

# Bi-Annual Social Monitoring Report on Implementation of Land Acquisition and Resettlement Plan

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December 2014

KAZ: Multitranche Financing Facility for the CAREC  
Transport corridor 1 (Zhambyl Oblast Section)  
[Western Europe–Western People’s Republic of  
China International Transit Corridor] Investment  
Program

Prepared by Committee for Roads for the Ministry of Investment and Development and the  
Asian Development Bank.

# **BI-ANNUAL SOCIAL MONITORING REPORT ON IMPLEMENTATION OF LAND ACQUISITION AND RESETTLEMENT PLAN**

Internal Monitoring Report On Land Acquisition and Resettlement Plan (LARP).

Project Number: 45150-001

Date: January – June 2014



**Republic of Kazakhstan: Asian Development Bank (ADB) Multi-tranche Financing Facility (MFF) for the CAREC Transport Corridor I (Zhambyl Oblast Section) [Western Europe– Western People's Republic of China International Transit Corridor] Investment Program**

**ADB Loan No. 2824-KAZ: Taraz Bypass Project**

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## **ABBREVIATIONS**

ADB	Asian Development Bank
AP	Affected Person
CAREC	Central Asia Regional Economic Cooperation
COR	Committee of Roads
CSC	Construction Supervision Consultant
DP	Displaced Person
EA	Executing Agency
EMA	External Monitoring Agency
GosNPTsZem	Land State Scientific and Production Center for Land Management
Ha	Hectare
HH	Household
IBR	International Bank for Reconstruction
IDB	Islamic Development Bank
IFI	International Financial Institution
JSC	Joint Stock Company
KCC	Korean Construction Company
KECC	Korean Engineering Consultants Corporation
Km	Kilometer
KZT	Kazakhstani Tenge
LAR	Land Acquisition and Resettlement
LARF	Land Acquisition and Resettlement Framework
LARP	Land Acquisition and Resettlement Plan
LE	Legal entity
MFF	Multi-tranche Financing Facility
MOTC	Ministry of Transport and Communication
NC	National Company
NGO	Non-Governmental Organization
PHH	Private Household
PK	Equivalent to Kilometer
PMC	Project Management Consultant
RD	Roads Department
RK	Republic of Kazakhstan
ROW	Right of Way
SPS	Safeguard Policy Statement
TSA	Targeted Social Assistance
USD	United States Dollar
ZHRA	Zhambyl Regional Affiliate
ZhRA JSC NC	Zhambyl Regional Affiliate of Joint Stock Company National Company
“KazAutoZhol”	“KazAutoZhol”

## GLOSSARY TERMS

Affected Person	Persons with direct interest with a project affected household or person. Members of affected households constitute the affected persons.
Compensation	Payment in cash or kind to which the affected people are entitled in order to replace the lost asset, resource or income
Cut-off-date	Date after which people will NOT be considered eligible for compensation i.e. they are not included in the list of APs as defined by the census
Displaced Person	People, households, or legal entities affected by project related changes in use of land, water, natural resources, or income losses.
Encroachers	People who move into the project area after the cut-off date and are therefore not eligible for compensation or other rehabilitation measures provided by the project or persons who have trespassed onto government land, adjacent to his/her own land or asset, to which he/she is not entitled, by deriving his/her livelihood there. Such act is called —Encroachment
Entitlement	Entitlement means the range of measures comprising compensation in cash or kind, relocation cost, income rehabilitation assistance, transfer assistance, income substitution, and business restoration which are due to APs, depending on the type and degree nature of their losses, to restore their social and economic base
Private Household	This is a single unit of the community where separate individuals or groups of individual living and eating together as a single-family unit as well as not related to each other.
Income restoration	Income restoration means re-establishing income sources and livelihoods of APs.
Involuntary Resettlement	Any resettlement, which does not involve willingness of the persons being adversely affected, but is forced through an instrument of law
Land acquisition	Land acquisition means the process whereby a person is compelled by a public agency to transfer all or part of the land s/he owns or possesses, to the ownership and possession of that agency, for public purposes in return for fair compensation
Legal Entity	Legally registered enterprise established by two or several individuals or companies vested with its separate property, rights and liability such as a limited liability partnership (LLP), and joint stock company (JSC). It also includes former Soviet collective farms that were privatized into collective enterprises, and production cooperatives
Household	Association of peasant and farmland workers who implements individual business which inextricably connected to agricultural land use for production of agricultural product, as well as the processing and production distribution.

Parties of farmlands are citizens of the Republic of Kazakhstan and (or) repatriates engaged in entrepreneurial activities without forming legal entity.

Vulnerable households

Household that might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically a household living below the poverty line (with per capita income 40% from calculated and established minimal sum per month).

Squatters

One who squats; specifically, one who settles unlawfully upon abandoned and unallocated places, land, building, who is not legal owner or lessee, as well as having no permits for their use

## **CHAPTER – 1: INTRODUCTION**

Transport strategy of the Republic of Kazakhstan until 2015 developed on the basis of “Strategy of Industrial and Innovation development of the Republic of Kazakhstan on 2013 – 2015” is to increase commercial relations between countries by creating beneficial, reliable and accessible transit routes which is International Transport Corridor Western China – Western Europe that traverses Kazakhstan in direction from East to West – North and South.

Construction of Taraz Bypass section under category II (km 491-536) is part of the road “Almaty – Korday – Blagoveshenka – Merke – Tashkent – Termez” – International Transit Corridor “Western Europe – Western China”.

This Transit Corridor will enable to reduce the distance significantly in communication of West-East and time for delivery of cargo. Strong growth of economy of China and increase of external trade of China with countries of EC will influence on increase of the volume of transit traffic (due to opening of cross-border cooperation in the future) on the territory of the Republic of Kazakhstan on this direction up to the border of Russian Federation.

Pavement of the wayside is improved at existing conditions, but technical parameters of the road do not comply with increased intensity of movement either on design or longitudinal and cross-sectional profiles. Existing road Taraz Bypass do not meet parameters for transit corridor: sharp turnings to overpass through rail way, two road crossings through rail way. Part of the bypass has been already constructed, line of construction at narrow places (km 18+900 – km 19+100 and km 20+900 – km 26) from the edge of the road placed at the distance of 5-25 m. Output of the bypass to the road do not comply with requirements, there is no ramp on left hand side in direction to Almaty. Construction of new Taraz bypass is planned in the project, which is part of the road “Almaty-Korday-Blagoveshenka-Merke-Tashkent – Termez” – International Transit Corridor “Western Europe – Western China”.

Agreement on granting of loans between world leading financial institutions is concluded despite to world financial crisis. World Bank (IBR) allocates funds for the sum of 2 bln. 125 mln. USD. This is the one of the largest loan in bank history, granted to any world country. Considering loans of Asian, European and Islamic Development Banks, total amount of borrowed funds on the Project will be 3.5 bln. USD. Granting of significant funds on favorable terms for the term of 25 years indicates on highest confidence level of world financial institutions to Kazakhstan economy.



## **1.1. General**

1. This Bi-annual Social Monitoring Report for the first half of 2014 is produced for Taraz Bypass Loan by Construction Supervision Consultant (CSC) for the period from January to June 2014 for the Western Europe –Western China Transport Corridor CAREC I, Project under the Asian Development Bank loan L2824-KAZ. This report covers Contract 10 – KCC E&C – Taraz Bypass Project. Taraz Bypass Project is a part of Multi-tranche Financing Facility of Central Asia Regional Economic Cooperation (MFF CAREC Corridor 1). The Government of the Republic of Kazakhstan is implementing through a strategic investment program “Western Europe – Western China” 65 km of the road in Taraz City of Zhambyl Region. The aim of Investment Program CAREC Corridor I is to improve 2 787 km of the road within the Republic of Kazakhstan, from Khorgos through Almaty and Shymkent up to western border of Russian Federation. Taraz city Bypass Project is 5<sup>th</sup> project in Zhambyl Region is being implemented within Investment Program. Planned to construct between km. 483 and km. 536 of the Almaty and Shymkent highway road section within the Zhambyl Oblast, includes 7.7 km existing road between Km 483-491. Taraz City Bypass construction is being implemented under Category II.

2. Construction works include widening of existing roadbed and/or new roadbed, granular/concrete/asphalt paving, construction of Culverts & Bridges, replacement and installation of new traffic signs and guardrails; and construction of intersections. This bypass road section started from km 491 post of the Almaty-Taraz highway and will meet at km 536 post of the Taraz-Symkhent highway covering Baizak and Zhambyl districts. Road section from km 483 to km 491 is subject to reconstruction.

## **1.2 Project Background**

3. ADB grants a loan to the Loan Subscriber from simple capital resources of ADB for the amount of ninety five million US dollars (\$95 000 000), moreover this sum can be converted occasionally within the frames of conversion in accordance with the clause provisions of Loan agreement. Loan assumes the period of principal repayment of 20 years and a gestation period. The Project comprises reconstruction of 7.7 km road section, construction of 57.3 km bypass and rehabilitation of approximately 49 km of road sections, including existing Taraz Bypass.

4. By being integral part of transport corridor connecting oil rich western part of the Republic of Kazakhstan to the south east and beyond, the Project will boost regional connectivity and strengthen the viability of Investment Program.

5. Civil Construction Contracts for the works have been awarded to JSC “KCC Engineering and Construction Co. Ltd”. Works were started with provisional completion date August 2015. Kocks Consult GmbH in association with Quality Planning, Kazakhstan, KECC, Korea, Almaty Joba, Kazakhstan was employed by MOTC as Construction Supervision Consultant (CSC). CSC was officially mobilized on 7 August 2013. However, some preliminary activities were started in May 2013.. In accordance with ADB policy, full implementation of the compensation program described in the LARP is a necessary condition for the initiation of civil works.

**Table 1.1. Project Details**

Country	Republic of Kazakhstan
Project Title	MFF CAREC Corridor 1 Taraz City Bypass in Zhambyl Oblast Loan № 2824-KAZ
Project Financing	Co financed by the Asian Development Bank 85% and the Government of Kazakhstan 15%
Executing Agency	Ministry of Transport and Communication through Committee for Road
Project Management	SAI Consulting Engineers Pvt Ltd, (Kazakhstan) Consultants
Consultants	Joint Venture leads by KOCKS Consult GmbH, Germany
Contractor	KCC Engineering and Construction Co, Ltd, Republic of Korea

**Table 1.2. General Data**

<b>Employer</b>	Committee for Roads, Ministry of Transport and Communication of the Republic of Kazakhstan <sup>1</sup> Transport Tower, 32/1 Kabanbay Batyr Ave. City: Astana Country: Republic of Kazakhstan
<b>Contractor</b>	KCC Engineering and Construction Co.ltd. 27-8 Seocho-gu, Jamwon-dong, Seoul Republic of Korea
<b>Letter of Acceptance Issued on</b>	1 March 2013 -
<b>Date of Contract Agreement</b>	28 March 2013
<b>Date of Commencement</b>	Not specified officially at the stage of report preparation
<b>Time for Completion</b>	730 days + 730 days Defects Notification Period Completion Date – August 2017.

### 1.3 Project Location and Activities

<sup>1</sup> The name of the Ministry has recently been changed into the Ministry of Investment and Development.

6. Taraz city is one of the central provisions on the Great Silk way, both its growth and the prosperity, rich trade, cultural traditions are very closely connected with history of the well-known trade way. The city of Taraz is the large administrative cultural center of Zhambyl oblast and situated in the south of the Republic of Kazakhstan, in the Talas-Assa oasis on the foothill plain of the Kyrgyz ridge.

7. The population of the city is 330,2 thousand people. Primary branches of real sector are: the mining industry and development of pits, manufacturing industry, power supply, supply of gas, steam and air conditioning, water supply sewer system, control over collecting and distribution of waste.

8. The general length of highways in the Region is 4117 kilometers, including 847 kilometers of roads of Republican Importance. Through the territory of the city pass the highways of republican Importance Almaty-Bishkek, Almaty-Tashkent-Termez connecting the Republics of Kyrgyzstan, Kazakhstan and Uzbekistan.

9. At present the city of Taraz dynamically developing city of the region. Improvement of economic and social situation of the city is caused in recent years by active realization of the industrial and innovative policy, favorable investment climate, development of processes of import substitution, establishment of the new enterprises and the productions based on use of achievements of domestic and foreign science and equipment. The fertile climate, rich subsoil and generosity of the fertile earth of the area, the developed infrastructure, existence of qualified personnel are extremely attractive to investments.

10. The Taraz Bypass project covers road reconstruction/construction activities between Km 483-536 of the Almaty-Taraz highway with length of km 65<sup>2</sup>. Taraz city bypass road section will start from Km 491 post of the Almaty-Taraz highway and will meet at Km 536 post of the Taraz- Highway covering Baizak and Zhambyl districts. However, the remaining section covering Km 483-491 will follow the existing road alignment of the Almaty-Taraz highway. Construction works on the section Km 483-536 involve the construction type of Category II two-way road with 40m Right-of-way (ROW) and construction of interchanges (2 of them —"cloverleaf" type and 2 of "pipe" type). These works required permanent land acquisition. Contractor also required land temporarily during construction period.

11. The Figure-2 shows the new Taraz City Bypass (Km 491-536) and 7.7km Section of Merke-Taraz Road (Km 483-491).

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<sup>2</sup> The distance and chainage were taken from the executive summary of the design report. It clarifies that the chainage followed the old alignment but the distance was calculated based on new alignment, where length is higher by 12 kilometer.



12. All road construction works require land acquisition for permanent use and performance of monitoring plan. Temporary land acquisitions taken place, measures on reconstruction of affected lands are supposed to be mitigated/ taken care of by the Contractor.

## Mobilization of the Contractor

13. The Contractor started mobilization to the site in April 2013. Primary temporary mobilization was organized on the territory of industrial base “ Uch-Bulak’ (30 km away from the Taraz city boundary). CSC office was also established in the same place. Moving to more equipped building for office and accommodation of employees of the contractor carried out in August, 2013. The new place is chosen taking into account equidistance from the project road. New location of office is chosen taking into account a number of criteria for organization of effective work of all services of Contractor. Contractor commenced construction works in March 2014 when compensation payment was largely completed.

## 1.4 Geographic Features of Project Area

14. The territory of Taraz is 0.1 thousand sqm. or 0.07% of Zhambyl Region territory, and it is situated in the south of Kazakhstan, in the centre of Talas-Asa oasis, located on alluvial bench to the north from Kyrgyz ridge, on the left bank of Talas river. Unique features of climate are significant aridity and sharp continentality. Modern Taraz is the large

administrative cultural center of Zhambyl Region. The distance from Taraz to Astana is 1300 km, to Almaty-550 km. The city borders in the West with Zhambyl and Zhualy districts, in the North – with Bayzak, in the East - with Turar Ryskulov's district, in the South - with the Kyrgyz Republic.

15. The road traverses drainage channels that flow intermittently during heavy rainfall (isolated storms). There are no permanent flowing streams on this road section. The existing road corridor has an occasional tree lined shelter belt on either side of the road (of various degrees and thickness) that provides a wind break and snow barrier for the road. In many cases these are the only trees available as most land has been cleared for cropping. Most of the road is bordered by flat / slightly undulating ground that has light to moderate grass cover or cropped land. The road passes mostly over the arable lands along some villages, i.e. land acquisition for the new bypass and widening of the existing road corridor impacted only arable lands of the local people. The land relief along the project road can be generally described as slightly inclined alluvial-proluvial flatland with not big hill and rigid-valley, and silty-clay soil. Natural vegetation mostly consists of feather grass, fescue, saxaul and willow. The fauna is represented by wild rams, roe, hare, pheasants and partridges.

16. The local climate is abrupt continental dry, with hot summer and moderately to very cold winter. Spring seasons is short and warm with frost still occurring in late months of May, while the autumn is dry and warm. Frost free conditions lasts to around 5-6 months.

17. Weather conditions of the territory are different; there are sandy deserts and semi desert, hilly foothills and chain of mountains. As per surface it can be divided into specific areas: lowlands and low mountains.

18. The area of the mountain covers south part of the territory and presented with complex system of Tien Shan ridges. Western part of the Northern shoulder of the Kyrgyzstan ridge, Western edge of Alatau Mountain at area of Talas and its outskirts – Karzhantau and Ugam ridges.

19. Ridge of Kyrgyzstan is high chain of mountains with highest level 4566 m, but in Kazakhstan the height of the ridge is lower significantly (no more than 3800 m) and decreases gradually in western direction. Ridge ends with no high hills of Tek-Turma (800-500m) in the Taraz city. Top of the comb is plain, shoulders are uneven – northern part is abrupt, south part is smooth.

20. Alatau Mountain at the territory of Talas is situated within the Kazakhstan, at the interface of Shymkent and Zhambyl Regions. Ugam and Karzhantau ridges are situated on southern and eastern parts of the Shymkent Region.

