

# Independent Resettlement Monitoring Report

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Post Evaluation  
August 2020

## Viet Nam: Secondary Cities Development Project - Ha Tinh Subproject

Prepared by External Resettlement Monitoring Consultant, for the Provincial People's Committee of Ha Tinh Province and the Asian Development Bank.

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## **CURRENCY EQUIVALENTS**

(As of February 2020)

Currency unit	–	USD (\$)
\$1.00	=	23,250 VND

## **ABBREVIATIONS**

ADB	Asian Development Bank
AH	Affected Household
AP	Affected Person
CCARC	City Compensation, Assistance and Resettlement Committee
DMS	Detailed Measurement Survey
DOLISA	Department of Labor, Invalids and Social Affairs
EA	Executive Agency
EM	Ethnic Minority
EMP	Environment Management Plan
GRM	Grievance Redress Mechanism
HH	Household
LFDC	Land Fund Development Center
LURC	Land Use Right Certificate
NGO	Non-Government Organization
PDO	Project Development Objective
PPC	Provincial People's Committees
CPMU	City Project Management Unit
RP	Resettlement Plan
SCDP	Secondary Cities Development Projects
SES	Socio-Economic Survey
USD	United State dollar
VND	Vietnam dong

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## EXECUTIVE SUMMARY

1. The Asian Development Bank (ADB) finances for the Secondary Cities Development Project that is carried out by the People's Committees (PPCs) of Quang Nam, Dak Lak and Ha Tinh provinces. The project development objective is aimed at improving urban environment and climate resilience in Buon Ma Thuot in the central highland region and the coastal cities of Ha Tinh and Tam Ky resulting in improved environmental quality and increased coverage of urban infrastructure and climate change adaptation in the three cities. There are 03 packages under Ha Tinh Subproject, including: HT-01: Main drainage routes + Thach Trung reservoir; HT-02: Improvement of Bong Son reservoir environment; and HT-03: Nguyen Trung Thien road (in the South + centre), Hai Thuong Lan Ong road, Nguyen Huy Lung road. The subproject covers 10 communes/wards in Ha Tinh city.
2. **Impact scope of the Subproject.** The total number of permanent AHs is 1,160 HHs. In which, 913 HHs are affected with agricultural land, 93 HHs are affected with residential land, 16 organizations are affected with public land and 8 HHs are affected on business activities; 150 HHs are affected on houses and structures. The permanently affected land area is 374,722 m<sup>2</sup>, including 335,481m<sup>2</sup> of agricultural production land (down 10% against the plan in 2015), 11,495m<sup>2</sup> of residential land and 27,746m<sup>2</sup> of public land. The total number of severely affected households is 528 households including (i) 510 households losing more than 10% of their productive land and 18 displaced households. In which, 6 relocated HHs are losing more than 10% of their production land. For 18 displaced households, 16/18 households have been allocated land in 03 resettlement areas, 02/18 remaining AHs have been in-place resettled. Out of 16 HHs allocated land in the resettlement area, 7 HHs have built houses and lived in the resettlement area, 9 HHs built their houses in other land plots that they own.
3. **Temporary impact.** During the construction, there are 4,500m<sup>2</sup> of public land managed by WPC/CPCs affected temporarily (Thach Hung, Thach Quy, Thach Trung). The temporary affected land was used for depots, storage sites, worker accommodation and facilities and parking space for vehicles and plant. After construction completed, the contractors had been returned those land to CPCs/WPCs.
4. **Objectives and methods of resettlement assessment:** The final resettlement assessment is aimed at reviewing and assessing the resettlement implementation, the resettlement targets achieved, changes in living standards, and livelihoods of AHs, effectiveness and sustainability of compensation and allowances packages. Methods of resettlement assessment are the combination of different methods, such as Secondary document research, qualitative research (in-depth interviews, focus group discussions/public consultation), questionnaires, and site observation methods.
5. **Assessment of safeguard policy implementation:**
6. **Preparation of social safeguard policy document for the project:** RP (2013) and uRP (2015) were prepared the request of the sponsor and approved the ADB on time.
7. **Public consultation and information disclosure:** Public consultation and information disclosure were fully conducted during the project implementation. The forms of information disclosure used: (1) local loudspeakers; (2) public consultations; (3) project information leaflets. By the time of the final evaluation, Ha Tinh PMU has cooperated with the Compensation, assistance, resettlement committee (DCARC), Land Fund Development Centre (LFDC), internal consultant unit and relevant agencies to conduct 45 public consultation meetings on project's land acquisition and resettlement.
8. **Detailed Measurement Survey (DMS):** The DMS process was completed in June 2015 by the LFDC and CCARC with all 100% of AHs. By the time of the final evaluation, there are no longer any problems and complaints related to the DMS results.
9. **Compensation Plan and Payment.** The applicable compensation rate for land and on-land assets is the replacement cost. The results of the RCS and compensation rate are informed to APs. Compensation plan, which is approved by City People's Committee, is

broadly disclosed to all affected households. At the time of the end-of-term evaluation, all work-items have achieved approval decision on compensation plans for land and on-land assets. All subproject-affected households have fully received cash compensation and resettlement assistance (1,160 households) with a total amount of VND 130,071,320,512. The payment of compensation is carried out at the headquarters of the People's Committee of the project communes/wards with the participation of the Site Clearance Compensation Board/LFDC and AH's representatives.

10. **Resettlement.** In the Ha Tinh subproject, 18 AHs whose houses were completely affected, received compensation money and handover of the site plan since the end of 2018. These relocated households built their houses in new residential areas. Out of 18 HHs, 2 HHs built houses on their remaining residential land; 09 HHs built houses in other places (resettlement lands were allocated to these AHs) and 7 HHs built houses in resettlement areas in Cua Lang, Huong Hoa, and Hoang Nay. These resettlement areas are provided with sufficient traffic systems, drainage, domestic water supply, electrical systems, health care centers, and kindergartens, etc. for people affected by projects in Ha Tinh province.

11. **Livelihood restoration program.** Livelihood restoration activities have been implemented for severely affected and vulnerable households including vocational training courses (cooking, making incense, rice paper), agricultural extension training courses (safe vegetable planting, chickens and quails breeding), loan support from social policy bank with preferential interest rates. Following the uRP, the IRPs including the vocational training courses, agricultural extension training courses and loan support will be implemented for HT-01 and HT-03 in Q3 – Q4/2015 expectedly. The livelihood restoration programs with 03 activities mentioned in uRP have been held from 2015 to the end of 2019 for all eligible affected HHs. However, the implementation progress of IRPs is longer than the plan mentioned in uRP. According to the results of public consultations and household surveys during the final evaluation of the resettlement implementation, the AHs said that they all participated in livelihood restoration programs. In particular, some relocated households have opened additional rice paper making areas for economic development.

12. **Grievance redress mechanism.** A grievance redress mechanism has been developed to address complaints of APs related to land acquisition, compensation, and resettlement in a timely and adequate manner. In the implementation process, there are 27 complaints and grievances from people in the project area. Complaints are mainly about: (1) land clearance and compensation (clearance boundaries; compensation rate; resettlement land allocation), (2) construction-related issues (inconsistency between construction and design, impacts on local people); (3) Additional work-items are proposed. By the time of the end-of-term evaluation, there are no outstanding complaints on the Subproject implementation.

13. **Implementation Arrangement.** Activities in the resettlement plan of the Project have been implemented with the participation of stakeholders, including People's Committee of Ha Tinh City, CIPMA – Construction Investment Project Management Unit of Ha Tinh City, LFDC/ Site Clearance Compensation Committee. The City Site Clearance Compensation Council and City Land Fund Development Center are responsible for site clearance and compensation work. To ensure the effectiveness of the compensation and site clearance activities, the PMU has sent professional staff in charge of monitoring and coordinating regularly with the Compensation Committee. Besides, the implementing agencies have coordinated to review complaints and consulted with stakeholders to have appropriate resolutions for complaints.

14. **Assessment of changes in socio-economic status of the Subproject area.** The population of the project communes/wards has increased before the project implementation. The average household size is 3.4 people/HH (2019). Per capita income of all subproject communes/wards has increased by nearly 60% compared with 2013 (VND 44.3 million/person/year on average). The poverty rate of the 10 subproject communes/wards has decreased from 3.2% (2013) to 2.2% (2019). The economic structure has shifted significantly in the direction of decreasing in agriculture-forestry-fishery and rapidly increasing in trade-service, industry-handicraft, and construction. All of the project communes/wards have freshwater supply systems provided by the State. Some communes still use other sources of

water (rainwater, well water) such as Thach Dong and Thach Hung communes. By 2019, all communes/wards have domestic waste collection systems to ensure environmental sanitation.

15. Besides, the economic life of the project affected households has had many positive changes. Agriculture is still the main occupation but has decreased from 81.6% to 76.8%. The main source of income from services - trading has increased by 10.7%. Besides agriculture, HHs are also engaged in other occupations such as handicrafts, workers (employees at the local factories). The average income of households in the project area increases against 2013. Specifically, the average income of surveyed HHs is 7,571,338 VND/HH/month (increases by 1.6 times against 2013). The average expenditure of households is about 5.6 million VND/household/month. Almost all surveyed households have permanent houses and use tap water in their daily activities. Only some households in Thach Hung, Thach Dong communes still use rainwater and well water (low rate). All households have toilets, mainly septic toilets/semi-septic toilets. 100% of the surveyed households confirm that domestic waste is collected by the sanitation team daily/weekly (2-3 times/week). 93.6% of surveyed HHs said their living standards have been better than in 2013.

16. **The satisfaction of local people with the project implementation.** At the time of the end-of-term monitoring, 100% of HHs have satisfied with the resettlement activities of the project. Up to now, resettlement compensation assistance has been fully paid to the project affected households. More than 90% of the surveyed households assess that the traffic and drainage system in the area has been much better than in 2013. More convenient traffic reduces travel time across wards/communes within the city. The construction of drainage canals prevents dirty and smell water from flowing into the fields, ensures urban landscape, minimizes flooding, and environmental pollution.



## I. GENERAL INFORMATION

### A. Overview

17. The Asian Development Bank (ADB) provides financing for the Secondary Cities Development Project that will be carried out by the Provincial People 's Committee (PPC) of Quang Nam, Dak Lak and Ha Tinh.

18. The objective of the project is improved urban environment and climate resilience in Buon Ma Thuot in the central highland region and the coastal cities of Ha Tinh and Tam Ky resulting in improved environmental quality and increased coverage of urban infrastructure and climate change adaptation in the three cities.

19. The Project consists of 03 outputs: (i) new and improved urban infrastructure; (ii) improved community awareness through information, education and communication, along with associated livelihood opportunities; and (iii) improved competencies in integrated urban development, environmental planning, climate adaptation and project management.

20. In terms of social safeguards under ADB's Safeguard Policy Statement (2009 SPS), the Project is categorized A for Involuntary Resettlement and C for Indigenous peoples. As of now, the involuntary resettlement impacts have been identified and their respective social safeguards documents have been prepared for Ha Tinh, Tam Ky and Buon Me Thuot subprojects.

### B. Objectives of Ha Tinh Subproject

21. The objectives of Ha Tinh Sub-project are to (i) increase access to urban infrastructure services through investment in basic urban infrastructure and improvement of drainage and roads of the city; (ii) improve the environment in the existing canals and lakes to enhance the living conditions of residents, contribute to improving infrastructure and meeting requirements of the city development; (iii) improve technical infrastructure, reduce traffic congestion and accidents by the construction of new urban roads to contribute to economic development and shorten the distance between urban and rural areas; (iv) improve the environment and address flooding in Ha Tinh city, thereby contributing to adaptation to climate change; (v) provide technical assistance and capacity building for management and operation agencies, planning, urban and financial management to ensure the effective implementation of the project work-items as well as sustainable development.

### C. Summary of Packages in Ha Tinh city

22. The Ha Tinh subproject includes 03 packages as described below:

**Table 1: Packages in Ha Tinh Subproject**

No.	Items	Project location	Descriptions
I	<b>Package HT-01: Main drainage routes + Thach Trung Reservoir.</b>		
1	Drainage canal T3 and access road	Thach Linh ward	Drainage of wastewater and stormwater for the Northwest of the city, with canal length of 1,609.5m.  This route will address demands for drainage of some basins in an area of about 291.8ha in Tran Phu and Thach Linh wards.
2	Drainage canal T4, access road	Thach Trung commune	Drainage of wastewater and storm water for the North of city, with length of canal of 2,984.96 m that is divided into 2 sections. First

No.	Items	Project location	Descriptions
			section has starting point from Thach Trung River to Van Hanh sewer, with length of 1,584.96m and the second section with length of 1.400m has starting point from Xo Viet Nghe Tinh road and is connected by the drainage canal that was funded by CRUEIP to Thach Trung reservoir.
3	Drainage canal T8 and access road:	Thach Dong commune	<p>With length of 2,059.13m, the proposed new drainage canal is to drain and minimize the level and time of flooding for the East of the city, and will flow into the Rao Cai river.</p> <p>This route will address drainage demands of some basins in an area of 712.67ha in Thach Quy ward and Thach Hung and Thach Dong communes.</p>
4	Thach Trung reservoir and surrounding technical infrastructure (including Access roads D1, D2 and D2a)	Thach Trung commune, Nguyen Du ward	<p>Thach Trung reservoir has an area of 7.96ha, located in Thach Trung commune, Ha Tinh city with the function of storage of storm water during the period when tidal outlets are closed to regulate floodwater for the North of the city, and to minimize the level and time of flooding in case of heavy rains and flooding.</p> <p>In addition, the basin also regulates water levels and improves the regional environment, creating an improved urban landscape, to serve recreation and entertainment demands, and, with further investment, to become a green park in the future.</p>
<b>II</b>	<b>Package HT-02: Improvement of environment in Bong Son reservoir</b>		
1	Technical infrastructure surrounding Bong Son lake or Hoang Xuan Han road	Dai Nai, Ha Huy Tap, Nam Ha and Van Yen wards	<p>Infrastructure surrounding Bong Son lake, including levelling, internal roads surrounding Bong Son lake, drainage of stormwater, power supply, greening and planting of trees.</p> <p>Hoang Xuan Han road mainly passes through a residential area in Tan Giang ward, with starting point at the intersection with National Highway 1A, and end point at the crossroads of Road 26/3.</p>
2	Drainage canal T2A and access	Dai Nai, Van Yen wards	Undertake drainage of wastewater and stormwater for the Southeast of city, with canal

