
Associated compensation payments	Completed	Completed
Temporary land acquisition	82% of compensation payments are completed	Under monitoring
Unanticipated LAR impacts	6 potentially project affected structures were identified at 241-242 km of road	Under monitoring
Grievance redress mechanism	On-going (functioning efficiently)	All complaints are under monitoring
Social safeguard monitoring at stage of construction work	Civil contractor made improvements in compliance with the prescription on identified gaps in labor, health, safety and social issues	On-going

Source: Social safeguard monitoring results, January –June 2021

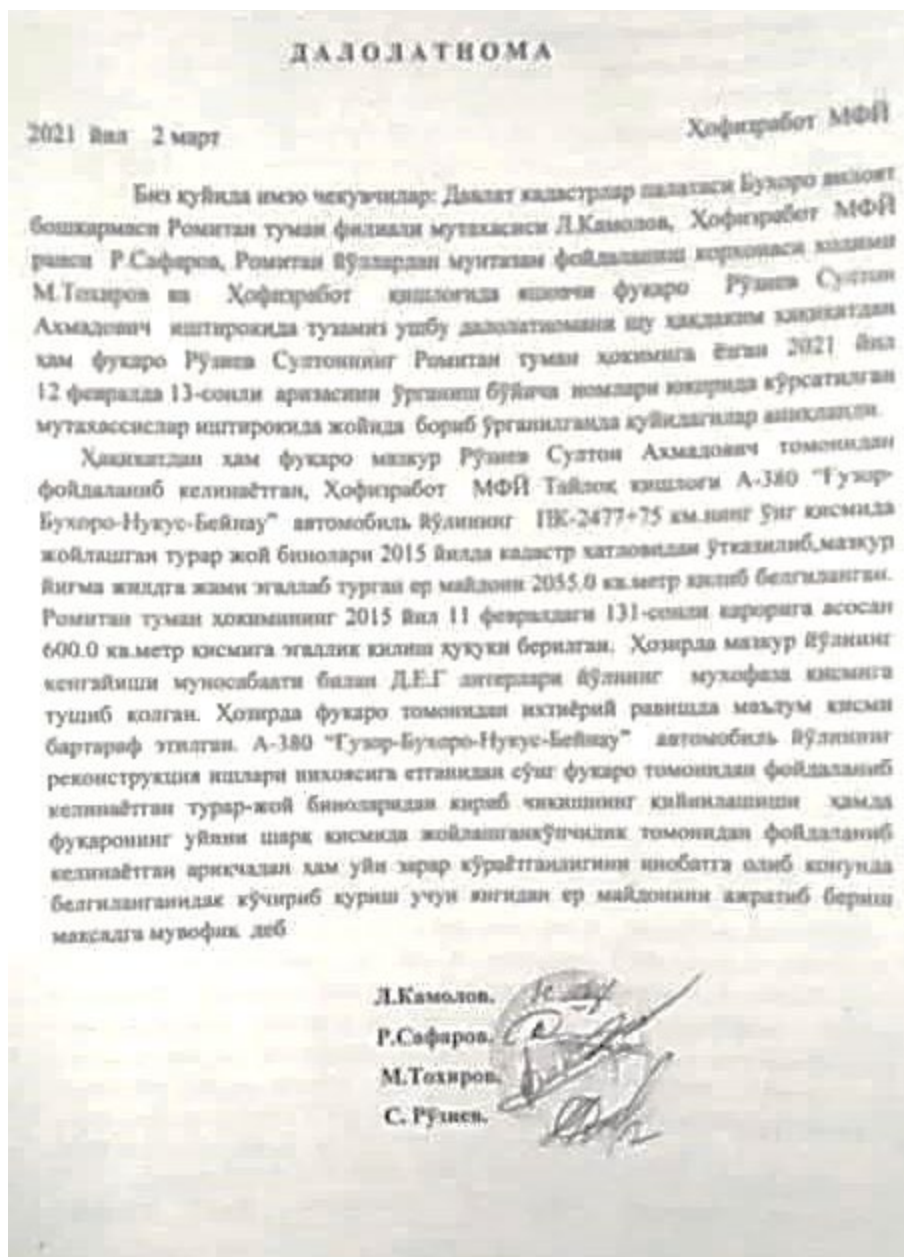
75. Recommendation for next Social safeguard monitoring and evaluation report:

- (i) Monitoring of land for land compensation to remaining APs;
- (ii) Monitoring the process and progress status on rest/due compensation payments for temporary land acquisition;
- (iii) Assessment the potential unanticipated LAR impacts identified in June 2021;
- (iv) Monitoring and assessment of complaints received upon the project;
- (v) Develop required actions and measures to mitigate any impacts, if required;
- (vi) Regular coordination with the EA / PMU.


Annex 1 Complaints on LARP implementation (replacement land issues)

Rusiev Sulton, partially affected residential household, 247 km, Bukhara region, Romitan district, Bogiturgon community, Taylok village. AP received compensation payment for lost structure in 2019. The affected structure is a cowshed. AP had no complaints on provided mitigation measures. Now this affected person applied with complaint on allocating to him replacement land plot for his acquired land plot. His complaint is under control of PMU and khokimiyat and under monitoring of Social safeguard consultant.

Request of AP on allocation replacement land, March 2021



Response of local administration on provided mitigation measures and compensation payments to AP, dated April 23, 2021.


O'ZBEKISTON RESPUBLIKASI BUXORO VILOYATI
ROMITAN TUMANI
HOKIMLIGI APPARATI


201000, Romitan tumani, Buxoro ko'chasi 3-uy, tel: (65) 55-2-14-45, romitan.t@cxat.uz
2021-yil "29" APRIL Romitan tumani 12 371-son.

Хофизработ маҳалла фуқаролар
йигини Тайлоқ қишлоғида яшовчи
фуқаро Рўзиев Султон Аҳмедовичга

Сизнинг 2021 йил 3 апрелдаги Р 371-сонли мурожаатингизга жавобан қўйилганлар маълум қилинади:

Сизнинг Хофизработ маҳалла фуқаролар йигини Тайлоқ қишлоғидаги уй-жойиниڭнинг бир қисми А-380 "Туздор-Бухоро-Нукус-Бейнеу" автомобил йўлининг кенгайтиши муносабати билан йўل қуриладиган ер майдонига тўғри келганини инобатга олиб сизга бошқа контурдан фойдаланиш учун ер майдони ажратилиб берилишини маълум қиламиз.

Ўзбекистон Республикаси "Жисмоний ва юридик шахсларнинг мурожаатлари тўғрисида"ги қонунга асосан, сизга берилган жавобдан норози бўлсангиз, белгиланган тартибда юқори турувчи органларга мурожаат қилиш ҳуқуқига эгасиз.

Туман ҳокими ўринбосари  Ж.Аҳмедов

Payment orders confirming payments of compensation to AP

Платежное поручение		№	2332300967	Приложение 2 0505411002
Дата	11.12.2019	Плательщик	Казначейство Министерство Финансов РУз	ИНН
ДЕБЕТ		ИНН	201122919	
Р/с плательщика	23402000300100001010	Код банка плательщика	00014	
Банк плательщика	РКЦ ГУ ЦБ г. Ташкента	ИНН	200889534	
Сумма	2 306 484,00	Код банка получателя	00669	
Получатель	Ромитан туман Халк банки			
КРЕДИТ				
Р/с получателя	23120000200000669100			
Банк получателя	Ромитон т., ДТ Халк банки Ромитон ф.			
Сумма прописью	Два миллиона триста шесть тысяч четыреста восемьдесят четыре сум 00 тийин			
Детали платежа	07120--400122860062427950100018001--200978534--Ромитан туман хокимлиги--Туман хокимлиги 2019 йил 7 августдаги 8018-сонли қарорига асосан А-380 "Гузюр-Бухоро-Нукус-Бейнеу" автомобилъ йулнинг 228-315 км қисмини реконструкция қилиш объектнинг мийтақасига тушган Рузиев Султон Ахматовичнинг турар-жойларига етказилган зарари қонлаб бериш учун компенсация туловлари пластик қарточкага қуч-ди, т-в50%; Ст. 48.21.190			
М.П.	Рахбар	Б.Ю.Наврозов		
	Бош ҳисобчи	Х.Х.Бекмуродов		

Платежное поручение		№	2332300803	Приложение 2 0505411002
Дата	04.10.2019	Плательщик	Казначейство Министерство Финансов РУз	ИНН
ДЕБЕТ		ИНН	201122919	
Р/с плательщика	23402000300100001010	Код банка плательщика	00014	
Банк плательщика	РКЦ ГУ ЦБ г. Ташкента	ИНН	200889534	
Сумма	2 306 484,00	Код банка получателя	00669	
Получатель	Ромитан туман Халк банки			
КРЕДИТ				
Р/с получателя	23120000200000669100			
Банк получателя	Ромитон т., ДТ Халк банки Ромитон ф.			
Сумма прописью	Два миллиона триста шесть тысяч четыреста восемьдесят четыре сум 00 тийин			
Детали платежа	07120--400122860062427950100018001--200978534--Ромитан туман хокимлиги--Туман хокимлиги 2019 йил 7 августдаги 8018-сонли қарорига асосан А-380 "Гузюр-Бухоро-Нукус-Бейнеу" автомобилъ йулнинг 228-315 км қисмини реконструкция қилиш объектнинг мийтақасига тушган Рузиев Султон Ахматовичнинг турар-жойларига етказилган зарари қонлаб бериш учун компенсация туловлари пластик қарточкага қуч-ди, т-в50%; Ст. 48.21.190			
М.П.	Рахбар	Б.Ю.Наврозов		
	Бош ҳисобчи	Х.Х.Бекмуродов		

[illegible]

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Nasriddinov Erkin, owner of business structure, Bukhara region, Bukhara district Kuchkumar community Sarfarda village. The structure was demolished in 2019. Compensation payment was provided in 2019. He rejected the land for land compensation because it is not his preferred location. Now he is waiting for consideration on issue of allocation of land plot at 228-229 km which he selected in cooperation with khokimiyat specialists on architecture and construction issues. The allocation of land plot will be considered in August 2021 because of legal limitations for land allocation in Bukhara district.

Кимга: Бухоро вилояти Бухоро
тумани ҳокимиятига

Ҳурматли Хайрулло Қодирович!

Мен, Насриддинов Эркин Шамсиевич, 2019 йилда А380 Кузор-Бухоро - Нухус-Бениеу автомагистрала қурилиши сабабли бузилган "Азамат" Авто бекат сардо дўконини эгасиман. Менинг дўконим йўлнинг 228-229 километрларида жойлашган эди. 2019 йилда бузилган дўкон учун менга 200 365 571 миллион сўм миқдорда баҳолашган компенсация пули тўланди. Аммо ҳокимият томонидан менга дўкон бўлган ер участкаси эвазига ер ажратинмади.