21. The lowland area is characterized as monotonous relief and is sandy and sandy-clay

deserts and the largest of them are Aral Sea Karakum, Kyzylkum, Betpak-Dala and Moyinkum.

22. Desert of Moyinkum is sandy area which stretches from south-east to north – west and bounded on the north and east with the valley of the river Chu from the south-west – with the valley of Talas river, in the south – with foothill of Kyrgyzstan ridges.

23. It is anticipated that the road improvement project will enhance volume of transported products including fish, dairy products and livestock in these regions and reduce the time for delivery of goods to these regions with a considerable improvement in socioeconomic condition of the people of this region through increasing income and employment.

### **1.5 Objective and Coverage of the Monitoring Report**

24. The major objective of this internal monitoring report is to monitor the implementation status and fulfillment of the Land Acquisition and Resettlement Plan (LARP) implementation for this road section. The objectives of the monitoring report are to:

- a. verify status of resettlement implementation for the project in comparison with the reports of previous periods for 2013 within the approved framework of the resettlement plan;
- b. verify status of updated compensation payment to APs;
- c. verify implication of grievance redress mechanism to solve AP's grievances
- d. verify that APs have been able to at least restore their livelihood and living standards.

25. This report reflects current situation with land acquisition, as well as opinions of APs, whose interests and rights have been affected due to this road construction. Moreover, the report summarizes the current status of the Permanent Land Acquisition process including completed issues, payment status, outstanding issues and feedback from the APs and recommendations for party concerned.

26. However, temporary Land Acquisition required for the construction purposes e.g. for establishment of site offices, stockyards and wire houses for storing construction materials and equipments, storage of topsoil, asphalt and concrete crush plants, access roads, etc. Temporary land acquisition has to be managed through the Civil Construction Contracts with payment of compensation at current market rates to the owners for the period required during construction period only with a condition to give the land back to the land owners with its' original condition.

## **1.6 Methodology followed under Monitoring Program**

27. At this stage of project implementation, monitoring has been conducted by the Local Social Development Specialists of the construction supervision consultant (CSC), Kocks Consult GmbH with assistance from the Zhambyl Regional Affiliate of JSC NC “KazAutoZhol”, and representatives of Contractors and Project Management Consultant (PMC).

28. During preparation of this Monitoring Report meeting with representatives of Legal Department of ZHRA JSC NC “KazAutoZhol” was conducted to obtain the updated information on payment of compensation and other benefits to Affected Households (APs) and Legal Entities (LEs) and summarized all information are in this document. Informal consultations/meetings with the concerned officials of ZHRA JSC NC “KazAutoZhol”, heads of HHs, road side village’s people etc. were carried out. The monitoring was conducted based on the approved LARP prepared and issued in October 2011 and collected information on compensation amount paid to APs so far by the ZHRA JSC NC “KazAutoZhol”. Feedback to the APs and other interested parties of this project was maintained by consulting them. Information on activities of the local NGO who works on issues of APs is considered. All the information collected is incorporated in this document.

29. The following procedures have been followed during monitoring of LARP implementation:

- Analyzing LARP, its policy/ procedures of entitlement, compensation payments processes, and agreed Social Safeguards Policy;
- Collecting up to date records of data/information on compensation payment to APs against their entitlement by types of compensation from CoR;
- Conducting consultations/meetings with different stakeholders of the project including project affected & project beneficiaries and leaders of NGOs;
- Conducting monitoring of temporary land acquisition procedures and terms & conditions;
- Control on the procedure, dates and conditions for temporal land acquisition;
- Conducting consultation/meetings with affected parties by project to analyze their satisfaction as per category and amount of compensation payment.

## **CHAPTER – 2:**

### **OVERVIEW OF LAND ACQUISITION IMPACTS**

#### **2.1 Summary Impacts of Land Acquisition**

30. Displaced individuals and to be acquired assets were identified at various stages of the Project development on Tranche 2 of IDB. Initial assessment was carried out on the stage of techno-economic justification in January, 2008. Detailed measurement survey has been carried out by Design consultants (Karaganda “Kazdorproject”) in the process of detailed design development. Information on Land Acquisition was obtained from Land Registry provided by Zhambyl Land State Scientific and Production Center for Land Management (GosNPTsZem). Land Registry has been used in the process of identification of names and titles of registered owners/leaseholders of lands with permanent entitlement for land tenure, registered land tenure, area of acquired lands and portion of acquired lands compared to general area of the land.

31. After completion of the payment of compensation and entitlements as per LARP resettlement policy, the displaced persons will be able to restore their pre-project living standards and level of income. The final date of moratorium on the sale and purchase process, allocation of land to inhabitants was March 31, 2009. This is the date of State Expert Appraisal’s Conclusion on Reconstruction of International Transit Corridor “Western Europe – Western China” Project. Individuals who occupied lands after this date were not considered for compensation. A total of 136 land users were affected by the project including 126 households and 10 legal entities. Seven (7) of these affected households were private owners and 129 were leaseholders comprising of 119 households and 10 legal entities.

32. A commission was established at the district level headed by Deputy Akim of Akimat (Local government). Inspection of acquired lands was carried out by the Commission from August to November 2008 and meetings with owners/leaseholders of these sections were held to inform them about the acquisition. Licensed surveyor was involved by Design Consultant to calculate cost of acquired lands on the basis of land registry and category of lands. Detailed impacts of project implementation are given in following chapters.

#### **2.2 Compensation for Costs and Losses to Land users**

33. The process of selection and legalization of land sections under reconstruction and new construction of bypass through Baizak and Zhambyl Districts are defined in accordance with regulation approved by Resolution of the Government of the Republic of Kazakhstan on



October 8, 2003 ref. No.1037 "About approval of the regulation on procedure for determining of amount of losses of agricultural production to be compensated".

34. Agricultural production losses are compensated as per area is being withholding for temporary and permanent acquisition of arable lands. Costs are compensated to land users in full amount and as per agreement of parties at the time of withholding of lands by the government for temporary and permanent use in accordance with Para 3, Article 44, Para 4, Article 84, and Para 2, Article 166 of the Land Code of the Republic of Kazakhstan. Agreements must be prepared with each land user and handed to Employer with submitting of endorsement materials (authentic). Costs are calculated as per land users of Baizak and Zhambyl districts. In this connection, for compensation amount of benefit loss on land parts withholding from land users the Estimation company used the following data:

- Name of farmland and their area are taken as per explication of lands;
- Name of agriculture – wheat is dominant culture in this region on boharic farm field, on irrigated and semi field crop rotation;
- Average productivity of wheat for 5 years from 2003 – 2005 is 14.3 centner/ha in Baizak district and taken as per data of State Agency Statistic Department of Zhambyl Region. Average productivity on pasture (hay grass) is -2 centner/ha in Baizak district, and -3.02 centner/ha in Zhambyl district and taken as per data of geobotanical inspection. Average productivity of semi field crop rotation at irrigated crop land was taken as per data of State Agency Statistic Department of Zhambyl Region and consists from plants in Baizak district: perennial grasses 36.0 centner/ha, onion 162.6 centner/ha, vegetables 172.1 centner/ha, corn 52.6 centner/ha, barley 12.7 centner/ha. Mid annual costs of agricultural plant for 2 years from 2007 to 2008 in Baizak district are: wheat – 2300 kzt/centner, hay – 614 kzt/ centner, vegetables – 4100 kzt/center, corn – 2600 kzt/centner, barley – 2000 kzt/center. Average productivity in Zhambyl district: perennial grasses – 36.0 centner/ha, onion 187.6 centner/ha, vegetables 190.8 centner/ha, corn – 48.4 centner/ha, barley 10.6 centner/ha. Mid annual costs of agricultural lands for 2 years from 2007 to 2008 in Zhambyl district are: wheat – 2300 kzt/centner, hay – 614 kzt/centner, vegetables – 4100 kzt/center, corn – 2600 kzt/centner, barley – 2000 kzt/centner;
- Type of crop rotation – for cropping of grain at boharic lands taken five-course grain field crop rotation (four years of planting, one year without planting), type of crop rotation at irrigated lands of semi field as per information from the Department of Agriculture of Akimat of Zhambyl Region;
- Net profit for implementation of agricultural plants is 25 %;

- Time for land acquisition – taken as per Resolutions (decisions) of Baizak and Zhambyl districts' Akimat.

35. **Example for calculation** of costs, loss of benefits in connection with land withholding by government:

**a) Permanent Land Use**

1. Act for the entitlement of long-term land use is issued in 2005 for 49 years: 2005+49-2008=46 years.
2. Technology of wheat crop (crop rotation); 4 years – planting, 1 year – without planting 46:5×4=37 years.
3. 0.46 ha of the boharic field to be withhold in accordance with the land explication;
4. Average productivity: wheat – 14.3 centner/ha
5. Mid-annual cost: wheat – 2300 kzt/centner.
6. Profit from realization of agricultural crops – 25%

Calculation

Wheat: 0.46 ha \* 14.3 centner/ha \* 2300 kzt/centner \* 25% \* 37= **139 947 kzt.**

**b) Temporary Land Use**

1. Time for land use is 3 years on the basis of letter ref.No.1005/1-5 dated November 4, 2008 by State Agency “Zhambyl Regional Department of Committee of Roads, Ministry of Transport and Communication, the Republic of Kazakhstan.
2. 0.41 ha of boharic field shall be withhold in accordance with land explication
3. Average productivity: wheat – 14.3 centner/ ha
4. Mid-annual cost: wheat – 2300 kzt/centner.

Calculation

Wheat: 0.41 ha \* 14.3 centner/ha \* 2300 kzt/centner \*3= **40 455 kzt.**

As per ground reserve calculation of costs and loss of benefit was made as withheld land to permanent use.

### **2.3. Rehabilitation of Temporary Occupied Lands**

36. Rehabilitation of temporary occupied lands was designed in accordance with “Instructions on project planning to rehabilitate damaged lands in the Republic of Kazakhstan”, 1993.

37. Damaged lands are as following:

- In both side of the road in entire length of constructions site with line of 5 m, stockpile of topsoil;
- Construction site;
- Bypass roads;
- Borrow pits and approach road to them.

38. Technical and biological stages of rehabilitation are designed in the Project. Technical stage is to repair damaged land form, land planning and envisages the following type of works:

- Removal of topsoil by stockpiling it to disposal area (during preparatory works);
- Backward reverse of the soil from spoil disposal with further leveling of the soil on damaged areas;
- Planning of rehabilitated areas;
- Ripping of rehabilitated areas.

39. At completion of the construction, all road side lands shall be cleaned from the construction wastes and all the damaged areas shall be leveled. After that, the top soil piled elsewhere (the pile area) shall be moved back and leveled. Top soil is valuable and slowly renewable natural resource and therefore moved to the temporary pile area and preserved during construction. Since the top soil will be preserved in the pile area not more than a year, according to experts, the quality of soil will not change significantly.

40. Biological stage of the area to be rehabilitated after civil works is over. The process involves removal of fertile soil layer at the first place before taking of earth for construction. After all activities are completed, the fertile top soil is moved back on the land and rehabilitated through soil treatment by blade cultivator, ploughing; grass cultivation, and planting.

41. The objective of rehabilitation of the land is to prevent negative impact to the environment and restoration of fertility and esthetic value of damaged lands due to extraction of earth for construction.

## **2.4. Consequences of Land Acquisition**

42. The Taraz Bypass road unlocks at km 491.162 (PK 0+00) and traverses through Taraz city to northern and western directions. Road from the PK 0+00 was designed under II category with width of RoW 40 m in accordance with Law of the Republic of Kazakhstan “About Highways”, July 17, 2001 of No. 245-II (last edition September 29, 2014). In accordance with taken design decisions the land was acquired under road, transport interchanges, rest areas, intersections, abutments and dikes.

43. In accordance with law “About Roads”, article 8, item 1-2 for Republican roads was constructed road side of 50 m at each side of the road considering boundary of the RoW (except sections with irrigating land). In this case roadside is used for reconstruction, construction of the new section and used temporarily.

44. Areas to be withheld for temporary use in Baizak district under pile area – 4.21 ha, bypass roads with length of 15 876 m and with area of 6.41 ha, 5 construction areas with area of 5.72 ha. Places for 4 borrow pits out of the road with area of 13.88 ha and approach roads to them are 4.48 ha were explored and agreed. Construction site for construction of the road at four stations. Construction for construction of bridge overpasses and underpasses at the first station. For temporary use in total 34.70 ha of the land has been withheld in Baizak district (see calculation of temporary acquisition).

45. Areas to be withheld for temporary use in Zhambyl district under pile area – 5.92 ha, bypass roads with length of 1221 m and with area of 1.25 ha, 9 construction areas with area of 7.40 ha. Places for 4 borrow pits out of the road with area of 17.55 ha and approach roads to them are 3.13 ha were explored and agreed. Construction site for construction of the road at five stations. Construction for construction of bridge overpasses and underpasses at the six stations.

46. Site selection of land sections for permanent and temporary use under construction “Taraz Bypass from km 483 to km 536” road “Almaty – Korday – Blagoveshenka – Merke – Tashkent – Termez” was agreed with interested land users and organizations and was formed in the Act of Selection in accordance with Land Legislation in Baizak and Zhambyl districts.

47. Costs of works have been considered in the project on preparation of state act for entitlement of land use of households in connection with withholding of land portions under construction of Taraz Bypass from km 483 to km 536 of the road “Almaty – Korday – Blagoveshenka – Merke – Tashkent – Termez” through Baizak district for 71 land users and through Zhambyl district for 46 land users and included into estimated cost.

### 2.4.1 Loss of Land by APs

48. The project as per payment report received from KazAutoZhol, MOTC has acquired a total of 261.005 ha land for construction of the new bypass affecting 136 parties comprising of 126 households and 10 legal entities. Totally all parties lost portions of their lands for permanent acquisition. In addition to above, an additional 69.15 hectares of land from the state reserve were used for construction of the road. The LARP (October 2011) estimates identified 108 displaced persons including 98 households and 10 legal entities for acquisition of 213.49 ha of land.

49. Most of the affected land areas were leased in by affected households and legal entities. A total of 181.605 ha of affected land areas were leased in by 119 private households and 65.96 ha of land areas were leased in by 10 LEs. A total of 13.44 ha of affected lands were privately-owned by 7 private households. All the affected land plots were registered. Four of the private households were reported to loss accommodation and one private household was affected with a café. Table 2.1 provides the details of land acquisition and impact on owners and users of acquired land.

Table 2.1 Land Acquisition and Displacement

Sl.No.	Ownership of land acquired	Acquired land (Ha)	Number of DPs		
			Private HH	LE	Total
A.	Privately owned land	13.44	7		7
B	Leased land				
	a. by private households	181.605	119		119
	b. by legal entities	65.96		10	10
	Total	261.005	126	10	136

Source: KazAutoZhol Payment Report, June 2014

### 2.4.2 Tenure Status of the Land Affected by Project

50. According to the LARP estimates (Oct 2011), out of the total lands to be acquired, 188.12 ha were lands, leased on a long- term basis by 79 households (118.26 ha) and 10 LEs (69.86 ha). A total of 11.93 ha of affected lands were leased in by 12 households on short term basis, while 13.44 ha, affected lands were privately owned by 7 land owners. All the land sections were registered in procedure established by law. However, according to payment records obtained from KazAutoZhol, total amount of land acquired in 261 ha. Detailed information on land acquisition as per LARP estimate is presented in Table 2.2.

**Table – 2.2: Affected Lands by Tenure Type as per LARP**

<b>Ownership/Tenurial Status</b>	<b>Land to be acquired (in hectares)</b>	<b>Number of displaced households (HH) and legal entities (LE)</b>
Privately Owned	13.44	7 (HH)
Long-term lease	118.26 69.86	79(HH) 10 (LE)
Short-term lease	11.93	12 (HH)
Unregistered	-	-
<b>Total</b>	<b>213.49</b> <b>143.63</b> <b>69.86</b>	<b>108</b> <b>98HH</b> <b>10 LE</b>

Source: Taraz Bypass Project, LARP (October 2011)

### 2.4.3 Crop Loss

51. Commonly planted crops in the lands acquired were wheat, corn, barley, perennial grasses, hay/ fodder, onion, etc. According to the LARP estimate, about 41.18 ha were planted with wheat, 79.29 ha – clover/hay, Lucerne (alfalfa-grass) 2.55ha, barley 8.42ha, onion 35.65ha, and 29.58 ha are planted with different vegetables. Based on regional officials statistics for 2010 the average yield of wheat is 15.25centner/ha, while feed crops yield (note: 1 centner = 100kg). None of the land users lost any standing crops. All land users were available to harvest their crops after the notification and valuation conducted in 2009.

