

Social Development Progress Report

Quarterly Report
Period covered: January–March 2019
April 2019

Mongolia: Ulaanbaatar Urban Services and *Ger* Areas Development Investment Program—Tranche 1

Prepared by the Municipality of Ulaanbaatar for Mongolia and the Asian Development Bank.

CURRENCY EQUIVALENTS

(as of 15 March 2019)

Currency unit	–	togrog (MNT)
MNT1.00	=	\$0.00038
\$1.00	=	MNT2,632.50

ABBREVIATIONS

ADB	–	Asian Development Bank
AP	–	affected people
BC	–	business council
CAP	–	community action plan
CPP	–	consultation and participation plan
CDC	–	community development council
CS	–	consulting service
EGM	–	effective gender mainstreaming
GAP	–	gender action plan
HH	–	household
IEC	–	information education and communication
LAR	–	land acquisition and resettlement
LARP	–	land acquisition and resettlement plan
MFF	–	multitranches financing facility
MUB	–	Municipality of Ulaanbaatar
PG	–	primary group
PMO	–	program management office
RP	–	resettlement plan
SDC	–	subcenter development council
SAP	–	social action plan
SES	–	socioeconomic survey
SPS	–	Safeguard Policy Statement

NOTE

In this report, "\$" refers to United States dollars.

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1. Background and Project Description

1. Ulaanbaatar peri-urban area (ger areas) are characterized by unplanned settlement of low- and medium-income households with unserviced plots, inadequate and mostly unpaved road networks, and a severe lack of social and economic facilities and basic infrastructure and services for water, sewerage, and heating. Poor sanitation—households almost exclusively rely on open pit latrines—and poor waste collections have created highly unsanitary living conditions. Air pollution is among the most severe in the world, particularly during winter because of inadequate household heating systems and unpaved roads. The ger area population is estimated at 800,000, representing 60% of Ulaanbaatar or 30% of the country population. Despite their size, ger areas have until recently been considered temporary settlements.
2. The multi tranche financing facility (MFF) program will support the Ulaanbaatar city master plan in upgrading priority service and economic hubs (sub-centers) in ger areas. The program implementation time will be up to 9 years and will comprise three tranches. The program is geographically targeted with multi-sector interventions. It proposes an integrated solution to respond to the urgent demand for basic urban services and establish a network of well-developed urban sub-centers providing economic opportunities, housing, and urban services as catalysts for growth in the ger areas.
3. The impact of the program is improved living conditions in Ulaanbaatar. Its outcome is a network of livable, competitive, and inclusive sub-centers in Ulaanbaatar’s ger areas providing economic opportunities and urban services, leading to a healthier urban environment. The program is divided into three projects and has four outputs: (i) roads and urban services are expanded within the targeted sub-centers and connectivity between sub-centers is improved; (ii) economic and public services in sub-centers are improved; (iii) service providers become more efficient; and (iv) institutions and capacity for urban development, program management, and service delivery are strengthened.
4. The main proposed components for Tranche-1 are
 - i. Construction of sewerage network extension of 6.1 kilometers (km) collector main, sewerage pumping station along with 2.09 km of sewer pipe extension;
 - ii. Within the sub-centers, 15 km of priority roads, 18.6 km of water supply, 20 km of sewerage, 21 km of district heating network pipes, and 5 heating facilities;
 - iii. Social and economic facilities, including two kindergartens, green areas and small squares, and two business incubators associated with two vocational training centers;
 - iv. Multi-interventions in the Ulaanbaatar Water Supply and Sewerage Authority to improve its operations and service delivery efficiency; and
 - v. Institutional strengthening and capacity development to prepare detailed design and construction supervision, support community participation and small and medium enterprise development, improve urban planning and sub-center development, strengthen the capacity of the PMO, and support service providers’ reforms.

2. Implementation of Social Action Plan (SAP)

1. The activities under the SAP framework were designed and are being implemented with the aim to ensure and sustain the engagement of local resident and business communities and organized groups in the detailed design and implementation phases of the Tranche 1.
2. For the monitoring of the SAP implementation an excel database for community engagement activities was developed and has been updated and used so far. While maintaining the database, the participation of the communities in the engagement activities also has been regularly mapped using the ArcGIS software to monitor the coverage of the community activities.
3. As of per the assessment of the project team, out of 48 target indicators, 24 were achieved and respective activities have been completed, 4 were achieved but to be continued and 20 are to be continued including consultations and support activities for LARP implementation, IEC activities, documentation, community organization and capacity building activities, community monitoring and GRS. Please refer to Annex 1 for the details.
4. The community engagement activities organized under the project have been categorized and recorded into 14 types of consultations such as community consultations for project preparatory phase (CC for PPP), community consultations for detailed design phase (CC for DD), community consultation for LARP (CC for LARP), community consultation for redevelopment (CC for Redevelopment), community consultation for LARP implementation (CC for LARP Implementation), community consultation for project implementation (CC for Implementation), community organization, community capacity building, MSME capacity building, stakeholder consultation for project preparatory phase (SC for PPP), stakeholder consultation for detailed design phase (SC for DD) and stakeholder consultation for project implementation (SC for Implementation).
5. As of 30 March 2019, there are records of total 251 consultation and training activities attended by 6,671 persons with 59.6% female participation. Out of these, 2 (community consultations for project implementation) were organized during the reporting period and attended 15 community members with 73.3 % female representation. The number of consultation and trainings organized so far is presented in Figure 1 and the sex-disaggregated attendance of the participants is in Figure 2.