"Ермулохдастр" ДК Бухоро тумани филиалининг хатига биноан 2019 йилда туман инфратузилмасининг техник режалаштириш ва шакллантириш ишлар бошланиши керак эди. Шу муносабат билан менга бузилган дўконимдан 30 км узоқликдаги ер участкаси таклиф қилинди. Лекин у уйимдан жуда узоқ бўлганлиги сабабли, мен ушбу ер участкасидан бош тортидим ва ҳокимиятнинг туманининг йўл инфратузилмаси техник режалаштиришнинг план тайёр бўлганидан кейин ер ажратиш таклифига рози бўлдим.

Мен ўзимнинг ер участкам менинг бузилган дўконим ўрнига учун ер участкасини ажратиш имкониятини аниқлаштириш мақсадида 2020 йил 22 июн куни Бухоро тумани ҳокимиятига мурожаат қилдим. Архитектура, капитал қурилиш ва коммуникацияларни ривожлантириш бўлими мутахассиси ҳокимиятнинг архитектура, капитал қурилиш ва коммуникацияларни ривожлантириш масалалари бўйича ўринбосари номидан мен билан дўкон қуриш учун ер участкаси ажратиш масаласини муҳокама қилди. Амалдаги архитектура ҳужжатларга биноан, менга йўлнинг қарама-қарши томонида 228-229 км-да ер участкасини бериш имкониятини муҳокама қилдик.

Архитектура бўлими мутахассиси менга ҳозирда биринчи августга қадар Президентнинг 2021 йил 8 июндаги ПФ-6243-сонли фармони билан ер участкаларини бериш тўхтатирилганлиги тўғрисида хабар берди. Шу муносабат билан, биринчи августдан бошлаб 15 кун ичида ҳокимиятдан янги дўкон қуриш учун йўлнинг қарама-қарши томонидаги 228-229 км-да жойлашган бузилган дўконнинг участкаси ўрнига ер участкаси ажратиш тўғрисидаги мурожаатимни қўриб қилишни илтимос қилмаман.

Мурожаат иккун нусхада тузилган.

Сана: 24.06.2021

Насриддинов Эркин Шамсиевич

Имзо

24.06.2021 й

781: 99 589 99 07

Payment orders confirming payments of compensation to AP

Payment order to AP, 1st payment

Платежное поручение № 2256829795		Приложение 1 к поручению № 000	
Дата 13.11.2019	Платительщик Казначейство Министерства Финансов РУз	ИНН 20122919	
ДЕБЕТ	Р/с плательщика 23402000300100001010	Код банка плательщика 00014	
Банк плательщика РКЦ ГУ ЦБ г. Ташкента	Сумма 180 329 013,00	ИНН 201205806	
Получатель Бухоро т. ДТ Халк банки Галаосиё ф.	КРЕДИТ	Код банка получателя 00672	
Р/с получателя 23120000300000672100	Банк получателя Бухоро т. ДТ Халк банки Галаосиё ф.		
Сумма прописью Сто восемьдесят миллион триста двадцать девять тысяч триста двадцать сум 00 тийин			
<p>400122860062077950100018001-200868869-Хосимият-12.11.2019г 9-шова №1 асосан Бухоро тумани ховжиминит 2019 йил 27 августдаги № 8563-сонли қарорига асосан Насриддинов Эркин Шамсидинвичнинг (пластик рақами 8600060483148376) отқозилган зарарини қоплаш учун (кампаенсияга туловлари) тулов 100%, Ст. 48 21.500</p>			
<p>Детали платежа</p>			
Рахбар _____ А.Очидов			
Бош ҳисобчи _____ Ш.Жамолова			

Payment order to АНН 8 (2nd payment)

Платежное поручение № 2256829871		Приложение 2 к поручению № 000	
Дата 04.12.2019	Платительщик Казначейство Министерство Финансов РУз	ИНН 20122919	
ДЕБЕТ	Р/с плательщика 23402000300100001010	Код банка плательщика 00014	
Банк плательщика РКЦ ГУ ЦБ г. Ташкента	Сумма 20 036 558,00	ИНН 201205806	
Получатель Бухоро т. ДТ Халк банки Галаосиё ф.	КРЕДИТ	Код банка получателя 00672	
Р/с получателя 23120000300000672100	Банк получателя Бухоро т. ДТ Халк банки Галаосиё ф.		
Сумма прописью Двадцать миллион тридцать шесть тысяч пятьсот пятьдесят восемь сум 00 тийин			
<p>400122860062077950100018001-200868869-Хосимият-12.11.2019г 9-шова №1 асосан Бухоро тумани ховжиминит 2019 йил 27 августдаги № 8563-сонли қарорига асосан Насриддинов Эркин Шамсидинвичнинг (пластик рақами 8600060483148376) отқозилган зарарини қоплаш учун (кампаенсияга туловлари) тулов 100%, Ст. 48 21.500</p>			
<p>Детали платежа</p>			
Рахбар _____ А.Очидов			
Бош ҳисобчи _____ Ш.Жамолова			

6. Маъсур қарорнинг бажарилишининг нисбат кўлишнинг ўз таъминоти колдаберишни.

Түркістан халқымыз

X. Темирхан

CHINA RAILWAY 20 BUREAU GROUP CORPORATION компаниясының туын
құрастыру бұрыш A-380 "Түз-Бұз-Орус-Бейне" ақмоыл ағыны
218-315 км. көмелі бетон қолының ұранатылған жолы қайта құрылған бетон жолы
жүріп үчүн пастыңалған 3 (үч) жыл нұдаты ер жайдың аяратып беріп тұрылса
ДАЛАТОЛМА








Бил хувьцаа өмчлөгчид, тусгай хэмжээний хуульчид, "Түр үйлчилгээний байрны (Финансийн салбар) нийгэмлэг" хувийн чөлөөт хамтын байрны гишүүдийг:

Файнакс А.А.	• туумен бөлүк архитектору
Мамалова З.Ж.	• Букарабадтагы Даруссаламдагы Д.К.Романов туумунун финансылык бөлүмүнүн башчысы
Муртазаев М.И.	• Сүмбүрү кыялына тейлөөгө жана табияттын мурундагы кызыл бөлүк бөлүмүнүн башчысы
Ибрагимов Р.	• туумунун ДСЖМ бөлүмүнүн башчысы
Файнакс П.	• туумунун элестер тармагындагы кадрлар бөлүмүнүн башчысы
Койбабаев С.	• Романов туумунунун 5-бөлүмүнүн бөлүмүнүн башчысы
Рахматов III	• туумунун "Телекоммуникациялар бөлүмүнүн" башчысы
Файнакс К.	• Романов туумунун 488-бөлүмүнүн финансылык бөлүмүнүн башчысы
Файнакс М.	• туумунун "Сүмбүрү" Д.К.Романов туумунун финансылык бөлүмүнүн башчысы
Джамалов Д.А.	• туумунун финансылык бөлүмүнүн башчысы
Романов Р.	• Коопсуздук бөлүмүнүн башчысы
Файнакс А.А.	• "CHINA HAILI WAY 20 BUREAU GROUP CORPORATION" компаниясынын башчысы

[illegible]

"CHINA RAILWAY 20 BUREAU GROUP CORPORATION" компанияга бетон шалла қуриш учун вақтинчадан 3 (үч) йил мuddага фойдаланишга ирархия борадинлар арар турар муқаддасат Суулар А-380 "Тумар-Пурар-Пукук-Исирар" атонобар йуларин 200 + 500 км. араларин ун "Тумар" турардан турар хамаларга халар фойда халарин Кавказлар шалла дурурар йуларин араларинин 70-контурларин жини 2,5 гектар,

Шүүмэлжилж, таслагын сүмийнхэн сангирсан, хуримт, хоолойг нь ёлын
дөөрхлөлтэй мэтэр хөдсөнхөн түүн хөөрөлд бэрхд дэл дэлэлтэйг анх
чигсэн, хамд тэсгэлэн түүн хөөрөлд бэрхд дэл дэлэлтэйг анх

Токерия Х.Я. 
Хадиева О.Я. 
Гуляш Б.Б. 
Файлова А.А. 
Муртазиев М.И. 
Маммаев Э.Ж. 
Берсенов Т. 
Файлова Э. 

Pazmany H.
 Kertész I.
 Gáthy Zs.
 Deynár A.A.
 Orosz M.
 Pázmán F.
 Wurm H.

ANA RAILWAY 20 BUREAU GROUP CORPORATION" компаниясыга
 1-дәүләт А-380 "Гүзәр-Бухоро-Нуус-Жайлау" автомобил булуныг 228-315 км.
 нда бетон колоннасы урнатылган жолда кайта курыгыг бетон заводда курыгы
 ыг кыстырмалык 3 (уч) ыла муздатгыг акартылганган ер майдалагыг ТАРХИ



Шаран багтамар
☐ Ажралалтан өр мийдэн чигэрэн

Түмөн түр ресурстарына даярдуу
материалдарына 66 адам бөлүндү.