### 2.4.4 Tree Losses

52. No privately-planted trees were affected due to the project. Other trees within the right-of-way were planted by government agencies as forest shelter belt. Permission for cutting trees planted by government agencies is issued by the Forestry Agency with the condition that trees will be planted or payment will be made into the government budget for tree planting.

### 2.4.5 Structure Losses

53. No structure was identified in the LARP to be affected in the process of project implementation. However, estimate of valuator identified four affected households losing accommodation structures and one household losing a café.

## 2.4.6 Business and Employment Losses

54. None of the households will lose business due to the project except one café identified during implementation of the project. However, no worker is expected to be laid off. The agricultural workers employed for crop production or livestock raising will continue to be employed as their farm areas were reduced insignificantly. To ensure that workers in the affected farms are retained despite the reduced area of the affected farms, a one-time labor-maintenance allowance will be provided to the affected farmers proportionate to the amount of productive land and number of workers. In addition, information on available jobs from the project will be disseminated to provide an alternative or additional source of employment for these works.

## 2.4.7 Severely Affected Households

55. Based on available data from Road Department and the socioeconomic survey, five households (with a total of 28 members) will lose more than 10% of their productive land and total area acquired was 6.94 ha. These households will lose between 12% to 43 % of their total productive land (Table 2.3). All the five owners of these households are entitled compensation equal to the cost of one year crop harvest and agriculture subsidy.

**Table – 2.3: Households losing more than 10% of land**

No	Land use right	Acquired area (ha)	Percentage from total land holding
1	Long term lease for 5 yrs.	0.79	19.8
2	Short term lease for 5 yrs.	1.09	43.2
3	Long term lease for 43 yrs.	2.10	22.4
4	Long term lease for 49 yrs.	0.80	12.1
5	Long term lease for 49 yrs.	2.16	27.7
<b>Total</b>	<b>5 Households</b>	<b>6.94</b>	<b>22.9</b>

## 2.5. Policy of Land Acquisition

56. Laws and regulations regarding land and land ownership are derived from the Constitution of the Republic of Kazakhstan, which states that land (surface and underground) is owned by the State, but can also be privately-owned (Article 6.3). Article 26.3 also states that no one may be deprived of property unless stipulated by a court decision. Forcible expropriation of property for public use in extraordinary cases stipulated by law may be exercised on condition of its equivalent compensation. However, the Government of Kazakhstan and ADB has developed and agreed the following Land Acquisition & Resettlement Compensation Framework developed based on the Kazakhstan land Acquisition policy and ADB's SPS 2009 that is to be applied for the Affected Persons

(AP's). The framework combines Kazakhstan legal requirement and the ADB Policy on resettlement and land acquisition to ensure that AP's are properly compensated for losses they incur as a result of the project. The compensation entitlement matrix for the project stated in Table-2.4 below.

**Table – 2.4: Compensation Entitlements for AP's under LARP Framework**

Asset	Specifications	AP	Compensation Entitlements
<b>Permanent Loss</b>			
Arable Land	All Land Losses irrespective of severity of impact	Owners	<ul style="list-style-type: none"> <li>Cash compensation at replacement cost (without deduction of taxes and other transaction costs) or through provision of alternative land at locations mentioned by APs.</li> </ul>
		Leaseholders of state land ( short term / long term):	<ul style="list-style-type: none"> <li>Cash compensation for potential profit loss equal to market value of one year crop harvest (based on 3- year average)</li> <li>Cash compensation for recovery of leased government land, or, renewed lease in an alternative plot</li> </ul>
	more than 10% of land area	Leaseholders of State land	<ul style="list-style-type: none"> <li>Additional cash compensation equal to market value of one year crop harvest (based on a 3-year average) and agricultural subsidies for 2 crop-years</li> </ul>
Commer- cial Lands		Owners	<ul style="list-style-type: none"> <li>Cash compensation at replacement cost (without deduction of taxes and other transaction costs) or through provision of alternative land at locations mentioned by APs.</li> </ul>
		Squatters (if any)	<ul style="list-style-type: none"> <li>Leased plot on State land;</li> <li>Cash allowance;.</li> </ul>
Buildings and Structures		Owners of buildings	<ul style="list-style-type: none"> <li>Compensation of full market value (without deduction of taxes and other transaction costs) or, at the owner option - house</li> </ul>
Crops	Crops affected from lands to be acquired	All APs including	<ul style="list-style-type: none"> <li>Crop compensation in cash at full market rate for 1 year crop harvest (based on a 3-year average)</li> </ul>
Trees	Trees affected	All APs including squatters	<ul style="list-style-type: none"> <li>Compensation in cash reflected replacement of income. Cost of economy trees based on category of age and evaluated on market rate value of the one year of income and multiplied to the number of years used to grow the tree similar to productivity plus cost of purchase of seedlings and initial materials.</li> </ul>



Asset	Specifications	AP	Compensation Entitlements
Business and employment	Temporary or permanent business/employment loss	All APs including squatters and workers of alienable enterprises	<ul style="list-style-type: none"> <li>Owner of shops/commercial establishments: if permanent loss, cash compensation equal to one year income (lost profits); if temporary, cash compensation for the period of income loss taking into account the compensation to workers for lost job due to loss of employment (forced outage) – amounting up to 3-months average wages</li> <li>Owner of peasant farm: allowance for labor maintenance</li> </ul>
Resettlement	Transport costs and loss of income for one month	All resettled APs :	<ul style="list-style-type: none"> <li>Allowance sufficient to cover transport expenses and livelihood expenses for one month due to relocation.</li> </ul>
Vulnerable house holds	hhs below the poverty line		<ul style="list-style-type: none"> <li>Cash assistance equivalent to total month income of HHs (line of poverty and number of family members)</li> <li>Registration in state PCA if not registered</li> <li>Priority in local employment for members of vulnerable household.</li> </ul>
<b>Temporary Acquisition</b>			
Lands for construction and borrow pits (quarries)	As per requirements of Contractor	Owners (privately owned or state)	<ul style="list-style-type: none"> <li>Cash compensation at full market lease rate for the period of use</li> <li>Restore land to its original condition in the end of lease period</li> </ul>

## 2.6 Land Acquisition Process and LARP Implementation

57. The MoTC and CoR in association with the Department of Roads in Zhambyl Region (currently ZHRA JSC NC “KazAutoZhol”) is the executing agency of this project “Taraz Bypass road” implementation. The COR through its Project Director has overall responsibility for LARP preparation, implementation and financing, with the assistance of the Project Management Consultants (PMC). The MOTC has established a special Unit is the Zhambyl Oblast Department (Taraz) responsible for land acquisition issues and implementation of LARP as well. Valuation for compensation of affected lands & properties for this Taraz Bypass were done by LLP “KazNIPI Dortrans” and LLP “Karaganda Kazdorproject”. However, valuation made by them did not comply with Safeguard Policy Statement (2009) of ADB in regard of compensation for premature termination of long term lease of cropped lands. ADB suggested to apply basic rate of payment for land sections provided in Resolution of the Government of RK No.890 dated 02.09.2013 (amended and approved by the Government of RK in November 10, 2011 with ref.No.1154), agreed with Safeguard Policy Statement of ADB and taken method for Tranche 3 and varied section of Tranche 2. Zhambyl Regional Department involved Land State Scientific and Production Center for Land Management for repeated identification of the cost amount on the basis of Resolution No.890.

58. As per agreement with Zhambyl and Baizak districts' Akimat potential alternative lands for lease were identified. Additional Cash compensation for premature termination of lease will be paid to leaseholders who disagreed with provided alternative lands complying with basic rate envisaged in Resolution of the Government of RK No.890 (amended on November 7, 2008). Similar method was applied to land valuation for purposes of mortgage loans, deposition for economical partnership, percents of production cooperatives, as well as for leasehold sale. A series of meetings were held in Taraz and Baizak districts on social issues including land acquisition and displacement. All required information on implementation of LARP and procedures of acquisition and compensation payment was provided to local people and APs. As well as information on grievance submission was provided.

## 2.7 Budget and Financing

59. The Committee of Roads (CoR) is responsible to manage and provide funds for all land acquisition costs associated with the implementation of the LARP. A tentative budget regarding compensation cost was included in the LARP (Oct 2011) based on the official valuation reports of the Zhambyl Region Road department conducted by the licensed valuers. The total cost of LARP was estimated amounting KZT 134,552,942.00 including cost of land acquisition of KZT 118,070,857.00 (Table 2.5). However, estimate of losses and compensation as per the payment records obtained from KazAutoZhol is different in some cases with respect to the estimates given in the LARP (October 2011). This is because LARP was based on early/draft estimates and acquisition on site was on actual footing of the project interventions.

**Table 2.5 Land Acquisition and Resettlement Budget (LARP)**

	<b>Particulars/Items</b>	<b>Number of HHs &amp; LEs</b>	<b>Quantity</b>	<b>Estimated Budget (KZT)</b>
1	Compensation for land			
	a. privately owned	7 PHHs	13.44ha.	13,701,000
	b. leased	91 PHHs & 10 LEs	200.05 ha.	8,402,223
2	Compensation for crops and perennials	98 PHHs & 10 LEs	213.49 ha.	83,834,201
3	Compensation for structures			
4	Severe impact cash allowance (value for the second year loss losing more than 10% of total productive agricultural land)	5 PHHs	6.94 ha/	10,131,285
5	Agricultural subsidies and micro credit for livelihood restoration for severely affected DPs	5PHHs	6.94 ha	653,500

**Table 2.5 Land Acquisition and Resettlement Budget (LARP)**

	<b>Particulars/Items</b>	<b>Number of HHs &amp; LEs</b>	<b>Quantity</b>	<b>Estimated Budget (KZT)</b>
6	One-time cash allowance for labor maintenance	57 PHH+5LEs that employ agriculture workers	283	565,588
7	Compensation for early credit payment	2 HH	1.87 ha	63,986
8	Compensation for plot development expenses	58 PHH & 2 LEs	103.11 ha	719,074
9	Compensation for potential business profit losses	0	0	0
10	Vulnerable Persons	0 HH		0
11	LAR Administration/ Implementation including internal monitoring by CR/PMC			3,500,000
12	External Monitoring			750,000
<b>13</b>	<b>Total</b>			<b>122,320,857</b>
14	Contingencies (10%)			<b>12,232,085</b>
<b>15</b>	<b>Total + Contingencies</b>			<b>134,552,942</b>

Source: Taraz Bypass Project LARP (October 2011)

## **CHAPTER 3:**

### **LAND ACQUISITION AND COMPENSATION PAYMENT STATUS**

#### **3.1 Fulfillment of LARP conditionality**

60. Fulfillment of LARP conditionality status is as follows:

- a) The LARP was approved by MOTC and ADB in the last quarter of 2011 and posted in ADB & MOTC website.
- b) Full disclosure of the LARP to the public in local language has been made.
- c) Full implementation of the compensation program described in the LARP
- d) Handing over land to the Contractor.

#### **3.2 Compensation Summary as per LARP policy**

61. The Land Acquisition and Resettlement Plan (LARP) was prepared in 2009 and updated further in October 2011. According to data on compensation estimates and payment obtained from KazAutoZhol (Zhambyl Region Road Department for Taraz Bypass section Km 483 to Km 536), a total of 261.005 ha arable land was permanently acquired for the project (including 0.52 ha under process). These lands were belonged to 119 households, 7 private households and 10 LEs. Out of the total acquired land, 13.44 ha of land was under private ownership by 7 private households. Remaining 247.565 ha of land were leased in by 119 households and 10 LEs. Land acquisition process started in 2009 and payment of compensation has been paid to almost of the affected land owners.

62. An amount of KZT 118,070,857.00 was budgeted in the LARP (Oct 2011) for payment to the DPs. However, in the payment record, the actual payment to the DPs as of December 2013 (the last payment as of reporting period) was KZT 268,853,404.00. Four private households and two legal entities voluntarily surrendered the compensation in writing. Only one legal entity is under process of acquisition (0.52 ha) by local Akimat. The difference between LARP budget and actual payment is largely because of lack of coordination during final estimate and additional compensation during implementation. A total of 30 additional DPs (as per LARP) were paid in the compensation process. The current status of compensation payment to DPs for different types of losses due to land acquisition has been stated below in Table 3.1. Moreover, details of the compensation of the affected households and legal entities by type of entitlements for each of the affected households and legal entities furnished in the following subsections of this report.

**Table – 3.1 Status of Compensation Payment to Displaced Persons**

	Details/items	Loss & compensation estimate as per LARP (Octo 2011)			Payment status as of June 2014 (as per payment records from KazAutoZhol)	
		No. HH and LEs	Number	Cost estimate (KZT)	No. of HH/LE paid and land (ha)	Amount (KZT)
1	Compensation for land use					
	a. privately owned lands	7 private HHs	13.44 ha	13,701,000.00	7 HHs- 13.44	22,335,874.00
	b. leased lands	91 HHs & 10 LEs	200.05 ha	8,402,223.00	115 private hhs/ hhs & 7 LEs 247.045 ha	157,459,291.00
2	Compensation for corn and perennial grass	98 private hhs & 10 les	213.49 ha	83,834,201.00	122 hhs and 7 LEs	83,974,280.00
3	Severe impact allowance for APs losing more than 10% of total productive land (value for the 2 <sup>nd</sup> year crop loss)	5 private hhs	45.35 ha	10,131,285.00	5 hhs/ les	3,104,607.00
4	Agricultural subsidy and credit facilities for livelihood restoration of severely affected APs	5 private hhs	6.94 ha	653,500.00	5 hhs/private hhs	653,500.00
5	One time cash allowance for labor maintenance	57 private HHs, 5 LEs who had retained agricultural laborer	283	565,588.00	55 APs (52 hhs and 3 LEs) 276 retained laborers at withheld lands	557,483.00
6	Compensation for early credit payment	2 HHs	1.87 ha	63,986.00	2 HHs	63,986.00
7	Compensation for plot development expenses	58 private hhs and 2 les	103.11 ha	719,074.00	58 (54 hhs + 4 LEs)	704,383.00
8	Compensation for potential business profit loss	0	0	0	0	0
9	Vulnerable HHs	0 HH		0	0	0
	<b>Total</b>			<b>118,070,857.00</b>		<b>268,853,404.00</b>

### 3.3 Detailed Status of Compensation Payment

63. Current status of compensation payment with break-ups by type of losses as per information provided by the KazAutoZhol on July 21, 2014 with further corrections and alterations are outlined in the following subsections.

#### 3.3.1 Compensation for Privately Owned Land

64. As per LARP, compensation payment for lands under private ownership was made to seven households who lost total 13.44 hectares of privately owned land. The total compensation amount due was KZT. 22,322,328.00. Five households were paid amounting KZT 22,300,030 up October 2011 and 2 HHs were paid on December 26, 2013 under a court decision. Total sum of 22,335,874.00 was paid for privately owned lands under this compensation category as at June 30, 2014. This reveals that 100% of compensation

payment has been completed up to the reporting period. Details of the compensation payment status by name of affected persons with date of payment and reasons for non-payment stated in Table 3.2 below.

**Table - 3.2: Compensation Status for Privately Owned Land**

No.	Name of APs	Land area acquired (ha)	Compensation Amount payable (KZT)	Payment made up to June 2014 (KZT)	Date of Payment	Remarks
1.	Household Kozhamzharova Gulnaz	1.14	1,184,745.00	1,184,745.00	08-12-2009	
2.	Household Fazulov Murat	3.09	2,538,610.00	2,538,610.00	03-06-2011	
3.	Household Matova Gulzhar	0.23	1,299,273.00	1,299,273.00	06-10-2011	
4.	Household Abdrakhmanov Murat	4.99	16,625,390.00	16,625,390.00	09-10-2009	
5.	Household Anarkulova Aliya	2.32	17,922.00	17,922.00	26-12-2013	As per court decision
6.	Household Nurbayev Zhumaseit	0.63	4,376.00	17,922.00	26-12-2013	As per court decision
7.	Household Kim Sergei	1.04	652,012.00	652,012.00	09-10-2009	
<b>Total</b>		<b>13.44</b>	<b>22,322,328.00</b>	<b>22,335,874.00</b>		

65. In the process of works on Project it was identified that KCC carried out works on installation of power lines and trenches on the territory of three Households – Kosembayev Altynbek and Abdrakhmanov Murat. HH Kosembayev A. is a long term lease, but HH of Abdrakhmanov Murat is a short term lease. The third person did not approach as yet. The land portions taken from these land plots are not acquired as per legal procedure. Number of site visits and meetings were carried out by Contractor. All necessary documents have been collected for two households and submitted by them in October, 2013. ZHRA JSC NC “KazAutoZhol” is in the process of land acquisition. Appraisal of this new acquisition will be completed in December 2014.