Figure 1. Consultations and trainings organized as of 31 March 2019

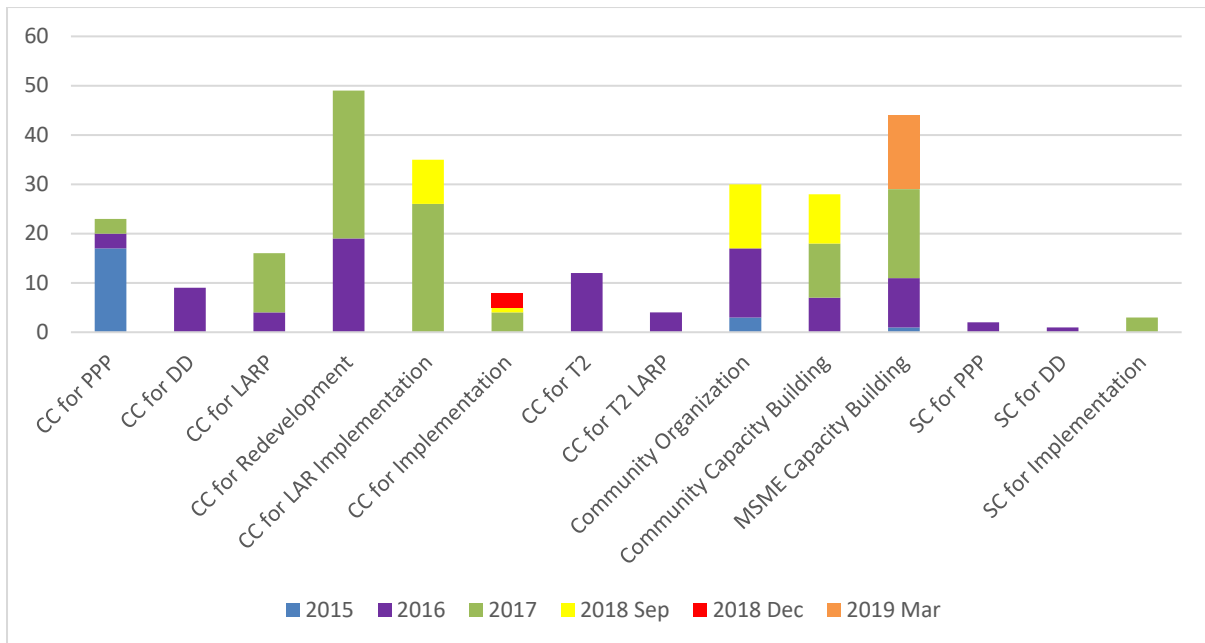
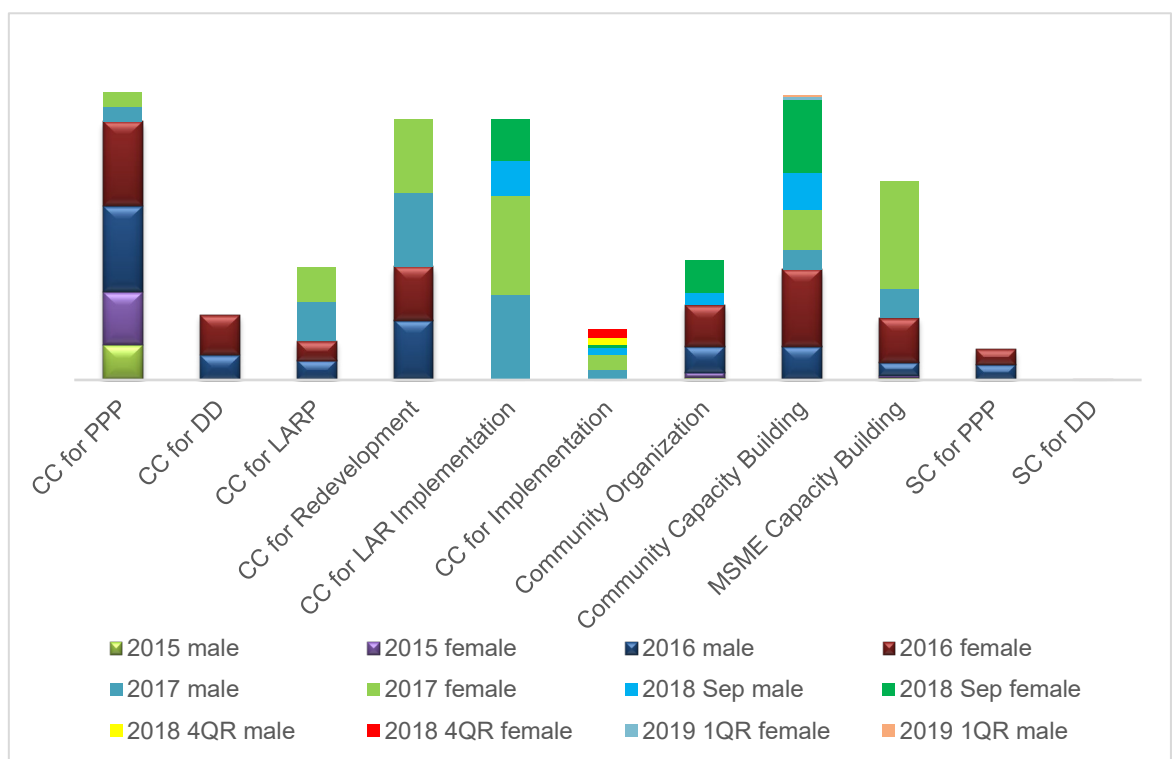