Тукаш бөлүм архитектору

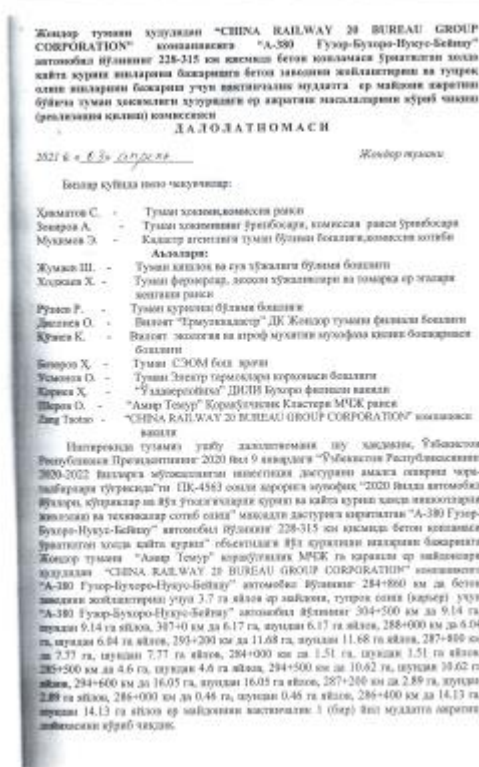
"CHINA RAILWAY 20 BUREAU GROUP CORPORATION" компанияси маълуми

K. Pyne

A. Ozkan

Wang Bairen

Batching plant at 284 km and borrow pits, amendments on period of temporary using from 3 years to 1 year



57

Documents confirming payments of compensation for temporary land acquisitions

Тўлов топшириқномаси № 4

Сана 25.08.2020

Тўловчининг номи CHINA RAILWAY 20 BUREAU GROUP CORPORATION MFF2/CV

Дебет GGGGGVVVKSSSSSSSSNNN Тўловчи СТИР Банк BBBBBB

Тўловчининг х/р 20296000905235108001 207321112 коди 01137

Тўловчининг банки БУХОРО Ш. "ASIA ALLIANCE BANK" АТБ БУХОРО ФИЛИАЛИ

СУММА 2 983 297.80

Олувчининг номи Молия Вазирлиги Газначилиги

Кредит GGGGGVVVKSSSSSSSSNNN Олувчи СТИР Банк коди BBBBBB

Олувчи х/р 23402000300100001010 201122919 00014

Олувчининг номи МБ ББ ХХКМ Ташкент шаҳри

Сумма суз билан Икки миллион туюқиз юз саксон уч минг икки юз туюқиз

Тўлов мақсади 4014108602064013450716186-Ромитан туман ҳоҳимининг 17.07.2020 йилдаги 514-сонли қарорига асосан ер майдони ажратиб бериш учун тўлов кучирилди

Рахбар WANG BAIREN

Бош ҳисобчи WANG BAIREN

БАНК Текширилди Мақулланди Банқдан утқазилди

ASIA ALLIANCE BANK A.T.B. BUXORO FILIALI 17.07.2020 1 BANK CODE: 01137

Compensation amounted at 2 983 297.8 UZS for 2.5 ha, Romitan district

Тўлов топшириқномаси № 3

Сана 26.08.2020

Тўловчининг номи CHINA RAILWAY 20 BUREAU GROUP CORPORATION MFF2/CV

Дебет GGGGGVVVKSSSSSSSSNNN Тўловчи СТИР Банк BBBBBB

Тўловчининг х/р 20296000905235108001 207321112 коди 01137

Тўловчининг банки БУХОРО Ш. "ASIA ALLIANCE BANK" АТБ БУХОРО ФИЛИАЛИ

СУММА 152 189 897.50

Олувчининг номи Молия Вазирлиги Газначилиги

Кредит GGGGGVVVKSSSSSSSSNNN Олувчи СТИР Банк коди BBBBBB

Олувчи х/р 23402000300100001010 201122919 00014

Олувчининг номи МБ ББ ХХКМ Ташкент шаҳри

Сумма суз билан Бир юз эллик икки миллион бир юз саксон туюқиз минг саксон етти сум 50 тийин

Тўлов мақсади 4014108602064013450717186-Ромитан туман ҳоҳимининг 16.08.2020 йилдаги 547-сонли қарорига асосан ер майдони ажратиб бериш учун тўлов кучирилди

Рахбар WANG BAIREN

Бош ҳисобчи WANG BAIREN

БАНК Текширилди Мақулланди Банқдан утқазилди

ASIA ALLIANCE BANK A.T.B. BUXORO FILIALI 16.08.2020 1 BANK CODE: 01137

Compensation amounted at 152 189 897.5 UZS for 1.6 ha, Romitan district

Payment order	
Document number	591
Document date	10.05.2021
Payer's debit	
Payer's name	EV "CHINA RAILWAY 20 BUREAU GROUP CORPORATION MFF2/CWP3"
Payer's account	20296000905235108001
Name of the bank	БУХОРО Ш., "ASIA ALLIANCE BANK" АТБ БУХОРО ФИЛИАЛИ
Payment amount	179 704 702.10
Recipient loan	
Recipient name	AMIR TEMUR MCHJ
Recipient account	20208000400414512001
Name of the bank	ЖОНДОР Т. АТ ХАЛК БАНКИ ЖОНДОР ФИЛИАЛИ
Value spelled out	One hundred seventy nine million seven hundred four thousand seven hundred two Sum, ten tyran
Payment appointment	00668 07.05.2021 yil No: 2D-001 shartnomaga asosan yaylov yozilgan to'lov.
M. IL	Head WANG BAREN
Bank	Checked up
Approved	Checked up by bank
	10.05.2021 09:44

Compensation amounted at 179 704702.10 UZS for 94.76 ha, Jondor district

Annex 3 Public meetings and consultations

March 05,2021 at Contractor Site Office at Khokishtovon, Romitan:

Participants: Engineers, Health Safety/Social Specialist (H&S), HR Assistant, Translator and others

a) Issues Covered:

- Introducing with HES team and others present
- Briefing about current status of different project activities in general and Land Acquisition and Resettlement (LAR) and Social safeguards aspects in particular by Contractors H&S Specialist.



- Discussed Program outlines and next site visits casuals by Engineer's IRS during his mobilization periods.
- Other project related issues

b) Meeting Outcomes:

- Introduced each other of the Engineers and Contractors Team Members and others those were present in the meeting.
- IRS was being briefed by Contractor's H&S Specialist to get a preliminary idea/understanding about different aspects of the project including LAR and Social safeguards implementation status, temporary land acquisition and payment status of compensation/rent to the land owners by the contractor, issues/grievances etc. During briefing H&S Specialist of contractor also reported that all compensation and resettlement benefits already been paid to all Affected people/persons (APs) and they didn't reported any complains/grievances in this connection. Therefore, contractors are not facing any problems are facing or having any serious complain/grievances from local people or any others concerned, while carrying out their constructions works for the road improvements. However, the contractor's H&S Specialist reported that he regularly monitoring other social and H&S issues in all the construction sites, contractors office, residence etc. and subsequently producing and submitting their monthly monitoring report to Engineer's Team Leader (TL) on regular basis with the updated project status in connection to LAR and social safeguards aspects of the project.
- Afterwards, IRS discussed in the meeting of his planned site visit to be conducted between the periods from 5-16 March, 2021. The contractor's, particularly the H&S Specialist with his translator ensured to extend necessary cooperation and participation during sites visits of IRS to make the visit a success.

The meeting was concluded with thanks by IRS to all Participants to attend the meeting and facilitating all cooperation..

March 09, 2021 :Km. 249, Batching Plant site with Land owners and Contractor's Site Engineers:



- a) **Participants:** land owners of batching plant, contractor's plants in charge, supervisors etc.
- b) **Issues Covered:** Land area of batching plants rented from owner, their payment status, satisfaction, issues/complaints and current construction status of batching plant etc.
- c) **Major Findings and Outcomes**
- For this batching plant 3.7 ha. Land rented from land owner Mr. Shegov Odil at road km. 249 at a cost of 17 million UZB for a period of three years.
 - He is satisfied with rental value and had no complaints for the lands rented for this plant, which was fixed up by the Cadastral Department of the Government.
 - However, it has reported by the land owner that that in addition to land for batching plant, contractor is also using about 200 ha. of his borrow pits lands for soil collection and other activities for road construction purpose and for which he is claiming to be fixing up the rent and compensation for land damages of properties by the contractor to pay rent and compensation before starting any activities on his land. Therefore he shown deep concern about it
 - He also reported that in spite of requesting several times, contractor is continuing their works on his land without making payments. Therefore, he is planning for legal step, if contractor does not stop working on his land.
 - When asked him whether he is aware about the project's GRM procedure, he replied that he heard about it. But he doesn't bother about GRM, because it will require him to go to door to door of different offices/persons and also will be wastage of his valuable time and energy.
 - Afterwards, IRS discussed the issue with the contractor's engineer in charge of the batching plant. He reported that he is not aware about using any such land if any misunderstanding about the issue, he will draw the issue to the notice of Project Manager (PM) of the Contractor.
 - While visiting the batching plants site, it seems to us that the construction activities of the plant are ongoing with labeling of lands, construction of necessary structures and other ancillary works.
 - The contractor's engineer in charge of the batching plant told us that the batching plant will be ready by the end of March or first half of April, 2021 for preparing/producing construction materials for the purpose of road construction.
 - The visiting team observed about their office and residential accommodation facilities, H&S issues etc. in the site. With in some limitations, these were found in more or less in order considering that the plant site's establishments yet to fully equipped with necessary facilities.

The team concluded the meeting with thanks to all participants with advising the landowners to file their complaints to concerned district khokimiyat as parts of the project GRM to follow the procedures. The contractor's engineer's and staffs also was advised by IRS to follow health and safety issues properly for the labors working for the batching plant and to adopt adequate safety measures to the local residence and road travelers during conduction of different activities in and around the batching plant to avoid any unwanted incidents. The H&S Specialist of the Contactor to monitor the safety issues regularly and included in their monthly report,

March 09, 2021: Consultation with APs: at Km. 248: Tayloq, Romitan:

- i) **Participants:** APs of Romitan Community
- ii) **Issue Covered:**
 - Awareness about different activities of the project



- Compensation payment and issues/complaints/grievances
 - Satisfaction level with compensation/ rehabilitation and current socioeconomic condition compared to before project
- iii) **Outcomes and Findings of Consultation:** The findings of consultations with the Project Affected Persons (APs) participated in the consultations are highlighted below:
 - The APs when asked about their awareness and ongoing project activities, they replied unanimously that they are aware about the project from the beginning of the project and specifically become more aware during land acquisition process and up to currently ongoing construction works for the road.
 - They were also consulted at different stages of the project including compensation estimation for the affected structure/business, income loss etc.
 - They received their due compensation in time without facing any major problems
 - They all agreed that compensation was paid equal to replacement cost, which they in 2019 and they have already reconstructed their residences and lost structure in the same location on their remaining land plot with this compensation money.
 - However, one Mr. Khayitov Shaifulla expressed his dissatisfaction about demolition of his four other structures in 2010, for which he did not get paid any compensation. In this connection, when he was briefed that this was not included in the current project, which may have demolished at that time under different other project/program of the Government. Then he understood the issue and expressed his satisfaction of the current acquisition/demolition of his one structure in 2019.
 - All the consulted APs also received their due relocation and other resettlement and rehabilitation assistance/allowances in 2020 as was admissible under the project policy.
 - Finally, all of them expressed their satisfaction with the project and compensation they paid for their lost structures.
 - They also were found aware about the currently ongoing construction activities and are not facing any problems due to such construction works.
 - All of them were anticipating that after completion of the road, this will improve the communication to and between different other city and regions. This also will bring lot of benefits to them in different ways, particularly in the form of time saving and low cost transportation for movement and particularly to transport their agricultures/farms produces/commodities to different other big markets to sale at a fair and higher prices and prospect of tourism development also in Bukhara are also expecting by some of the APs. This will also improve the overall economic condition of mass people of this region and the country as whole.