### 3.3.2 Compensation for premature termination of Leased lands

66. As per approved Land Acquisition Resettlement Policy Frameworks (LARF) and LARP, land leased by 91 households and 10 legal entities for agriculture purposes shall get cash compensation for early recovery of their leased land or renewed lease in alternative plot.

67. According to data obtained from ZHRA JSC NC KazAutoZhol as at June 2014

compensation payment was made to 129 DPs, out of total 87 DPs (7 LEs and 80 HHs/ private HHs) in Baizak district, 49 DPs (3 LEs and 46 HHs/private HHs) in Zhambyl district. The total amount of all compensation payment is KZT 268,853,404.00. Detailed data on districts and affected persons is given in Appendix 1. Amount of compensation outlined for every farmland and legal entity separately. This amount of compensation includes all types of compensation payment. Separate articles for leased lands were not provided in estimated costs dated June 30, 2014. Separate articles will be submitted after receipt from ZhRA JSC NC “KazAutoZhol” in further reports. But after deduction of all submitted compensations separately (for profit loss, for labor maintenance, was plot development and others), amount for acquisition of leased lands is KZT 157,459, 291.00. One AP was not satisfied with the compensation amount of 635,050.00 KZT. AP get the satisfied amount KZT 1,250,235.00 through Court. Court decision was made on December 08, 2011 and the decision was executed on December 15, 2011.

68. Compensation payment for the loss of structures as accommodation was made to four private HHs in Baizak region. Total area of the acquired land sections under project is 0.70 ha and total amount of compensation was KZT 18,589,000.00 as per market price assessed by the valuers. APs agreed with the compensation amount that includes compensation for land and structures. Current status of their life, in comparison with the previous life status until acquisition of the land sections with the accommodation, characterized as high. They could improve the quality of their life by constructing their accommodation with better access to the infrastructure of the village. One household was later affected with his café on a land section of 0.54 ha and he was compensated at an agreed amount of KZT 109,000,000.00. This amount includes cost of land, structure, labor maintenance and business loss. He was satisfied with the amount of compensation. Current status of the quality of his life is better than how he was before the project. There is no negative impact on him, his business and on living standard of his family members. Details of the payment of compensation to these DPs are provided below in Table 3.3.

**Table 3.3. Compensation for Loss of Land, Structure and Businesses**

No.	Name of APs	Acquired land area (Ha)	Type of loss	Compensation amount in KZT	Date of Payment
1.	Sultanov Bakhyt	0,15	accommodation	1,219,000.00	03.03.2010
2.	Abdibakhova Kulan	0,25	accommodation	4,489,000.00	07.12.2009
3.	Kunbasov Murat	0,15	accommodation	7,883,000.00	15.12.2009
4.	Jainakova Sailaukul	0,15	accommodation	4,998,000.00	08.04.2010
5.	Shakbatyrov Satzhan	0,54	Café	109,000,000.00	21.10.2009

### **3.3.3 Compensation for potential profit loss from Leased Lands**

69. Land Owners were cultivated crops on the leased lands. So they were entitled to get cash compensation for potential profit loss equal to market value of one year crop harvest (on the basis of 3 year average). Accordingly the total value of compensation has been estimated KZT 83,885,364 for 119 households and 10 legal entities.

70. As of July 2014, 115 households and 7 legal entities get compensation payment for profit loss in full amount KZT 83,974,280, i.e. which reveals that about 100% payments has been made out of the total compensation. However, 2 HHs and 1 LE did not receive their compensation in amount of KZT 364,860.00 due to insignificant amount of charged compensation. One LE could not be found by Akimat and Chamber of manufacturers. It is evident that this LE changed the sphere of his activities due he is not available on the registration of the Akimat. Details of compensation payment by name of households and legal entities compensation amount due and compensation amount paid and the reasons why payment was not made annexed with the report as Appendix 2.

### **3.3.4 Compensation Payment Status for Labor Maintenance**

71. In fact, no workers of the affected households and legal entities lost their jobs. However, the affected farms were only partially affected and had simply reassigned their workers to work on their remaining land plot. In spite of the above, 49 households and 6 legal entities also were identified and entitled to receive KZT 565,588 as one time cash allowance for labor maintenance. The actual amount KZT 557,483 has been paid to 52 households and 3 LEs till as June 2014 completing 99% of the total payment. Details of compensation payment by name of households and legal entities compensation amount due and compensation amount paid and the reasons why payment was not made annexed with the report as Appendix 3. No one of entity applied to get compensation payment, and no changes for this category during the reporting period happened.

### **3.3.5 Compensation for expenses related to Land Development and Obligations to Third Parties**

72. Among APs 57 households and 2 legal entities were related under category "Expenses related to Land Development", entitled for compensation payment under this category. Total amount KZT 704, 383.00 has been paid to 57 households and 2 legal entities as at June 2014 completing about 98% payment. No changes taken place during the reporting period. No one APs applied to get compensation payment. Only one legal entity was not found and even is not communicating with the Road Department to get compensation amounting KZT 11,982 that is evident due to insignificant amount. The detailed data on



payment status of compensation by name of households and legal entities, as well as entitled amount, payment date and causes not possible to make payment annexed with the report as Appendix 4.

73. There are only two households at this road section related to this category on obligations to third parties. These two households received compensation payment in full amount. Data on payment status of compensation related to these two households is outlined below:

**Table – 3.4: Compensation Payment Status on Obligations to Third Parties**

No	Name of AP	Cad-I №	Acquired area (Ha)	Compensation amount (KZT)	Date of compensation payment	Amount of compensation payment
1	Household Myrzaliyeva Zhanarkul, relegalized to Myrzaliyeva Raya	095-334	2.97	63,389	20-11-2009	63,389
2	Household Akhmetov Zholdybay	095-005	0.5	597	04-11-2009	597
	Total		<b>3.02</b>	<b>63,986</b>		<b>63,986</b>

### 3.3.6 Additional Compensation Payment to APs

74. Under the Taraz Bypass project 5 households leasing agricultural land were found to be “severely impacted”, because they lost more than 10% of their land area due to the project works. Therefore, as per policy of LARP these households are entitled to receive additional compensation payment equivalent to one crop year income based on a three year average. Moreover, these APs are also entitled to additional assistance in terms of subsidies for seeds, fertilizer and pesticides to improve the productivity of their remaining plot for two subsequent crop years to assist them in restoring their livelihood after loss of portion of land.

75. In this connection, all the severely affected households have been paid for additional compensation payment amounting KZT 3,104,607 equivalent to one year net income of crop loss. The payment status has been stated in Table-3.4 by name of the households and payment date.

**Table – 3.5: Compensation Payment Status for Severely Affected Households**

Name of AP	Acquired area (Ha)	Amount of severe impact allowance Payable (KZT)	Compensation Amount paid up to June 2014 (KZT)	Date of payment
Household Isobayeva Almagul	0.790	557,432	557,432	12-11-2009
Household Abdreev Tursynbay	1.090	845,448	845,448	22-09-2009
Household Kovalcov Aleksey	2.100	1,481,781	1,481,781	13-10-2009
Household Karzhaubayev Amirbek	0.800	197,120	197,120	06-10-2009
Household Danayev Aldabergen	2.160	22,826	22,826	09-10-2009
<b>Total</b>	<b>6.94</b>	<b>3,104,607</b>	<b>3,104,607</b>	

76. These 5 severely affected households are entitled to get assistance in terms of subsidies for seeds, fertilizer and pesticides for income restoration as per policy of LARP. The Ministry of Agriculture, through the Zhambyl Regional Agriculture Division has ongoing programs to encourage farmers to resume and development of farming activities. Under these programs, the Government is providing 40% of subsidy for the cost of seeds, fertilizer and pesticides. Moreover, under a separate unit the government also providing credit supported at below market rates for the purchase of agricultural machineries. These 5 severely affected households had already enjoyed all these subsidies as of June 2013 as recommended in the LARP. This update was confirmed in a meeting with District's Akimat in presence of the DPs.<sup>3</sup>

### 3.3.7 Compensation Payment for Business Loss

77. None of the households or legal entities identified in the LARP was found affected with their business due to the road project. However, one household was identified affected during the implementation process. Compensation for loss of business was included in the compensation paid to him as mentioned in Table 3.3.

### 3.3.8 Compensation for Temporary Land Acquisition

78. Temporary land acquisition has not been included in the LARP which is being managed via the Construction Contracts concluded with Contractor and landowners being paid rental based on market rates. During monitoring, consultant also inquired information about the temporary land acquisition issues. On this type of compensation Heads of 3 HHs applied to the local Social Development Specialist. They submitted the application in writing for the claim to the Contractor for unauthorized use of their irrigated lands under the construction works,

<sup>3</sup> The meeting was held on March 31, 2010 at the conference hall of the Akimat of Zhambyl Rayon with representatives from Zhambyl Road Department, Akimat and 22 local stakeholders including the displaced persons.

brought to destruction of irrigating system of three lands of farmers and additional temporary acquisition of part of their lands. The consultations held on fields, at KCC office within working meetings on discussion of problems of HH revealed by the consultant there was made instruction to pay compensation of expenses of HH and their missed benefit in connection with impossibility to cultivate the lands. Two heads of HH were negotiated for a compensation of KZT 100,000.00 for each and both of them were paid on December 20, 2013. The third HH (Kovaltsov Alexey) was negotiated for a compensation of KZT 150,000.00 KZT after several discussions due to commencement of treatment and fertilizer application at the fields. KCC restored destructed irrigation system for three households during the reporting period and they started to use restored system of irrigation.

## **CHAPTER-4:**

### **MONITORING OF LABORS AND EMPLOYEE'S ISSUES EMPLOYED FOR THE PROJECT CONSTRUCTION WORKS**

#### **4.1 General**

79. For the purpose of road construction and implementation of the Taraz Bypass road the client MOTC has employed Korean Construction Company (KCC) as the main contractor. During construction, KCC employed 18 local construction companies as Subcontractor for execution of various construction works as per designed project and under supervision of CSC. Contractor and Subcontractors have employed various specialists including Engineers, Technical workers and supporting staffs.

80. At this time monitoring program, the labor monitoring issues were carried out based on major principles of Labor Legislation of the Government of the RK spelled out in Article -4 of Labor Code of RK:

- a. Inadmissibility of restrictions on human and civil rights in the sphere of labor;
- b. Freedom of labor;
- c. Prohibition of discrimination, forced labor or the worst forms of child labor;
- d. Guaranteed right to working conditions meeting the safety and hygiene requirements;
- e. Priority of the life and health of the employee over the results of production activities;
- f. Guaranteed right to a fair remuneration for labor (not below the minimum wage);
- g. Guaranteed right to rest;
- h. Equality of the rights and opportunities of employees;  
Guaranteed right of association of employees and communities for the purpose of protecting employer's rights and interests;
- i. Social partnership;
- j. Regulations of labor protection and labor safety;
- k. Guaranteed right of employees' representatives to exercise public control over observance of the labor legislation of the Republic of Kazakhstan.

#### **4.2 Monitoring Process**

81. During the reporting period the local social development specialist conducted number of activities on studying condition of campsites and working places of the laborers of the Contractor and Subcontractors.

82. To Laborers and Engineering Staff of the Contractor (KCC) is based on territory of the office in the industrial base at the address: 103, Nietkaliyev street.

#### **Infrastructure of industrial base**

- KCC office for office workers where the Supervision Consultants office settles down;
- Laboratory with the necessary equipment and stock;
- Canteen;
- Wagons for accommodation of local staff;
- The foreign personnel live in more well-planned rooms;
- The platform for transport is organized.

83. Check of office rooms showed that the room where engineers of CSC work, has a number of the negative moments demanding changes as ignoring of norms of lighting, airings can make harm to personnel health. In some premises where lives the foreign personnel, there is no day lighting that also will negatively affect on health of living persons subsequently.

- For employees of laboratory as they work with harmful and substances hazardous to health the preventive food isn't organized. Every employee is provided with milk/dairy products at the rate of 500 ml per day;
- Monitoring carried out in July, September, November, 2013, May 2014 of working conditions at Crushing Plant (Crushing and Sorting Site) and AP (Asphalt-Batching Plant) showed that basic rights of workers aren't observed, ignored. Labor contracts are concluded with the personnel, but did not give the contract. Overtime isn't recorded properly, individual protection equipment is not provided fully. After repeated visits and instructions to KCC treatment-and-prophylactic food was organized that actually stopped on June 30, 2014. Detailed report on Monitoring of these objects is given in Appendix 5 of this report.
- Monitoring of subcontractors showed that except for 2 subcontractors, the other 14 subcontractors do not observe the labor legislation. Workers are not provided with the minimum of living conditions. Wagons are narrow, necessary sanitary living conditions are not provided. Information attached to the Report as Appendix 5 and 6;
- Difference between a salary and privileges of men and women it is not observed;
- Child labor in process of construction was not attracted neither by the Contractor, nor by Subcontractors;
- One medical worker works at the territory of office of the Contractor, not all subcontractors have a medical worker on a construction site;
- The personnel is not informed about HIV/AIDS officially. Actions for informing of sexually transmitted diseases are included in plans for labor protection and health & safety of workers of the project, but actually are not carried out, there are no visual aids neither at office, nor on

site;

84. On all revealed violations there were made corresponding instructions, and it was recommended to H&S specialists of KCC develop the plan of correcting measures.

## **CHAPTER – 5:**

### **CONSULTATION AND FEEDBACK TO THE APs AND STAKEHOLDERS**

#### **6.1 Consultation Process**

85. Consultation and meetings started holding with the APs, local people and different stakeholders since 2007 (from the beginning of the project) to date at different stages of the project activities to identify different issues and problems, and feedback from the participants in connection to the road development. All these consultations conducted were mainly covering information dissemination about the project and its scope to local people, necessity of land acquisition, and payment of compensation. As well as meetings with APs carried out for identification of their status and get feedback on fair compensation issues, grievances and demands.

86. However, during internal monitoring process of this stage number of consultations and meetings were conducted at the project sites with Contractor, laborers/personnel, the local people, representatives of Akimat of Baizak and Zhambyl districts, including affected people and local citizens of some villages to analyze the status of implementation of land acquisition plan. The findings of the above consultations revealed lots of positive impacts on social level of local residents' life in spite of a few negative impacts/concerns.

#### **5.2 Major Finding of Social Issues and Concern APs and Local People**

87. The above consultations, participants mentioned both positive and negative impacts of the project. Among the positive impacts, people of this area are happy with the benefits of the new road. However, the major benefits were expecting by the people are that the new road will bring long run positive impacts in the form of increased income and economic activity, creating new avenues of employment opportunity, fair price and easy access/transportation of agricultural and livestock products to different national markets, opportunity to establish different industries, increase trade/commerce, develop tourism and etc. On the other hand, during consultation with local people and stakeholders was identified numbers of social issues/problems. Among the different concerns, construction of cattle crossing and provision of agricultural machinery underpass, ignoring of local residents interests by the Contractor. The major social issues arisen and public problems discussed during consultations and meetings with local residents and other concerned Parties are stated in Table 5.1. Results, follow-up and actions planned/taken are discussed in Table 5.2.

**Table 5.1 The List of Consultations and Meetings**

<b>Name of the village. Agenda of the meeting</b>	<b>Date</b>	<b>Attendees</b>
Village Kolkainar of Zhambyl district People request to install high size culvert for irrigation at PK 352 and install cattle pass	April 2, 2014	Akim of the village, Shaidayev M. Social Development Specialist – Imbarova S. Residents of the village
Land portion of Kosembayev A. near the interchange Asa. Damaged irrigation system, land acquisition for power line poles was not compensated.	April 12, 2014	Assistant of Resident Engineer – Jumanov B. Quantity Surveyor – Sembayev A. Social Development Specialist – Imbarova S. Head of HH – Kosembayev A.
Abdrakhmanov M. Near the interchange Asa at PK 344, regarding compensation for land acquisition under power line poles, restoration of irrigation system	April 25, 2014	Social Development Specialist – Imbarova S. Inspector of Land Relations Department - Zhanbolatov M.
Relocation of ramp/exit road from PK 72 to PK 76	April 26, 2014	Heads of HHs – Tulepbergenov A., Omarova K.A., Akhmetova Zh., Yaufman V.
Office in Taraz Consideration of grievances, materials from Kosembayev A. and Abdrakhmanov M., meeting on sociological issues at JSC KazAutoZhol	May 4, 2014	Director of ZHRA JSC NC KazAutoZhol - Zhasybayev E.B., Lawyer of ZHRA JSC NC KazAutoZhol Resident Engineer of Kocks – Upali Hewage Social Development Specialist – Imbarova S.
Site visit at PK 344 with participation of all parties, discussion of issue resolution options	May 5, 2014	Heads of HHs – Kosembayev A., Abdrakhmanov M. Akim of the District Specialists of Land Inspection, KCC and Kocks Director of ZHRA JSC NC KazAutoZhol
Workshop meeting on issues regarding cattle pass and ramps	May 13, 2014	Social Development Specialist – Imbarova S. Akimat of Zhambyl District, Head of Land Relations Department – Toktasynov B.T. Meeting with head of Baizak district Land Relations Department
Field camps of subcontractors, Crushing and Screening Plant and Asphalt Batching Plant Monitoring of social and	May 10-12, 2014	Social Development Specialist – Imbarova S.