No.	Items	Project location	Descriptions
	road (Van Yen canal)		T2A of length 142,2 m addressing drainage needs for a basin of about 452,85ha.  The route mainly passes through a residential area in street block 10 in Dai Nai ward. The route starts at the intersection with Bui Cam Ho road and ends at the crossing with Le Khoi road.
3	Drainage Channel T2-4 (Bau Ra canal)	Ha Huy Tap ward, Dai Nai ward	Undertake drainage of wastewater and stormwater for the South of city, Channel T2 of length 186m will address drainage requirements for a basin of about 314.47ha in Dai Nai.
III	<b>Package HT-03: Nguyen Trung Thien road (Center + South) + Hai Thuong Lan Ong road + Nguyen Huy Lung road</b>		
1	Nguyen Trung Thien road, central section (Nguyen Trung Thien road from Hai Thuong Lan Ong to Nguyen Du)	Tan Giang and Thach Quy wards	The route with a length of 801.15m mainly passes through a residential area located in Tan Giang and Nguyen Du ward with the starting point at the crossroads of Nguyen Du road and the existing Nguyen Trung Thien road and the endpoint at the crossroads of Hai Thuong Lan Ong road and existing Nguyen Trung Thien road.
2	Nguyen Trung Thien road, southern section (Nguyen Trung Thien road, section from Vong bridge to the road connecting Van Yen ward center with Road 26-3)	Tan Giang, Van Yen, Dai Nai	The route includes 02 sections: Section 1 is of length 1,239.97m and section 2 is of length 518.4m. This road mainly passes through residential areas and paddy fields in Tan Giang, Van Yen and Dai Nai wards, starting at Vong bridge in Tan Giang ward and ending at the intersection where the section of Nguyen Trung Thien road connects from road 26/3 to the administrative center of Dai Nai ward.
3	Hai Thuong Lan Ong road from Mai Thuc Loan road to Do Ha bridge	Thach Quy ward, Thach Hung commune	The route with length of 1,048.72m mainly passes through residential areas and paddy fields in Thach Quy and Thach Hung communes, with starting point at the intersection of Hai Thuong Lan Ong road and Mai Thuc Loan road and end point at the end of Do Ha bridge in Thach Hung commune.

No.	Items	Project location	Descriptions
4	Access road D3 (Nguyen Huy Lung road)	Thach Trung commune, Nguyen Du ward	The access road to Thach Trung lake with length of 1224.29m mainly passes through residential areas in Nguyen Du, Thach Quy and Thach Hung communes, with starting point at the intersection of Ha Hoang road and the existing Nguyen Huy Lung road and end point at Quang Trung road.
5	Drainage canal T1 and access road	Thach Quy ward	Drainage canal T1 starts at the end section of Mai Thuc Loan road and continues to the marsh in front of Dap Bot culvert then flows into Rao Cai river, with length of 313,47m.  The service area of canal T1 is 229.8ha

#### D. Scope of Impacts

23. **Impacts on households:** According to the project's updated resettlement plan (2015), the number of subprojects affected households is 1,197 HHs. Of which, 1,055 HHs are affected with agricultural land, 96 HHs are affected with residential land, public land of 08 organizations are affected, no household is affected on business, 163 households are affected with houses and structures.

24. As of March 2020 (as compensation, site clearance and resettlement activities have been completed), the scope of impacts on households has been changed negligibly against 2015. The total number of permanent AHs is 1,160 households (decreases by 37 HHs compared with the plan). Of these, 913 HHs are affected with agricultural land. 93 HHs are affected with residential land. The public land of 16 organizations is affected. 8 HHs are affected with business activities (7 HHs with houses and kiosks for rent and 01 HH producing cement, bricks). Households whose business is affected do not have business registrations. 150 HHs are affected by houses and structures. There are 03 CPCs/WPCs (Thach Hung, Thach Quy, Thach Trung) were affected public land temporarily.

25. The total number of severely is 528 HHs, including (i) 510 HHs losing more than 10% of productive land (338 HHs losing 10% - 30%, 100 HHs losing 30% -70% and 72 HHs losing over 70%) and (ii) 18 relocated HHs. In which, 6 relocated HHs are losing more than 10% of their production land.

26. There are 147 vulnerable HHs. Vulnerable households consist of 33 poor and near-poor households, 47 female-headed households, and 67 households are elderly and war invalids. Out of 1,160 households affected by the Subproject, 967 HHs have land use right certificates (LURC), and 193 HHs are cultivating trees/crops on land managed by Commune/Ward PC (public land).

27. Detailed impacts on households are presented as follows:

**Table 2: Summary of impacts on households (2020) against Updated RP (2015)**

(Unit: HH)

No	Component of package	Number of HH affected with agricultural land		Number of HH affected with residential land		Number of organizations affected with public land		Number of HH affected with business		Number of HH affected with houses and structures		Total number of AHs	
		uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual
<b>I</b>	<b>Component 1</b>	<b>759</b>	<b>718</b>	<b>17</b>	<b>18</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>4</b>	<b>34</b>	<b>39</b>	<b>778</b>	<b>801</b>
1	Drainage canal T3 and access road	85	78	3	3	2	1	0	0	3	2	88	89
2	Drainage canal T4 and access road	160	159	0	0	1	1	0	0	0	0	160	160
3	Drainage canal T8 and access road	145	145	0	1	1	1	0	0	2	0	145	155
4	Thach Trung reservoir and surrounding technical infrastructure	369	336	14	14	1	1	0	4	29	37	385	397
<b>II</b>	<b>Component 2</b>	<b>17</b>	<b>18</b>	<b>6</b>	<b>6</b>	<b>3</b>	<b>10</b>	<b>0</b>	<b>1</b>	<b>31</b>	<b>30</b>	<b>52</b>	<b>63</b>
1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan Han road	0	0	6	6	1	0	0	1	30	30	34	30
2	Drainage canal T2a and access road	11	13	0	0	1	10	0	0	0	0	11	26
3	Drainage canal T2-4 (Bau Ra canal)	6	5	0	0	1	0	0	0	1	0	7	7
<b>III</b>	<b>Component 3</b>	<b>279</b>	<b>177</b>	<b>73</b>	<b>69</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>98</b>	<b>81</b>	<b>367</b>	<b>296</b>
1	Nguyen Trung Thien road, central section	0	0	26	18	0	0	0	2	29	29	32	34

No .	Component of package	Number of HH affected with agricultural land		Number of HH affected with residential land		Number of organizations affected with public land		Number of HH affected with business		Number of HH affected with houses and structures		Total number of AHs	
		uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual
2	Nguyen Trung Thien road - South section	123	123	7	11	0	2	0	0	7	7	134	134
3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	153	54	34	32	0	0	0	1	35	28	187	97
4	Management road D3 (Nguyen Huy Lung road)	0	0	5	6	0	0	0	0	25	17	9	26
5	Drainage canal T1 and access road	3	0	1	2	0	0	0	0	2	0	5	5
	<b>Total</b>	<b>1,055</b>	<b>913</b>	<b>96</b>	<b>93</b>	<b>8</b>	<b>16</b>	<b>0</b>	<b>8</b>	<b>163</b>	<b>150</b>	<b>1,197</b>	<b>1,160</b>

Source: uRP (2015) and External Monitoring Results (2020)

28. **Impacts on land.** According to the uRP of the project works (2015), the total permanent affected land area is 476,484 m<sup>2</sup>, including 373,352 m<sup>2</sup> of agricultural production land, 9,888 m<sup>2</sup> of residential land and 93,244m<sup>2</sup> of public land. Of which:

- Land area affected by HT-01 is 321,818m<sup>2</sup>
- Land area affected by HT-02 is 15,183.0 m<sup>2</sup>
- Land area affected by HT-03 is 139,483 m<sup>2</sup>

29. As of March 2020, the actual resettlement impacts of the Subproject work-items have been changed significantly compared with the plan in 2015. The total area of permanently affected land is **374,722 m<sup>2</sup>** (decreases by 21% compared with the plan in 2015), including 335,481m<sup>2</sup> of agricultural land (down 10% compared with the plan in 2015), 11,495m<sup>2</sup> of residential land (up 16% compared with the plan), and 27,746m<sup>2</sup> of public land (down 70% compared with the plan). Of which:

- Land area affected by HT-01 is 271,711 m<sup>2</sup> (down 16% against the plan)
- Land area affected by HT-02 is 4,571.7 m<sup>2</sup> (down 69% against the plan)
- Land area affected by HT-03 is 98,439.1 m<sup>2</sup> (down 29% against the plan)

30. Scope of impacts on land is shown in the Table below:

**Table 3: Summary of permanent affected land area (2020) against uRP (2015)**

No.	Component	Agricultural land		Residential land		Public land		Total	
		uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual
<b>I</b>	<b>Component 1</b>	<b>259,229</b>	<b>251,669</b>	<b>1,249</b>	<b>1,285</b>	<b>61,340</b>	<b>18,757</b>	<b>321,818</b>	<b>271,711</b>
1	Drainage canal T3 and access road	18,625	20,624	419	265	1,979	972.8	21,023	21,861.2
2	Drainage canal T4 and access road	18,169	18,339	0	0	4,971	0.0	23,140	18,338.7
3	Drainage canal T8 and access road	18,419	21,551	0	207	19,373	5,329.4	37,792	27,087.7
4	Thach Trung reservoir and surrounding technical infrastructure	204,016	191,156	830	813	35,017	12,454.5	239,863	204,423.4
<b>II</b>	<b>Component 2</b>	<b>2,431.0</b>	<b>4,449.7</b>	<b>153.0</b>	<b>122.0</b>	<b>12,599.0</b>	<b>0.0</b>	<b>15,183.0</b>	<b>4,571.7</b>
1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan Han road	0	0.0	153	122.0	9,438	0.0	9,591	122.0
2	Drainage canal T2a and access road	2,256	4,297.3	0	0.0	2,119	0.0	4,375	4,297.3
3	Drainage canal T2-4 (Bau Ra canal)	175	152.4	0	0.0	1,042	0.0	1,217	152.4
<b>III</b>	<b>Component 3</b>	<b>111,692</b>	<b>79,362</b>	<b>8,486</b>	<b>10,088</b>	<b>19,305</b>	<b>8,989</b>	<b>139,483</b>	<b>98,439.1</b>
1	Nguyen Trung Thien road, central section	122	662.0	1,317	1,442.8	662	0.0	2,101	2,104.8

No.	Component	Agricultural land		Residential land		Public land		Total	
		uRP	Actual	uRP	Actual	uRP	Actual	uRP	Actual
2	Nguyen Trung Thien road - South section	56,401	49,904.4	971	869.3	259	3,109.7	57,631	53,883.4
3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	54,848	28,553.0	5,958	7,140.0	15,650	5,879.2	76,456	41,572.1
4	Management road D3 (Nguyen Huy Lung road)	217	0.0	212	503.7	2,491	0.0	2,920	503.7
5	Drainage canal T1 and access road	104	243.0	28	132.2	243	0.0	375	375.2
	<b>Total</b>	<b>373,352</b>	<b>335,481</b>	<b>9,888</b>	<b>11,495</b>	<b>93,244</b>	<b>27,746</b>	<b>476,484</b>	<b>374,722</b>

*Source: uRP (2015) and External Monitoring Results (2020)*

31. **Temporary impact on land.** During the construction, there are 4,500m<sup>2</sup> of public land managed by WPC/CPCs affected temporarily (Thach Hung, Thach Quy, Thach Trung). There was no tree or structure on this land. The temporary affected land was used for depots, storage sites, worker accommodation and facilities and parking space for vehicles and plant. After construction completed, the contractors had been returned those land to CPCs/WPCs.

32. **Impacts on agricultural land and resettlement.** According to the Project Resettlement Plan (2015), there are 640 severely affected households. In particular, there are 614 households losing more than 10% of productive land and 26 relocated households. Specifically:

- HT – 01: 378 severely affected households (losing 10% land or more)
- HT – 02: No severely affected household.
- HT – 03: 262 severely affected households. Of which: (i) 236 HHs losing from 10% productive land and (ii) 26 relocated HHs.

33. As of March 2020, data on severely AHs have changed. The total number of severely AHs decreased to 528 HHs (down 17.8% against the plan), including (i) 510 HHs losing more than 10% of productive land (338 HHs losing 10% - 30%, 100 HHs losing 30% - 70% and 72 HHs losing over 70%) and (ii) 18 relocated HHs. In which, 6 displaced HHs are lost more than 10% of their productive land. Specific impacts of each component are as follows:

- HT – 01: 364 severely AHs (losing more than 10% of productive land), down about 4% against the plan in 2015.
- HT – 02: no severely AHs
- HT – 03: 164 severely AHs. Including: (i) 146 HHs losing from 10% or more of productive land and (ii) 18 relocated HHs. Down 37% against the plan in 2015.

34. Resettlement arrangement for 18 households totally affected with houses is specified as follows:

- Relocation into resettlement areas and land-for-land payment: 16 households are arranged in 03 resettlement areas: Dong Cua Lang, Dong Huong Hoa and Dong Hoang Nay. All relocated households belong to Component 03, of which 16 households are affected by the construction of Hai Thuong Lan Ong road - from Mai Thuc Loan road to Do bridge; and 02 households are affected by the construction of Nguyen Trung Thien road – the section in the South. The total area of resettlement land allocated to the households is 2,394.74 m<sup>2</sup>. By the time of this report, all relocated households have received resettlement land and handed over the site to the construction contractors.



