

PROCUREMENT PLAN

Basic Data

Project Name: Vientiane Sustainable Urban Transport Project	
Project Number: 45041-002	Approval Number: 3250/8303/0471
Country: Lao People's Democratic Republic	Executing Agency: Ministry of Public Works and Transport
Project Financing Amount: US\$ 99,700,000 ADB Financing: US\$ 35,000,000 Cofinancing (ADB Administered): US\$ 16,840,000 Non-ADB Financing: US\$ 47,860,000	Implementing Agency: Department of Transport
	Project Closing Date: 30 June 2020
Date of First Procurement Plan: 10 March 2015	Date of this Procurement Plan: 10 March 2018, Version 2

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	Prior review
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	Prior review
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 2,999,999	The first NCB is subject to prior review, thereafter post review
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Least-Cost Selection for Consulting Firm	Prior review
Quality- and Cost-Based Selection for Consulting Firm	90:10 (quality-cost ratio)
Individual Consultants Selection for Individual Consultant	Prior review

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date	Type of Proposal	Comments
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				Post)	(quarter/year)		
C3	International Advisor II	541,000.00	ICS	Prior	Q3 / 2018		Assignment: International Expertise: Urban Transport Engineer/Planner Comments: ADB-financed
C5	External Auditor	144,000.00	LCS	Prior	Q3 / 2017	BTP	Assignment: National Advance Contracting: N Comments: ADB-financed

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
CW1	Civil works for BRT, traffic, and NMT infrastructure	27,610,000.00	1	ICB	Prior	1S2E	Prequalification of Bidders: N Domestic Preference Applicable: N

							Bidding Document: Large Works Comments: ADB-, OFID-, and GEF-financed
EQ1	Fare system and control center	8,790,000.00	1	ICB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Goods (IT & Services) ADB- & Gov-financed
EQ3	NMT vehicles	460,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Harmonized SBDs for Laos will be used; GEF-financed

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
C4	Quality Control Company	294,000.00	1	QCBS	Prior	BTP	Assignment: International Quality-Cost Ratio: 90:10 Comments: ADB-financed

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
EQ4	Vehicle	38,000.00	54,192.15	SHOPPING	Q1 / 2017	25-JAN-18	Contract with Lao Toyota Service Co. Ltd. signed on 18-Dec-2017.

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
C2	International Advisor I	541,000.00	541,000.00	ICS	Q3 / 2015	08-SEP-16	Contract with Mr. Stefan Ekelund signed on 6-Oct-2016

D. Non-ADB Financing

The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

Goods and Works				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Comments
BRT buses	16,800,000.00	1	ICB	EIB-financed; EIB Procurement Guidelines & Procedure will apply
Parking management and enforcement	2,910,000.00	1	ICB	To be financed from private sector via public-private sector partnership arrangement
Electronic vehicle registration	3,500,000.00	1	ICB	To be financed from private sector via public-private sector partnership arrangement

Consulting Services				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Comments
Project management, detailed engineering design and supervision	7,090,000.00	1	QCBS	EU-AIF- & EIB-financed; EIB Procurement Guidelines & Procedure will apply

E. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for National Competitive Bidding (NCB) shall be those set forth for "Public Bidding" in Prime Minister's Decree No. 03/PM of the Lao People's Democratic Republic, effective 09 January 2004, and Implementing Rules and Regulations effective 12 March 2004 with update 0861/MOF of 05 May 2009 entitled "Amendments of Some Articles of Implementing Rules and Regulations on Decree Of Government Procurement Of Goods, Works, Maintenance And Services", with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines.

B. Procurement Procedures

1. Procurement Plan

2. Contract packages subject to NCB procedures will be those identified as such in the project Procurement Plan. Any changes to the method of procurement from those provided in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

2. Eligibility

3. The eligibility of bidders shall be as defined under Section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in Section I of the Guidelines, as amended from time to time.

3. Preferences

4. No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.

5. Suppliers and contractors shall not be required to purchase local goods or supplies or materials.

4. National Sanctions List

6. National sanctions lists may be applied only with prior approval of ADB.

5. Advertising

7. The posting of NCB specific notices for contracts valued at less than \$1 million on ADB's website is not required but is highly recommended.

C. Procurement Documents

6. Use of Harmonized Documents

8. The harmonized standard bidding documents entitled "Procurement of Works, NCB-SBD, Harmonized Bidding Documents, December 2015" and "Procurement of Goods, NCB-SBD, Harmonized Bidding Documents, December 2015" approved by the Ministry of Finance through 3246/MOF dated 28 September 2015, and approved by ADB by *OSFMD/OSP2* dated 7 June 2016, shall be used for all procurement by NCB unless exceptions are specifically identified in the Procurement Plan.

7. Rejection of all Bids and Rebidding

9. Bids shall not be rejected and new bids solicited without ADB's prior concurrence.

8. Disclosure of Decisions on Contract Awards

10. At the same time that notification on award of contract is given to the successful bidder, the results of the bid evaluation shall be published in a local newspaper or well-known freely accessible website identifying the bid and lot numbers and providing information on (i) name of each Bidder who submitted a Bid, (ii) bid prices as read out at bid opening, (iii) name of bidders whose bids were rejected and the reasons for their rejection, (iv) name of the winning Bidder, and the price it offered, as well as the duration and summary scope of the contract awarded. The executing agency/implementing agency shall respond in writing to unsuccessful bidders who seek explanations on the grounds on which their bids are not selected.

9. ADB Policy Clauses

11. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.