March 15, 2021 Chortok Bus stand, Community Consultation on Irrigation Canal Issue

- i) **Participants:** Local Shopkeepers and local residents at the Chortok bus station in Chortok community
- ii) **Issue Covered:** Discussed about their awareness, benefits, any impacts due to the ongoing road construction.



iv) Outcomes and Findings of Consultation:

The consultation was started with introduction of the visiting team with the participants and subsequently they were briefed about the project and different project related issues include whether they envisaged any impacts and likely benefits from project that they could perceive likely benefits from project. During consultation one, Mr. Salohitdin, owner of a Mini shop that located at Chortuk bus station along the road in Chortuk community replied to all questions of the team on behalf of the community. He was assisted by Mr. Farhod, a local person. They told that they were informed about the extension/improvement of the road. They also understand, it is a very important program for the residential people and small business along the road because, the current condition of the road is in poor condition, for which they were facing problems to travel using the road. So, it was necessary to repair and improved. However, they are now happy to see that the road is under reconstruction/improvements. They expect that after the road improvements, traffic on this road will increase with increased mobility of people and also will result comfortable, time and cost saving communication system. This will consequently bring lots of benefit to the local people directly to increase income from business and other economic activities and employment resultant in improve their socioeconomic and livelihood condition. When asked them whether they were discussed before the planned replacement of the drain? Mr. Salohitdin confirmed that they had been informed on this by the representatives of local administration and engineers who involved in the construction of the road. The participants also informed us that the existing small irrigation drain in front of the community will require replacement due to the road improvement. A new drain will be constructed in the same location near the existing one. At first they shown concern that the new replaced drain will be in front and very closer to the living house, business and other structure, which will be very inconvenient for them to go and out from their houses and business premises for their day to day need. Afterwards, they discussed the issue through local representative with the contractor and other concerned. Under the circumstances, it has been decided that the drain will be constructed putting underground pipe lines that will be covered by asphalt/ concrete pavement. Therefore, this will bring no detrimental impact on movement of people due to the new replaced drain. Ultimately, local community people are satisfied with the decisions of the contractor. So, they do not bother or any complains further regarding the drain construction. In reply to a question about their expectations and possible benefits after construction of the improved road, they unanimously expects that the improved road will improve the living conditions of the local people in different ways, because it will be covered with good asphalt, will increase the traffic flow and passenger traffic. In this case number of traffic accidents will reduce. All of this will increase the trade turnover. All these will facilitate to improve the socioeconomic condition of people of the project area. In reply to a question about whether they have any complaints on any other issues due to or during the implementation and construction of the road project or also confirmed that they have no any complaints. In any instances, if any such complaints in future envisaged, will draw attention to the contractor through the local representatives and we expect these could resolved as did for the drain replacement. The meeting concluded with thanks to participants. In reply they also thanks to the team and grateful to inquire about them and their problems due to the road improvement works



Existing Drain at Chortuk community close to the road to be replaced laying underground pipe lines with asphalt cover

March 15,2021 at Contractor Office

- i) **Participants:** PM, Engineers, Health safety/social Specialist, administrative and others
- ii) **Issue Covered:** Introduction with PM and other staffs, problems/issues during construction, oversee H&S status



i) **Outcomes and Findings of Consultation:**

The consultation was started with introduction of the visiting team among the participants and subsequently they were Briefed about the purpose of the meeting and related project issues and the current ongoing activities, status/progress of the construction works, health safety, LAR social safeguard issues etc. which included whether they facing any problems/constraints or any complains/grievances from the local community/people relating to the road construction activities and temporary land acquisition.

In the meeting, Mr. Wang Bairen, Project Manager, Contractor welcomed the team and informed that there are 4 local contractors for the replacement earth works/ excavation works, 2 local contractors for the replacement of telecommunication networks, 1 local contractor for the replacement of gas pipelines. Current stage of the civil works is sub-based construction. The contractor rented the buildings for the administration, accommodation and dining room from Kokishtuvon technical college and Bukhara irrigation institute, Kokishtuvon community, Romitan district. The contract moved here a week ago. Now the buildings are using as they are in original conditions, without any renovation. All the buildings rooms' facilities they are planning to improve and reorganized as per requirements to maintain the minimum standard. Accommodations for the workers have the problem with the instability of electricity supply, which also causing severe water crisis for the staffs. This is required to be improved.

The issues of temporary acquisition of lands further required are under discussion with the local administration, cadastral department. The specialists of contractor engaged in this process are fully involved in solution the issues on temporary land acquisition, required for the civil works. As per received information during the discussion till date 2 or 3 land plots were allocated for temporary use by the contractor. These lands are used for the construction of cement / concrete plant for the construction needs at Taylok community, Romitan District.

After the meeting, the team visited the dining and accommodation rooms, bathrooms etc. currently using by the contractor's staffs to oversee the condition/standard from point of views of cleanliness/ health safety and hygienic condition, Currently, all these dining rooms, accommodations for men and women and toilet, bathrooms etc. were found in poor conditions. However, two separate dining rooms currently are under operation. One of them for the local staffs and other is for the Chinese Staffs is probably due to the differentiation of their food habit. No medical point is established at the site but currently operating from a room and they reported that this will be reorganized soon to maintain as well equipped clinic to render medical services to the staffs. The contractor appointed a doctor with a technical/health assistant, who conducts the daily examinations of the workers. They have the box with medicines / drugs which is located in their living room. However, no medical facility yet is established at the project site.

With regards to working hours for the cooks/ staff, it was reported that they usually are working from 5.00am till 8.00am in the morning to cooking the breakfast, from 10.00 till 14.00 for cooking the lunch, from 17.00 till 19.00 – cooking the dinner. The staff of the dining room has the resting time between breakfast to lunch and again between lunch to dinner time.

The contractor reported that they are conducting monthly monitoring regular basis covering labor and H&S issues/conditions at the office and residence of contractor and project sites as well. The IRS recommended to include also all the social issues for next monitoring reports covering the issues in detail about the information on labor safety condition, health and safety issues.

Besides above, the contractor already had a contract agreement with the local medical clinic, "Rahmatillo Med plus' LLC" for doing monthly blood test for COVID 19 and clinical test of the contractor staffs. This

COVID-19 test is mandatory to all the project staffs and workers. All the staff / workers are necessary to have their personal certificate for COVID 19 test to work for the project. However, the Proper records are included into the medical registration book. First medical aid is provided by the doctor. In case of any serious damages the workers will be referred to Romitan district hospital. However, up to now, no serious incidences recorded/found among any staffs of contractor.

March 16,2021, Khokimiyat (Local District Administration) Office, Bukhara

- i) **Participants:** Bukhara Assistant to Khokim, and specialist of cadastral department, specialist of department of receiving the complaints from the residents and the 3 members visiting team DOHWA
- ii) **Issue Covered:** Introduction with the participants



of the local administration, briefed them about the purpose of our visit, current implementation status and issues in connection to LAR and Social safeguards of the project, which included procedures maintaining by the local administration to receive and resolution of complains/ grievance and other Project implementation issues, their involvement.

iii) Outcomes and Findings of Consultation:

The Bukhara District Khokimiyat office is the local administration unit of the Government of Uzbekistan was visited by the Social Safeguards Team of DOHWA Engineering Co. Ltd. as part of the site visit in order to covering aforesaid discussed issues. Meeting started with introduction among the participants. This was followed by briefing of the local administration officials about the purpose of the meeting and related issues of the project and the current ongoing activities, particularly in connection to LAR implementation and associated grievances/complains etc. by the IRS, DOHWA.

Afterwards, Mr. Bobohonov Suhrobjon, assistant of head of the administration of Bukhara district's local administration informed that they are aware about implementation of the Land Acquisition Plan (RP) of the project. All the APs affected with their properties have been paid their due compensation as per project RP with other assistances and benefits admissible under the project. All the APs found satisfied with the compensation money and they have not any complains relating to LAR issues. However, the local administration has different departments and involved in consideration the complaints from the project APs, beneficiaries and community people. A complain register is maintaining by the office to receive/record complains from the people in general, where the complains from this project also are received and recorded in the same registration book. All complains are usually resolved by the District Khokimiyat within their jurisdiction and unresolved complains are referred to con concerned departments and offices for necessary action.

With regards to land issue, the local administration usually involved in the process of allocation of lands, cadastral registration, providing the compensation to the project affected people for their affected properties with necessary financial assistance/allowances for transportation and other assistances. The specialist of cadastral department also informed us that they discussed the options on allocation the land plot to the APs for their affected land/properties and lands are allocated after approval of the location of engineering communications.

While drawn to the notice of a pending land allocation of one AP Mr. Nasruddinov Erkin, who affected with his business structure. In this connection, Cadastral Specialist informed us that the land allocation for

reconstruction of his business structure is still waiting for decisions from Regional Khokimiyat, Bukhara, Region and expecting to resolve the issue as soon.

However, the team of consultant requested the Cadastral Specialist to follow up the issue of concerned land allocation with the Regional Khokimiyat, Bukhara and to hold a meeting with the concerned affected person again explaining him the updated status/ stage of consideration of the land allocation issue. Moreover, requested to prepare a written reply from the local administration to the concerned project affected person on his complaint. The project team also requested to send the copy to the project office to keep us informed on the stage of consideration of this issues. Lastly, the meeting was concluded with thanks to the participants by the IRS, DOHWA consultant.

March 16, 2021 Meeting at Khofijrabot Makhalla Committee Office. Romitan:

- i) **Participants:** Chairman, Deputy Chairman and other members of the Makhalla committee and 3 members visiting team of DOHWA Consultants
- ii) **Issues Covered:** Project awareness, compensation, participation issues, their involvement, grievances etc.



iii) Outcomes and Findings of Consultation:

The Meeting held at Hafizrabod Makhalla Committee Office. Romitan is a lowest level of the local Government body of the Government of Uzbekistan, where consultation meeting was conducted by the Social Safeguards Team of DOHWA Engineering Co. Ltd. as part of their site visit. The purpose of the meeting was to cover discussions on the aforesaid issues. However, meeting started with introduction among the participants. This was followed by briefing of the Committee members about the purpose of our visit and the meeting to share the related issues of the project and the current ongoing activities, particularly in connection to LAR implementation and associated grievances/complaints etc. by the IRS, DOHWA.