Name of the village. Agenda of the meeting	Date	Attendees
living conditions of field camps		
<p>Conference hall at the office of ZHRA JSC NC KazAutoZhol, Taraz city Meeting on compliance with regulations on occupational safety and sanitary and epidemiological requirements Visiting camps with commission (KazAutoZhol, KCC, CSC)</p> <ul style="list-style-type: none"> <li>Visit to site PK 344, Kosembayev A., Abdrakhmanov M., HH Kyzykdikhan, discussion of restoration of irrigation system options</li> </ul>	May 15, 2014	<p>Resident Engineer of Kocks – Upali Hewage Quantity Surveyor of Kocks – Sembayev Aslan Social Development Specialist – Imbarova S. Subcontractors – 12 organizations KCC – first Managers of the Project and Departments</p>
<p>Taraz Office of ZHRA JSC NC KazAutoZhol, Monitoring results on investigation of NGO net on transparency and stability</p>	June 4, 2014	<p>Senior Pavement Engineer – Leonardo Gascon de Leon, Quantity Surveyor of Kocks – Sembayev A. Kocks KCC CSC of SNC Lavalin Inc., and Contractor Cengiz Insaat</p>

88. The measures taken to address the major social Issues placed in the above consultation meetings (Table 5.1) are discussed in the below table (Table 5.2).

**Table-5.2. Major Social issues and Measures Undertaken**

Issues placed in the meetings	Taken Measures/ proposed methods of issue resolution	Status of issues as of the reporting period (June 2014)
Residents requests to install high size culvert at PK 352 for irrigation and construct cattle pass	<p>On April 2014 meeting with local people, specialists of water inspection and agricultural department Taken description of culvert diameter, to change the diameter required basis as necessity for irrigation. Designer prepared the design on the basis of regional department of agriculture data. If quantities changed it is necessary to submit official data for request to correct</p>	Design diameter of the culvert fully covers of required quantity for land irrigation, there are no grievances and application from the local people

<b>Issues placed in the meetings</b>	<b>Taken Measures/ proposed methods of issue resolution</b>	<b>Status of issues as of the reporting period (June 2014)</b>
	designed culvert to Design Consultants. There is cattle pass as per designed cattle pass, and given specification on its usage	
Near the Asa Interchange at PK 344, regarding compensation payment to 2 hhs for land acquisition under power line poles	Site Visit of locations, Joint Site Visit with employer, CSC, Contractor and Akimat. Preparation of letters and package of documents for permanent land acquisition	Issue on payment is not solved. Lawyer of the ZHRA JSC NC KazAutoZhol confirmed preparation and formation of all necessary documents for compensation payment. Approximately payment will be made on September 2014.
Restoration of irrigation for two HHS near Asa Interchange, PK 344	Joint Site Visits, meeting with Employer, CSC, Contractor and Akimat. 2 options on irrigation restoration were suggested to Engineer. Working schemes and estimations for irrigation system restoration at PK 348+50 is expected to Design consultants.	Not restored. Design schemes and estimations are expected from the Design consultants. Completion of works on this work issues are expected on September 2014.
3 HHs do not have possibility for irrigation of their lands due destruction of earlier irrigation system, as well as absence of ramps/exit roads for agricultural machinery and their land portions	Site Visit, written instruction for KCC, Monitoring of performance of works	System is restored, commenced on March 2014 and completely finished in June 2014 ramps/exit roads from the fields are constructed.
Group of HHs request to install culvert at several locations, as well as ramp for technique	On the basis of Inspection Act of PK 269-550 were defined sections of locations of necessary reinforced culverts. At locations of culverts were made corrections as per given recommendations..	As per Working Plan of July 2014 will be carried out excavation works, dewatering at this section, since proximate formation of ground waters and installation of concrete foundation under culvert. Completion of works is planned on August 2014
Section was divided into 2 parts - 2,7 ha and 3.2. ha of the constructed road. Top soil stripping out of RoW. Drain pipe is required on the other side of the road. Contractor installs culvert at PK 394+40	Joint Site Visit dated June 12, 2014 at PK 394+40 with representatives of JSC KazAutoZhol, KCC and Engineers of Kocks. Letter ref.No. 140630-RE-ZOD-055 dated June 30, 2014 regarding Approval of Installation of the culvert at PK 394+40	Issues were not solved. Works on installation of this culvert will be completed to second week of September 2014
Place location of intersection with irrigation channel from	Make request to Design Consultants to relocated	These works approximately will be completed on the

<b>Issues placed in the meetings</b>	<b>Taken Measures/ proposed methods of issue resolution</b>	<b>Status of issues as of the reporting period (June 2014)</b>
the designed PK 72+80 to PK 76+30	intersection. Design Consultants recommended to relocate to PK 76+30 in connection with increase of volume of works, appreciation of relocation works, as well as availability of bend and slope on the main road. Recommended to relocate to PK out of 75-77 to PK 82. Claimers discussed method among their selves. Engineers of Kocks recommended to construct ramp/exit road by displacement at PK 75+00.	second week of September 2014.
HH request to relocate irrigation culvert from PK 320+50 to PK 319+30, because their land not irrigated fully due height of slope of the section	Site Visit on May 9, 2014, inspection of the situation on the place. Instruction issued to Contractor by letter ref.No. 140511-RE-KAZ-042 dated May 11, 2014 about relocation of irrigation culvert from Pk 320+50 to PK 319+30	As per working plan will be installed culvert on July 2014
At the section of the HH will be installed dike without notification and without agreement with them, removed top soil and protected earth dike	Joint Site Visit carried out on May 15, 2014 (KazAutoZhol, KCC, Kocks, Akimat and Owner of the claim) and inspection of the section of the owner of the claim. Land is submitted in 2014 as per legislative documents, i.e. after moratorium for land dealing. Recommended to solve issue through Akimat	Akimat submitted design (earlier submitted by KazAutoZhol) and there were not designed dike. Due to this section of the land was allocated to HH on 2010 Legal department of ZHRA JSC NC KazAutoZhol filed documents for formation of dike to GosNPTZem Issue is not solved due to in coordination of Employer and Akimat and created this current situation for HH Joint Meeting is planned in August 2014
Request to construct ramp/ exit road for children recreation camp Carry out repair works of the road to mausoleum and restore drainage systems	Site Visit by Commission of Employer, CSC, Contractor, and local people	Recommended to perform all the mentioned works to Contractor within September 1, 2014

N.B. Updates on pending issues, raised in the reporting period and planned for solution in the second half of 2014, will be included in the bi-annual social monitoring report for the second half of 2014.

## Photographs from Problem Areas

**Photograph 1. Installation of dike**



**Photograph 2. Removal of top layer of the soil for protection of dike**



**Photograph 3. Line of Power Transmission**



**Photograph 4. Line of Power Transmission at Asa interchange**



## **CHAPTER – 6:**

### **CONCLUSION**

89. The present Internal Monitoring Report is prepared according to available data on land acquisition, concerning payment of compensations and displacement of the mentioned households and legal entities, complaints and inquiries of locals according to requirements of LARP approved and published in October of 2011 Committee for Roads MOTC. According to information provided by ZHRA JSC NC KazAutoZhol in June 2014, practically all land owners, whose parts of the land plots were acquired under the state needs received compensation.

90. According to KazAutoZhol information as of the reporting period, a total 261.005 hectares of an arable land have been acquired for permanent use, belonging to 126 households and 10 legal entities. From the total area of the acquired lands of 261.005 ha, 13.44 hectares were privately owned and belonged to 7 HHs. However, during construction process revealed that lands of three HHs have been used at Asa Interchange (Km 344) without compensation payment. Land was also taken for permanent use in the road form one household at km 372. Power line poles and water taps have been installed on their land portions. APs applied to Employer, to Contractor about this issue. Grievances of these two HHs have been accepted and registered for consideration of CSC. At this time compensation payment was not made.

91. Process of land acquisition was started in 2009, compensation was paid practically to all affected persons. However, in certain cases payment of compensation wasn't possible because of the submitted law suits, and some APs didn't agree with the sum of compensation. In LARP (prepared in October, 2011) was provided the sum of compensations for allocation of land of KZT 22,103,223.00 for the APs. Actually, in the course of land acquisition it was paid the sum of KZT 157,459,291.00 to 115 HHs/ families and 7 LEs for 247.565 ha as at June 2014.

92. As at June, 2014, 115 HHs and 7 legal entities received compensation for profit loss in full for the sum of KZT 83,974,280.00, i.e according to available data of about 100% of total amount of compensation was paid. However 4 HHs and 2 LE did not receive compensation payment due insignificant amount and surrender the land for free voluntarily. One LE is pending with Akimat for acquisition of land (absent). There was no fresh application claiming compensation registered during the reporting period.

93. 54 HHs and 4 LEs for development of lands the sum of KZT 704,383.00 was paid. Compensation for the Labor Maintenance as a result of loss of part of processed lands was paid to 48 HHs and 5 LEs in the sum of KZT 557,483.00. The sum of KZT 63,986.00 was paid for early repayment of the credit for 2 HHs.

94. It is necessary for the Contractor to develop the plan for correctional measures for compliance to standards and rules of the local legislation in the field of the labor legislation.

95. The contractor is recommended to consider amount of compensation for temporary land acquisition for three HHS and make additional payment as per recommended calculations in Executive Summary of the Design documentation.

96. ZHRA JSC NC "KazAutoZhol" is recommended to undertake process of compensation of land acquisition of additional four HHs where power lines and water taps were installed.

## Appendix 1

## Status of Payment Compensation

for all types of losses (Land lease, Profit loss from withheld land portions, costs for labor maintenance, assigned at withheld land sections, for early repayment of loans and subsidies and most vulnerable APs)

No.	Name of Land users	Exemption Area in Contract Ha	Estimated amount, KZT	Status of Payment	Amount of paid compensation, KZT	No. and Date of Contract	No. and Date of Notification about payment	Amount of unpaid compensation, KZT	Reason for no payment of compensation
1	Fazulov Maut	0.762	105070	paid	105070	No.31 dated 19.02.10	No.31 dated 22.02.10		
		3.09	2538610	paid	2538610	No.46 dated 03.06.11	№36 dated 08.06.11		
2	Shataev Turebek	0.52	47820	paid	47820	No.219 dated 01.11.10	№224 dated 04.11.10		
3	Akhtamberdiev S.	0.96	3462000	paid	3462000	No.73 dated 19.10.09	"68 dated 21.10.09		
4	Shakbatyrov Satzhan	0.537	109000000	paid	109 000 000	No.52 dated 30.09.09, No.152 dated 28.10.09	No.47 dated 02.10.09, No.106 dated 30.10.09		
5	Mukhiev E.	0.096	894000		0			894000	Refused compensation and decided to continue business at alternative site.

									The issue will be verified and results given in the next report.
6	Amiralieva Saltanat	0.21	186690	paid	186690	No.110 dated 23.10.09	No.95 dated 28.10.09		
7	Zhidebayev N.	0.45	72420	paid	72420	No.153 dated 27.10.09	No.107 dated 30.10.09		
8	Bektayev S.	0.14	11300	paid	11300	No.49 dated 19.02.10	No.46 dated 22.02.10		
		0.24	25750	paid	25750	No.167 dated 02.06.10	No.175 dated 24.06.10		
9	Mambetnazarova Kh.	0.59	108400	paid	108400	No.96 dated 20.10.09	No.89 dated 29.10.09		
10	Tattikulova N.	1.11	84568	paid	84568	No.303dated 23.11.09	No.288 dated 07.12.09		
		4.41	1120790	paid	1120790	No.99 dated 22.10.10	No.92 dated 28.10.10		
11	Kydyraliev Bekezhan	0.46	15638	paid	15638	No.298 dated 17.11.10	No.236 dated 02.12.10		
12	Household Toybai Turgynbai	5.85	2721134	paid	2721134	No.44 dated 24.09.09	No.38dated 25.09.09		
13	Household Shametova Telzhan	0.61	48280	paid	48280	No.30 dated 18.02.10	No.30 dated 22.02.10		
14	Household Myrzalieve Raya	4.28	1751032	paid	1751032	No.301 dated 20.11.09	No.287 dated 11.12.09		
15	Household Karatayev Erbol	1.74	2383977	paid	2383977	No.109 dated 22.10.09	No.93 dated 27.10.09		
16	Household Tusipbekova Kulsin	0.62	618758	paid	618758	No.202 dated 06.11.09	No.176 dated 07.12.09		

17	Household Karimova Dina	0.19	169101	paid	169101	No.68 dated 09.10.09	No.62 dated 19.10.09		
18	Household Bekmuratov Mukhamed	0.99	1096926	paid	1096926	No.203 dated 06.11.09	No.209 dated 16.11.09		
19	Household Isobayeva Almagul	0.79	589032	paid	589032	No.274 dated 12.11.09	No.212 dated 23.11.09		
20	Household Abdreev Tyrsynbai	1.09	1690896	paid	1690896	No.34 dated 22.09.09	No.32 dated 24.09.09		
21	KT "Mariya and Co." Ibraimova	1.2	1594285	paid	1594285	No.298 dated 20.11.09	No.286 dated 02.12.09		
22	Household Cherdyncey Igor	0.57	552988	paid	552988	No.31 dated 10.01.11	No.18 dated 28.02.11		
23	Household Akhmetov Zholdybai	0.56	996992	paid	996992	No.201 dated 04.11.09	No.191 dated 12.11.09		
24	Household Tulepbergenov Alim	0.7	639293	paid	639293	No.8 dated 17.02.10	No.13 dated 23.02.10		
25	Household Mynzhasarov Bayis	0.56	517000	paid	517000	No.91 dated 20.10.09	No.77 dated 23.10.09		
26	Household Omarova Kulzipha	2.99	809153	paid	809153	No.50 dated 29.09.09	No.46 dated 05.10.09		
27	Household Bizhasarov K.	0.27	142439	paid	142439	No.47 dated 19.02.10	No.44 dated 22.02.10		
28	"Ulgili" LLP Erkebayev	9.93	4101394	paid	4101394	No.273 dated 12.11.09	No.211 dated 17.11.09		
29	Household Kozhamzharova Gulnaz	1.14	1184745	paid	1184745	No.401 dated 08.12.09	No.340 dated 10.12.09		
30	Household Ismailov Kozhan	0.77	62658	paid	62658	No.64 dated 09.10.09	No.60 dated 16.10.09		



31	Household Ayubayev Kuatbek	0.24	13735	paid	13735	No.65 dated 09.10.09	No.61 dated 19.10.09		
32	Household Aulbayeva Ulgat	0.97	85973	paid	85973	No.225 dated 10.11.10	No.197 dated 12.11.10		
33	Household Mukhanov Zhidebay	0.42	979650	paid	979650	No.47 dated 24.09.09	No.41 dated 29.09.09		
34	Household Bekmanova Sholpan	0.06	35037	paid	35037	No.9 dated 17.02.10	No.14 dated 23.02.10		
35	Household Rahmanov Baizhan	0.91	1414128	paid	1414128	No.290 dated 19.11.09	No.257 dated 25.11.09		
36	Household Kovalcov Alexei	2.1	2971927	paid	2971927	No.69 dated 13.10.09	No.64 dated 16.10.09		
37	Household Bekpenbetov Eshentai	0.32	225795	not paid	0			225795	AP did not appear after notifications. So, land was transferred to the state reserve lands
38	Household Ukibayev Kairat	0.69	582222	paid	582222	No.204 dated 06.11.09	No.198 dated 12.11.09		
39	"Tatu" LLP Oarshaeva M.	4.32	3161775	paid	3161775	No.295 dated 19.11.09	No.285 dated 02.12.09		
40	Household Myrzaliyev Zhaksybek	1.35	775986	paid	775986	No.39 dated 23.09.09	No.53 dated 09.10.09		
		1.1	962334	paid	962334	No.11 dated 17.02.10	No.9 dated 23.02.10		
41	Household Shaukharova Turumkul	0.14	115991	paid	115991	No.305 dated 24.11.09	No.274 dated 26.11.09		
42	Household Sarsembayeva Balki	0.17	20125	paid	20125	No.94 dated 20.10.09	No.175 dated 16.11.09		
43	Household Bukebayeva Klara	0.98	25045	paid	25045	No.67 dated 12.10.09	No.207 dated 13.11.09		