Figure 2. Sex disaggregated attendance of consultations and trainings organized in 2015-2019



6. Community Development Council (CDC)s in both sub-centers are working with the project as the representative organization of the communities linking the project and the target area communities. Up to this monitoring period, nine CDCs with 54.5% female

members (including one subcenter CDC with 61.1% female members) are actively functioning as legal entities through official registration as NGOs in both sub centers.

7. During the reporting period, the consultancy team has been supporting affected community people in terms of providing legal advice in terms of land title and ownership, and supporting employment through capacity building and mediating employment opportunity. As a result, nine affected community members were enrolled in the vocational training and 8 residents were employed. Please refer to Table 1 of Annex 1 for the details.
8. PMO received 3 complaints from community members on assessment and compensation issues and complaints were resolved in favor for residents.
9. Facebook page is being regularly updated. As of 31 March 2019, there are 15,960 followers.
10. The majority of the project implementation related actions have been achieved in the last reporting period, however, SME capacity building and technical support, DD and LARP related communications, documentation, community led construction monitoring actions are still on ongoing due to continuation of construction work and extension of the project duration. Thus, upcoming SAP implementation will mainly focus on those areas.

3. Gender Action Plan (GAP)

11. As per ADB gender classification, the project (investment program) has been classified as Effective Gender Mainstreaming (EGM). The implementation progress of the updated GAP is attached in Annex 2.
12. Sex disaggregated data is being collected on participants for all community consultation meetings and reported on quarterly project implementation reports. The database for all consultation meetings, trainings including sex-aggregated data has been updated regularly. Sex disaggregated data on member of community based organizations has been updated (*Please refer to Annex 4*). The database is available at:
<https://www.dropbox.com/sh/pvl066zoijsq9qd/AACWh0m42Fw-vPzsn5srRT4oa?dl=0>
21. Overall, women participation in community activities is well-ahead of the target. The set target for female participation is 50%. During the reporting period, in total, 3 community consultations were conducted for 56 persons with 57% women attendees (*Please refer to Annex 4, Table 2*).
22. Women representation in Primary Groups was observed as a subject to change because of potential changes in membership due to households' movement and changes in family representations. Household movements in and out of the subcenter areas were also impacted in some extent by the land acquisition and resettlement under the project. Accordingly as of 31 December 2018, 70 PGs are functioning in Selbe area representing 837 families with 40.7% female members while 90 PGs are functioning in Bayankhoshuu area representing 991 families with 38% female members (*Please refer to Annex 4, Table 2*).
23. Khoroo CDCs and sub center CDC fully functioning in targeted areas. Eighth khoroo CDCs involving 294 members with 56.4% women representation and one sub-center

CDC in Selbe involving 18 members with 61.6% women representation are actively functioning, which is well-ahead of the target.

24. As of 31 March 2019, the Project implementation unit has 18 staff out of which 50% are female.

4. Grievance Redress Mechanisms

25. During the reporting period, in total 3 community complaints and clarifications received through MUB, GRM system and resolved.

5. Conclusions and Next Step

26. A database for all consultation meetings and trainings including sex-aggregated data is timely updated, documented and reported to monitor the community engagement and development activities and implementation of SAP and GAP for overall project.

27.

28. Project activities continued with facilitation meetings for reactivation of former PGs as well as the formation of new primary groups based on neighborhood agreements and decision in both sub-center areas.

29. Khoroo CDCs and sub center CDC fully functioning in targeted areas, which is well-ahead of the target as at least 40% female representation. CDCs in both sub-center are working with the project as the representative organization of the communities linking the project and the target area communities.

30. Women participation in all community activities is well-ahead of the target. Women participation in the project related meetings, consultation and FGD is significant and contributes as equal as the males.

31. Project construction works will continue in 2019 and 2020, thus, community-led monitoring and MSME support services will be provided in both sub-center areas. Furthermore, due to the project extension, completion of project impact assessment, affordability and tariff assessment, and customer satisfaction surveys postponed to 2021.