- On-the-spot relocation: 02/18 households are subject to on-the-spot resettlement. 02 households are affected with all houses; however, their remaining land area is arable for building new houses; therefore, they are arranged on-the-spot resettlement.

**Table 4: Summary of information of severely affected and relocated households (2020) compared with the information in the updated Resettlement Plan (2015)**

No.	Component	Losing more than 10% of productive land		Relocated households		Total	
		uRP	Reality	uRP	Reality	uRP	Reality
<b>I</b>	<b>Component 1</b>	<b>378</b>	<b>364</b>	<b>0</b>	<b>0</b>	<b>378</b>	<b>364</b>
1	Drainage canal T3 and access road	34	34	0	0	34	34
2	Drainage canal T4 and access road	44	44	0	0	44	44
3	Drainage canal T8 and access road	35	35	0	0	35	35
4	Thach Trung reservoir and surrounding technical infrastructure	265	251	0	0	265	251
<b>II</b>	<b>Component 2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan	0	0	0	0	0	0
2	Drainage canal T2a and access road	0	0	0	0	0	0
3	Drainage canal T2-4 (Bau Ra canal)	0	0	0	0	0	0
<b>III</b>	<b>Component 3</b>	<b>236</b>	<b>146</b>	<b>26</b>	<b>18</b>	<b>262</b>	<b>164</b>
1	Nguyen Trung Thien road, central section	0	0	0	0	0	0
2	Nguyen Trung Thien road - South section	94	93	7	2	101	95
3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	142	53	19	16	161	69 <sup>1</sup>
4	Management road D3 (Nguyen Huy Lung road)	0	0	0	0	0	0
5	Drainage canal T1 and access road	0	0	0	0	0	0
	<b>Total</b>	<b>614</b>	<b>510</b>	<b>26</b>	<b>18</b>	<b>640</b>	<b>528</b>

*Source: Updated resettlement plan (2015) and external monitoring results (2020)*

35. Impact on houses and structures: According to the actual implementation of the Resettlement Plan, there are 150 households affected with houses and structures (a decrease of 8% compared with the plan). Particularly, 25 households are affected with 1,894.9m<sup>2</sup> of houses (a decrease of 1.3 times compared with the plan). Besides, 323.6 m<sup>3</sup> of gates of 50

<sup>1</sup> Some households are subject to both losing 10% of their productive land and relocation. Therefore, the actual number of affected households will be smaller than the total number of affected households.

households are affected; 4,237.1m<sup>2</sup> of yards of 87 households are affected; 2,915.7m<sup>2</sup> of fence of 81 households are affected; 2,538.8 m<sup>2</sup> of eave of 61 households are affected; and 341.7m<sup>2</sup> of secondary works (kitchen, toilets) of 21 households are affected.

**Table 5: Summary of actual structures affected by the work-items compared with the uRPs (2015)**

Component		Houses (m <sup>2</sup> )		Gates (m <sup>3</sup> )		Yards (m <sup>2</sup> )		Fences (m)		Awning (m <sup>2</sup> )		Ancillary works (m <sup>2</sup> )		Total (hhs)	
		uRP	Reality	uRP	Reality	uRP	Reality	uRP	Reality	uRP	Reality	uRP	Reality	uRP	Reality
HT-01	HHs	7	3	22	13	29	18	29	17	19	11	11	2	34	39
	Area	374.5	168.6	32.2	97.6	791.6	752.59	288.1	559.43	475.7	474.9	139.6	20.8		
HT-02	HHs	6	2	6	9	12	26	9	18	0	19	0	2	31	30
	Area	98.5	112.8	21	57.23	137	1075.5	162	400.28	0	509.03	0	16.1		
HT-03	HHs	22	20	85	28	92	43	98	46	71	30	75	17	98	81
	Area	985	1613.5	714	168.77	3282	2409.1	1803	1956	1164	1554.9	347	304.75		
Total	HHs	35	25	113	50	133	87	136	81	90	60	86	21	163	150
	Area	1,458.0	1,894.9	767.2	323.6	4,210.6	4,237.1	2,253.1	2,915.7	1,639.7	2,538.8	486.6	341.7		

Source: Updated resettlement plan (2015) and external monitoring results (2020)

**36. Impact on crops and plants:** According to the external monitoring results, the permanently affected crop area is 336,952 m<sup>2</sup>. There are 11,398 affected crops/trees of all kinds (Meliaceae, eucalyptus, banana, orange ...).

**Table 6: Summary of trees and crops affected by the work-items compared with the uRPs (2015)**

No.	Component	Crops (m <sup>2</sup> )		Trees	
		uRP	Reality	uRP	Reality
<b>I</b>	<b>Component 1</b>	<b>238,776.0</b>	<b>251,891.2</b>	<b>42</b>	<b>2,164</b>
1	Drainage canal T3 and access road	0	20,845.7	0	853
2	Drainage canal T4 and access road	158,118	18,338.7	3	52
3	Drainage canal T8 and access road	21,599	21,551.3	0	0
4	Thach Trung reservoir and surrounding technical infrastructure	59,059	191,155.5	39	1,259
<b>II</b>	<b>Component 2</b>	<b>2431</b>	<b>2,236.4</b>	<b>30</b>	<b>148</b>
1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan	0	40.0	10	148
2	Drainage canal T2a and access road	2,256	2,044.0	6	0
3	Drainage canal T2-4 (Bau Ra canal)	175	152.4	14	0
<b>III</b>	<b>Component 3</b>	<b>111,692.0</b>	<b>82,824.8</b>	<b>177</b>	<b>9,086</b>
1	Nguyen Trung Thien road, central section	122	795.9	52	185
2	Nguyen Trung Thien road - South section	56,401	49,904.4	51	910
3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	54,848	31,865.4	36	6,798
4	Management road D3 (Nguyen Huy Lung road)	217	16.1	35	552
5	Drainage canal T1 and access road	104	243.0	3	641
	<b>Total</b>	<b>352,899</b>	<b>336,952</b>	<b>249</b>	<b>11,398</b>

Source: Updated resettlement plan (2015) and external monitoring results (2020)

**37. Impact on graves:** According to the updated Resettlement Plan (2015), Hai Thuong Lan Ong road - from Mai Thuc Loan road to Do bridge of HT-03, affects 800 graves. In fact, the external monitoring results (2020) show 993 affected tombs relocated due to the construction of this work-item. Particularly, 514 tombs are recognized by owners and 479 tombs are not recognized by owners. By the time of this report, all graves have been buried and built in Thach Hung commune cemetery.

38. **Impact on production and business.** According to the updated Resettlement Plan (2015), no business activities are affected. However, in practice, 08 business households are affected, of which 7 households have houses and kiosks for rent and 01 household produces cement bricks. All these households do not have business registration. Among them, 4 households are affected by HT-01; 01 household are affected by HT-02; and 3 households are affected by HT-03.

39. **Evaluation:** According to the external monitoring results, why there is change in the size and scope of resettlement is as follows: (1) due to changes in the size of works and scope of land acquisition. This shows that the PMU and the City Land Development Center/Site Clearance Committee have made efforts to minimize the number of households and the area affected by the project and this is a noticeable positive act in the site clearance compensation; (2) due to the division of affected households from 1 household of multiple generations into nuclear households because family members are married or inherited or received property or demographic change compared to baseline data collected during the RP update. In addition, the review of the households during the compensation of the Center for Land Development and the Compensation and Site Clearance Committee changed the number of affected households. In summary, the consultants found that the changes in the size of land acquisition and the number of affected households are due to the implementation process (design adjustment, alignment or separation of household members). There are no changes related to project implementation policies (due to complaints and claims on land acquisition and assets on land).

#### **E. Objectives of implementing social safeguard policies**

40. The objective of the ADB's safeguard policy on involuntary resettlement is to avoid or minimize impacts of land acquisition and asset appropriation, including affecting people's livelihoods and incomes, affected households, affected businesses and others. If resettlement is unavoidable, the general objective of the ADB's safeguard policy is to raise or at least restore livelihoods of affected people as their conditions before the project implementation and improve living standards of affected poor and vulnerable groups by compensation for damaged properties at replacement costs and supports in various forms (if necessary).

41. To comply with the requirements of the ADB's SPS 2009 policy and the legislation of the Government of Vietnam on compensation, support and resettlement when the State acquires land, resettlement plans for the project work-items/project components are required.

42. The Resettlement Plan is prepared to ensure that the project will (i) avoid involuntary resettlement if possible; (ii) minimize involuntary resettlement by providing project design alternatives; (iii) enhance or at least restore livelihoods of all affected people at their real values compared to their livelihoods before the project implementation; and (iv) improve living standards of affected vulnerable and poor groups. The Resettlement Plan after being approved by the ADB and the Provincial People's Committee will be basic for guiding important issues to be solved in the harmony between Vietnam's policies and ADB's SPS policy (2009) on involuntary resettlement).

43. The overall objective of the resettlement plan implementation is to ensure that all affected people will be compensated at replacement costs. Compensation and other forms of supports will be provided in full. A livelihood/income restoration program, if necessary, will be implemented for displaced and severely affected households in a way that ensures the standard of living of households restored to at least equal to their pre-project living standards, and those in vulnerable groups are supported to help improve their socio-economic status. Affected households and other stakeholders are involved in the preparation and implementation of the Resettlement Plan in a complete and meaningful manner.

## **II. MONITORING AND EVALUATION OBJECTIVES AND METHODS**

### **A. Objectives of resettlement evaluation**

44. The final resettlement evaluation is conducted to review the status of resettlement implementation and the RP compliance for the projects. Although measures to minimize negative impacts of the project have been applied, some permanent and temporary impacts on social and environmental conditions are unavoidable. The objective of this monitoring is to provide information on resettlement evaluation after the project is completed, including:

- (i) Resettlement objectives that have been achieved, including identifying differences between the project's policy in the RP and the actual implementation in the project areas; updating and providing land acquisition and resettlement information of the project; reviewing complaints related to land acquisition (if any) during the construction; assessing levels of satisfaction of affected people on land acquisition, compensation, and resettlement implemented by the project; and evaluating the effectiveness of the Grievance Redress Mechanism.
- (ii) Changes in living standards and livelihoods.
- (iii) Restoration and/or improvement of socio-economic conditions of the affected households after the project implementation.
- (iv) Effectiveness and sustainability of compensation and allowance packages.
- (v) Recommendations and lessons learned.

### **B. Methods of resettlement evaluation**

45. Multi-methods of information collection were applied in this report such as the primary and secondary data collection method, the quantitative survey method, and the qualitative survey method.

46. From March 2 to March 27, 2020, the Consulting Unit conducted surveys in the project areas in 10 wards/communes of Ha Tinh city, Ha Tinh province. In addition, community consultations were conducted with affected households and project beneficiaries. Specifically, the methods are as follows:

47. **Secondary document research:** Reviewing documents provided by the Project Management Unit such as resettlement reports, Resettlement Policy Framework, semi-annual external monitoring reports, detailed designs and other relevant documents were conducted. Reviewing land acquisition, resettlement and compensation plans, and reviewing data related to complaint settlement from the Project Management Unit, the City Land Development Center, the Commune/Ward People's Committees to identify any outstanding issues related to site clearance, resettlement and compensation of the project were conducted too. The document review usually provides basic information of the project and causes that affect the project progress (if any).

#### **48. Qualitative method**

49. In-depth interviews were conducted with the stakeholders (affected people and commune/ward officers) to collect their opinions and evaluations about the project implementation and RP implementation results.

50. Focus group discussions/community consultation meetings were held in the project communes/wards from 2/3/2020 to 05/03/2020 with the participation of the PMU, the People's Committee authorities, affected households, and households benefited by the project to evaluate the aspects related to the resettlement plan implementation in the project area and the rights/entitlements of affected people. There were 10 community consultations in 10

communes/wards of the project with the participation of 269 people, including 186 men and 83 women (30.1%).

51. During the organizing public consultation meetings with the presence of vulnerable groups, including women headed households (with dependents), poor households, policy households, elderly helpless households, to gather their feedbacks about the project on such issues as community participation, resettlement policy and gender, women were encouraged to participate in. In addition, public consultations ensured that information is collected regarding (1) successful livelihood restoration activities; (2) The effective and the constraint of livelihood restoration activities and the level of changing livelihood after IRPs and (3) changes observed after the resettlement.

**Table 7: Summary of consultations in the resettlement evaluation process**

No.	Locations	Number of participants		Total
		Male	Female	
1	Tan Giang ward	26	18	44
2	Ha Huy Tap ward	12	4	16
3	Dai Nai ward	10	2	12
4	Nguyen Du ward	7	1	8
5	Thach Quy ward	24	8	32
6	Thach Linh ward	19	13	32
7	Van Yen ward	25	13	38
8	Thach Trung commune	27	11	38
9	Thach Dong commune	7	3	10
10	Thach Hung commune	29	10	39
	<b>Total</b>	<b>186</b>	<b>83</b>	<b>269</b>

52. **Quantitative method - survey by questionnaire:** During the monitoring, this method was used as a tool to monitor the resettlement contents. The questionnaire survey was conducted with 628 households (accounting for 54.1%) in 10 project wards/communes from the beginning of March - to the end of March 2020 with the support of the People's Committee authorities in the respective communes/wards in collecting and surveying affected households. Particularly, 100% of severely affected households/vulnerable households and at least 10% of slightly affected households were surveyed. The sample size information is shown in the following table.