44	MP KUAN LL Visa	0.52	1244	not paid	0				Materials forwarded to Akimat of Baizak district for compulsory land acquisition
45	Household Tleubayeva Roza	0.54	423515	paid	423515	No.72 dated 09.10.09	No.67 dated 20.10.09		
46	Household Kozhamkulov Erzhan	0.2	165408	paid	165408	No.387 dated 03.12.09	No.333 dated 10.12.09		
47	Household Okshin Petr	0.,38	37149	paid	37149	No.382 dated 01.12.09	No.318 dated 03.12.09		
48	Household Amerkhanov Akhmat	0.2	231167	paid	231167	No.66 dated 12.10.09	No.63 dated 16.10.09		
49	Household Alikhanov Akhmet	0.2	163667	paid	163667	No.59 dated 09.10.09	No.79 dated 27.10.09		
50	Household Popov Georgiy	0.19	146886	paid	146886	No.381 dated 01.12.09	No.317 dated 03.12.09		
51	Household Tairov Musa	0.19	329739	paid	329739	No.200 dated 02.11.09	No.173 dated 16.11.09		
52	Household Ibragimov Mushtak	0.28	264028	paid	264028	No.37 dated 22.09.09	No.33 dated 24.09.09		
53	Household Duisenbekov Myrzakhmet	0.09	62580	paid	62580	No.210 dated 09.11.09	No.177 dated 13.11.09		
54	LLP "Akzhol-7" Momynov B.	1.43	1606604	paid	1606604	No.7 dated 17.02.10	No.12 dated 23.02.10		
55	Household Matova	0.23	1299273	paid	1299273	No.56 dated	No.51 dated		

	Gulzhar					06.10.09	08.10.09		
56	Household Myrzaliev Zhaksybek	1.1	962334	paid	962334	No.11 dated 17.02.10	No.9 dated 23.02.10		
57	Household Nursilamova Bagila	0.7	181979	paid	181979	No.32 dated 21.09.09	No.52 dated 09.10.09		
58	PK "Myrzatai"	22.66	744448	not paid	0				AP did not appear after notifications. So, land was transferred to the state reserve lands
59	Household Tsoi Vyacheslav	1.56	3122323	paid	3122323	No.35 dated 22.09.09	No.31 dated 24.09.09		
60	LLP "Aulie Ata Kusy"	1.76	68872		0				AP did not appear after notifications. So, land was transferred to the state reserve lands
61	Household Zhamankulov Meirkhan	0.87	957		0				AP did not appear after notifications. So, land was transferred to the state reserve lands
62	Household Dautov Sholpan	7.25	5541693	paid	5541693	No.22 dated 15.09.09	No.21 dated 17.09.09		
63	Household Putikova Venera	0.45	635050	paid	1250235		No.498 dated 15.12.11		
64	Household Daripbekova Kulshara	0.17	32437	paid	32437	No.100 dated 12.10.09	No.91 dated 27.10.09		
65	Household Kalibekova Maidakul	0.21	172000	paid	172000	No.93 dated 20.10.09	No.80 dated 27.10.09		
66	Household Kazbekov Karymbek	0.06	7498	paid	7498	No.18.dated 10.01.11	No.19 dated 24.02.11		

67	Farming Enterprise Veteran Kim Leonid	5.91	1059591	paid	1059591	No.46 dated 24.09.09	No.71 dated 21.10.09		
68	Household Dzhabbarov Oladin	1.03	101217	paid	101217	No.71 dated 09.10.09	No.66 dated 20.10.09		
69	Household Apzhapparov Turar	0.23	157947	paid	157947	No.161 dated 30.10.09	No.172 dated 18.11.09		
70	Household Ospanov Ziyatkhan	0.23	364490	paid	364490	No.302 dated 23.11.09	No.326 dated 07.12.09		
71	Abdrakhmanov Toganay	0.67	701712	paid	701712	No.10 dated 17.02.10	No.15 dated 23.02.10		
72	Household Ukibayeva Mairash	0.44	117899	paid	117899	No.179 dated 23.08.10	No.194 dated 06.09.10		
73	Household Bainazarov Khamidulla	1.21	481045	paid	481045	No.79 dated 20.10.09	No.135 dated 06.11.09		
74	Household Shotanbayeva Abirkul	1.09	651929	paid	651929	No.26 dated 16.09.09	No.25 dated 18.09.09		
75	Household Kolzhigitova Esenkul	0.05	32933	paid	32933	No.160 dated 30.10.09	No.174 dated 16.11.09		
76	Household Rakhmetildayev Nicolai	5.33	2045865	paid	2045865	No.23 dated 15.09.09, No.24 dated 14.09.09	No.22 dated 17.09.09, No.23 dated 17.09.09		
77	Household Apsetova Elmira	0.56	47707	paid	47707	No.11 dated 05.01.11	No.9 dated 17.02.11		
78	Household Kozhakhmetova Turekyz	1.83	942908	paid	942908	No.25 dated 16.09.09	No.24 dated 17.09.09		

79	Household Ormanova S. v.Kumzhota	3.2	1315585	paid	1315585	No.98 dated 26.10.09	No.94 dated 29.10.09		
80	Household Rakhmetildayev Kumisbay	0.1	19320	paid	19320	No.28 dated 11.01.11	No.11 dated 18.02.11		
81	Household Orynbasarov Bakhytzhan	0.16	47160	paid	47160	No.229 dated 25.11.10	No.241 dated 30.11.10		
82	Household Baitarakov Azamat	0.06	24019	paid	24019	No.78 dated 20.10.09	No.75 dated 22.10.09		
83	Household Uzbekov Zhambylbai	0.66	17150	paid	17150	No.77 dated 20.10.09	No.74 dated 28.10.09		
84	Private Sultanov Bakhit	0.1500	1219000	paid	1219000	No.93 dated 22.02.10	No.80 dated 03.03.10		
85	Private Abdibakhova Kulan	0.2500	4489000	paid	4489000	No.240 dated 10.11.09	No.206 dated 07.12.09		
86	Private Kunbasov Murat	1.1500	7883000	paid	7883000	No.465 dated 02.12.09	No.324 dated 15.12.09		
87	Private Dzhainakova Sailaukul	0.15	4998000	paid	4998000	No.130 dated 08.04.10	08.04.10.		
88	Akhtamberdiev Artay	0.79	3456000	paid	3456000	No.90 dated 29.09.11	No.88 dated 08.11.11		
		0.37	1580000	paid	1580000	No.91 dated 29.09.11	No.87 dated 08.11.11		
		0.045	2 055 000	paid	2055000		No.272 dated 05.04.13		
		0.435	18 800 000	paid	18800000				

	<b>Zhambyl district</b>					paid			
89	Household Spatov Amangeldy	1.38	69604	paid	69604	No.232 dated 12.11.09	No.208 dated 07.12.09		
90	Household Kyrkybayev Ualikhan	2.2	1534500	paid	1534500	No.12 dated 19.02.10	No.10 dated 23.02.10		
91	Household Shovketov Aidyn	1.74	2125700	paid	2125700	No.33 dated 21.09.09	No.27 dated 27.09.09		
92	Household Binaliev Sabirzhan	0.22	109377	paid	109377	No.41 dated 23.09.09	No.36 dated 24.09.09		
93	Household Tulendiyev Bayanbai	2.05	1757437	paid	1757437	No.60 dated 09.10.09	No.70 dated 23.10.09		
94	Household Syzdykov Zhandilda	2	1707160	paid	1707160	No.53 dated 01.10.09	No.48 dated 07.10.09		
95	Household Kulbayev Mukhamed	0.55	253285	paid	253285	No.64 dated 02.10.09	No.49 dated 19.10.09		
96	Household Tasybekov Aitbek	4.64	5064711	paid	5064711	No.70 dated 15.10.09	No.65 dated 19.10.09		
97	Household Primzhanov Orynbeke	0.75	682554	paid	682554	No.79 dated 20.10.09	No.76 dated 23.10.09		
98	Kuanysbayev Nariman	1.8	2010502	paid	2010502	No.177 dated 09.12.09	No.342 dated 14.12.09		
99	"Kyzyl Dikhan"	5.59	2024774	paid	2024774	No.16 dated 19.02.10	No.76 dated 26.02.10		
100	Household Akmoldayev Ergali	0.68	51163	paid	51163	No.89 dated 23.02.10	No.77 dated 24.02.10		
101	Household Dautov Talgat	4.98	9361976	paid	9361976	No.27 dated 21.09.09	No.26 dated 21.09.09		

102	Household Abdrakhmanov Murat	4.99	16625390	paid	16625390	No.61 dated 09.10.09	No.58 dated 15.10.09		
103	Household Balabekov Madi	0.15	16385	paid	16385	No.210 dated 04.11.09	No.116 dated 06.11.09		
104	Household Kosembayev Altynbek	1.05	189899	paid	189899	No.36 dated 25.09.09	No.30 dated 06.10.09		
105	Household Almasbekova Semkul	0.42	293720	paid	293720	No.49 dated 25.09.09	No.44 dated 05.10.09		
106	PK"Aktobe"	2.29	1414504	paid	1414504	No.51 dated 30.09.09	No.45 dated 02.10.09		
107	Household Ospanov Balpan	0.28	5963	paid	5963	No.209 dated 04.11.09	No.165 dated 06.11.09		
108	Anarkulova Aliya	2.32	17922	paid	17922	No.1901 dated 09.12.2013	No.39481459 dated 26.12.13		
109	Household Karzhaubayev Amirbek	0.8	394240	paid	394240	No.45 dated 24.09.09	No.39 dated 06.10.09		
110	Nurbayev Zhumaseit	0.63	4376	paid	17 922	. No.1901 dated 09.12.2013	No.39499532 dated 27.12.13		
111	Household Zhamalbekov Sharipbek	0.14	68880	paid	68880	No.97 dated 22.10.09	No.90 dated 26.10.09		
112	Household Mainashev Dinali	0.53	718150	paid	718150	No.43 dated 23.09.09	No.37 dated 25.09.09		
113	PK"Yunchi"	7.96	159200	paid	159200	No.59 dated	No.56 dated		

						08.10.09	12.10.09		
114	Household Mashanlo Roza	0.14	4127	paid	4127	No.137 dated 28.10.09	No.105 dated 30.10.09		
115	Household Dzhuma Ahunov Abdulla	1.13	33292	paid	33292	No.136 dated 28.10.09	No.104 dated 30.10.09		
116	PK "Amangeldi" Begaliev	13.89	166621	paid	166621	No.195 dated 03.11.09	No.148 dated 05.11.09		
117	Household Dzhakipov Abdikadir	0.7	49705	paid	49705	No.120 dated 28.10.09	No.103 dated 30.10.09		
118	Household Danayev Aldabergen	2.16	70652	paid	70652	No.62 dated 09.10.09	No.59 dated 13.10.09		
119	Household Kaliyev Almaz	0.02	55	not paid	-				AP did not appear after notifications. So, land was transferred to the state reserve lands
120	Household Baymenov Bolsyn	0.18	20175	paid	20175	No.104 dated 10.03.10	No.112 dated 15.03.10		
121	Household Kozhageldiev Bazarbek	0.79	10079	paid	10079	No.47 dated 07.06.11	No.48 dated 01.07.11		
122	Household Dangilov Serik	23.07	836133	paid	836133	No.46 dated 24.09.09	No.43 dated 28.09.09		
123	Household Kim Sergey	1.04	652012	paid	652012	No.74 dated 19.10.09	No.69 dated 27.10.09		
124	Household Sherbinin Nicolay	0.84	31268	paid	31268	No.175 dated 29.10.09	No.137 dated 06.11.09		
125	Household Dauletkulov Meirambek	5.54	395385	paid	395385	No.421 dated 20.11.09	No.310 dated 02.12.09		



126	Household Khapayev Kamal	2.19	58220	paid	58220	No.321 dated 19.11.09	No.251 dated 30.11.09		
127	Household Kenenbayeva Lubov	1.68	71480	paid	71480	No.14 dated 18.02.10	No.7 dated 23.02.10		
128	Household Duisembiev	8.72	362750	paid	362750	No.328 dated 23.11.09	No.281 dated 09.12.09		
129	Household Azimkulov Aitbek	9.09	304550	paid	304550	No.322 dated 19.11.09	No.252 dated 23.11.09		
130	Household Momynova Raikhan	2.03	88240	paid	88240	No.165 dated 31.05.10	No.182 dated 25.06.10		
131	Household Kenesariyev Seisen	0.28	324000	paid	324000	No.21 dated 14.09.09	No.20 dated 17.09.09		
		0.54	8430	paid	8430	No.324 dated 20.11.09	No.254 dated 24.11.09		
132	Household Amirova Leskul	0.09	5130	paid	5130	No.329 dated 23.11.09	No.282 dated 11.12.09		
133	Household Tilegenova Rabiga	0.28	14980	paid	14980	No.15 dated 19.02.10	No.17 dated 26.02.10		
134	Household Ageev Magamed	0.15	8420	paid	8420	No.450 dated 30.11.09	No.309 dated 02.12.09		
135	Household Mamytov Aigun	2.67	262400	paid	262400	No.420 dated 26.11.09	No.289 dated 02.12.09		
136	Household Kerimkulov Satyn	1.78	79200	paid	79200	No.19 dated 19.02.10	No.16 dated 23.02.10		
137	Household Zharkhymbekov Sharipbek	3.43	137100	paid	137100	No.327 dated 16.11.09	No.280 dated 15.12.09		
	<b>Total</b>	<b>261.005</b>			<b>268 853 404.00</b>				

Highlighted lines are privately owned lands

## Appendix 2

## Compensation for Potential profit loss from the Acquired Lands

No.	Name of APs	Cadastral No.	Acquired area (in ha)	Compensation amount (KZT)	Date of Payment	Compensation amount	In case of nonpayment, indicate the reason
1	H-d Amangeldy Tattikulov Nurdaulet	071-128	0.3	54 450,00	23-11-2009	54 450.00	
			0.81	23 846,00	23-11-2009		
2	H-d Kadyraliyev Bekezhan	071-348	0.46	15 638,00	17-11-2010	15 638.00	
3	H-d Toybay Turgynbay	095-121	1.27	2 257 064,00	24-09-2009	2 257 064.00	
		095-690	2.65	464 070,00	24-09-2009	464 070.00	
		080-065	1.93		24-09-2009		
4	H-d Shametova Telzhan	095-066	0.61	29 280,00	18-02-2010	29 280.00	
5	H-d Zhanarkul, relegalized to Myrzaliyeva Raya	095-334	2.97	118 299,00	20-11-2009	118 299.00	
		095-333	1.31	1 562 112,00		1 562 112.00	
6	H-d Karatayev Yerbol	095-618	1.74	1 189 812,00	22-10-2009	1 189 812.00	
7	H-d Baydesheva A., relegalized to Tusipbekova K.	095-089	0.62	609 853,00	06-11-2009	609 853.00	
8	H-d Karimova Dina	095-117	0.19	134 070,00	09-10-2009	134 070.00	
9	H-d Bekmuratov M.	095-092	0.99	537 210,00	06-11-2009	537 210.00	
10	H-d Izobayeva Almagul, H-d	095-113	0.79	557 432,00	27-09-2011	557 432.00	

	Olzhabayev K.						
11	H-d Abdreev Tursynbay	095-401	1.09	844 150,00	22-09-2009	844 150.00	
12	KT "Mariya and K" (Imbraimova M.)	095-170	1.2	1 573 811,00	20-11-2009	1 573 811.00	
13	H-d Cherdynceev Igor	095-440	0.57	525 229,00	10-01-2011	525 229.00	
14	H-d Akhmetov Zholdybay	095-005	0.56	978 032,00	04-11-2009	978 032.00	
15	H-d Tulepbergenov Alimbek	095-366	0.7	632 365,00	23-02-2010	632 365.00	
16	H-d Mynzhasarov Bays	093-004	0.56	476 000,00	20-10-2009	476 000.00	
17	H-d Omarova Kulzifa	095-721	0.19	9 553,00	20-10-2009	9 553.00	
		095-722	2.55	796 875,00		796 875.00	
			0.25	625,00		625.00	
18	H-d Bizhasarova K,Sh,		0.27	134 144,00	19-02-2010	134 144.00	
19	LLP Ulgili-2005	079-137	1.61	1 737 190,00	17-11-2009	1 737 190.00	
		079-139	0.31	466 116,00		466 116.00	
		079-140	0.92	1 650 480,00		1 650 480.00	
		080-057	6.38	214 792,00		214 792.00	
		080-058	0.78	2 136,00		2 136.00	
20	H-d Kozhamzharova Gulnaz	079-093		44 745,00	8-12-2009	44 745.00	
21	H-d Ismailova Satkul	079-092	0.77	20 410,00	09-10-2009	20 410.00	
22	H-d Ayubayev Kuatbek	079-086	0.24	8 865,00	09-10-2009	8 865.00	
23	H-d Abdullayeva Ulgat	092-148	0.29	7 825,00	10-11-2010	7 825.00	