Annexes

Annex 1. Social Action Plan Monitoring Matrix

MON: Ulaanbaatar Urban Services and Ger Areas Development Investment Program, Tranche-1

SOCIAL ACTION PLAN IMPLEMENTATION MONITORING MATRIX

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
Conduct series of consultative meetings and information campaign at the <i>kheseg</i> level on (i) project objectives and investments, and (ii) redevelopment options and preferences. (Project preparatory stage consultations)	1. Number of community meetings, sex disaggregated	20 meetings conducted organized with primary groups, CDCs and SDCs attended by 50% women	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 81 community consultation meetings conducted among 2321 participants with 55.6% female participation. 	
	2. Number and type of community needs integrated to the detailed design.	Community needs integrated to the preliminary design of the physical infrastructures	This target has been achieved in previous reporting period <ul style="list-style-type: none"> Community needs on physical infrastructures identified- 30 suggestions for roads, 29 for outside the social facilities and 39 inside the buildings. Community needs were documented and needs integrated into infrastructure designs. PMO engineers will monitor and ensure the installation of the facilities. 	
	3. Database for meetings established	Documentation of meeting minutes	Database for meetings established	
	4. Number and type of information materials distributed to the communities		This target has been achieved in previous reporting period	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
Continuing organizational formation and strengthening of primary groups, community development councils, SME development councils, and savings groups.	5. Number of Primary Groups reactivated/ organized, sex disaggregated	90 primary groups in Bayankhoshuu and 70 in Selbe organized and functioning with by-laws, policies, systems, and plans	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 70 PGs functioning in Selbe area representing 837 families, 90 PGs functioning in Bayankhoshuu area representing 989 families. 	
		50% women members for each groups and CDCs	<ul style="list-style-type: none"> 160 PGs with 33% female members 8 khoroo CDCs with 48% female members 1 subcenter CDC with 61.1% female members 	
	6. Number of CDCs organized and functioning with by-laws, policies, systems, and plans, sex disaggregated	5 khoroo level CDCs and 1 sub-center CDC organized and functioning with by-laws, policies, systems, and plans	The target achieved. CDCs are actively functioning. <ul style="list-style-type: none"> 8 Khoroo level CDCs (3 in Selbe and 5 in Bayankhoshuu) with 48% of female members and 1 Sub center CDC functioning with 61.1% of female members. 2 CDCs in Selbe and 1 CDC in Bayankhoshuu are registered as NGO and functioning with their charters and action plans. 	
7. Number of Business Councils formed in the sub centers, sex disaggregated		The target achieved and The membership in BC has been gradually increasing. <ul style="list-style-type: none"> 1 BC in Bayankhoshu and 2 BCs in Selbe are actively functioning. Selbe Selbe BC members reached 120 with 45.8% female Bayankhoshuu BC members reached 159 with 50.9% female 		