**Table 8: Sociological survey on the households affected by the project**

No.	Work-items	Number of households participating in the survey	Percentage <sup>2</sup>
1	HT-01: Drainage canal T3	41	6.5
2	HT-01: Drainage canal T4	49	7.8
3	HT-01: Drainage canal T8	45	7.2
4	HT-01: Thach Trung reservoir, Drainage canal D1, D2, D2a	261	41.6
5	HT-02: Bong Son reservoir	8	1.3
6	HT-02: Drainage canal T2a	6	1.0
7	HT-02: Drainage canal T2-4	4	0.6
8	HT-03: Nguyen Trung Thien road, central section	9	1.4

<sup>2</sup> The percentage of households participating in the survey differs due to the number of affected households of each work-item is different.

No.	Work-items	Number of households participating in the survey	Percentage <sup>2</sup>
9	HT-03: Nguyen Trung Thien road - South section	108	17.2
10	HT-03: Hai Thuong Lan Ong road (section from Mai Thuc Loan road	83	13.2
11	HT-03: Management road D3	10	1.6
12	HT-03: Drainage canal T1	4	0.6
	<b>Total</b>	<b>628</b>	<b>100.0</b>

*Source: Socio-economic survey, 3/2020.*

53. Information on household questionnaires includes: (1) general household information; (2) household economic conditions; (3) household incomes and expenditures; (4) types of affected households; (5) information on payment and resettlement; (6) assessing household changes; and (7) household's opinions about the project.

54. The household survey results reflect their actual socio-economic conditions, including: (1) Basic information of affected households such as demographics, education levels, income and living standards, production conditions; (2) identification of impacts and changes caused by the project to their lives, incomes, and living standards before and after the project implementation (if any); (3) assessment of their satisfaction/recommendations on the project resettlement and implementation.

55. **Observing method:** The observation method was used to understand and observe the actual living conditions of people, conditions of civil works and resettlement areas.

### III. EVALUATION OF RESULTS OF RESETTLEMENT PLAN IMPLEMENTATION

#### A. Evaluation of implementation of social safeguard policies in the Resettlement Plan

##### 1. Progress of preparing social safeguard policy documents for the project

56. During PPTA stage, one resettlement report (RP) for whole Ha Tinh subproject work-items was prepared and approved by ADB in April 2013. Three RPs for three packages were updated and approved by ADB in 2015. The detailed information about the approval status of the social safeguard policy documents is provided in the following table.

**Table 9: Approval status of social safeguard policy documents**

No.	Work-items	Required social safeguard policy documents	Status of documents
1	Policy framework for compensation, support and resettlement of the Secondary Cities Development Project in Quang Nam, Ha Tinh and Dak Lak	RF	The Prime Minister approved on July 29, 2013
2	03 project components include HT-01: Main drainage routes + Thach Trung reservoir; HT-02: Improvement of the environment of Bong Son reservoir; HT-03: Construction of Nguyen Trung Thien road (south + central) + Hai Thuong Lan Ong road + Nguyen Huy Lung road.	RP	ADB approved in 5/2013
3	Package HT-01: Main drainage routes + Thach Trung reservoir	uRP	ADB approved in 16/09/2015
4	Package HT-01: Major Drains and Thach Trung Regulating Basin, (2 <sup>nd</sup> section of T4 Drainage Channel and Access Road)	RP addendum	ADB approved in 3/2018
5	Package HT-02: Improvement of the environment of Bong Son reservoir	uRP	ADB approved in 08/10/2015
6	Package HT-03: Construction of Nguyen Trung Thien road (south + central) + Hai Thuong Lan Ong road + Nguyen Huy Lung road	uRP	ADB approved in 25/11/2015

57. **Evaluation:** All subproject social safeguard policy documents were prepared and approved at the Donor's request.

##### 2. Community consultation and information disclosure

58. The project public consultation and information disclosure was carried out in 3 forms including: (1) dissemination on local loudspeakers; (2) organization of community consultation meetings; (3) project information booklets.

59. During the preparation of resettlement plan and updating the RP (2013 - 2015), the draft of PIB and the public consultation was provided/ held in the affected communes/ward with the representatives of affected HHs, CPCs/WPCs and PMU to disclose project information, project resettlement policy.

60. The project information booklets (PIBs) are of necessity in relation to the compensation and site clearance policy of the project. PIBs were distributed to affected households and made public in the subproject wards/communes, in 2016 and during the implementation of the Resettlement Plan. This document includes: (1) frequently questions of affected people; (2)

Standards and entitlements of the people, steps of grievance redress complaints and the units that resolve those questions.

61. In addition to PIBs, project information dissemination through ward/commune loudspeakers and meetings were organized. All affected households were provided with information about the project scope, detailed measurement survey time, and compensation policy.

62. During preparation and implementation of resettlement, Ha Tinh PMU collaborated with the District Compensation, Assistance and Resettlement Committee (DCARC), the Land Fund Development Center (DLFDC), the Internal Consulting Unit, and the related agencies to conducted 45 community consultation meetings regarding the project land acquisition and resettlement. The contents of the community consultation meetings are shown in the table below.

**Table 10: Summary of consultation meetings**

Date	Number of meetings	Meeting contents	Participants	Location
26/02/2013	01	About land acquisition	Ha Tinh PMU, DCARC, ward/commune people's committees and the related agencies	Ha Tinh PMU
From 18 to 20/03/2013	07	Provide information about land acquisition to affected people in the project area	Ha Tinh PMU, DCARC, Women's Union, affected people in Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy and Thach Linh, Thach Hung communes/wards	Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Hung commune/wards
From 07/01/2014 to 09/03/ 2016	07	Disclose project information, project resettlement policy, DMS implementation phases, and distribute project information booklets and policies related to resettlement supports and allowances to affected households and local agencies in the project wards/communes.	Ha Tinh PMU, DCARC, Women's Union, affected people Thach Trung and Thach Dong communes	Thach Trung, Thach Dong Commune/wards
From 12/11//2014 to 09/12/ 2014	12	Disclose project information, project resettlement policy, DMS implementation phases, and distribute project information booklets and policies related to resettlement supports and allowances to affected households and local agencies in the project wards/communes.	Ha Tinh PMU, DCARC, Women's Union, affected people in Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung and Thach Dong communes/wards	Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung and Thach Dong communes/wards



Date	Number of meetings	Meeting contents	Participants	Location
From 08 to 10/05/2015	6	The consultant team worked with affected people to update the Resettlement Plan	Affected people in 8 communes/wards of Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung, and Thach Dong	Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung, and Thach Dong commune/wards
From 13 to 27/07/2017	2	The consultant team worked with affected people to update the Resettlement Plan	Affected people in Thach Trung commune and Nguyen Du ward	Thach Trung commune and Nguyen Du ward
From 02/3 to 05/3/2020	10	The consultant team worked with affected people to collect their feedbacks on project implementation and project impacts.	Affected people in 10 communes of Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung, Thach Dong and Nguyen Du.  Representatives of local authorities, commune/ward mass organization such as women's unions, farmer's unions...	10 wards/ communes of Van Yen, Dai Nai, Ha Huy Tap, Tan Giang, Thach Quy, Thach Linh, Thach Trung, Thach Dong and Nguyen Du.

63. Relevant resettlement plans (RPs) were approved and posted on the ADB website in 2013 and sent to relevant local agencies and made public to affected households on the notice boards of the ward/commune people's committee offices.

64. From Sep to Nov 2015, the updated resettlement plans (uRPs) for three packages were submitted to the ADB for approval. The resettlement plans updated for HT-01, HT-02 and HT-03 were approved on September, October and November 25, 2015, respectively. In addition, RP addendum for package HT-01: Major Drains and Thach Trung Regulating Basin, (2nd section of T4 Drainage Channel and Access Road) was prepared and approved in March 2018. Furthermore, these uRPs/ RP addendum were sent to the relevant local authorities and made public to affected households in the same manner as mentioned above.

65. According to the survey results on affected households, 100% of them were informed about the project. To verify this information, a number of community representatives and local officers were consulted. As the result, local officers and neighbourhood leaders were found conducting information dissemination and community consultations for all households within the project area in various ways, and each household had a representative to participate in the consultations.

66. In addition, 10 community consultations were held in 10 communes/wards in the project area from March 2, 2020 to March 5, 2020. In particular, 269 participants adopted the results of public consultation during the assessing implementation of resettlement plans in the project communes/wards; and 100% of the participants said that the project information dissemination was in all communes/wards in the project area. People participated in the detailed measurement surveys (DMS) signed on the DMS records. Compensation and site clearance plans were developed and publicly disclosed at the People's Committees of the communes/wards for

affected households to consider and provide comments (please refer to the consultation minutes in Annex 1).

67. **Evaluation:** The communication and dissemination of information and community consultations was full and effective in the project wards/communes. However, due to the site clearance, the information dissemination was implemented for a long time but some affected households do not remember it exactly.

### 3. Detailed measurement survey (DMS)

68. The DMS was done by an established DMS team/task force under the City Land Fund Development Center/ Compensation and Site Clearance Committee. The task force is responsible for coordinating with ward/commune cadastral officers and heads of residential areas to place landmarks, excerpts and extraction of maps, and conduction of DMS.

69. According to the survey results on affected households and community consultation during the evaluation process of resettlement implementation, affected households were informed about the time before the DMS was conducted. In the DMS process, representatives of affected households were present to witness. After DMS, the task force delivered DMS records for affected households to check again the quantity of assets already inventoried and requested them to sign in. According to the survey results on affected households, 100% of them said that the DMS results were accurate. By the time of this report, there are no more complaints regarding the accuracy of the DMS results.

70. After the detailed technical designs were approved, the City Center for Land Fund Development (CLFD) and the Resettlement, Assistance and Compensation Committee conducted DMS for affected households, starting from November 2013 to June 2015. The DMS was carried out for 100% of affected households.

**Table 11: DMS duration of the project**

Packages	Start dates	End dates
HT-01	11/ 2013	5/2015
HT-02	11/ 2013	6/2015
HT-03	11/ 2013	5/2015

71. **Evaluation:** The DMS was completed for all project work-items. The review of compensation documents for affected households shows that all DMS records were signed by affected households and participants. The DMS process was complied with the current regulations of the Government of Vietnam and the regulations in the project's REMDF/RP and ensured transparency and accuracy during the implementation.

### 4. Preparation of compensation plans

72. **Compensation unit prices for land:** According to the project policy, compensation unit prices for land and assets on land affected by the project was determined on the principle of "replacement costs".

73. The People's Committee of Ha Tinh province and the People's Committee of Ha Tinh city established a task force. The task force comprises of local agencies (representatives of the Department of Natural Resources and Environment, the Department of Finance, the Department of Finance, the Department of Urban - Construction Management, the City Compensation and Site Clearance Committee) to conducts surveying market prices of land and properties for each

locality and to propose new compensation unit prices for affected land and assets on land (adjustment coefficient (K)).

74. According to the policy enacted by the People's Committee of Ha Tinh province, the People's Committees of districts and city develop, appraise and approve specific land prices when the State recovers land to implement projects in the province<sup>3</sup>. Therefore, the replacement cost survey results were submitted to the People's Committee of Ha Tinh province/the People's Committee of Ha Tinh city for review, appraisal and approval.

75. The decisions on compensation unit prices for affected land were approved as the basis for applying the project compensation prices are as follows:

- Decision No.2374/QD - UBND dated June 23, 2015 of the People's Committee of Ha Tinh province approving specific land prices for calculating compensation and site clearance for a number of construction projects in Ha Tinh city.
- Decision No.1795/QD - UBND dated September 20, 2016 of Ha Tinh City People's Committee, approving specific land prices to implement a number of projects in Ha Tinh city.
- Decision No.1205/QD - UBND dated June 23, 2016 of the People's Committee of Ha Tinh city, approving specific land prices for implementing a number of projects in Ha Huy Tap, Bac Ha and Tran Phu wards.
- Decision No.1939/QD - UBND dated May 22, 2015 of the People's Committee of Ha Tinh province, approving specific land prices to serve the compensation and site clearance for the Secondary Cities Development Project in Ha Tinh city.
- Decision No.84/QD - UBND dated 18/01/2016 of the People's Committee of Ha Tinh city, approving specific land prices to implement a number of projects in the wards/communes: Thach Trung, Nguyen Du, Thach Linh, Thach Dong, Dai Nai and Ha Huy Tap.
- Decision No.2746/QD - UBND dated November 30, 2015 of the People's Committee of Ha Tinh city, approving specific land prices for calculating compensation, support and resettlement when the State recovers land for the Secondary Cities Development Project in Ha Tinh city, Work-item: Construction of Thach Trung Lake Management Road, route D2A and route D3.
- Decision No.596/QD - UBND dated April 9, 2019 of Ha Tinh City People's Committee, approving specific land prices for land allocation without auction of land use rights and implementing a number of projects in the wards/communes: Thach Trung, Thach Linh, Thach Ha and Thach Hung.
- Decision No.1016/QD - UBND dated 24/5/2016 of Ha Tinh City People's Committee, approving specific land prices for calculating compensation, support and resettlement by a number of projects in the wards/communes: Thach Linh, Nguyen Du, Van Yen and Thach Trung.
- Decision No.2238/QD - UBND dated October 30, 2017 of Ha Tinh City People's Committee, approving specific land prices for land allocation without auction of land use rights, land exchange and site clearance by a number of projects in the wards/communes: Nguyen Du, Van Yen, Bac Ha, Thach Linh, Tan Giang and Thach Trung.