		095-724	0.68	813,00		813.00	
24	H-d Muhanov Zhidebay	092-070	0.42	939 330,00	24-09-2009	939 330.00	
25	H-d Bekmanova Sholpan	092-068	0.06	31 607,00	17-02-2010	31 60.007	
26	H-d Rahmanov Bayzhan	092-082	0.91	1 404 342,00	19-11-2009	1 404 342.00	
27	H-d Kovalcov Aleksey	092-075	2.1	1 481 781,00	13-10-2009	1 481 781.00	
28	H-d Ukibayev Kayrat	079-063	0.58	573 723,00	06-11-2009	573 723.00	
		079-063	0.11	4 388,00		4 388.00	
29	LLP Tatu	078-130	1.56	3 106 103,00	19-11-2009	3 106 103.00	
		078-128	1.63				
			0.21	17 179,00		17 179.00	
		078-131	0.32				
		078-086	0.6	4 590,00		4 590.00	
30	H-d Myrzaliyev Zhaksybek	081-006	1.35	775 476,00		775 476.00	
			1.1	957 593,00	23-11-2009	957 593.00	
31	H-d Shauharova Turumkul	079-057	0.14	110 045,00	24-11-2009	110 045.00	
32	H-d Sarsenbayeva Balkiya	079-056	0.17	4 758,00	20-10-2009	4 758.00	
33	H-d Bukebayev Altynbek, relegalized to Bukebayeva K.	079-121	0.98	22 238,00	12-10-2009	22 238.00	
34	MP Kuan LLP "Viza"	№1-№112	0.52	622,00	Not paid		
35	H-d Fazulov Muat	049-160		66 610,00	03-06-2011	66 610.00	
36	H-d Tleubayeva Roza	049-355	0.54	409 763,00	09-10-2009	409 763.00	
37	H-d Kozhamkulov Yerzhan	049-155	0.2	159 408,00	03-12-2009	159 408.00	
38	H-d Okshin Petr	049-154	0.38	24 449,00	01-12-2009	24 449.00	

39	H-d Amehanov Ahmat	049-172	0.2	224 667,00	12-10-2009	224 667.00	
40	H-d Alihanov Mambet	049-171	0.2	126 333,00	09-10-2009	126 333.00	
41	H-d Popov Georgiy	049-169	0.19	145 508,00	09-11-2009	145 508.00	
42	H-d Tairov Musa	049-361	0.19	266 466,00	02-11-2009	266 466.00	
43	H-d Ibragimov Mushtak	049-233	0.28	261 366,00	22-09-2009	261 366.00	
44	H-d Duysenbekov Myrzahmet	049-253	0.09	58 785,00	09-11-2009	58 785.00	
45	LLP Akzhol-7 (Momynov B.)	049-262	1.43	1 599 670,00	17-02-2010	1 599 670.00	
46	H-d Matova Gulzhar	049-125		217 707,00	06-10-2009	217 707.00	
47	PK Myrzatay, Aytbayev Aydar		22.66	363 854,00	Not paid		Not found
48	H-d Tsoi Vyacheslav	075-040	1.56	3 114 800,00	22-09-2009	3 114 800.00	
49	H-d Zhamankulov M.	073-011	0.8	957,00	Not paid		Small amount
50	H-d Dautova Sholpan	027-208	4.08	3 096 125,00	15-09-2009	3 096 125.00	
		026-068	3.17	2 405 568,00		2 405 568.00	
51	H-d Putikova Venera	027-095	0.45	317 525,00	15-12-2011	317 525.00	
52	H-d Daripbekova K.	027-104	0.17	19 437.00	12-10-2009	19 437.00	
53	H-d Kalipbekov O.	027-105	0.21	168 000,00	20-10-2009	168 000.00	
54	H-d Kazbekov K.	027-106	0.06	7 498,00	10-01-2011	7 498.00	
55	FEVeteran (Kim Leonid)	027-038	2.36	927 365,00	24-09-2009	927 365.00	
		026-035	3.55	107 226,00		107 226.00	
56	H-d Dzhabbarov O.	027-197	1.03	98 550,00	09-10-2009	98 550.00	
57	H-d Abzhapparov Turar	027-218	0.23	153 947,00	30-10-2009	153 947.00	
58	H-d Ospanov Ziyatkan	027-211	0.23	358 868,00	23-11-2009	358 868.00	
59	H-d Abdrahmanov Toganay	027-119	0.67	693 173,00	17-02-2010	693 173.00	

60	H-d Nursilamova Bagila	027-048	0.7	111 979,00	09-10-2009	111 979.00	
61	H-d Ukibayev M.	027-600	0.44	117 899,00	23-08-2010	117 899.00	
62	H-d Baynazarova H.	027-172	1.21	327 045,00	20-10-2009	327 045.00	
63	H-d Shotanbayeva A.	027-193	0.39	341 923,00	16-09-2009	341 923.00	
		026-092	0.7	150 003,00		150 003.00	
64	H-d Kolzhigitova	027-200	0.05	22 933,00	30-10-2009	22 933.00	
65	H-d Rahmetildayev Nikolay	026-059	2.16	951 552,00	15-09-2009	951 552.00	
		026-060	3.17	1 074 313,00		1 074 313.00	
66	H-d Otetayev Ye. (Apsetova Elmira)	026-098	0.56	3 040,00	05-01-2011	33 040.00	
67	H-d Kozhahmetova T.	026-078	1.83	942 908,00	16-09-2009	942 908.00	
68	H-d Kumzhot Ruk, Ormanov S.		3.2	1 298 198,00	26-10-2009	1 298 198.00	
69	H-d Rahmetildayev K.	026-096	0.1	9 320,00	11-01-2011	9 320.00	
70	H-d Orunabasarov B.	026-084	0.16	12 160,00	15-11-2010	12 160.00	
71	H-d Baytarakov A.	026-102	0.06	3 634,00	25-11-2009	3 634.00	
72	H-d Uzbekov Dzh.	026-090	0.66	17 150,00	20-10-2009	17 150.00	
73	H-d Shpatov Amangeldy	119-020	1.38	67 151,00	12-11-2010	67 151.00	
74	H-d Kyrkybayev U.	032-026	2.2	1 534 500,00	19-02-2010	1 534 500.00	
75	H-d Shovketov A.	032-070	1.74	2 125 700,00	21-09-2009	2 125 700.00	
76	H-d Binaliyev S.	032-098	0.22	109 377,00	23-09-2009	109 377.00	
77	H-d Tilendiyev B.	№ 515	2.05	1 749 839,00	09-10-2009	1 749 839.00	
78	H-d Syzdykov Zh.	032-113	2	1 707 160,00	20-10-2009	1 707 160.00	
79	H-d Dautov Talgat	032-061	4.98	882 456,00	18-09-2009	882 456.00	

		025-173	5.65	4 239 760,00		4 239 760.00	
80	H-d Kulbayev M.	032-050	0.55	253 285,00	02-10-2009	253 285.00	
81	H-d Tasybekov Aytbek	032-034	4.64	5 064 711,00	15-10-2009	5 064 711.00	
82	H-d Primzhanov Orynbek	025-033	0.75	680 500,00	20-10-2009	680 500.00	
83	H-d Kuanyshbayev N.	025-029	1.8	2 010 502,00	09-12-2009	2 010 502.00	
84	PK "Kyzyl Dihan"	025-053	4.65	183 278,00	19-02-2010	183 278.00	
		025-189	0.94	1 604 730,00		1 604 730.00	
85	H-d Akmoldayev Ye.	025-178	0.68	51 163,00	23-02-2010	51 163.00	
86	H-d Abdrahmanov M.	025-264		9 389 890,00	09-10-2009	9 389 890.00	
87	H-d Balabekov Madi	025-266	0.15	4 385,00	04-11-2009	4 385.00	
88	H-d Kosembayev A.	025-176	1.05	189 899,00	25-09-2009	189 899.00	
89	H-d Almasbekova S.	025-087	0.42	293 720,00	25-09-2009	293 720.00	
90	PK "Aktobe"	025-218	1.75	1 400 000,00	30-09-2009	1 400 000.00	
		025-216					
		025-223	0.45	14 504,00		14 504.00	
		025-223	0.09				
91	H-d Ospanov B.	018-411	0.28	5 729,00	04-11-2009	5 729.00	
92	H-d Anarkulova Aliya	018-390		124 977,00	26-12-13	17 922.0	Paid by decision of the court
93	H-d Karzhaubayev Amirbek	018-069	0.8	197 120,00	27-12-13	394 240	197120
94	H-d Nurbayev Dzhumaseit	018-052	0.63	13 860,00	26-12-13	17 922.00	Paid by decision of the court
95	H-d Zhamalbekov Sharipbek	018-041	0.14	68 880,00	26-10-09	68 880.00	

96	H-d Maynashev Dinali	018-030	0.53	718 150,00	23-09-2009	718 150.00	
97	PK "Yunchi" (Ashirov T,)		7.96	159 200,00	08-10-2009	159 200.00	
98	H-d Mashanlo Roza	100-119	0.14	4 127,00	08-10-2009	4 127.00	
99	H-d Dzhuma-Ahunov Abdulla	100-115	1.13	33 292,00	28-10-2009	33 292.00	
100	PK "Amangeldy"		13.89	158 737,00	03-11-2009	158 737.00	
101	H-d Dzhakirov Abdikadir	058-300	0.7	1 705,0	28-10-2009	1 705.00	
102	H-d Danayev Aldabergen	058-297	2.16	22 826,00	09-10-2009	22 826.00	
103	H-d Kaliyev Almaz	058-271	0.02	49,00	Not paid	0	Small amount and refused from compensation
104	H-d Baymenov Bolsyn	058-155	0.18	2 175,00	10-03-2010	2 175.00	
105	H-d Kozhageldiyev	058-307	0.37	3 201,00	07-06-2011	3 201.00	
106	H-d Dangilov Serik	067-252	7.34	422 784,00	24-05-2009	422 784.00	
		067-249	3.91	93 840,00		93 840.00	
		067-251	2.18	66 117,00		66 117.00	
		067-479	9.64	253 392,00		253 392.00	
		067-477					
107	H-d Kim Sergei	1067-398		28 012,00	19-10-2009	28 012.00	
108	H-d Sherbinin Nikolay	108-047	0.84	28 349,00	29-10-2009	28 349.00	
	<b>Total</b>		<b>200,05</b>	<b>83 881 781,00</b>			



## Appendix 3

## Labor Maintenance Allowance for loss of Agricultural Land

No.	Name of APs	Cadastral No.	Acquired area (in ha)	No. of Laborer	Compensation Amount	Compensation payment date as at June 2013	Paid compensation amount	Reasons of non payment
1	H-d Amangeldy Tattikulov Nurdaulet	071-128	0.3	10	4 649,00	23-11-2009	4649	
			0.81					
2	H-d Shametova Telzhan	095-066	0.61	1	15 000,00	18-02-2010	15000	
3	H-d Zhanarkur, relegalized	095-334	2.97	1	1040	20-11-2009	1040	
4	H-d Karatayev Yerbol	095-333	1.31	2	4585		4585	
		095-618	1.74	1	2635	22-10-2009	2635	
5	H-d Baydesheva A., relegalized to Tusipbekova K.	095-089	0.62	2	4905	06-11-2009	4905	
6	H-d Karimova Dina	095-117	0.19	1	28333	09-10-2009	28333	
7	H-d Bekmuratov M.	095-092	0.99	3	28050	06-10-2009	28050	
8	H-d Isobayeva Almagul, H-d Olzhabayev K.	095-113	0.79	2	19750	12-11-2009	19750	
9	H-d Abdreev Tursynbai KT "Mariya and K"	095-170	1.2	4	4000	20-11-2009	4000	
10	H-d Cherdynceev Igor	095-440	0.57	3	2109	10-01-2011	2109	
11	H-d Akhmetov Zholdybay	095-005	0.56	10	24889	04-11-2009	24889	
12	H-d Tulepbergenov Alimbek	095-366	0.7	3	4711	23-02-2010	4711	

13	H-d Mynzhasarov Bays	093-004	0.56	1	35000	20-10-2009	35000	
14	H-d Bizhasarov K.		0.27	3	4977	19-02-2010	4977	
15	LLP Ulgili-2005	079-137	1.61	35	9999	17-11-2009	9999	
		079-139	0.31	2	3298		3298	
		079-140	0.92	11	14115		14115	
		080-057	6.38	11	3223		3223	
		080-058	0.78	1	45		45	
16	H-d Bekmanova Sholpan	092-068	0.06	1	980	17-02-2010	980	
17	H-d Rahmanov Bauirzhan	092-082	0.91	2	5625	19-11-2009	5625	
18	H-d Kovalcov Aleksey	092-075	2.1	1	3365	13-10-2009	3365	
19	H-d Ukibayev Kayrat	079-063	0.58	1	2207	06-11-2009	2207	
		079-063	0.11	1	1300		1300	
20	LLP Tatu	078-130	1.56	14	33903	19-11-2009	33903	
		078-128	1.63	0	0		0	
			0.21	0	0		0	
		078-131	0.32	0	0		0	
		078-086	0.6	0	0		0	
21	H-d Myrzaliyev Zhaksybek	081-006	1.35	1	232	23-09-2009	232	
22		079-057	0.14	2	2703	24-11-2009	2703	
23	H-d Bukebayev Altynbek, relegalized to Bukebayeva Klara	079-121	0.98	1	1307	12-10-2009	1307	
24	H-d Tleubayeva Roza	049-355	0.54	10	13752	09-10-2009	13752	
25	H-d Kozhamkulov Yerzhan	049-155	0.2	1	2000	03-12-2009	2000	
26	H-d Okshin Petr	049-154	0.38	1	12700	01-12-2009	12700	
27	H-d Amehanov Ahmat	049-172	0.2	1	2500	12-10-2009	2500	

28	H-d Alihanov Mambet	049-171	0.2	1	33334	09-10-2009	33334	
29	H-d Popov Georgiy	049-169	0.19	1	1188	01-12-2009	1188	
30	H-d Tairov Musa	049-361	0.19	1	2375	02-11-2009	2375	
31	H-d Ibragimov Mushtak	049-233	0.28	1	2377	22-09-2009	2377	
32	H-d Duysenbekov Myrzahmet	049-253	0.09	1	1905	09-11-2009	1905	
33	LLP Akzhol-7 (Momynov B.)	049-262	1.43	5	5074	17-02-2010	5074	
34	PK Myrzatay, Aytbayev Aydar		22.66	3	4758		Not paid	Not interested to get compensation
35	H-d Tsoi Vyacheslav	075-040	1.56	3	6638	22-09-2009	6638	
36	H-d Daripbekova	027-104	0.17	1	9000	12-10-2009	9000	
37	H-d Ospanov Ziyatkan	027-211	0.23	2	2172	23-11-2009	2172	
38	H-d Abdrahmanov K.	027-119	0.67	4	7007	12-02-2010	7007	
39	H-d Nursilamova B.	027-048	0.7	1	30000	09-10-2009	30000	
40	H-d Otetayev Ye.(Apsetova Elmira)	026-098	0.56	1	11000	05-01-2011	11000	
41	H-d Kumzhot Ruk, Ormanov S,(Abay)		3.2	8	17067	26-10-2009	17067	
42	H-d Orunabasarov B.	026-084	0.16	1	15000	15-11-2010	15000	
43	H-d Baytarakov Azamat	026-102	0.06	1	385	25-11-2009	385	
44	H-d Shpatov Amangeldy	119-020	1.38	1	2453	12-11-2009	2453	
45	H-d Tulendiyev Bayanbay	№ 515	2.05	1	7205	09-10-2009	7205	
46	H-d Primzhanov Orynбек	025-033	0.75	1	2054	20-10-2009	2054	

47	PK "Kyzyl Dihan"	025-053	4.65	48	41220	09-02-2010	41220	
48	H-d Balabekov Madi	025-266	0.15	1	12000	04-11-2009	12000	
49	H-d Ospanov Balpan	018-411	0.28	1	234	04-11-2009	234	
50	Amangeldi		13.89	28	2151	03-11-2009	2151	
51	H-d Danayev Aldabergen	058-297	2.16	0	25000	09-10-2009	25000	
52	H-d Kaliyev Almaz	058-271	0.02	2	6		Not paid	Not interested due little amount
53	H-d Baymenov Bolsyn	058-155	0.18	1	18000	10-05-2010	18000	
54	H-d Kozhageldiyev Bazarbek	058-307	0.37	1	1838	07-06-2011	1838	
55	H-d Sherbinin Nikolay	108-047	0.84	12	2919	29-10-2009	2919	
	<b>Total</b>			<b>283</b>	<b>563 566,00</b>		<b>557 483,00</b>	