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
	8. Number and type of training conducted	Documentation of organizational strengthening activities and training/OJT modules	Activities are still ongoing <ul style="list-style-type: none"> Capacity building and employable skill trainings to AP such as Cooking, Welding, Vegetable growing, Heavy mechanism operator, Automobile repair and Accountant and storekeeper 	
	9. Number of participants, sex disaggregated		Activities are still ongoing <ul style="list-style-type: none"> 9 AP attended with 44.4% female representation 	
	10. Number of saving groups formed, sex disaggregated	Savings groups formed and functioning with policies, systems, and ongoing micro-credit project	Activities are still ongoing <ul style="list-style-type: none"> Savings increased by MNT 2.8 mln in this quarter. They started lending to the members 	
Conduct series of consultations on the scope and technical/engineering design of proposed water supply, sanitation, sewerage, heating facilities, and affordable apartments.	11. Number of consultation, sex disaggregated	15 meetings conducted, attended by 50% wome	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 17 meetings were organized since the project beginning on the existing designs of proposed water supply, sanitation, sewerage, heating facilities. In total, 396 persons involved with 49.7% of women attendance. 	
	12. Number and percentage of people consulted including vulnerable groups, sex disaggregated		This target has been achieved in previous reporting period <ul style="list-style-type: none"> 17.3% of participants (n=364) in 16 meetings were vulnerable groups (PWDs, FHH and Elderly persons). The planned activities were to support the Detailed Design Phase of the project. 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
	13. Number of consultations, sex disaggregated	Final detailed design of proposed projects confirmed by the beneficiaries, women, elderly, differently-abled persons, and affected persons/families.	This target has been achieved in previous reporting period <ul style="list-style-type: none"> Final detailed design proposed projects confirmed by affected persons and families. Final layout presented to the all affected people/organizations. 	
Conduct consultations with households, businesses, and public institutions affected by the construction/right of way requirements for project 1/tranche 1	14. Number of meetings in both sub-centers attended by all affected stakeholders	24 meetings in both sub-centers attended by all affected stakeholders	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 35 consultation meetings were conducted for affected families In total 925 persons from affected HHs involved in the meetings with 53.8% of women attendance. Individual meetings conducted for land selection for the non-plot owner households. Land allocation process' are on the way for 241 household 	
	15. Number and type of meeting minutes	Documentation of meeting/consultation minutes	Meeting minutes have been documented and attached to CS 3 reports	
Comprehensive IEC campaign on the final redevelopment schemes, land valuation, engineering designs, resettlement plan, etc.	16. Number of IEC plan	Comprehensive IEC plan developed	This target has been achieved in previous reporting period <ul style="list-style-type: none"> IEC plan was prepared by CS 3 	
	17. Number and type of IEC materials distributed	Printed IEC materials distributed (i.e., project leaflets/ brochures on FAQs, posters, etc.)	Activities are still ongoing <ul style="list-style-type: none"> A handout regarding about ADB safeguard policy is developed and disseminated to affected household in Selbe and BH Draft of 6th volume of Project Update newsletter on project implementation progress was being prepared. Facebook page is being regularly updated. GADIP website is being regularly updated. 30 copies of monthly report distributed to stakeholders 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
	18. Number of IEC campaign meetings	IEC campaign meetings in both sub-centers conducted; documentation of meeting minutes	Activities are still ongoing <ul style="list-style-type: none"> Project team has been visiting in person or communicating by phone; the affected households in each sub center are being briefed and updated about the overall project activities and latest developments. 	
Setting up of grievance or feedback mechanisms in the community	19. Number of resolved community grievance/feedback	System for resolution of grievance/feedback established	Activities are still ongoing <ul style="list-style-type: none"> PMO received 3 community complaints. 3 were on assessment and compensation, 3 complaints were resolved in favor of residents. 	
	20. Number of resolved community grievance through CDCs	CDCs function as the grievance committee with guidelines on handling grievances	Activities are still ongoing <ul style="list-style-type: none"> No community grievance received. 	
Preparation and Finalization of the proposed SME development plan	21. Number of meetings of BC with CDC, sex disaggregated	16 meetings of SDC with CDCs in both sub-centers	Activities are still ongoing <ul style="list-style-type: none"> 2 FGD's were organized with BC (9 participants with 77.8% female participants). 	
	22. Number and type of reports	Skills survey and demand mapping completed and documented	Activities are still ongoing	
	23. Number of meeting minutes	Documentation of meeting minutes	<ul style="list-style-type: none"> Database updated with new meetings 	
Capacity building of the CDCs on how to engage meaningfully in the sub-center	24. Number and type of cases received legal support	Legal support for the CDC to guide them in their engagement in the SRA	Activities are still ongoing <ul style="list-style-type: none"> Legal specialist provided legal counseling and support to the affected communities regarding land title and ownership issue. 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
redevelopment process	25. Number of developed Terms of references	Terms of reference of the CDCs in the SRA well defined and understood by them	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 3 term of references are developed for CDC, BC and PG 	
	26. Number and type of training for CDC engagement in the SRA	Curriculum/training design for CDC engagement in the SRA	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 26 capacity building activities were organized which are attended by 920 CDC and BC members with 60.8% female representation. 	
	27. Number of Documentation	Documentation of capacity building approach and modules	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 6 training modules are prepared and documented (Leadership, Team building, NGO management, Start up business, 7ps of Marketing) 	