When asked the Makhalla committee members about their awareness and ongoing project activities, they replied unanimously that they are aware about the project from its beginning further time to time to date with interaction with the consultant, contractor and other officials involved with this project. They were also consulted at different stages of the project activities including with the process of compensation estimation for the affected people for their affected structure/business, income loss etc. They believe, all the affected people have already received their due compensation in timely without facing any major problems.

In reply to a question whether they or any community people have any complaints about any project issues due to or during the implementation and construction of the road project. In this connection, they reported the team about some community problems and complains. They briefed the team that currently, the construction works are ongoing but they understood, this road improvement works will blocked the entry and exit points of the Hafizrabot community connecting road which connects the highway that is under construction and improvement. If this happens Hafizrabot community/Makhalla people will face severe problem, because to exit and entrance in the community, they will be required to run about 5 km distance in both direction of the road to take U-Turn of their vehicles and to cross the road for going to go other sides of the road. The pedestrians also will require walking down up to 5 km in each direction. Moreover, the farmers those have lands in the other sides of the road will not be possible to go with their cattle and transport their agriculture products. Therefore, it is most essential to keep provision of a U-Turn facilities so that the community people, their vehicle and cattle etc. easily can cross the road to enter and exist in the community.

They had another complains regards construction of concrete drain along the road. However, in consultations with the contractor and consultants, this issue has been resolved already with a decision to construct underground piped drain, which will be convenient for the local people. In any other instances, if there are any complaints found in future will draw to the notice of the contractor through the local representatives and they expect these could resolved as did for the drain proposed drain construction.

In reply to a question about their perceptions/expectations regarding socioeconomic impacts and development after the improved road construction works once completes. They unanimously anticipating that the improved road definitely will improve the living conditions of the local people in different ways, because

this road will be constructed following an international standard two lanes wide road with good asphalt carpeting. This will result in increased traffic flow and passenger traffic. In this case number of traffic accidents will reduce. All of this will increase the trade turnover.

Moreover, the participants were anticipating that after completion of the road, will facilitate improved communication between different other city and regions. This also will bring benefits to them in different ways, particularly in the form of time saving and low cost transportation for movement and particularly to transport their agricultures/farms produces/commodities to different other big markets to sale in fair and higher prices with significant prospects of, tourism development in Bukhara. This will also improve the overall economic condition of mass people of this region and the country as whole.

March 15 and 16 ,2021 Impact evaluation assessment Interview with the APs at Bogikurgon,Taylok and Kokishtovon community:

This was actually not the consultation meetings but to assess APs Impact undertaking an impact evaluation assessment survey on the APs interview them using a structured short questionnaires. However, the purpose of this survey was mainly to assess their current living and livelihood condition, whether had improved or decorated compared to pre-project condition i.e. after rehabilitation using the compensation money they received from project for the affected structure/properties and resettlement benefits. In sum it has revealed that all the APs interviewed found satisfied with the compensation money they received. Through which mostly of them could reconstructed their lost structure in new or same locations. In general, the survey found that socioeconomic and living condition of APs mostly are in better condition under current situation, although some of them reported that their condition is same as before but not decorated compared to pre-project level. Therefore, the project resettlement program for the APs may be defined a successful project, because this has satisfied the condition of ADB Social Safeguard Statement (SPS, 2009) relating to social safeguards and Involuntary resettlement of the APs. The APs mostly also have been found rehabilitated with necessary reconstruction or renovation of their houses and business structures. Among the 3 APs affected with business, two of them already restarted their business with construction of new business structure on the land allocated by the business under the project in the same location and remaining one AP is waiting for having land allocation to construct a new business structure in other location. However, the findings of the impact evaluation survey on the APs in details will be incorporated in the Special LAR and Social Safeguard Monitoring Report for the project, which is under preparation by the IRS. Some pictures of interview with the APs provided below.



March 2021



Consultations with AP who waiting for replacement land to reconstruct his business structure



Consultations with temporarily acquired Land owners



Meeting with community people and AP at Tayloq community, Romitan district



Meeting at contractor's office with PM and staffs



Interviewing AP in her new shop reconstructed using compensation money, 247 km



Meeting with Khofizrabot Mahalla Committee Office with Chairman and committee members



**Meeting with Assistant to Hokim of Bukhara
Hokimiyat / district administration office**



**Meeting at CSC office with Engineers, PMU
temporarily acquired land owners**



**Pictures of a living house reconstructed using
compensation money**



**Consultations regarding drain relocation at
Chortuk Bus station, Romitan with local
residents**



**AP in front of his newly constructed business
shop using compensation money, 260 km.**



Views of Road Construction ongoing

June 2021



Consultations regarding light shed constructions on road lines



Consultations regarding light shed constructions on road lines



Consultations regarding land for land compensation with AP, residential structure



Consultations regarding potential project impacts, 247 km, business owner

Annex 4 Project complaints logbooks

Complaint registered by contractor CR 20. Civil contractor has complaint logbooks at 3 batching plants as well as at the project office.



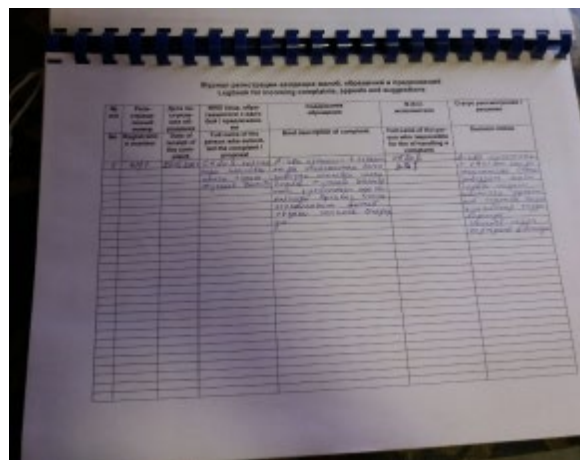
249+000 km batching plant



284+860 km batching plant



269+550 km batching plant



Civil contractor project office

Annex 5 Labor, Gender, Health and social protection

Prescriptions to the Civil contractor China Railway 20 Bureau Group Corporation (CR20) with the request to make proper adjustments of identified deficiencies

May 2021

DOHWA
DOHWA Engineering Co., Ltd.

4400 Seomun-ro, Daejeon 305-380, Korea
Tel: +82-42-523-0100 Fax: +82-42-523-0101

Reference No.: D015-CR20-0121-999 26 May 2021

To: **Project Manager**
China Railway 20 Bureau Group Corporation

CC: **Mr. Kojima, F.B.**
Director of Rapid Road Fund,
68, Munghwa-ro, Seongnam-si, Gyeonggi-do 108800, South Korea

Project: Loan No.: 3355 UZB, Second CARDC Candidate 2 Road Investment Program, Tranche 2 – Contract No.: MFW/CMP3, Reconstruction of about 87 km of the A380 Highway (between Koz22B and Koz15)

Subject: **Results of monitoring of social and environmental issues**

Dear Sir,

The Consultant inspected the construction site on 19 May 2021. The Contractor's three Hatching Plans and the camp / office were inspected. The following issues were identified during the inspection:

I. Staff

- The Contractor has not provided workers at construction sites with the necessary personal protective equipment. In particular, it is necessary to provide a national uniform (uniform set / summer set), special protective equipment when working on welding machines and other equipment in accordance with safety measures (boots, glasses, gloves, helmets, and others).
- Provide personal protective equipment for personnel in accordance with the requirements of the Ministry of Health to prevent the spread of COVID-19.
- Living conditions. Wet cleaning of rooms should be carried out at least once a day, general cleaning - at least once a week. To bring the rooms to a proper condition, suitable for habitation of the personnel. A cleaning schedule should be made. All rooms should have the list of occupants.
- The number of people in one room shall not exceed the limit established by the Ministry of Health for living space standards / manufacturer's standards of a container for temporary accommodation at construction sites.

Уважаемый Господин,

Консультант посетил строительную площадку подрядчика по строительству 19 мая 2021 года. Были проверены три плана размещения / офиса. Были выявлены следующие социальные / экологические проблемы:

I. Персонал

- Подрядчик не обеспечивает рабочий персонал на строительных объектах необходимыми средствами индивидуальной защиты. В частности, необходимо обеспечить сотрудников рабочей формой (летней комплектом / летней комплектом), специализированным защитным снаряжением при работе на сварочных аппаратах и прочем оборудовании согласно технике безопасности (обувь, очки, перчатки, каски и прочее).
- Обеспечить средствами индивидуальной защиты персонал в соответствии с требованиями Минздрава по предотвращению распространения COVID-19.
- Жилищные условия. Водная уборка комнат должна проводиться не реже одного раза в сутки, генеральная уборка – не реже одного раза в неделю. Привести состояние комнат в надлежащее состояние, пригодное для жилья персонала. Необходимо составить график уборки помещений. Все комнаты должны быть подписаны в соответствии с количеством проживающих лиц.
- В одной комнате должно проживать максимальное число человек согласно требованиям Минздрава по нормам жилой площади / нормативам производителей контейнеров для временного жилья на строительных площадках.

DOHWA ENGINEERING CO., LTD.
4400 Seomun-ro, Daejeon 305-380, Korea Tel: +82-42-523-0100 Fax: +82-42-523-0101

DOHWA
DOHWA Engineering Co., Ltd.

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The Consultant inspected the construction site on 19 May 2021. The Contractor's three Hatching Plans and the camp / office were inspected. The following issues were identified during the inspection:

I. Staff

- The Contractor has not provided workers at construction sites with the necessary personal protective equipment. In particular, it is necessary to provide a national uniform (uniform set / summer set), special protective equipment when working on welding machines and other equipment in accordance with safety measures (boots, glasses, gloves, helmets, and others).
- Provide personal protective equipment for personnel in accordance with the requirements of the Ministry of Health to prevent the spread of COVID-19.
- Living conditions. Wet cleaning of rooms should be carried out at least once a day, general cleaning - at least once a week. To bring the rooms to a proper condition, suitable for habitation of the personnel. A cleaning schedule should be made. All rooms should have the list of occupants.
- The number of people in one room shall not exceed the limit established by the Ministry of Health for living space standards / manufacturer's standards of a container for temporary accommodation at construction sites.