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<sup>3</sup> Source: Decision No.54/2015/QD-UBND dated October 27, 2015 of the People's Committee of Ha Tinh province on authorization to determine specific land prices in the province; Decision No. 01/2018/QD -UBND dated January 8, 2018 of the People's Committee of Ha Tinh province on authorization to determine specific land prices in Ha Tinh province

- Decision No.2954/QĐ - UBND dated July 29, 2015 of Ha Tinh Provincial People's Committee, approving specific land prices for calculating compensation and site clearance for a number of construction projects in Ha Tinh city.
- Decision No.1946/QĐ - UBND dated October 6, 2017 of Ha Tinh City People's Committee, approving specific land prices for land allocation without auction of land use rights, land exchange and site clearance by a number of projects in the wards/communes: Nam Ha, Tran Phu, Van Yen, Nguyen Du, Thach Linh, Thach Mon, Thach Trung, Thach Dong, and Thach Hung.
- Decision No.1590/QĐ - UBND dated August 16, 2016 of Ha Tinh People's Committee, approving specific land prices to implement a number of projects in the wards/communes: Tran Phu, Nguyen Du, Dai Nai, Van Yen and Thach Mon.
- Decision No.940/QĐ - UBND dated June 11, 2019 of Ha Tinh People's Committee, approving specific land prices to implement a number of projects in the city.
- Document No.245/UBND - NL dated 19/01/2015 of Ha Tinh People's Committee, determining specific land prices in the province.
- Document No.1509/UBND-NL dated 15/05/2013 of Ha Tinh People's Committee, approving the average residential land prices in 2013 in Ha Tinh city.
- Decision No.3485/QĐ-UBND dated September 8, 2015 of Ha Tinh People's Committee, approving specific land prices for calculating compensation, site clearance and resettlement for the Secondary Cities Development Project in Ha Tinh city, work-item: Construction of Nguyen Trung Thien road.
- Decision No.1285/QĐ-UBND dated July 4, 2016 of Ha Tinh People's Committee, approving specific land prices for calculating compensation, support and resettlement for the Secondary Cities Development Project in Ha Tinh city, work-item: Construction of Nguyen Trung Thien road.

76. **Compensation unit prices for trees, crops, structures:** The compensation prices were applied in accordance with Decision No.03/2017/QĐ - UBND dated January 20, 2017 of Ha Tinh Provincial People's Committee, promulgating "Compensation unit prices for houses, structures, graves, vessels, boats, machines, equipment, farm tools, fishing gears, trees, crops and aquaculture" when the State acquires land in Ha Tinh province and the Decision No. 02/2015/QĐ-UBND dated 12/01/2015 of Ha Tinh PPC, promulgating "Compensation unit prices for houses, structures, graves, vessels, boats, machines, equipment, farm tools, fishing gears, trees, crops and aquaculture" when the State acquires land in Ha Tinh province. The RSC did not conduct for affected assets of subproject. However, at the time of compensation, Ha Tinh PPC had been conducted the survey to update the compensation rate for assets when the State acquires land in Ha Tinh province in 2015 and 2017. After that, Ha Tinh PPC had issued the updated decisions promulgating compensation unit prices for houses, structures, tree and crops. The compensation rate for tree, crops and structures are the rates mentioned these decisions issued by Ha Tinh PPC. All these decisions were updated and issued at the time of compensation. Therefore, the compensation price of asset that was applied in compensation plan for affected asset equal to market price at the time of compensation. All compensation rates have been informed to affected people. During the public consultations in March, 2020, the participants had confirmed that the compensation rate is equal to market price at the time of compensation. Up to the time of preparing this report, there have been no complaints and petitions related to the compensation unit prices of the project work-items.

77. **Support amounts:** In order to restore the livelihoods of the affected households, the PMU and the CCARC prepared compensation plans and paid for the severely affected households

who were relocated and resettled and households losing productive land. These allowances/supports were calculated according to the project policy. The support levels were based on the regulations in Decision No.75/2014/QĐ - UBND dated November 3, 2014 of the People's Committee of Ha Tinh province promulgating the regulations on compensation, support and resettlement policies when the State recovers land in Ha Tinh province. For the vulnerable HHs, (1) vulnerable HH are received cash allowance in 2 mil VND (for the HHs are significant affected and under vulnerable groups) and (2) HHs (Relocating families and/ or losing more than 30% of their productive land and categorized as "Special Policy HH" with war martyrs, Heroic Vietnam Mother (still alive), wounded soldiers, war invalid will be entitled to an allowance from VND 2 M /HH to VND 3M/HH<sup>4</sup>. The Decision on approval compensation plan have been approved for all affected HHs. Details are shown below:

**Table 12: Summary the Decision on approval compensation plan of each component**

TT	Component	Decision	Issued date	Amount
I	<b>Component 1</b>			
1	Drainage canal T3 and access road	Decision No.1987/QĐ-UBND on Approval of additional compensation, support and resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh province.	07/10/2016	677,606,808
		Decision No. 1671/QĐ-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T3.	09/07/2015	4,844,763,879
		Decision No.1957/QĐ-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T3.	09/10/2017	316,054,599
		Decision No. 493/QĐ-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Drainage canal T3 across Thach Linh ward (additional section).	22/03/2017	344,299,628
2	Drainage canal T4 and access road	Decision No.1452/QĐ-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T4.	02/08/2016	3,980,154,026
3	Drainage canal T8 and access road	Decision No.1450/QĐ-UBND Adjustment of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T8 and access road.	01/08/2016	48,019,776
		Decision No. 878/QĐ-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T8 and access road (phase 3).	11/05/2016	433,365,000
		Decision No. 878/QĐ-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T8 and access road.	04/08/2015	3,912,273,983
		Decision No.196/QĐ-UBND on Approval of compensation, support, resettlement plans and	22/02/2016	452,441,896

<sup>4</sup> Decision No.75/2014/QĐ - UBND dated November 3, 2014 of the People's Committee of Ha Tinh province, Art. 24, Item 6.

TT	Component	Decision	Issued date	Amount
		cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Drainage canal T8 and access road (phase 2).		
4	Thach Trung reservoir and surrounding technical infrastructure	Decision No. 396/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road (D2A)	09/05/2016	280,234,775
		Decision No.396/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road	12/03/2015	41,841,196,774
		Decision No.1051/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Thach Trung reservoir access road	27/05/2016	14,616,800
		Decision No.3036/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Thach Trung reservoir access road	29/12/2017	88,553,270
		Decision No. 2407/QD-UBND on Approval of additional adjustment of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Thach Trung reservoir access road	21/11/2017	149,265,000
		Decision No. 2491/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road (D1)	30/11/2017	442,056,069
		Decision No. 896/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Thach Trung reservoir access road.	20/05/2017	44,109,320
		Decision No. 1115/QD-UBND on Decrease of land compensation amount according to Decision No.364/QD-UBND dated 04/03/2015 of Ha Tinh City People's Committee.	22/07/2019	-1,060,740,000
		Decision No.1821/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road	16/10/2018	1,007,323,950
		Decision No.2486/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road	22/10/2015	1,028,221,539
		Decision No.308/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road (phase 2)	14/03/2016	3,296,323,300
		Decision No. 711 Approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road (D2A)	28/04/2017	4,850,400

TT	Component	Decision	Issued date	Amount
		Decision No.364/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Thach Trung reservoir access road	04/03/2015	2,987,087,569
II	<b>Component 2</b>			
1	Technical infrastructure for improvement of Bong Song lake environment or Hoang Xuan Han street	Decision No.2228/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Bong Son lake access road (Hoang Xuan Han street).	26/11/2019	1,633,632,000
		Decision No. 2433/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Bong Son lake access road (Hoang Xuan Han street).	22/11/2016	897,152,000
		Decision No.1827/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Bong Son lake access road (Hoang Xuan Han street).	27/09/2016	807,734,000
		Decision No.958/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Bong Son lake access road.	31/05/2017	48,970,000
		Decision No. 690/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Bong Son lake access road.	25/04/2017	517,133,700
		Decision No.1365/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Bong Son lake access road (Hoang Xuan Han street).	28/07/2017	40,448,000
2	Drainage canal T2a and access road	Decision No.2526/QD-UBND Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Access road T2.	30/10/2015	165,361,825
		Decision No.1666/QD-UBND Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Access road T2a.	05/09/2016	434,055,670
3	Drainage canal T2-4 (Bau Ra canal)	Decision No.2491/QD-UBND on Approval of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Access road T2-4.	23/10/2015	38,418,290
III	<b>Component 3</b>			
1	Nguyen Trung Thien street (center section)	Decision No. 2775/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Extended Nguyen Trung Thien street (center section)	07/12/2015	10,385,905,665
2	Nguyen Trung Thien street (south section)	Decision No. 1316/QD-UBND Approval of additional compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Extended Nguyen Trung Thien street (South section)	07/7/2016	1,380,762,070
		Decision No. 620/QD-UBND on Approval of compensation and support plans for Secondary	03/04/2015	19,078,178,266

TT	Component	Decision	Issued date	Amount
		Cities Development Project – Ha Tinh city, Item: Extended Nguyen Trung Thien street (South section)		
3	Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	Decision No.737/QD-UBND on Approval of adjustment of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	08/05/2017	14,500,000
		Decision No. 2466/QD-UBND on Approval of adjustment of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	24/11/2016	521,454,962
		Decision No. 1178/QD-UBND Approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	20/6/2016	2,178,963,858
		Decision No.02/QD-UBND on Approval of adjustment of compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project – Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	04/01/2016	236,613,600
		Decision No. 1455/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	28/07/2014	1,242,470,519
		Decision No. 1224/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	20/06/2014	272,637,361
		Decision No. 1143/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	13/06/2014	5,931,999,438
		Decision No. 1137/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	11/06/2014	2,458,007,811
		Decision No.2675/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	23/11/2015	1,426,522,240
		Decision No. 2487/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	22/10/2015	11,952,084,000
		Decision No. 2397/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item:	12/10/2015	895,421,548



TT	Component	Decision	Issued date	Amount
		Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).		
		Decision No. 2503/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	29/11/2016	112,634,698
		Decision No.1770/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge).	16/09/2016	3,019,058,923
		Decision No.1750/QD-UBND on Approval of adjustment of compensation, support, resettlement plans and cost estimates for HH Tran Hau Hong in Kinh Nam village, Thach Hung commune. Item: Hai Thuong Lan Ong street (section from Mai Thuc Loan street to Do Ha bridge. (phase 4)	15/09/2016	119,963,060
4	Access road D3 (Nguyen Huy Lung street)	Decision No. 1435/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Access road D3	28/7/2016	7,103,820
		Decision No. 310/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Access road D3	14/03/2016	2,096,462,028
5	Drainage canal T1 and access road	Decision No. 212/QD-UBND on Approval of compensation and support plans for Secondary Cities Development Project – Ha Tinh city, Item: Drainage canal T1 in Thach Quy ward, Ha Tinh city.	04/02/2015	8,696,870
		Decision No.2329/QD-UBND on approval of additional compensation, support, resettlement plans and cost estimates for Secondary Cities Development Project - Ha Tinh city. Item: Drainage canal T1 in Thach Quy commune.	07/11/2016	179,058,700

78. As of March 2020, for all work-items, the compensation and compensation plans for affected land and assets on land were approved. The compensation options were posted publicly at the headquarters of the People's Committees of the communes/wards and community places within a period of 15 working days and sent directly to the relevant agencies and AHs for information and feedback if there were any errors and mistakes and opinions. The total project's approved compensation and support amount is VND 130,071,320,512, specifically:

- Approved amount for HT - 01: VND 63,352,213,141
- Approved amount for HT - 02: VND 4,576,570,485
- Approved amount for HT - 03: VND 62,142,536,886

**Table 13: Summary of compensation, support and site clearance money for the project work-items compared with the updated Resettlement Plan (2015)**

No.	Packages	Compensation, assistance and resettlement cost (VND)	
		Amount as planned (uRP)	Actual amount
1	HT-01: Main drainage routes + Thach Trung reservoir	61,274,499,577	63,352,213,141
1.1	Drainage canal T3 and access road	5,790,140,463	6,061,495,014

1.2	Drainage canal T4 and access road	3,972,154,914	3,972,212,606
1.3	Drainage canal T8 and access road	3,909,018,262	4,793,180,979
1.4	Thach Trung reservoir and surrounding technical infrastructure	47,603,185,938	48,525,324,542
<b>2</b>	<b>HT-02: Improvement of the environment of Bong Son reservoir</b>	<b>2,498,403,970</b>	<b>4,576,570,485</b>
2.1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan	2,210,254,000	3,940,234,700
2.2	Drainage canal T2a and access road	233,916,565	598,417,495
2.3	Drainage canal T2-4 (Bau Ra canal)	54,233,405	37,918,290
<b>3</b>	<b>HT-03: Construction of Nguyen Trung Thien road (south + central) + Hai Thuong Lan Ong road + Nguyen Huy Lung road</b>	<b>60,300,938,917</b>	<b>62,142,536,886</b>
3.1	Nguyen Trung Thien road, central section	8,704,110,597	10,028,869,272
3.2	Nguyen Trung Thien road - South section	18,267,892,051	20,237,826,061
3.3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	31,804,626,556	29,590,784,435
3.4	Management road D3 (Nguyen Huy Lung road)	1,384,054,842.65	2,100,971,548
3.5	Drainage canal T1 and access road	140,254,870	184,085,570
	<b>Total</b>	<b>124,073,842,464</b>	<b>130,071,320,512</b>

Source: Updated resettlement plan (2015) and external monitoring results (2020)

79. According to the results of the household socio-economic surveys and community consultations in the communes/wards in the project area, people assessed that the compensation unit prices were in line with market prices at the compensation time. As of the time of this report, there have not been any claims and grievances related to the compensation unit prices.

80. **Evaluation:** During the compensation implementation, there are some complaints about the compensation price such as compensation rate did not suitable for their land, allowance rate for affected HHs did not provide enough. However, there PMU had cooperated with relevant agencies (CPCs/WPCs, city PC,...) to review and resolve all the complaints such as (1) paid additional allowance and compensation for affected HHs if the complaints are suitable with the project policies or (2) explained for the affected HHs about the compensation policies to shown that their complains are preposterous. At the time of preparing this report, there are no pending issues related to the compensation, allowance rate and compensation activities.

81. Therefore, the compensation unit prices applied to calculate the compensation values of the project complied with the policy mentioned in the uRP, RF and the policies of the Vietnamese government.