Facilitate consultative meetings for resettlement/ relocation	28. Number of meetings, sex disaggregated	20 meetings in both sub-centers attended by all affected stakeholders	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 35 meetings are conducted and 925 attendees with 49.1% female are participated. 	
Facilitate and support implementation of SME development plan	29. Number of trained community members, sex disaggregated	1,000 community members trained so various skills and partnership with SMEs and other institutions developed for employment or contracting for goods and services	Activities are still ongoing <ul style="list-style-type: none"> Capacity building and employable skill trainings to AP such as Cooking, Welding, Vegetable growing, Heavy mechanism operator, Automobile repair and Accountant and storekeeper which are attended by 9 AP with 44.% female representation 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
	30. Number of community members linked with business incubators (Type of linkage)	Trained community members linked with the business incubators for services like work space, business advisory, etc.	Activities are still ongoing <ul style="list-style-type: none"> Business incubator is being constructed and not yet operational. 	
	31. Database for poverty, social and gender indicators established	Documentation of process and results - # of employed, entered into self-employment, recorded increases in income, # of employees generated	Activities are still ongoing <ul style="list-style-type: none"> In total, 8 residents employed with temporary and permanent jobs. 3 of them self-employed as Sewing. Other 4 employed as cooking and 1 as assistant staff. 	
Conduct community-led monitoring of construction works for quality control	32. Number of System, tools, and templates developed and used for community-led construction project monitoring	System, tools, and templates developed and used for community-led construction project monitoring	Activities are still ongoing <ul style="list-style-type: none"> One template is developed for community monitoring during the construction out of 3 monitoring templates which were developed and introduced to CDC There is no community monitoring submission due to off construction season. 	
	33. Number of trainings for CDCs for construction project monitoring, sex disaggregated	Trained CDCs with structure on construction project monitoring	This target has been achieved in previous reporting period <ul style="list-style-type: none"> Two workshop with theme “Community monitoring during the construction” was organized for both sub-centers CDCs. It was attended by 84 CDC members with 49% female representation. 	
Continuous capacity building for the CDC and primary groups	34. Number and type of capacity building trainings/works conducted in both sub-centers	20 capacity building trainings/workshops and learning exchange conducted in both sub-centers	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 26 capacity building activities were organized which are attended by 920 CDC and BC members with 60.8% female representation Also, 30 Community organizational activities were organized on primary group activation and formation 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
	35. Number and type of learning exchange conducted in both sub-centers		This target has been achieved in previous reporting period <ul style="list-style-type: none"> 5 learning exchange meetings are done 	
	36. Number and percentage of people participated, sex disaggregated		This target has been achieved in previous reporting period <ul style="list-style-type: none"> CDC and BC members with 60.8% female representation 	
	37. Number and type of training designs	Training designs of capacity building interventions on Leadership, Team building, Community contracting, participation in the SRA, contracts negotiation, business planning, savings movement, etc.	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 6 training designs of capacity building on community monitoring during the construction, Leadership, Team building, Community Action Planning, Community organizational and NGO management are developed 	
	38 Number of registered NGOs	Registration of the CDCs as NGOs with the State Registration Authority of the Ministry of Justice	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 1 sub-center CDC, 2 khoroo CDCs and 2 BCs are registered as NGOs with State Registration Authority of the Ministry of Justice 	
Documentation of good practices, lessons learned, feedback, complaints, or grievance issues	39 Number and type of reports and documents produced	Documented good practices, lessons learned and community feedback shared with all stakeholders and reflected in major reports	Activities are still ongoing	
	40 Number and type of documented and resolved cases of complaints	Complaints and grievance issues documented and resolved.	Activities are still ongoing <ul style="list-style-type: none"> PMO received 3 community complaints. 3 were on assessment and compensation, 3 complaints were resolved in favor of residents. 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
IEC program for (i) basic hygiene practices, (ii) water conservation, (iii) community involvement on the ger redevelopment, and (iv) SME development program, etc.	41 Number and type of IEC materials distributed	IEC materials developed and disseminated	This target has been achieved and continue <ul style="list-style-type: none"> 3 types of IEC materials are distributed in training to 60 Affected vulnerable households 	
Conduct series of consultations on the scope and technical/engineering design and implementation arrangements for proposed social and environmental projects (i.e., kindergarten, clinics, bus station, market, khoroo building, vocational training centers, public spaces/open parks)	42 Number and type of consultations, sex disaggregated	Consultation plans and minutes documented	This target has been achieved in previous reporting period <ul style="list-style-type: none"> 6 FGDs organized for communities' special needs identification of social facilities(55 participants with 49% of women) during the detailed design phase 	
	43 Number and type of community suggestion and recommendation incorporated into the designs	Designs reflect the needs of the vulnerable groups	This target has been achieved in previous reporting period <ul style="list-style-type: none"> Community needs on physical infrastructures identified- 30 suggestions for roads, 29 for outside the social facilities and 39 inside the buildings. Community needs were documented and needs integrated into infrastructure designs. PMO engineers will monitor and ensure the installation of the facilities. 	
	44 Number and type of inputs of the CDCs and community members especially the women and other vulnerable groups considered in the implementation	Implementation arrangements reflect inputs of the CDCs and community members especially the women and other vulnerable groups	This target has been achieved in previous reporting period <ul style="list-style-type: none"> (Same as 43) 	