## Appendix 4

## Compensation for Land Development

No.	Name of APs	Cadastral No.	Acquired area (in ha)	Compensation amount	Date of Payment	Paid compensation amount	Reasons of non payment
1	H-d Amangeldy Tattikulov Nurdaulet	071-128	0.3	1623	23-11-2009	1623	
2		095-066	0.61	4000	18-02-210	4000	
3	H-d Myrzaliyeva Zhanarkul.	095-334	2.97	297	20-11-2009	297	
		095-333	1.31	1310		1310	
4	H-d Karatayev Yerbol	095-618	1.74	1718	22-10-2009	1718	
5	H-d Baydesheva A., Tusipbekova K.	095-089	0.62	4000	06-11-2009	4000	
6	H-d Karimova Dina	095-117	0.19	6698	06-11-2009	6698	
7	H-d Isobayeva Almagul, H-d Olzhabayev Koyshibay	095-113	0.79	11850	12-11-2009	11850	
8	H-d Abdreev Tursynbay	095-401	1.09	1298	22-09-2009	1298	
9	KT "Mariya and K" (Imbraimova M.)	095-170	1.2	16474	20-11-2009	16474	
10	H-d Cherdyncey Igor	095-440	0.57	25650	10-01-2011	25650	
11	H-d Akhmetov Zholdybay	095-005	0.56	498	04-11-2009	498	
		095-004	0.06	1200		1200	
12	H-d Tulepbergenov Alimbek	095-366	0.7	2217	23-02-2010	2217	
13	H-d Mynzhasarov Bays	093-004	0.56	6000	20-10-2009	6000	
14	H-d Omarova Kulzifa	095-721	0.19	0	28-04-2010	0	
		095-722	2.55	2100		2100	
			0.25				
15	H-d Bizhasarova K.Sh.		0.27	3318	15-02-2010	3318	
16	H-d Ismailova Satkul.	079-092	0.77	42248	09-10-2009	42248	
17	H-d Ayubayev Kuatbek	079-086	0.24	4870	09-10-2009	4870	


18	H-d Aulbayeva Ulgat	092-148	0.29	9000	10-11-2010	9000	
		095-724	0.68	33761		33761	
19	H-d Muhanov Zhidebay	092-070	0.42	40320	24-09-2009	40320	
20	H-d Bekmanova Sholpan	092-068	0.06	2450	17-02-2010	2450	
21	H-d Rahmanov Bayzhan	092-082	0.91	4161	19-11-2009	4161	
22	H-d Kovalcov Aleksey	092-075	2.1	5000	13-10-2009	5000	
23	H-d Ukibayev Kayrat	079-063	0.58	494	06-11-2009	494	
		079-063	0.11	110		110	
24	H-d Myrzaliyev Zhaksybek	081-006	1.35	278	23-09-2009	278	
25	H-d Shauharova Turumkul	079-057	0.14	3243	24-11-2009	3243	
26	H-d Sarsenbayeva Balkiya	079-056	0.17	15367	20-10-2009	15367	
27	H-d Bukebayev Altynbek, relegalized to Bukebayeva Klara	079-121	0.98	1500	12-10-2009	1500	
28	H-d Kozhamkulov Yerzhan	049-155	0.2	4000	03-12-2009	4000	
29	H-d Amerhanov Ahmat	049-172	0.2	4000	12-10-2009	4000	
30	H-d Alihanov Mambet	049-171	0.2	4000	09-10-2009	4000	
31	H-d Popov Georgiy	049-169	0.19	190	01-12-2009	190	
32	H-d Tairov Musa	049-361	0.19	190	02-11-2009	190	
33	H-d Ibragimov Mushtak	049-233	0.28	285	22-09-2009	285	
34	H-d Duysenbekov Myrzahmet	049-253	0.09	1890	09-11-2009	1890	
35	LLP Akzhol-7	049-262	1.43	1860	17-02-2010	1860	
36	PK Myrzatay		22.66	11982			Not interested
37	H-d Tsoi Vyacheslav	075-040	1.56	885	22-09-2009	885	
38	H-d Dautova Sholpan	027-208	4.08	20000	15-09-2009	20000	
		026-068	3.17	20000		20000	
39	H-d Daripbekova Kulshariya	027-104	0.17	4000	12-10-2009	4000	
40	H-d Kalipbekov Orynbek	027-105	0.21	4000	20-10-2009	4000	

41	FE Veteran	027-038	2.36	15000	24-09-2009	15000	
		026-035	3.55	10000		10000	
42	H-d Dzhabbarov Oladin	027-197	1.03	2667	09-10-2009	2667	
43	H-d Abzhapparov Turar	027-218	0.23	4000	30-10-2009	4000	
44	H-d Ospanov Ziyatkan	027-211	0.23	3450	23-11-2009	3450	
45	H-d Abdrahmanov Toganay	027-119	0.67	1532	17-02-2010	1532	
46	H-d Nursilamova Bagila	027-048	0.7	40000	09-10-2009	40000	
47	H-d Baynazarova Hamidulla	027-172	1.21	154000	02-10-2009	154000	
48	H-d Shotanbayeva Abirkul	027-193	0.39	0	16-09-2009	0	
		026-092	0.7	5000		5000	
49	H-d Kolzhigitova Yesenkul	027-200	0.05	10000	30-10-2009	10000	
50	H-d Rahmetildayev Nikolay	026-059	2.16	10000	15-09-2009	10000	
		026-060	3.17	10000		10000	
51	H-d Otetayev Yerlan(Apsetova Elmira)	026-098	0.56	3667	05-01-2011	3667	
52	H-d Kuzhot Ruk, Ormanov S,(Abay)		3.2	320	26-10-2009	320	
53	H-d Rahmetildayev Kumisbay	026-096	0.1	10000	11-01-2011	10000	
54	H-d Orunabasarov Bahytzhan	026-084	0.16	20000	15-11-2010	20000	
55	H-d Baytarakov Azamat	026-102	0.06	20000	25-11-2009	20000	
56	H-d Tulendiyev Bayanbay	№ 515	2.05	393	09-10-2009	393	
57	PK "Kyzyl Dihan"	025-053	4.65	12268	19-02-2010	12268	
58	PK "Amangeldy"		13.89	5733	03-11-2009	5733	
59	H-d Dzhakipov Abdikadir	058-300	0.7	48000	28-10-2009	48000	
	<b>Total</b>		<b>200.05</b>	<b>719 074,00</b>		<b>704 383,00</b>	

## Appendix 5

### Monitoring of Social and Living Conditions and Rest of Laborers of Contractor and Subcontractor

May 11, 2014

Object Name of Subcontractor	Findings	Recommendations for their elimination
Crushing and Screening Plant, Borrow pit 4P, crusher	<p>Works are suspended but reasons were not identified. Obtained information from the laborers are:</p> <ul style="list-style-type: none"> <li>• Special clothes not provided to workers;</li> <li>• Hot meals not provided;</li> <li>• Labor Agreement not concluded with workers;</li> <li>• Issuance of dairy products not arranged.</li> </ul>	Warning letters were forwarded on July, September 2013. No changes on elimination of violations.
Asphalt Batching Plant	<p>Works are suspended, only common labors are on the site. Kamaz loaded with materials are on the site, no drivers. At 15.00 brought new people – 5 persons. Not possible to identify the reason of that. Obtained information from laborers are:</p> <ul style="list-style-type: none"> <li>• Labor Agreements not concluded with labors. Overtime work for 12 hours shifting not registered correctly.</li> <li>• Hot meal not provided. Only part of the workers receives 20000 KZT additional payment for nutrition;</li> <li>• Special clothes not provided to workers;</li> <li>• Dairy products issuance not arranged;</li> <li>• Anti-sanitary at accommodations, but for foreign personnel provided all conditions and rest rooms.</li> </ul> <p><b>Photograph 1. Accommodation for 2 persons (local labors). No mattresses, bed sheets.</b></p>  <p><b>Photograph 2. Corridor, warehouse and drying of working clothes</b></p>	Warning letters were forwarded on July, October, November 2013. No changes for elimination of violations.





**Photograph 3. Bathroom pf the local personnel**



**Photograph 4. Wash basins and Lavatory**



**Conditions for the International personnel**

**Photograph 5. Kitchen for cook of the international personnel**





**Photograph 6. Canteen for International Personnel**



## Appendix 6

May 12, 2014

Name of Organization and Location	Findings	Recommendations for elimination of violations
LLP "ATS"	<ul style="list-style-type: none"> <li>• Labor Agreement was not concluded with all the workers;</li> <li>• PPE and Special clothes were not provided;</li> <li>• Instructions are not conducted, no registry</li> </ul>	
LLP "Almaty Instroy" PK 344	<ul style="list-style-type: none"> <li>• Medical checkup of workers not maintained before working due absence of medical assistant;</li> <li>• Head of the construction site carries out instructions, registry about instructions is available.</li> <li>• Special clothes provided;</li> <li>• Conditions for living and feeding were provided, there is bath house, and canteen with three time feeding provided for all workers.</li> <li>• No delay with salary payment.</li> </ul>	
LLP "Mostspecstroy"	<ul style="list-style-type: none"> <li>• No special clothes;</li> <li>• Instruction is not carried out, no registry;</li> <li>• Workers are transported by bus for lunch and after the shifting;</li> <li>• Medical checkup not carried out due absence of medical assistant</li> </ul> <p><b>Photograph 7. Workers (at the upper places of working site and near the crane) without helmets, vests, and safety belts</b></p> 	
LLP "KazStroyInvest" PK 84	<ul style="list-style-type: none"> <li>• No labor agreements with workers;</li> <li>• Labors work without special clothes;</li> <li>• No conditions to take a meal and to have a rest;</li> </ul>	

	<b>Photograph 8. Workers without special clothes</b> 	
<p>Songdo Construction 115, Baizak Batyr str., Sarykemer</p>	<ul style="list-style-type: none"> <li>Assigned personnel are local and due to this Living conditions were prepared only for international and non-resident personnel;</li> <li>Meal not provided to workers, and meal is compensated to workers as per agreement in amount of 350 kzt per lunch;</li> <li>Medical Checkup not carried out due absence of medical assistance and specialist on occupational safety;</li> <li>Orientation and further trainings not carried out.</li> </ul>	
<p>LLP AZMK Service Joint Visit with KazAutoZhol and KCC</p>	<ul style="list-style-type: none"> <li>Do not follow the occupational safety measures during processing with bitumen. Do not use gloves, they pouring the hot bitumen violating safety instructions;</li> <li>Anti-sanitary near the camps and mess at the accommodations.</li> <li>There is medical station with all required and adequate meanings for first aid and to carry out medical checkup of workers;</li> <li>Fire safety guards not equipped with required equipment.</li> </ul>	
<p>LLP "Taraz stroy -2004" Joint Visit with KazAutoZhol and KCC</p>	<ul style="list-style-type: none"> <li>There are 8 persons at the site</li> <li>Registry on Occupational Safety Trainings is available</li> <li>Medical checkup is carried out</li> <li>All Labor Agreements are available.</li> </ul>	<p>Handing over of the site is May 31</p>
	<b>Site Visit of Commission on May 15</b>	
<p>Crushing and screening plant, 4P Crusher Asphalt</p>	<p>All the same violations revealed on May 11 are mentioned in this report.</p> <ul style="list-style-type: none"> <li>Special clothes, light reflecting vests and shoes were provided.</li> </ul> <p>To Managers of the Ashphalt Batching Plant and Crushing and Screening plants given list of documents</p>	

Batching Plant	for submission to Commission Members which shall be learned and submitted by act to Director of JSC KazAutoZhol.	
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## Appendix 7

### Monitoring of Social and Living Conditions and Rest of Workers of the Contractor and Subcontractor

May 28, 2014

Object Name of Subcontractor	Earlier revealed violations during Monitoring dated May 5, 2014	Actual situation on violations as at May 28, 2014
Crushing and screening plant, 4P Crusher	<p>Works are suspended, but could not identify its reason. Obtained information from the workers are:</p> <ul style="list-style-type: none"> <li>• Special cloth not provided;</li> <li>• Hot meal is not provided;</li> <li>• Labor Agreement not concluded with workers;</li> <li>• Issuance of dairy products not arranged</li> </ul>	<ul style="list-style-type: none"> <li>• Special clothes not provided. Drivers and workers have been worked without special clothes;</li> <li>• Hot meal is provided, and workers sign on the bill, but nobody knows will they withhold it from salary. Nobodu could give explanations at the site;</li> <li>• Labor agreements are concluded with all who were at the site, but workers do not have agreement with themselves;</li> <li>• Milk is provided from the May 15. As per norm shall be provided 500 ml per day, but actually provide only 250 ml per day.</li> </ul>
Asphalt Batching Plant	<p>Works are suspended, only common labors are on the site. Kamaz loaded with materials are on the site, no drivers. At 15.00 brought new people – 5 persons. Not possible to identify the reason of that. Obtained information from laborers are:</p> <ul style="list-style-type: none"> <li>• Labor Agreements not concluded with labors. Overtime work for 12 hours shifting not registered correctly.</li> <li>• Hot meal not provided. Only part of the workers receives 20000 KZT additional payment for nutrition;</li> <li>• Special clothes not provided to workers;</li> <li>• Dairy products issuance not arranged;</li> </ul>	<ul style="list-style-type: none"> <li>• Labor agreements are concluded with all who works at the site, but they do not have with themselves;</li> <li>• Meal and dispenser with water are provided,;</li> <li>• Not all the complect of the special clothes provided: shoes, light reflecting vests, respirators;</li> <li>• Milk is provided as per norm;</li> <li>• Personnel for cleaning of</li> </ul>



	<ul style="list-style-type: none"> <li>• Anti-sanitary at accommodations, but for foreign personnel provided all conditions and rest rooms</li> </ul>	premises are hired, and air conditioners at rest rooms of workers are installed.
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<b>Name and Location of Organization</b>	<b>Revealed during Monitoring dated May 12, 2014</b>	<b>Actual situation on violations</b>
LLP "ATS"	<ul style="list-style-type: none"> <li>• Labor Agreement was not concluded with all the workers;</li> <li>• PPE and Special clothes were not provided;</li> <li>• Instructions are not conducted, no registry</li> </ul>	No changes
LLP "Almaty Instroy" PK 344	<ul style="list-style-type: none"> <li>• Medical checkup of workers not maintained before working due absence of medical assistant;</li> <li>• Head of the construction site carries out instructions, registry about instructions is available.</li> <li>• Special clothes provided;</li> <li>• Conditions for living and feeding were provided, there is bath house, and canteen with three time feeding provided for all workers.</li> <li>• No delay with salary payment.</li> </ul>	There is medical assistant at site
LLP "Mostspecstroy"	<ul style="list-style-type: none"> <li>• No special clothes;</li> <li>• Instruction is not carried out, no registry;</li> <li>• Workers are transported by bus for lunch and after the shifting;</li> <li>• Medical checkup not carried out due absence of medical assistant in staff schedule</li> </ul>	All violations eliminated
LLP "KazStroyInvest" PK 84	<ul style="list-style-type: none"> <li>• No labor agreements with workers;</li> <li>• Labors work without special clothes;</li> <li>• No conditions to take a meal and to have a rest;</li> </ul>	Works completed
Songdo Construction 115, Baizak Batyr str., Sarykemer	<ul style="list-style-type: none"> <li>• Assigned personnel are local and due to this Living conditions were prepared only for international and non-resident personnel;</li> <li>• Meal not provided to workers, and meal is compensated to workers as per agreement in amount of 350 kzt per lunch;</li> <li>• Medical Checkup not carried out due absence of medical assistance and specialist on occupational safety;</li> </ul>	No changes

	<ul style="list-style-type: none"> <li>• Orientation and further trainings not carried out.</li> </ul>	
LLP AZMK Service Joint Visit with KazAutoZhol and KCC		<ul style="list-style-type: none"> <li>• Do not follow the occupational safety measures during processing with bitumen. Do not use gloves, they pouring the hot bitumen violating safety instructions;</li> <li>• Anti-sanitary near the camps and mess at the accommodations.</li> <li>• There is medical station with all required and adequate meanings for first aid and to carry out medical checkup of workers;</li> <li>• Fire safety guards not equipped with required equipment.</li> </ul>
LLP "Taraz stroy -2004" Joint Visit with KazAutoZhol and KCC		<ul style="list-style-type: none"> <li>• There are 8 persons at the site</li> <li>• Registry on Occupational Safety Trainings is available</li> <li>• Medical checkup is carried out</li> <li>• All Labor Agreements are available.</li> </ul>