Уважаемый Господин,

Консультант посетил строительную площадку подрядчика по строительству 19 мая 2021 года. Были проверены три плана размещения / офиса. Были выявлены следующие социальные / экологические проблемы:

I. Персонал

- Подрядчик не обеспечивает рабочий персонал на строительных объектах необходимыми средствами индивидуальной защиты. В частности, необходимо обеспечить сотрудников рабочей формой (летней комплектом / летней комплектом), специализированным защитным снаряжением при работе на сварочных аппаратах и прочем оборудовании согласно технике безопасности (обувь, очки, перчатки, каски и прочее).
- Обеспечить средствами индивидуальной защиты персонал в соответствии с требованиями Минздрава по предотвращению распространения COVID-19.
- Жилищные условия. Водная уборка комнат должна проводиться не реже одного раза в сутки, генеральная уборка – не реже одного раза в неделю. Привести состояние комнат в надлежащее состояние, пригодное для жилья персонала. Необходимо составить график уборки помещений. Все комнаты должны быть подписаны в соответствии с количеством проживающих лиц.
- В одной комнате должно проживать максимальное число человек согласно требованиям Минздрава по нормам жилой площади / нормативам производителей контейнеров для временного жилья на строительных площадках.

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- construction site should be available to the staff. Ensure that information on the rules of first aid is available in printed form at the medical facility.
- A medical examination of all workers who enter the construction site, as well as all drivers, should be carried out every morning. Ensure corresponding registration of medical health checks (temperature, pressure, etc.) and the absence of alcohol (intoxication).
 - Ensure registration of all cases of illness at the construction site.
 - Each camp/flushing Plant/office should have a facility equipped with first aid kits and medicines for providing first aid to workers, inform members of the doctor and hospital and those responsible for providing medical care.
 - The medical facility should be provided with at least the following categories of medicine: 1) NSAID pain relievers 2) Gastrointestinal medications 3) antihistamines 4) heat / blood pressure 5) dressing. Registration book for recording the consumption of medicines.
 - Ensure compliance with the sanitary requirements for the disposal of medical waste of class II or C. Syringes used for medical purposes are classified as lowest category B (contaminated) or C (very hazardous), since they are in direct contact with body fluids of sick people. Syringes and needles should be decontaminated before disposal. This is mandatory, regardless of whether this waste will be subsequently discarded, destroyed or sent for recycling.
- 4. Health Safety and HIV / AIDS Awareness Program & action.**
- Safety training should be planned and carried out regularly. The Contractor should maintain corresponding logs of the training conducted and the signature of all workers who have passed these trainings. Trainings should be planned and scheduled.
- необходимо обеспечить доступность для персонала. Обеспечить наличие информации о правилах оказания первой медицинской помощи в размещенном виде в медицинской.
- Необходимо проводить медицинский осмотр всех работников, которые выходят на строительную территорию, а также всех водителей каждого утра. Соответствующая регистрация в журнале записей о проведении медицинского контроля здоровья (температура, давление и прочее) и отсутствии алкоголя (интоксикация на выходящий выход).
 - Обеспечить регистрацию всех заболеваний на строительной территории.
 - Каждый объект строительной площадки должен быть обеспечен аптечкой с медицинскими лекарствами и инструментами для оказания первой медицинской помощи (лекарства, перевязочные материалы и прочее) и ответственными лиц при оказании медицинской помощи.
 - Медицинский пункт должен быть обеспечен минимально следующим набором лекарств: 1) обезболивающие (НВНС) 2) антигистаминные препараты 3) жаропонижающие 4) препараты от желудочно-кишечных заболеваний 5) препараты от аллергии. Регистрационный журнал по расходу лекарственных препаратов.
 - Обеспечить соблюдение требований гигиены медицинского персонала в соответствии с санитарными требованиями использования отходов класса Б или В. Шприцы, используемые на рабочих местах, относятся к категории опасности В (загрязненные) или В (очень опасные), так как они непосредственно контактируют с биологическими жидкостями людей. Прокладки или иглы должны пройти процедуру обеззараживания. Это обязательно, вне зависимости от того, будут ли данные отходы в дальнейшем вывозиться, уничтожаться либо отправлены на переработку.
- 4. Health Safety and HIV/AIDS Awareness Program & action.**
- Необходимо планировать и регулярно проводить тренинги по технике безопасности. Должны быть соответствующие журналы регистрации прошедших тренировок работников, которые должны быть запланированы и составлены график проведения. Тренинги должны быть

- Likewise, trainings on the HIV / AIDS Awareness Program - it is necessary to regularly conduct trainings for all workers with corresponding entries in the register. In addition, it is necessary to provide workers with HIV / AIDS prevention products (condom). Provision of HIV / AIDS protective products should be free of charge to all personnel with corresponding registration in the journal.
 - Plan and regularly conduct first aid trainings at the construction site in the absence of a doctor before the arrival of an ambulance. The Contractor should maintain corresponding logs of the training conducted and the signature of all workers who have passed these trainings. Trainings should be planned and scheduled.
- 5. Fire safety / emergency plans**
- Provide camp/flushing Plants with fire-fighting equipment (fire extinguishers, shovels, etc.). All equipment should be within valid expiration dates and stored in good condition. Fire extinguishers should be available to staff. Personnel should be trained in the use of a fire extinguisher in the event of a fire.
 - All construction sites should be equipped with evacuation plans in case of fire or other emergencies. Personnel should be trained to deal with such situations.
 - Plan and regularly conduct training on fire safety and emergency situations. The Contractor should maintain corresponding logs of the training conducted and the signature of all workers who have passed these trainings. Trainings should be planned and scheduled.
 - Ensure compliance with fire regulations when using welding, electrical equipment, gas cylinders in the kitchen, any flammable and rapidly flammable substances. Avoid leaving such equipment.
- информированы и составлен график проведения.
- Аналогично тренинги по HIV/AIDS Awareness Program - необходимо регулярно проводить тренинги для всех работников с соответствующими записями в журнале регистрации. Кроме того, необходимо обеспечить работников средствами, предотвращающими распространение HIV / AIDS (презервативы). Выдача средств индивидуальной защиты от HIV / AIDS должна осуществляться каждому персоналу бесплатно с соответствующей регистрацией в журнале.
 - Планировать и регулярно проводить тренинги по оказанию первой медицинской помощи на строительной площадке в случае отсутствия врача до прибытия скорой медицинской помощи. Должны быть соответствующие журналы регистрации проведенных тренировок и подписи всех работников, которые прошли тренинги. Тренинги должны быть запланированы и составлен график проведения.
- 6. Fire safety / emergency plans**
- Обеспечить оборудование строительных площадок противопожарным оборудованием (огнетушители, лопаты и прочее). Все оборудование должно быть в действующем сроке годности и храниться в надлежащем состоянии. Огнетушители должны быть доступны для персонала. Персонал должен быть обучен использованию огнетушителя в случае пожара.
 - Все строительные объекты должны быть оборудованы планами эвакуации при пожаре или других чрезвычайных ситуациях. Персонал должен быть обучен действовать в таких ситуациях.
 - Планировать и регулярно проводить тренинги по пожарной безопасности и чрезвычайным ситуациям. Должны быть соответствующие журналы регистрации проведенных тренировок и подписи всех работников, которые прошли тренинги. Тренинги должны быть запланированы и составлен график проведения.
 - Обеспечить соблюдение противопожарных норм при использовании сварочного, электрооборудования, баллонов газа на кухне, любых горючих и быстро воспламеняющихся веществ. Избегать нарушения такого оборудования.

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- 6. Complaints**
- You are requested to make a registration log of complaints at each camp/flushing Plant. All complaints and appeals from employees shall be registered in this log with the date of the appeal, a description of the appeal, the person responsible for resolving the issue and the result of the appeal. Logs should be maintained at each camp/flushing Plant.
- 7. Environmental requirements**
- Ensure insulation of wires and other electrical equipment.
 - Build a special area with a concrete base for construction, fuel and lubricants waste. Fuel tanks should be stored on concrete surface. Remove all fuel spills both from the territory and from under the equipment. Eliminate all leaks of fuel and lubricants on the territory. All barrels for storing fuels and lubricants should be under a canopy.
 - Install separate collection containers for each type of waste for food waste, for household waste, for construction waste. Contracts should be signed with the waste disposal organization.
 - Crushed stone, sand and other loose materials on the territory of camp/flushing Plants should be covered to avoid spraying loose construction materials in the air in the wind.
 - Organize a special area for storage and repair of vehicles. All vehicles must be parked in a designated area.
 - Welding work should be carried out by personnel in special clothing, using personal protective equipment and away from the kitchen, living quarters and places where flammable materials are stored.
 - Wash the flushing Plant territory regularly. The territory of construction sites shall be clean. Remove all excrement / faeces from the camp/flushing Plants territories.
 - Ensure timely disposal of waste from camp/flushing Plants.
- 6. Жалобы**
- Необходимо сделать журнал регистрации жалоб на каждом строительном объекте. Все жалобы в обращении от работников должны быть зарегистрированы в этом журнале с датой обращения, описанием обращения, ответственного лица за решение вопроса и результатом обращения. Журналы должны быть на каждом объекте строительства.
- 7. Экологические требования**
- Обеспечить изоляцию проводов и прочих электрооборудования.
 - Оборудовать специальную площадку с бетонным основанием для строительных отходов и отходов ГСМ. Баки с топливом должны храниться на бетонных основаниях. Удалять все разливы топлива как с территории, так и из-под оборудования. Устранять все утечки горюче-смазочных материалов на территории. Все баки хранения ГСМ должны быть под навесом.
 - Установить контейнеры для сбора мусора: отдельно для пищевых отходов, отдельно для бытового мусора, отдельно для строительного мусора. Должны быть заключены соответствующие договоры с организацией, занимающейся утилизацией отходов.
 - Накрыть канистры, бочки и прочие емкости материалами на территории строительных объектов должны быть закрыты, чтобы избежать распыления сыпучих строительных материалов в воздух при ветре.
 - Организовать специальную площадку для хранения и ремонта транспорта. Все транспортные средства должны стоять в специально отведенном месте для транспорта.
 - Сварочные работы должны проводиться персоналом в специальной одежде с использованием средств индивидуальной защиты и как можно дальше от кухни, жилых помещений и мест хранения легко воспламеняющихся материалов.
 - Регулярно мыть территорию площадки. Территория строительных объектов должна быть чистой. Удалять все экскременты / фекалии с территории объектов.
 - Своевременное удаление мусора со строительных объектов.

Based on the monitoring results, reports on social and environmental compliance issues will be prepared and submitted to AEP. In this regard, you are

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requested to make the necessary adjustments to the identified deficiencies and provide supporting documents on the improvements made by 15 June 2021.

Yours faithfully,


KO YOUNGHWAN
Team Leader
DOHWA Engineering Co., Ltd.

С уважением,

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June 2021

FIELD ORDER

Ref. No. DHB-FO-CR20-0621-057

21 June 2021

Attn: Mr. Wang Hairen / Project Manager
China Railway 20 Bureau Group Corporation

Project: Loan No. /355 UZB, Second CAREC Corridor 2 Road Investment Program, Tranche 3
- Contract No. MFTW/CWPA, Reconstruction of about 87 km of the A380 highway
(between Km228 and Km315).