Activity	Indicators	Targets	Implementation from 1 Jan to 31 March 2018	Challenges/ next step
Documentation of schemes/approaches that worked (good practices), feedback, lessons learned, complaints, or grievance issues.	45 Number and type of documentation	Documented good practices and lessons learned on the approach shared with all stakeholders	Activities are still ongoing <ul style="list-style-type: none"> (Same as 39) 	
	46 Number and type of complaints and grievance issues documented and resolved	Number of complaints and grievance issues documented and resolved	Activities are still ongoing <ul style="list-style-type: none"> (Same as 40) 	
Conduct community-based monitoring and evaluation of new basic infrastructure and services for the community empowerment and SME development.	47 Number of community based monitoring and evaluation exercises	Community empowerment and SME development component developed and agreed upon by all stakeholders	Activities are still ongoing	
	48 Number and type of monitoring reports and final evaluation reports	Monitoring reports and final evaluation reports done according to quality standards of ADB and submitted on time	Activities are still ongoing <ul style="list-style-type: none"> 9th SMR in Jan 2019 	

Annex 2: Gender Action Plan Monitoring Matrix

MON: Ulaanbaatar Urban Services and Ger Areas Development Investment Program, Tranche-1

GENDER ACTION PLAN IMPLEMENTATION MONITORING MATRIX

Activity	Indicators/Targets	Progress Update (as of 27 March 2019)
1.1 Integrate gender inclusive design measures into road infrastructure to ensure safe and security mobility and access	1. 6.15km in Bayankhoshuu and 8.77km in Selbe of sidewalks built alongside improved roads. 2. 6.15km in Bayankhoshuu and 8.77 in Selbe of lightning provided on improved roads. 3. 4.5ha and 3.43ha in Bayankhoshuu and Selbe of landscaping (public spaces) including pedestrian pathways.	On track. Upon finalization of the design, the total length of roads was decreased to 6.8 km in Selbe, but increased to 6.57 km in Bayankhoshuu. The street lights and pedestrian ways will be along with improved roads in Selbe and Bayankhoshuu subcenter. The landscaping covers 4.65 ha area in Bayankhoshuu, 3.01 ha area in Selbe. Technical experts' validation was finalized on 27 December 2016. Construction companies for landscaping and road works are selected. Landscaping works are expected to be end in 3 rd quarter of 2019. Road construction works are expected to be end in 2 nd quarter of 2020. The implementation will be monitored by the supervising engineers of the PMO.
1.2 Assess impact of improved roads, water, sanitation and heating on population.	4. Social and gender indicators are included in the PPMS (sex-disaggregated). 5. Qualitative assessment of project impacts will be also conducted. Focus group discussions will also be conducted to further assess impact. <i>(Revised indicator during the ADB MTR mission)</i>	Not yet implemented. CS2 and CS3 collected jointly sex-disaggregated baseline data for impact assessment of the improved infrastructure after the development including socio-economic macro data (secondary data), community and business profiles, community needs for bigger and smaller access infrastructures through participatory rapid appraisal and research approaches. Social indicators include population, water borne diseases, share of women's participation in meetings and women's representation in CDC/BC. Available baseline data that were collected so far include: i. 15.7% of FHH in Bayankhoshuu and 19.5% in Selbe sub-centers. ii. <u>Households 86%</u> <u>Business Units 66.1%</u> Water from public USUG/kiosks 54.5% Own heating 97.8%

		<p>(Source: Baseline 2013 Generated through SES)</p> <p>iii. The most prevailing diseases include the respiratory and digestion system diseases. In sub centers 81.9% had severe and light cough/colds, and 4.6% had pneumonia or other respiratory diseases. As Health Statistic, water borne diseases per 10.000 persons as follow:</p> <table border="1"> <thead> <tr> <th>Year</th> <th>Dysentery</th> <th>Viral hepatitis</th> <th>Diarrhea</th> </tr> </thead> <tbody> <tr> <td>2012</td> <td>14.6</td> <td>22.9</td> <td>0</td> </tr> <tr> <td>2015</td> <td>17.1</td> <td>3.8</td> <td>0</td> </tr> <tr> <td>2016</td> <td>17.5</td> <td>2.5</td> <td>0</td> </tr> </tbody> </table> <p>(Source: MoH statistics)</p> <p>One kinder garden, 1 school, 1 family clinic center are available to connect to sewerage and heating system in Bayankhoshuu sub-center.</p> <ul style="list-style-type: none"> • Infrastructures are being constructed and not yet operational. Under tranche 2 consultant contract, assessment will be done in 2021 	Year	Dysentery	Viral hepatitis	Diarrhea	2012	14.6	22.9	0	2015	17.1	3.8	0	2016	17.5	2.5	0
Year	Dysentery	Viral hepatitis	Diarrhea															
2012	14.6	22.9	0															
2015	17.1	3.8	0															
2016	17.5	2.5	0															
2.1 One kindergarten facility in each sub-center with 1,800 m ² of floor areas of classrooms, administration and services, and 500 m ² of playground (baseline 0 in 2012)	5. At least two kindergartens operating by 2018 (number of students served and staff sex disaggregated)	<p>On track. Upon finalization of the design, each kindergarten has capacity of 240 children with 1997 m² of floor areas and 500 m² playground. The technical expertise of kindergarten building designs was completed on 23 September 2016. Bayankhoshuu subcenter kindergarten is functioning with 31 staffs, with 83.8% female members. As of 25 March 2019, 199 children are enrolled in Bayankhoshuu kindergarten. Up to 40 children will be newly enrolled from September 2019. Kindergarten construction work of Selbe subcenter will start in April 2019</p>																
2.2 One business incubator and vocational training center in each subcenter with 1,800 m ² floor area and 500 m ² of open green area (baseline 0 in 2012)	<p>6. At least two business incubators and vocational training centers operational by 2018 (number of students and staff served annually, sex disaggregated)</p> <p>7. At least 20 MSME's, 50% of which are women led graduated from incubation program (baseline 0 in 2012)</p> <p>8. At least 1,000 beneficiaries, 50% of whom are women, receiving vocational training (baseline 0 in 2012)</p>	<p>On track.</p> <ul style="list-style-type: none"> • For baseline data, skills survey and demand mapping were conducted by CS3. CS3 also conducted mapping of community social facilities including VTC and registered business enterprises. • Both business incubator and VTC are located in one building. • Detailed design of Business Incubator Center and VTC was finalized by 26 Sep 2016. Upon finalization of the design, each building has 1993 m² of floor areas with 1200 m² of open green area. • In Bayankhoshuu, the construction of Business incubator is completed and expected to be operation in April 2019. In Selbe, construction of Business incubator will start in April 2019 and expected to be operation in April 2020. 																