## 5. Payment of compensation and handover of sites

82. As of March 2020, the total compensation and support amount for affected households of the project is VND 130,071,320,512 (1,160 affected households) as follows:

- Package HT-01: The amount paid to 801 households is VND 63,352,213,141 (100% completed).
- Package HT-02: The amount paid to 63 households is VND 24,576,570,485 (100% completed).
- Package HT-03: The amount paid to 296 households is VND 62,142,536,886 (100% completed).

83. The compensation activities for all the affected HHs of subproject are fully completed in 2018. However, there were some HHs wanted the project to acquire their remaining land. Therefore, the compensation activities for additional land acquisition are fully completed at the end of 2019.

84. The compensation payment was carried out at the headquarters of the People's Committees of the project communes/wards with the participation of the Compensation, Assistance and Resettlement Committees/Land Fund Development Center and representatives of the affected households. AHs received invitations to notify of payment time. By the time of the final external monitoring, 1,160 households had received full compensation payment. All AHs completed the handover of site to the project immediately after receiving full compensation, support and resettlement.

85. According to the household survey results, 100% of the households participating in the survey received their compensation, support and resettlement. Through the public consultations we found that there are no longer any grievances related to payment of compensation and support for site clearance.

**Table 14: Situation of payment of compensation, support, resettlement and site handover (3/2020)**

No.	Packages	Compensation cost <sup>5</sup> (VND)	Payment of compensation	Site clearance		
			Paid to the present (3/2020)	HHS received payment	HHS not received payment	HHS handed over land
<b>1</b>	<b>HT- 01</b>	<b>63,352,213,141</b>	<b>63,352,213,141</b>	<b>801</b>	<b>0</b>	<b>801</b>
1.1	Drainage canal T3 and access road	6,061,495,014	6,061,495,014	89	0	89
1.2	Drainage canal T4 and access road	3,972,212,606	3,972,212,606	160	0	160
1.3	Drainage canal T8 and access road	4,793,180,979	4,793,180,979	155	0	155
1.4	Thach Trung reservoir and surrounding technical infrastructure	48,525,324,542	48,525,324,542	397	0	397
<b>2</b>	<b>HT-02</b>	<b>4,576,570,485</b>	<b>4,576,570,485</b>	<b>63</b>	<b>0</b>	<b>63</b>
2.1	Technical infrastructure for improvement of environment surrounding Bong Son reservoir or Hoang Xuan	3,940,234,700	3,940,234,700	30	0	30
2.2	Drainage canal T2a and access road	598,417,495	598,417,495	26	0	26
2.3	Drainage canal T2-4 (Bau Ra canal)	37,918,290	37,918,290	7	0	7
<b>3</b>	<b>HT-03</b>	<b>62,142,536,886</b>	<b>62,142,536,886</b>	<b>296</b>	<b>0</b>	<b>296</b>
3.1	Nguyen Trung Thien road, central section	10,028,869,272	10,028,869,272	34	0	34
3.2	Nguyen Trung Thien road - South section	20,237,826,061	20,237,826,061	134	0	134
3.3	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do bridge)	29,590,784,435	29,590,784,435	97	0	97
3.4	Management road D3 (Nguyen Huy Lung road)	2,100,971,548	2,100,971,548	26	0	26
3.5	Drainage canal T1 and access road	184,085,570	184,085,570	5	0	5
	<b>Total</b>	<b>130,071,320,512</b>	<b>130,071,320,512</b>	<b>1,160</b>	<b>0</b>	<b>1,160</b>

Source: Data provided by PMU (3/2020)

<sup>5</sup> According to approved compensation plans

86. **Evaluation:** The payment of compensation, support and resettlement to affected households was implemented in accordance with the requirements of the Project Policy Framework, updated Resettlement Plan (uRP), legal policies and documents of the Government of Vietnam. The site clearance was in line with the project progress and ensured the principle: “Construction activities will be only started on land where the compensation has been completed without any disputes”. The budget for compensation had been allocated in timely manner and full payment complete provided for AHs before AHs hand over land to subproject.

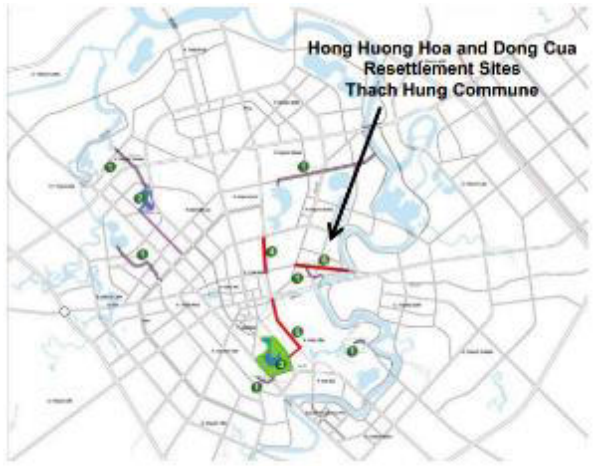

## 6. Resettlement situation and resettlement conditions

87. All 18 resettled AHs whose houses are fully affected have received compensation and handed over land since the end of 2018.

88. Out of 18 resettled households, 02 households built new houses on their remaining land; 09 households built new houses in the land they own elsewhere (these households were supplied with resettlement land); and 07 households built new houses in the resettlement areas of Cua Lang (19,000 m<sup>2</sup>) and Dong Huong Hoa (4,215 m<sup>2</sup>) in Thach Hung commune and Dong Hoang Nay commune, Van Yen ward.

89. Evaluation of new resettlement areas: These resettlement areas were built in the first quarter of 2014 with full infrastructure, such as transportation systems, drainage systems, daily-life water and electric supply (standard of using 100 litter water/people/day and night), health care centers, kindergartens, etc. for those whose land is recovered by the investment project in Ha Tinh province. For households who must resettle, they received compensation money and were arranged with 01 resettlement land plot for each, with an average area of about 185.77m<sup>2</sup> household (183- 193.65 m<sup>2</sup>/land plot).

90. As of 3/2020, all 18 relocated AHs have stabilized their new lives, of which, 07 households built new houses in the resettlement areas and adapted to the living conditions in the new dwelling.

	
<p><b>Location of resettlement area in Huong Hoa and Dong Cua Lang</b></p>	<p><b>Houses of resettled households in resettlement area</b>  <i>(Work-item: Hai Thuong Lan Ong road, (section from Mai Thuc Loan road to Do bridge))</i></p>

91. Pending issues related to the arrangement of resettlement land found in the internal monitoring (June 1, 2019):

– Road D1: there was one household - Mrs. Tran Thi Hoa received compensation in 2017 but had not handed over the site. She complained and requested for an additional plot of land for her because the project recovered her 117.86 m2 of residential land.

92. Up to the time of external monitoring (3/2020), the above issue was resolved by the PMU in coordination with the implementing agencies. Specifically, the People's Committee of Ha Tinh city approved Decision No.1332/QĐ - UBND dated August 28, 2019, approving the land-for-land exchange plan for the households affected by the Secondary Cities Development Project, work-item: Management Road D1 of Thach Trung reservoir, section from Ha Hoang road to Tran Phu road. Currently, Mrs. Tran Thi Hoa was granted with a new land plot: Plot No.01 with an area of 146m2 in Ong Hoai lane, Tan Trung hamlet, Thach Trung commune, Ha Tinh city.

93. **Evaluation:** The resettlement land plots were ready before the compensation and relocation of the households affected by the project. The PMU responded and immediately arranged new resettlement land for affected households. In addition, the resettlement areas were located close to the old locations of the relocated households. Through observation, the consultants found that the houses in the resettlement areas were newly built, which are clean, beautiful, secure, quiet, and spacious.

## 7. Livelihood restoration program (IRP) for affected households

94. According to the DMS results, out of the total of 1,160 households affected by the project, 559 households are eligible to participate in the IRP program, including:

- 510 severely affected households, losing 10% or more of agricultural land;
- 18 households must relocate;
- 89 households belong to the vulnerable group (58 severely affected vulnerable households losing 10% or more of agricultural land).

95. By the end of 2018, the consultants in collaboration with Ha Tinh PMU reviewed the list of households that had participated in the IRP from 2015 - 2018 and reviewed again their demand. On the basis of the consultant's survey results from December 18, 2017 to December 22, 2017, By the end of June 6, 2019: 510 AHs were participated in the IRP from 2015 - 6/2019 and we found 11 more AHs participated in IRP and finally there were 554/559 households wishing to participate in the IRP and 5/559 households did not have demand to participate in the IRP. Because (1) 02 AHs' members had found jobs/ had stable job so they did not want to participate in the activities or (2) 02 AHs changed to another livelihood, (3) 01 AHs moved to other provinces and difficult to contact and follow up.

96. Up to now, 554 AHs with 618 APs (some AHs with more than 02 people in labour age regist to participate to the trainings of IRP) have been participated in IRP. The details are in the table below.

**Table 15: Number of households participated in the Income Restoration Program from 2015 - 3/2020**

Years	Agricultural extension training	Vocational training	Borrowing loans	Total
2015	39	33	41	113
2016	16	45	24	85
2017	39	15	12	66
2018	15	38	37	90
6/2019	28	46	82	156
12/19	10	1	0	11
3/2020	15	8	10	33
<b>Total</b>	<b>162</b>	<b>186</b>	<b>206</b>	<b>554</b>

97. At the time of preparing the report (3/2020), there are 618 people in 554 HHs had joined in the IRPs. The results of reviewing the list of APs are as follows:

- 237 people/186 HHs who are members of the eligible affected households participated in the vocational training courses: Cooking, making worship incense, making rice “Banh Trang” .... There are 86 people joined in cooking class/ making rice “Banh Trang” and 23 people joined in making worship incense. The sample list of participants to the vocational training is attached in **Annex 8**.
- 175 people/162 HHs who are members of the eligible affected households participated in agricultural extension training courses such as safe vegetable cultivation, chicken raising... Specifically, 32 people joined in chicken breeding course, 33 people joined in Safe vegetable growing course, 1 person participated in technology of using fertilizers class and 21 people joined in Breeding techniques course. The sample list of participants to the agricultural extension training is attached in **Annex 9**
- 206 households were granted with loans to develop household economy by the social policy banks, through the loan programs: Clean water and environmental sanitation program; loans from student funds; and policy family program. The loans averaged VND 8 - 50 million VND/household over a 5-year term with the interest rates of 6.6% - 9.0%/year. In addition, some households were supported to borrow money from the Farmer's Union funds with preferential interest rate of 0.6% for business and production development (the average loan is 20-40 million/household within 24 months). The sample list of households who were granted loans is attached in **Annex 10**.

98. According to the community consultation results in the project communes/wards, affected people participated in the Income Restoration Program during the project implementation phase.

99. **Evaluation:** There are 618 APs/554 AHs had joined in the IRPs. 5 AHs did not have demand to participate in IRPs because they had found other jobs by themselves with stable job so they did not want to participate in the activities or they changed to another livelihood, or busy and had no time to participate, few AH move to other province and could not contact to follow up. All activities of IRP completed as of March 2020. The socio-economic survey results of the affected households with 100% severely affected HHs/vulnerable HHs show that 93.6% of the surveyed households had better standards of living compared to their conditions before the project implementation. This may be partly thanked to the effectiveness of the Income Restoration Program organized in the localities. Vocational trainings were found successful as almost AHs find a new job, for example making rice “banh trang”. Some AHs participated in agricultural extension training courses such as safe vegetable cultivation, chicken raising...safe vegetable cultivation, raising quail and chicken and they got higher skill for their farming or livestock with higher income. For small remaining HHs, they said that their life has not changed before the project implementation.

100. The PMU and the implementing units (Ha Tinh City Extension Center is under the Department of Agriculture and Rural Development (for agricultural activities) and the Vocational Training Center under the Department of Labor, War Invalids and Social Affairs and the Offices of Labor, War Invalids and Social Affairs) in collaboration with the People's Committees and mass organizations of the communes/wards such as the Women's Unions and Farmer's Unions organized agricultural extension, vocational training, loans with preferential interest rates to ensure that severely affected and vulnerable households were involved in the income restoration activities.

## **8. Complaints and settlement of complaints**

101. A grievance redress mechanism was developed to deal with grievances of affected people related to land acquisition, compensation and resettlement in a timely and adequate manner. All

affected households can complain about any issues related to land acquisition, compensation and resettlement such as entitlement, unit prices, payment, resettlement procedures and income restoration program.

102. In Ha Tinh province, the grievance redress procedure for resolving complaints was implemented in accordance with the Law on complaint 02/2011/QH13 dated 11 November 2011; the Decree 75/2012/ND-CP dated 3 October 2012, providing detailed guidelines for some provisions in Law on Complaints; the Circular No. 07/2013/TT-TTCP, regulating on the procedure of the settlement of administrative complaints; and the Decision No.1131/2008/QD-TTCP dated 18 June 2008. The main agencies responsible for the implementation of the complaint procedure is the Center for Land Fund Development and the city and commune/ward authorities. In Ha Tinh city, the complaint procedure is as follows:

- Step 1: An affected household will submit a complaint directly (in writing or oral) to the W/CPC or the City Land Development Center. After receiving complaints, the Center for Land Fund Development will coordinate with the respective ward/commune People's Committee to hold the first meeting to review the case and propose the first solution. Then the relevant parties will meet with the affected household and propose solution. If the affected household do not agree with the initial solution, the Center for Land Development will send a staff to consider the complaint according to the approved compensation plan (complaints are mainly related to land compensation unit prices). The next (2) meeting with affected household will be held to clarify the complaint. After this meeting, the Land Fund Development Center will send a written solution to the affected household and if the affected household agree with the solution, they will withdraw the complaint.
- Step 2: (i) If the affected household is still not satisfied with the proposed solution of the City Land Fund Development Center, they can submit complaint to the city level; (ii) In this case, the City People's Committee will return the complaint of the affected household to the Land Fund Development Center. Then the City Land Fund Development Center will work with the agencies, committees and sectors of the city to set up an appraisal team to supervise the complaint of the affected household. The complaint resolution process is followed as stipulated in the Law on Complaints and Denunciations.
- Step 3: If the affected household continues disagreeing with the decision of the City Land Fund Development Center, they can file complaint with the PPC. The PPC will review and issue a decision within 30 days from the date of receipt of the complaint.
- Step 4: If the affected household continues disagreeing with the decision of the PPC or the PPC does not respond within the specified time period, they can file complaint with the City's civil court.