Subject:

Description:	Comments:
The Consultant inspected the construction site on 7-8 June 2021. The Contractor's three Batching Plants, borrow pits and the camp / office were inspected. The following issues were identified during the inspection:	Консультант посетил строительную площадку подрядчика по строительству 7-8 июня 2021 года. На месте были inspected 3 завода строительного предприятия, карьеры и непосредственно кампус / офис. В результате были выявлены следующие проблемы:
1. Staff	1. Персонал
<ul style="list-style-type: none"> The Contractor has not provided workers at construction sites with the necessary personal protective equipment. In particular, it is necessary to provide a seasonal uniform (winter set / summer set), special protective equipment when working on welding machines and other equipment in accordance with safety measures (shoes, gloves, helmets, and others). Provide personal protective equipment for personnel in accordance with the requirements of the Ministry of Health to prevent the spread of COVID 19. Living conditions. Wet cleaning of rooms should be carried out at least once a day, general cleaning - at least once a week. To bring the rooms to a proper condition, suitable for habitation of the personnel. A cleaning schedule should be made. All rooms should have the list of occupants. The number of people in one room 	<ul style="list-style-type: none"> Подрядчик не обеспечивает рабочих персонала на строительных объектах необходимым средствами индивидуальной защиты. В частности, необходимо обеспечивать сезонной рабочей формой (зимний комплект / летний комплект), специальными средствами защиты при работе на сварочных аппаратах и прочем оборудовании согласно технике безопасности (обувь, очки, перчатки, каски и прочие). Обеспечить средствами индивидуальной защиты персонала в соответствии с требованиями Минздрава по предотвращению распространения COVID 19. Жилые условия. Влажная уборка комнат должна проводиться не реже одного раза в сутки, генеральная уборка - не реже одного раза в неделю. Привести состояние комнат в надлежащее состояние, пригодное для жилья персонала. Необходимо составить график уборки помещений. Все комнаты должны быть подписаны в соответствии с

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<ul style="list-style-type: none"> Ensure registration of all cases of illness at the construction site. Each construction site must be provided with a proper first aid kit with medical supplies and a first aid post for workers. Registration book for recording the consumption of medicines. Ensure compliance with the sanitary requirements for the disposal of medical waste of class B or C. Syringes used for medical purposes are classified as hazardous waste (B or C (very hazardous)), since they are in direct contact with the fluids of sick people. Syringes and needles should be decontaminated before disposal. This is mandatory, regardless of whether this waste will be subsequently discarded, destroyed or sent for recycling. 	<ul style="list-style-type: none"> отсутствия алкоголя (алкоголь не является частью тела). Обеспечить размещение всех заболевших на строительной площадке. Каждый объект строительной площадки должен быть обеспечен надлежащей аптечкой с медицинскими лекарствами и инструментами для оказания первой медицинской помощи работам. Регистрационный журнал по расходу медицинских препаратов. Обеспечить соблюдение требований утилизации медицинских отходов в соответствии с санитарными требованиями утилизации отходов класса Б или В. Шприцы, используемые на строительных объектах, относятся к категории отходов II (опасные) или III (очень опасные), так как они непосредственно контактируют с биологическими жидкостями людей. Прокладки чист утилизировать аптечку и иглы, они должны пройти процедуру обеззараживания. Это обязательно, вне зависимости от того, будут ли данные отходы в дальнейшем переработаны, уничтожены либо отправлены на переработку.
4. Health Safety and HIV / AIDS Awareness Program & actions.	4. Health Safety and HIV/AIDS Awareness Program & actions.
<ul style="list-style-type: none"> Safety training should be planned and carried out regularly. The Contractor should maintain corresponding logs of the training conducted and the signature of all workers who have passed these trainings. Trainings should be planned and scheduled. Likewise, trainings on the HIV / AIDS Awareness Program - it is necessary to regularly conduct trainings for all workers with corresponding entries in the register. In addition, it is necessary to provide workers with HIV / AIDS prevention products (condoms). Provision of HIV / AIDS protective products should be free of charge to all personnel with corresponding registration in the journal. Plan and regularly conduct first aid 	<ul style="list-style-type: none"> Необходимо планировать и регулярно проводить тренинги по технике безопасности. Должны быть соответствующие журналы регистрации проведенных тренингов и подписи всех рабочих, которые данные тренинги прошли. Тренинги должны быть запланированы и составлен график проведения. Аналогично тренинги по HIV/AIDS Awareness Program - необходимо регулярно проводить тренинги для всех работников с соответствующими записями в журнале регистрации. Кроме того, необходимо обеспечивать работников средствами, предотвращающими распространение HIV / AIDS (презервативы). Выдавать средства индивидуальной защиты от HIV / AIDS должны выдаваться всему персоналу бесплатно с соответствующей регистрацией в журнале. Планировать и регулярно проводить

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<p>shall not exceed the limit established by the Ministry of Health for living space standards. manufacturer's standards of a container for temporary accommodation at construction sites.</p>	<ul style="list-style-type: none"> В одной комнате должно проживать количество человек согласно требованиям Минздрава по нормам жилой площади / нормативам предоставления контейнером для временного жилья на строительных площадках.
2. Dining room	2. Столовая
<ul style="list-style-type: none"> Improve sanitation and food preparation for local staff in the kitchen. Install ventilation fans in cooking facilities. Organize a canteen for staff in batching plants. A washy menu should be prepared and hung at the entrance to the dining room. Household waste from the canteen should be disposed of in accordance with the requirements of sanitary standards. The storage of a propane cylinder should comply with safety regulations. Such a cylinder should be stored in a combustible iron cabinet protected from direct sunlight. No flammable objects are allowed in the cabinet. 	<ul style="list-style-type: none"> Улучшить санитарные условия содержания и приготовления пищи для местного персонала на кухне. Установить вытяжки в местах приготовления пищи. Организовать столовую для персонала на заводах. Меню должно быть распечатано на листе и висеть на входе в столовую. Бытовой мусор со столовой должен быть утилизирован в соответствии с требованиями санитарных норм по удалению бытовых отходов. Хранение баллона с пропаном должно соответствовать нормам безопасности. Навешивание такого баллона должно быть в негорючем железном шкафу с защитой от попадания прямых солнечных лучей. В шкафу не должны быть горючие, воспламеняющиеся предметы.
3. First-aid post / medical assistance	3. Медпункт / медицинская помощь
<ul style="list-style-type: none"> You are requested to make a fully equipped medical facility with a full range of medicines required for first aid at all site camps/batching Plants. Pay special attention to the batching plant at 284 km, where 100 people are currently employed. Ensure that information on the rules of first aid is available in printed form at the medical facility. A medical examination of all workers who enter the construction site, as well as all drivers, should be carried out every morning. Ensure corresponding registration of medical health checks (temperature, pressure, etc.) and the absence of alcohol (breathalyzer). 	<ul style="list-style-type: none"> Необходимо сделать полноценный оборудованный медицинский пункт с полным набором медикаментов для оказания первой медицинской помощи на всех объектах строительной площадки. Особое внимание уделить заводу на 284 км, где в настоящее время работает 100 человек. Обеспечить наличие информации о правилах оказания первой медицинской помощи в распечатанном виде в медпункте. Необходимо проводить медицинский осмотр всех рабочих, которые входят на строительную площадку, а также всех водителей каждые утро. Соответствующая регистрация в журнале записей о проведении медицинского контроля здоровья (температура, давление и прочее) и

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<p>trainings at the construction site in the absence of a doctor before the arrival of an ambulance. The Contractor should maintain corresponding logs of the training conducted and the signature of all workers who have passed these trainings. Trainings should be planned and scheduled.</p>	<p>тренинги на объекте первой медицинской помощи на строительной площадке в случае отсутствия врача до приезда скорой медицинской помощи. Должны быть соответствующие журналы регистрации проведенных тренингов и подписи всех рабочих, которые данные тренинги прошли. Тренинги должны быть запланированы и составлен график проведения.</p>
5. Fire safety / emergency plans	5. Fire safety / emergency plans
<ul style="list-style-type: none"> Personnel should be trained in the use of a fire extinguisher in the event of a fire. All construction sites should be equipped with evacuation plans in case of fire or other emergencies. Personnel should be trained to deal with such situations. Plan and regularly conduct training on fire safety and emergency situations. The Contractor should maintain corresponding logs of the training conducted and the signatures of all workers who have passed these trainings. Trainings should be planned and scheduled. Ensure compliance with fire regulations when using welding, electrical equipment, gas cylinders in the kitchen, any flammable and rapidly flammable substances. Avoid heating such equipment. Switchboards and diesel generators must be properly fenced and access should be restricted. Each batching plant must have a person responsible for fire safety. 	<ul style="list-style-type: none"> Персонал должен быть обучен использованию огнетушителя в случае пожара. Все строительные объекты должны быть оборудованы планами эвакуации при пожаре или других чрезвычайных ситуациях. Персонал должен быть обучен поведению в таких ситуациях. Планировать и регулярно проводить тренинги по пожарной безопасности и чрезвычайным ситуациям. Должны быть соответствующие журналы регистрации проведенных тренингов и подписи всех рабочих, которые данные тренинги прошли. Тренинги должны быть запланированы и составлен график проведения. Обеспечить соблюдение противопожарных норм при использовании сварочного, электрического оборудования, баллонов газа на кухне, любых горючих и быстро воспламеняющихся веществ. Избегать нагревания такого оборудования. Распределительные щиты и дизель генераторы должны быть надлежащим образом ограждены и доступ к ним должен быть ограничен. На каждом заводе должен быть ответственный за пожарную безопасность.
6. Environmental requirements	6. Экологические требования
<ul style="list-style-type: none"> Ensure insulation of wires and other 	<ul style="list-style-type: none"> Обеспечить изоляцию проводов и прочего электрооборудования.