<p>3.1 Report on affordability and subsidies included in tariff assessment (disaggregated by income quintile and if possible, looking at female-headed households as a special group)</p>	<p>9. Report by 2018</p>	<p>Not yet implemented. For the baseline data collection, a survey for Socio-Economic and Willingness to Pay for Services was conducted in 2013 during the PPTA. Yet, some data required (e.g. sex-disaggregated, income quintile) was not included. Data on household income expenditure was collected based on national statistics to check affordability of the utility tariff.</p> <p><u>Consumption per capita per month in Ulaanbaatar, 2014</u> Heating (includes central and local heating, firewood, coal and dung) 10 312MNT Utilities (includes water, electricity and lighting) 9 010 MNT <i>(Source: NSO, 2015. Household Socio-Economic Survey, 2014)</i></p> <ul style="list-style-type: none"> • Consultant of tranche 3 will carry out assessment in 2021
<p>3.2 Customers satisfaction survey</p>	<p>10. Survey report (providing sex disaggregated data where possible)</p>	<p>Not yet implemented.</p> <ul style="list-style-type: none"> • For baseline data collection, the consumer survey on existing services and businesses was conducted by CS3. The survey covered 10% of population (n=3200) with 63.6% female participation in both sub centers. The consumer survey results show that when, where, why, and how people conduct their retail shopping, trade or professional or other service experiences as well as the lack of particular services and trades in certain areas. In parallel with the survey, the mapping of existing trade and services in the sub-centers was done. • Infrastructures are being constructed and not yet operational. Under tranche 2 consultant contract, assessment will be done in 2021
<p>4.1 Establishment of Khoroo CDCs and SDCs with women's representation</p>	<p>11. Khoroo CDCs and SDCs fully functioning in targeted areas, with at least 40% women participating actively</p>	<p>On track. CDCs are actively functioning.</p> <ul style="list-style-type: none"> • 8 Khoroo level CDCs (3 in Selbe and 5 in Bayankhoshuu) with 48% of female members and 1 Sub center CDC functioning with 61.1% of female members • The CDCs, which are being organized and capacitated under the CS3, are intended to be a community-based organization responsible for community liaison and representation, advise, support, protection of rights, consulting,

		<p>negotiating, monitoring for the sub-center redevelopment without any political influence of public administrative.</p> <ul style="list-style-type: none"> • CDCs have been helping in 2-way communications between the project and local communities through project information dissemination in their neighborhoods and conveying area people's concerns and feedback to the project team, bridging between the project and communities for the coordination of the project activities, helping in reaching out and calling residents for the project consultation, helping the PMO LARP team in LAR negotiations with the affected households through calling the affected households for negotiations, paying personal visits to affected households to find out affected households concerns and informing back the team on the findings.
4.2 Establishment and agreement on community, gender and MSME plans	12. Community, gender, and MSME action plans accepted and regularly updated in each sub-center	<p>On track.</p> <ul style="list-style-type: none"> • Action plans are being regularly updated annually by CDCs and Business Councils in the beginning of every year. • So far 4 CAPs and BAPs were prepared through 8 Community action planning workshops with participation of 449 community members with 64.8% of female representation • Project proposals for 49 activities planned under CAP were prepared with CS3 support and submitted to the international and national support organizations. • 21 activities were linked with financial sources and implemented including green zone establishment initiated by Business council in Selbe river basin.
4.3 Targets established for and sex disaggregated data collected on participants for all community consultations on urban planning and project activities (see SAP activities) collected and reported on in the GAP	13. GAP progress report with targets and sex-disaggregated data prepared quarterly <i>(Revised the indicator based on ADB MTR mission)</i>	<p>On track.</p> <ul style="list-style-type: none"> • In total, 231 community consultations, trainings and meetings were organized and attended by 6373 persons, of whom 59.3% were women. • In total 70 PGs functioning in Selbe area representing 717 families, and 90 PGs are functioning in Bayankhoshuu area representing 989 families. • Sex disaggregated data collection has been continuing. The database is being updated regularly.

4.4 Sub-centers plan and redevelopment process are prepared and endorsed by all the stakeholders through community consultation including at least 50% of women's participation	14. Consultation meeting attendance and SAP monitoring	<p>On track.</p> <ul style="list-style-type: none"> • 49 consultation meetings on block wise re-development initial planning were jointly organized by CS3 and CS4 in 4 blocks of the sub-centers with 49% (n=1042) women participation. 8 FGD (4 in each subcenter) with 64 participant (32 female) to validate socio-economics findings from 19 Oct 2019. • Sub-center development plan has been prepared by CS4 and it is going to be endorsed by all the stakeholders in 2nd quarter of 2019.
4.5 Establishment of PMO with 30% gender representation	15. PMO is fully functioning with trained staff at least 30% women	<ul style="list-style-type: none"> • PMO is fully functioning with 18 staffs, with 50% female members.

CDC = community development council, FHH= Female headed household, GAP = gender action plan, HH=Household, MSME = micro-small- and medium- enterprise, MUB = Municipality of Ulaanbaatar, PMO = Program management office, FGD=Focus group discussion, CAP=Community action plan; SDC = Subcenter development council; PG = Primary Group; VTC = Vocational training center, USUG=Water Supply & Sewerage Authority, PWD=People with disabilities, SES= Socio Economic Survey, RP=Resettlement Plan, CS1 = Consultant services for Detailed design, tender document preparation and construction supervision, CS2= Consultant services for Project Management support service, CS3= Consultant services for Community engagement and SME development, CS4 = Consulting services for Improved urban planning and subcenter development