103. During the complaint process, the household can file complaint with the court at any time.

104. During the project implementation, there were 26 complaints and grievances from people in the project area. Main contents of complaints and questions are as follows: (1) issues related to site clearance and compensation (clearance demarcation; compensation prices; resettlement land allocation); (2) issues related to the construction process (the construction does not match the designs, the construction affects people's lives); (3) proposals to add items that are not in the project designs. How to make complaints includes (1) sending petitions/complaints to authorized agencies and (2) telephone the authorities directly to make complaints and proposals. All complaints were resolved completely at one level and all the complainants got their satisfaction

105. There is no complaints have been sent to the provincial and the court levels during the project's implementation, specifically, the complaints in relation to each component are as follows:

- Package HT - 01: 11 complaints relating to HT – 01 work-items;
- Package HT - 02: 03 complaints relating to HT – 02 work-items;
- Package HT - 03: 12 complaints relating to HT – 03 work-items.

**Table 16: Summary of information about complaint settlement of Ha Tinh project<sup>6</sup>**

No	Packages	At the commune level		At the city level		At the Compensation Committee		At the PMU	
		Number of complaints	HHs satisfied	Number of complaints	HHs satisfied	Number of complaints	HHs satisfied	Number of complaints	HHs satisfied
1	HT-01: Main drainage routes + Thach Trung reservoir	0	0	0	0	0	0	10	10
2	HT-02: Improvement of the environment of Bong Son reservoir	1	1	0	0	1	1	1	1
3	HT-03: Construction of Nguyen Trung Thien road (south + central) + Hai Thuong Lan Ong road + Nguyen Huy Lung road	0	0	2	2	7	7	4	4
	<b>Total</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>8</b>	<b>8</b>	<b>15</b>	<b>15</b>

*Source: provided by the Project Management Unit*

106. Pending issues during the internal monitoring (June 2019): Until this external monitoring, Mrs. Tran Thi Hoa's household had been granted with a new land lot, with an area of 146m<sup>2</sup> in Ong Hoai alley, Tan Trung hamlet, Thach Trung commune, Ha Tinh city. Currently, Mrs. Tran Thi Hoa's household no longer has any questions or complaints related to this issue.

107. As of 3/2020, all project complaints were satisfactorily resolved by the Project Management Unit and the implementing units. By the time of the final resettlement assessment, all complained households were satisfied with the resolution of the authorities.

108. According to the socio-economic survey results, 100% of the participants think that they understood the grievance redress mechanism of the project and their rights and entitlements. Through the public consultations during the final external monitoring process, people and local authorities no longer have any complaints and grievances related to the project implementation, site clearance, expenditure, payment of compensation support.

<sup>6</sup> Specific recommendations/complaints of households are attached in Annex 6



109. **Evaluation:** During the project implementation process, the communications and introduction of the grievance redress mechanism was fully implemented in the project area. Therefore, all households know the grievance redress mechanism. Besides, the grievance redress was well resolved. Right from people's questions, the ward/commune authorities were present at site to explain, propagandize and advocate to solve people's questions and complaints, so they were thoroughly solved since the beginning. In case of complaints in writing to the District Compensation and Resettlement Committee or higher levels, they were urgently resolved, avoiding outstanding cases in order to speed up the project site clearance and compensation progress. All grievances of households were reviewed and resolved satisfactorily.

## **9. Institutional arrangement and organization of the implementation of the Resettlement Plan**

110. The Project's Resettlement Plan was implemented with the participation of the stakeholders, including: Ha Tinh City People's Committee, CIPMA - Ha Tinh PMU, City Center for Land Fund Development, and Compensation and Resettlement Committee. These agencies are rated as competent and sufficient to meet the requirements of the activities stated in the Resettlement Plan.

111. Ha Tinh PMU has the following functions: (i) supervise and coordinate all project activities; (ii) supervise consultants in charge of design, construction, compensation and site clearance activities; (iii) prepare general planning, supervise and coordinate the resettlement program; (iv) coordinate with the competent authorities to provide information and clarify content related to the settlement of complaints. During the project implementation, the PMU provided timely and effective support to Ha Tinh City Land Fund Development Center/Compensation and Site Clearance Committee in solving relevant problems in the implementation process of compensation, relocation and resettlement for the project.

112. The City Land Fund Development Center/Compensation and Site Clearance Committee were established by Ha Tinh City People's Committee and tasked to work directly with the PMU to prepare and implement the Resettlement Plan. The City Land Fund Development Center/Compensation and Site Clearance Committee shall perform the following tasks: (1) Issue land acquisition notices; (2) Inform affected households about the detailed measurement survey procedure; (3) establish a database of affected households; (4) collaborate with relevant agency such as Department of Natural Resources and Environment, CPC/WPC, PMU... to conduct the RCS and consultation on the compensation price, (5) prepare resettlement compensation and assistance plan according to the content of the Resettlement Plan; (6) Compose compensation form for affected households with the content detailing all types of damages together with corresponding unit prices; (7) Notify at least 2 weeks to each affected households of payment time; (8) Notify the proposed compensation amount to affected people and explain in detail about their entitlements based on the project policy; (9) If affected people agrees with the proposed compensation, proceed the payment and notify the affected people exactly the payment date; (10) Review complaints in consultation with related parties and households submitting complaints; and submit proposals to resolve complaints to the City People's Committee.

113. During the project implementation, the City Land Fund Development Center/Compensation and Site Clearance Committee sent land acquisition notice to each affected household when the project was officially approved; notify affected households about the detailed measurement survey; perform the detailed measurement survey; establish database of affected households; prepare compensation plan for affected households; calculate and review in detail all types of affect with corresponding compensation rate to various types of relocation and rehabilitation assistance; publicize the Compensation Plan and proposed

compensation amount to affected households and explain in detail about their entitlements according to the project policy and method of calculating such amount.

114. Local authorities at ward/commune level: In addition to the Chairpersons/Vice-chairpersons of the wards/communes participating the project, specialized supporters include: cadastral officers, construction inspectors and residential area leaders, who participate in the whole process of public consultation, DMS, land acquisition notification, compensation plan notification and compensation payment to affected households. In addition, the People's Committees of the communes/wards play as complaint settlement agencies and ensure that all complaints are resolved and involve local organizations in resettlement activities.

115. Coordination mechanism: The site clearance compensation is assigned to the City Land Fund Development Center/Compensation and Site Clearance Committee. To ensure the effectiveness of the compensation and site clearance, the PMU sent professional officers in charge of monitoring and coordinating regularly with the Compensation and Site Clearance Committee to assist them. In addition, the implementing units coordinated to review complaints and consult with the stakeholders to conduct appropriate resolutions for complaints.

116. The internal resettlement monitoring by Ha Tinh PMU implemented internal monitoring and prepared internal resettlement monitoring reports as required by the project. The internal monitoring reports were submitted twice a year. The internal resettlement monitoring reports during subproject implementations had the indicators: (i) number of affected households divided by the levels of impact of each work-item, and the status of compensation, relocation, livelihood restoration plan; (ii) cash disbursement; (iii) capital allocated and disbursed for resettlement activities, compensation, support and resettlement; (iv) activities, levels of participation, results of issues related to information dissemination and public consultation; and (v) current status and results of grievances and outstanding issues which should be addressed.

117. Assessment of internal monitoring process: The Black and Veatch and V-CIC Joint Venture is the Project Management and Implementation Support Consultant (PMIS) hired by Project Construction Investment Management Authority of Quang Nam province to assist the PMUs in the internal monitoring of the implementation of the project social and environment safeguard policies. For Ha Tinh subproject, the internal monitoring has been conducted from 2014 to June 2019. The Consultant has (i) helped ensure that project implementation will fully comply with Government's and ADB's policies and operational requirements in terms of detailed designs, procurement, construction supervision, disbursement, financial management, monitoring and evaluation, social and environmental safeguards, and gender and development policy; (ii) designed and conducted capacity development programs in public infrastructure planning and implementation, operation and maintenance, financial management and cost recovery, and environmental management and climate resilience; (iii) helped local communities increase awareness of public health, environmental management, and climate resilience; (iv) helped the Women's Unions in the three cities in developing their capacities for sustainable action orientated intervention. The consultant has been prepared and submitted the following project monitoring reports for Ha Tinh PMU:

- Inception Report
- Monthly reports were submitted at the end of each months. It summarized progress of the project, work accomplished, problems encountered for a month, working plan for the next month, recommendations to achieve the targeted outputs.
- Quarterly reports were submitted within a week after end of each quarter.
- Annual reports were submitted within two weeks after end of each year and include main issues such as (i) progress achieved by output as measured through the indicator's performance targets, and GAP targets (ii) key implementation issues and solutions, (iii)

updated procurement plan, and (iv) updated implementation plan for next 12 months, including GAP related activities.

- Mid – term review reports were submitted before ADB mid-term review mission and include full review of project progress, achievements and problems up to the time of the mid-term review report as well as any revisions made or estimated to be made to the project design.
- Project completion report has been submitted before completion of the contract.

118. Till the final independent monitoring and evaluation stage (3/2020), the due reports of the Project Management and Implementation Support Consultant (PMIS) have been submitted to the PMU on time. During the monitoring assignment, the Project Management and Implementation Support Consultant (PMIS) has reviewed the resettlement implementation, updated data and consulted with the community to ensure that the project policies have been applied adequately for affected people in compliance with the Bank's requirements, and supported the PMU in preparing semi-annual internal monitoring reports. In addition, the Project Management and Implementation Support Consultant (PMIS) has proposed necessary recommendations to address pending issues in relation to the project. At the present time, with the assistance of the PMIS, any complaints and questions in relation to Ha Tinh subproject have been resolved.

119. However, during 2018 – 2019, the Project Management and Implementation Support Consultant has been held many other consultation meetings to (i) disseminate the subproject information, (ii) collect the opinions of affected HHs about the project implementations through public consultation. However, the details of consultations were not mentioned in the reports of PMIS.

## **B. Socio-economic situation after the project implementation**

### **1. Socio-economic changes in the project area**

120. The consultant team collected socio-economic information in the project communes/wards (2019) to compare with the socio-economic characteristics in the project area in the period before the actual project implementation (2013).

121. Area: The agricultural production land of 10 communes/wards in the project area in 2013 was 1,853.3 ha (accounting for 50.8%) and non-agricultural land accounted for 44% (1,621 ha). In particular, residential land was 391.2 ha (accounting for 10.7%). By 2019, the urbanization process became strong; many agricultural areas were shrunk to 1,517.8 ha (accounting for 42%) and residential land increased to 577 ha (accounting for 15.9%).

122. Population: The average population in the project communes/wards keeps significantly increasing. Specifically, the population of 10 communes/wards in 2013 included 59,455 people. By the end of 2019, the population reached 65,715 thousand people (an increase of 10.5% compared to 2013). All wards/communes had population increase. Besides, the number of households also increased after 6 months of the project implementation. In 2013, the number of households in 10 communes/wards was 18,103 households and the average were 3.3 people/household. Number of households in 2019 increased by 7.8% and the average household size was 3.4 people/house. In general, the area of communes/wards was little changed contrary to the population increase, making the population density (people/km<sup>2</sup>) increase from 2013 to 2019. In 2019, Tan Giang ward had the highest population density (7,051 people/Km<sup>2</sup>) and Thach Hung commune had the lowest population density (870 people/km<sup>2</sup>). The number of labor force in the project area in 2019 increased compared to 2013. Specifically, in 2013, the number of people of working age accounted for only about 51% of the population at that time. By 2019, the number of people of working age became 36,856 people (accounting for 56.1%).

123. Economy: The income per capita in the project area has increased significantly. In 2013, the average income of the communes/wards was only 27.7 million/person/year. In particular, Nguyen Du ward at that time was the highest at 40.4 million/person/year, and Thach Hung commune was the lowest (17.4 million/person/year). By 2019, the average income per capita in communes and wards had increased by nearly 60%, almost VND 44.3 million/person/year, in which the population of Nguyen Du ward was the highest with the average income of 55.7 million/person/year, and Thach Hung commune was the lowest with the average income of 36.9 million/person/year. This is the result of the policies on economic development and infrastructure investment of the authorities of Ha Tinh city and Ha Tinh province. The living conditions in the project area has been improved, which is also reflected in the reduction of the poverty rate in the project area. The poor percentage of 10 project communes/wards has decreased from 3.2% (in 2013) to 2.2%, in which Nguyen Du ward has the lowest poverty rate of only 0.9% (2019) and Thach Dong commune has the highest poverty rate compared to other communes/wards (4.7%).

124. The economic structure has shifted significantly in the tendency of decreasing in agriculture - forestry - fishery and rapidly increasing in trade - services and industry - handicraft and construction. Specifically, in 2013, the proportion of agriculture - forestry - fishery in the project communes and wards accounted for 25% to 62%, in which Tan Giang and Nguyen Du wards are 25%. By 2019, the proportion of agriculture - forestry - fishery decreased at only 12% - 48%, in which Nguyen Du ward was the lowest (12%). The economic-trade-service structure of the communes and wards in the project area till 2019 averaged 65.8%, a significant increase compared to 2013 (reaching 50.1%), in which, Tan Giang ward was the highest (77%) and Thach Dong commune was the lowest in the trade - service sector.

125. Social services: At present, everywhere in the project communes/wards has been supplied with the state clean water. Some communes still use other sources of water (rainwater/well water) such as Thach Dong and Thach Hung communes. By 2019, all communes/wards have daily waste collection systems to ensure environmental sanitation. 100% of communes/wards in the area have hygienic toilets. The typical types of toilets used by households are septic/semi-flush toilets (over 90%) and toilets with 1 compartment/2 compartments.