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- electrical equipment.
 - Build a special area with a concrete base for construction, fuel and lubricants waste. Fuel tanks should be stored on concrete surfaces. Remove all fuel spills both from the territory and from under the equipment. Eliminate all leaks of fuels and lubricants on the territory. All barrels for storing fuels and lubricants should be stored under a canopy on a concrete floor.
 - At all construction sites you are requested to install separate collection containers for each type of waste: for food waste, for household waste, for construction waste. Contracts should be signed with the waste disposal organization.
 - Crushed stone, sand and other loose materials on the territory of camp/batching plants should be covered to avoid spraying loose construction materials in the air in the wind.
 - Organize a special area for storage and repair of vehicles. All vehicles must be parked in a designated area.
 - Welding work should be carried out by personnel in special clothing, using personal protective equipment and away from the kitchen, living quarters and places where flammable materials are stored.
 - Water the Batching Plant territory regularly. The territory of construction sites shall be clean.
 - Ensure timely disposal of waste from camp/batching plants.
 - The territory of batching plants should be fenced and access for unauthorized persons and animals should be limited.
 - Obtain permits for each quarry from the State Committee for Ecology.
 - In the event of closure or relocation of the batching plant, an appropriate remediation plan must be prepared and approved by the State Committee for Ecology.
- Оборудовать специальную площадку и бетонным основанием для строительных отходов и отходов ГСМ. Баррели с топливом должны храниться на бетонных основаниях. Удалить все разливы топлива как с территории, так и из-под оборудования. Устранить все утечки горюче-смазочных материалов на территории. Все бочки с топливом ГСМ должны быть под навесом на бетонном основании.
 - Установить контейнеры для сбора мусора на территории всех строительных объектов: отдельно для пищевых отходов, отдельно для бытового мусора, отдельно для строительного мусора. Должны быть заключены соответствующие договоры с организацией, занимающейся удалением отходов.
 - Накрыть щебень, песок и прочие сыпучие материалы на территории строительных объектов должны быть накрыты, чтобы избежать распыления сыпучих строительных материалов в воздухе при ветре.
 - Организовать специальную площадку для хранения и ремонта транспорта. Все транспортные средства должны стоять в специально отведенном месте для транспорта.
 - Сварочные работы должны проводиться персоналом в специальной одежде с использованием средств индивидуальной защиты и вдали от кухни, жилых помещений и мест хранения легковоспламеняющихся материалов.
 - Регулярно поливать территорию завода. Территория строительных объектов должна быть чистой.
 - Своевременная утилизация мусора со строительных объектов.
 - Территория строительных объектов должна быть огорожена и доступ посторонним лицам и животным на заводе должен быть ограничен.
 - Необходимо получить разрешительные документы от Государственного Комитета по Экологии на каждый карьер.
 - В случае закрытия, перемещения завода должен быть подготовлен соответствующий

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<p>The proposed solution:</p> <p>Based on the monitoring results, reports on social and environmental compliance issues will be prepared and submitted to ADB. In this regard, you are requested to take necessary measures about the identified deficiencies and provide supporting documents on the improvements made by 23 June 2021.</p>	<p>Предлагаемое решение:</p> <p>По результатам мониторинга будут подготовлены отчеты по социальным и экологическим вопросам, соответствия требованиям и предоставлены в АБР. В связи с этим просим Вас внести необходимые коррективы выявленных недостатков и предоставить подтверждающие документы о внесенных доработках до 23 июня 2021 года.</p>
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Name	Position	Signature	Date
Prepared by: Cherdikova Veronika	Social safeguard specialist, national		
Tepliyakova Irina	Environmental & safeguard specialist, national		
Received by:			

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FIELD ORDER

Ref. No. DHB-FO-CR20-0621-000

25 June 2021

Attn: Mr. Wang Bairen / Project Manager
China Railway 20 Bureau Group Corporation

Project: Loan No.: 3355 UZB, Second CAREC Corridor 2 Road Investment Program, Tranche 3 – Contract No.: MFFW/CWP3, Reconstruction of about 87 km of the A380 highway (between Km228 and Km315).

Subject: Social and Environmental Issues

Description:	Outcome:
<p>The Consultant inspected the construction site on 23 June 2021. The Contractor's three Batching Plants and the camp / office were inspected. The following was identified during the inspection:</p> <p>I. Environmental requirements</p> <ul style="list-style-type: none"> Install separate collection containers for each type of waste: for food waste, for household waste, for construction waste. Contracts should be signed with the waste disposal organization. Water the Batching Plant territory regularly. The territory of construction sites shall be clean. Ensure timely disposal of waste from camps/Batching Plants. Obtain permits for each quarry from the State Committee for Ecology. In the event of closure or relocation of the batching plant, an appropriate remediation plan must be prepared and approved by the State Committee for Ecology. 	<p>Консультант посетил строительную площадку подработки по строительству 23 июня 2021 года. В ходе визита были осмотрены 3 завода строительного подразделения и непосредственно лагерь / офис. В результате визита было выявлено следующее:</p> <p>I. Экологические требования</p> <ul style="list-style-type: none"> Установить контейнеры для сбора мусора на территориях всех строительных объектов отдельно для пищевых отходов, отдельно для бытового мусора, отдельно для строительного мусора. Должны быть заключены соответствующие договоры с организацией, занимающейся утилизацией отходов. Регулярно поливать территорию завода. Территория строительных объектов должна быть чистой. Своевременно утилизировать мусор со строительных объектов. Необходимо получить разрешительные документы от Государственного Комитета по Экологии на каждый карьер. В случае закрытия, перемещения завода должен быть подготовлен соответствующий план рекультивации и получено одобрение на него от Государственного Комитета по Экологии.

The proposed solution:

Based on the monitoring results, reports on social and environmental compliance issues will be prepared and submitted to ADB. In this regard, you are requested to take necessary measures about the identified deficiencies and provide supporting documents on the improvements made by 30 June 2021. Concerning other issue, continue with observance of standards and norms.

Предлагаемое решение:

По результатам мониторинга будут подготовлены отчеты по социальным и экологическим вопросам соответствия требованиям и предоставлены в АБР. В связи с этим просим Вас внести необходимые коррективы выявленных недостатков и предоставить подтверждающие документы о внесенных доработках до 30 июня 2021 года. Касательно других вопросов, продолжить соблюдение установленных норм и правил.

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Annex 6 COVID 19 Safety Regulations and Measures

COVID 19 Safety Regulations

1. To prevent the spread of COVID-19 in the Republic of Uzbekistan, Decree of the President of the Republic of Uzbekistan No. 5969 "On Priority Measures to Mitigate the Negative Impact of the Coronavirus Pandemic and Global Crisis on the Economy" ("UP-5969") dated March 19, 2020 and the Resolution of the Cabinet Ministers of the Republic of Uzbekistan No. 176 "On additional measures against the spread of coronavirus infection" ("PKM-176") dated March 23, 2020 were adopted.

2. Following safety regulations according to WHO recommendations and Uzbek legislation during the execution of the any activity must be respected by all Parties and Stakeholders. All regulations must be observed and implemented in practice every day. The following directions must be followed by all stakeholders as precaution to COVID-19 at the present time to reduce risks during the corona epidemic:

- No handshake
- Non-essential physical work that requires close contact between workers should not be carried out
- Work requiring physical contact should not be carried out
- Plan all other work to minimize contact between workers
- Wash hands often (every 1-2 hrs or frequently as possible) with soap for at least 20 seconds
- Use hand sanitizer
- No person should enter the work site other than the authorized persons mentioned by supervisor during start of work
- Using of mouth and nose protection (mask – as much as practicable)
- All must implement social distancing by maintaining a minimum distance of 1.5-2m from others at all times to eliminate the potential of cross contamination.
- Organize the work processes so that the hygienic distance of 1.5 m is safely maintained.
- If this is not possible, form permanent teams and avoid staff changes in the teams if possible. Keep the number of team members as low as possible
- In the event of unavoidable contact with other people or if the protective distances cannot be maintained (<1.5 m), employees should be provided with mouth and nose protection or full visor when working outdoors and worn by them,
- Mouth-nose protection or breathing masks class FFP 1 when working in closed rooms;
- Class FFP 2 respirators or motor-assisted respirators when working in confined spaces.
- Avoid face to face meetings – critical situations requiring in-person discussion must follow social distancing i.e., 1.5-2m from others.
- Conduct all meetings via conference calls, if possible. Do not convene meetings of more than 10 people. Recommend use of cell phones, texting, web meeting sites and conference calls for project discussion
- All individual work group meetings/ talks should follow social distancing
- At each job briefing /toolbox talk, employees are asked if they are experiencing any symptoms, and are sent home if they are
- Each worksite should have laminated COVID-19 safety guidelines and hand washing instructions
- All restroom /toilet facilities should be cleaned (min twice a day), and hand washing facility must be provided with soap, hand sanitizer and paper towels
- All surfaces should be regularly cleaned, including mobiles, table tops /surfaces, door handles, laptops, records, etc.
- All common areas and meeting areas are to be regularly cleaned (min twice a day) and disinfected at least twice a day

- Regular cleaning of the interior of the buildings, construction site and company vehicles must be properly organized.
- To avoid external contamination, it is recommended everyone bring food from home
- Please maintain Social Distancing separation during breaks and lunch.
- Cover coughing or sneezing with a tissue, then throw the tissue in the trash and wash hands, if no tissue is available then cough /sneeze into your upper sleeves or elbow. Do not cough or sneeze into your hands.
- Clean your hands after coughing or sneezing thoroughly by using soap and water (minimum for 20 seconds). If soap and water are not available, please use a hand sanitizer. The Contractor shall ensure adequate quantities of sanitizer and soap are made available at all locations including site offices, meeting rooms, corridors, washrooms /toilets, etc. as appropriate.
- Avoid touching eyes, nose, and mouth with your hands.
- To avoid sharing germs, please clean up after yourself. DO NOT make others responsible for moving, unpacking and packing up your personal belongings
- If you or a family member is feeling ill, stay home!
- Work schedules are adjusted to provide time for proper cleaning and disinfecting as required.
- The employer is responsible for implementing the necessary protective measures against the transmission and spread of the corona virus.
- The aim is to prevent infections.
- The protection of risk groups among the employees has Priority.
- Further activities
- Name contact persons in the company for the employees on the subject of corona.
- The employees on personal and organizational hygiene rules (including distance requirement, “cough and
- Instructions on sneezing”, hand hygiene, mouth-nose protection, personal protective equipment).
- Explain protective measures to the employees and make them understandable with instructions (e.g. through
- Information signs, notices, floor markings etc.).
- Document the instruction in writing and have it confirmed in writing by the employee.
- In infection contingency plans, regulate how to deal with suspected cases of COVID-19 diseases.

Activities if necessary:

- In particular, fever, cough and shortness of breath can be signs of infection with the coronavirus.
- If a disease is suspected in the company, a contactless fever measurement can be carried out.
- Ask people with these symptoms to leave the company premises / construction site immediately.
- You should immediately call a doctor or the doctor for clarification
- Contact the health department.
- Determine how those diagnosed with confirmed infections are identified and informed about those who are diagnosed with
- There is also a risk of infection from contact with the infected person. This also includes suppliers and others
- Observe trades according to the construction site regulation.