126. Houses: Over 80% of the households in the project communes/wards owned solid multi-storey houses or 1-storey houses, and some households are using grade-4 houses with corrugated/tiled roofs. In the project area, 100% households are Kinh people and there are no ethnic minorities living.

127. Thus, it can be seen that the socio-economic conditions in the project area in general have had positive changes in the development direction in all aspects of life, income and access to social services and economic activities. The poverty rate has decreased significantly.... Specifically, the changes of socio-economic conditions in the project communes/wards are shown in the following table.

**Table 17: Socio-economic conditions in the communes/wards before (2013) and after project implementation (2019)**

Indicators	Tan Giang ward		Ha Huy Tap ward		Dai Nai ward		Nguyen Du ward		Thach Linh ward	
	2013	2019	2013	2019	2013	2019	2013	2019	2013	2019
Area (ha)	96.68	97.3	200.8	204.7	426.5	428.4	220.3	235.3	628.2	626.0
Agriculture production land	12.20	4.58	103.93	63.43	196.50	218.76	60.93	45.57	326.13	272.81
Non-agricultural land	82.67	44.99	80.57	76.27	198.16	117.13	156.92	118.54	282.66	179.95
Residential land	29.40	38.24	29.91	57.00	26.03	35.21	51.04	62.45	72.47	86.89
Population (people)	6,700	6,863	5,770	6,513	7,370	6,229	5,692	6,691	6,647	8,700
Households	2,167	2,003	1,726	1,795	2,337	1,859	1,798	2,882	1,946	2,392
Household size (person/household)	3.1	3.4	3.3	3.6	3.2	3.4	3.2	2.3	3.4	3.6
- Male	3,237	3,219	2,844	3,147	3,437	3,032	2,766	3,160	3,258	4,096
- Female	3,463	3,644	2,926	3,366	3,933	3,197	2,926	3,531	3,389	4,604
Population density (people/Km2)	6,930	7,051	2,821	3,182	1,728	1,454	2,567	2,843	1,027	1,390
Number of people of working age	3,618	3,789	2,656	3,707	3,967	3,569	3,098	3,800	3,102	4880
Average income per capita (million VND/year)	39.91	53.8	31.9	46.6	25.78	41.44	40.4	55.7	27.8	46.2
Poor household	55	32	42	25	55	48	20	21	59	62
The rate of poor households (%)	2.8	1.6	2.5	1.4	3.0	2.6	1.1	0.7	3.0	2.6
The economic structure										
- Agriculture - forestry – fishery (%)	25.00	15.0	33.0	23.0	48.0	30.0	25.0	12.0	52.0	30.0
- Industry and small-scale industry and construction (%)	5.00	8.00	3.0	5.0	4.0	8.0	3.0	7.0	3.0	5.0
- Trade and services (%)	70.00	77.0	64.0	75.0	48.0	62.0	72.0	81.0	45.0	65.0

Indicators	Thach Quy ward		Van Yen ward		Thach Trung commune		Thach Dong commune		Thach Hung commune		Total (10 communes/wards)	
	2013	2019	2013	2019	2013	2019	2013	2019	2013	2019	2013	2019
Area (ha)	358.2	339.5	253.7	260.2	613.6	614.6	335.7	339.7	520.7	467.0	3,654.2	3,612.7
Agriculture production land	177.94	150.11	139.28	118.12	338.78	286.70	180.41	170.32	322.20	187.44	1,858.3	1,517.8
Non-agricultural land	163.27	86.34	106.13	78.18	254.42	204.26	132.72	77.27	163.51	128.21	1,621.0	1,111.1
Residential land	49.30	79.45	23.16	30.26	51.70	81.13	23.83	40.94	34.35	65.40	391.2	577.0
Population (people)	8,038	8,264	3,621	4,084.0	8,569	10,498.0	3,555	3,810.0	3,493	4,063	59,455.0	65,715.0
Households	2,375	2,372	1,118	1,166	2,566	2,859	1,088	1,098	982	1,104	18,103.0	19,530.0
Household size (person/household)	3.4	3.5	3.2	3.5	3.3	3.7	3.3	3.5	3.6	3.7	3.3	3.4
- Male	3,902	3,950	1,694	2,312	4,159	5,207	1,696	1,901	1,713	2,002	28,706.0	32,026.0
- Female	4,136	4,314	1,927	1,772	4,410	5,291	1,859	1,909	1,780	2,061	30,749.0	33,689.0
Population density (people/Km2)	2,411	2,434	1,433	1,522	1,383	1,692	996	1,122	663	870		
Number of people of working age	4,561	4,678	1,851	1,976	4,222	6,020	1,827	2,116	1,808	2,321	30,710	36,856
Average income per capita (million VND/year)	27.9	45.6	24.1	42.3	22.6	37.9	19.1	36.6	17.4	36.9	27.7	44.3
Poor household	60	52	38	23	143	73	48	52	51	34	571	422
The rate of poor households (%)	2.5	2.2	3.4	2.0	5.6	2.6	4.4	4.7	5.2	3.1	3.2	2.2
The economic structure												
- Agriculture - forestry – fishery (%)	45.0	28.0	45.0	25.0	62.0	30.0	62.0	48.0	65.0	40.0	46.2	28.10
- Industry and small-scale industry and construction (%)	5.0	8.0	5.0	8.0	2.0	4.0	4.0	6.0	3.0	5.0	3.7	6.40
- Trade and services (%)	50.0	64.0	50.0	67.0	36.0	66.0	34.0	46.0	32.0	55.0	50.1	65.80

Source: Resettlement Plan (2013), Ha Tinh City Statistical Yearbook (2013 and 2019), Socio-economic Information Form at commune/ward level (2020).

## 2. Changes in socio-economic situation of affected households

128. As of March 2-3, 2013, a socio-economic survey of affected households was conducted with 22% of affected households (201 households) in the project communes/wards.

129. As of March 2020, the consulting unit conducted a socio-economic survey with 628 affected households (accounting for 54.1%) in 10 project wards/communes. The survey has been conducted with 100% of severely affected households/vulnerable households. In which 141 HHs overlap with survey 2013. Out of 628 participants, there are 237 men (37.7%) and 391 women (62.3%). Among 628 participants, 187 were household heads (29.8%); 283 were spouses of household heads (45.1%); and 25.2% were children of household heads.

130. **Poverty rate.** According to the basic socio-economic survey, the poverty rate in the project wards was lower than the city average poverty rate. In addition, at that time, in the project communes on the outskirts of the city, the poverty rate was much higher than the city average poverty rate.

131. At the time the final resettlement evaluation was carried out, the percentage of poor households in the project communes decreased sharply compared to 2013. In addition, the average poverty rate of 10 communes/wards was 2.2%. In particular, in 3/10 wards/communes in the project area, the poverty rate was lower than the average poverty rate of Ha Tinh city in 2019 (1.88%). The commune with the highest poverty rate in 2019 was Thach Dong (4.7%).

132. **Educational attainment:** The majority of the surveyed households gained secondary or high school education. The affected households in suburban areas have low education levels while the affected households in urban areas have higher education levels. The educational attainment of the household heads does not change significantly compared to the results of the first socio-economic survey.

133. **Main occupation.** Agriculture remains the main occupation of the affected households. However, at the time of the survey in 2013, the main occupation of 81.6% of the households affected by the construction items was engaged in agriculture. By the time of the final evaluation, the percentage of households engaged in agriculture decreased by 76.8%. The household main source of income from services - trading increased by 10.7% (2020) compared to the time of the initial survey at 7% (2013). In addition, the households participated in other professional activities such as handicraft men (1%), workers (7.3%) or salaried workers (employees at establishments and enterprises in the region) accounting for 2.2%.

134. The affected households living in the construction areas of Thach Trung reservoir and drainage routes: T8, T4, T3, and D3 management road (in Thach Trung, Thach Linh and Thach Dong communes) account for the higher rate in agriculture engagement (more than 80%). The affected households in the construction areas of Nguyen Trung Thien road, the central and southern sections, drainage routes: T2a and T2-4 accounted for the very low percentage in agriculture engagement, whose main occupations are trading, services, business and salary working. Thus, the participation in the economic sectors by the households affected by the project have changed. Their occupations become more diversified. In the project construction areas in the suburban communes, the number of households participating in agriculture has decreased and changed jobs, but this number is not large.

**Table 18: Main occupation of households**

Work-items		Agriculture, forestry and fishery		Trade and service		State employees/employees at companies and enterprises		Handicraft		Workers		Housewife/Retiree		Total
		Quantity	%	Quantity	%	Quantity	%	Quantity	%	Quantity	%	Quantity	%	Quantity
1	HT-01: Drainage canal T3	39	95.1	1	2.4	0	0.0	0	0.0	1	2.4	0	0.0	41
2	HT-01: Drainage canal T4	40	81.6	1	2.0	0	0.0	0	0.0	8	16.3	0	0.0	49
3	HT-01: Drainage canal T8	43	95.6	1	2.2	0	0.0	0	0.0	0	0.0	1	2.2	45
4	HT-01: Thach Trung reservoir, Drainage canal D1, D2, D2a	245	93.9	0	0.0	0	0.0	0	0.0	15	5.7	1	0.4	261
5	HT-02: Bong Son reservoir	3	37.5	2	25.0	2	25.0	0	0.0	1	12.5	0	0.0	8
6	HT-02: Drainage canal T2a	1	16.7	5	83.3	0	0.0	0	0.0	0	0.0	0	0.0	6
7	HT-02: Drainage canal T2-4	0	0.0	2	50.0	1	25.0	0	0.0	1	25.0	0	0.0	4
8	HT-03: Nguyen Trung Thien road, central section	1	11.1	5	55.6	0	0.0	0	0.0	2	22.2	1	11.1	9
9	HT-03: Nguyen Trung Thien road - South section	48	44.4	36	33.3	10	9.3	2	1.9	11	10.2	1	0.9	108
10	HT-03: Hai Thuong Lan Ong road (section from Mai Thuc Loan road	49	59.0	13	15.7	1	1.2	4	4.8	7	8.4	9	10.8	83
11	HT-03: Management road D3	9	90.0	1	10.0	0	0.0	0	0.0	0	0.0	0	0.0	10
12	HT-03: Drainage canal T1	4	100.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	4
<b>Total</b>		<b>482</b>	<b>76.8</b>	<b>67</b>	<b>10.7</b>	<b>14</b>	<b>2.2</b>	<b>6</b>	<b>1.0</b>	<b>46</b>	<b>7.3</b>	<b>13.0</b>	<b>2.1</b>	<b>628</b>

Source: Socio-economic survey, 3/2020.



135. Household income. According to the initial socio-economic survey data about affected households (2013), their average monthly income was 2-3 times higher than the standard poverty line in urban areas. The average income of the affected households before the project implementation was about 4.9 million/month (average 4.5 people/household). The incomes of the households in the construction area of Nguyen Trung Thien road - central section was the highest because they were mainly from trading or public servants' salaries.

136. At the final socio-economic survey (2020), the average income of the households in all construction items has increased compared to 2013. Specifically, the average income of the affected households participating in the survey is 7,571,338 VND/household (an increase of nearly 1.6 times compared to 2013). In particular, the areas with the highest average household are in relation to drainage canals T2-4 & T2a and Nguyen Trung Thien road - the central section, passing such urban wards as Tan Giang, Ha Huy Tap, Van Yen and Dai Nai. The communes/wards in suburban areas have lower average household income.

**Table 19: Household income before and after project implementation**

Wards/communes		Locations	Monthly household income (2013)	Monthly household income (2020)
1	HT-01: Drainage canal T3	Thach Linh ward	4,864,362	7,529,268
2	HT-01: Drainage canal T4	Thach Trung commune	5,354,714	7,171,429
3	HT-01: Drainage canal T8	Thach Dong commune	4,864,362	7,053,333
4	HT-01: Thach Trung reservoir, Drainage canal D1, D2, D2a	Thach Trung commune, Nguyen Du ward	5,354,714	7,615,709
5	HT-02: Bong Son reservoir	Dai Nai ward; Ha Huy Tap ward, Van Yen ward	N/A	7,262,500
6	HT-02: Drainage canal T2a	Dai Nai ward; Van Yen ward	N/A	8,233,333
7	HT-02: Drainage canal T2-4	Ha Huy Tap ward, Dai Nai ward	N/A	8,450,000
8	HT-03: Nguyen Trung Thien road, central section	Tan Giang ward, Thach Quy ward	6,923,077	8,177,778
9	HT-03: Nguyen Trung Thien road - South section	Tan Giang ward, Van Yen ward, Dai Nai ward	3,009,167	8,014,815
10	HT-03: Hai Thuong Lan Ong road (section from Mai Thuc Loan road	Thach Quy ward, Thach Hung commune	5,096,400	7,319,277
11	HT-03: Management road D3	Thach Trung commune; Nguyen Du ward	N/A	7,230,000
12	HT-03: Drainage canal T1	Thach Quy ward	4,864,362	7,325,000
<b>Total</b>			<b>4,975,627</b>	<b>7,571,338</b>

*Source: Socio-economic survey, 3/2020 and initial socio-economic survey results (2013)*

137. **Household expenditure.** The increase in income will also result in the increase in household expenditure. The household average expenditure is about 5.6 million VND/household/month. In particular, the average expenditure is high in urban wards, while in the communes/wards in suburban areas and near suburban areas the average expenditure is lower.

**Table 20: Household expenditure**

Wards/communes		Locations	Household expenditure by month (2020)
1	HT-01: Drainage canal T3	Thach Linh ward	4,753,659
2	HT-01: Drainage canal T4	Thach Trung commune	4,420,408
3	HT-01: Drainage canal T8	Thach Dong commune	4,160,000
4	HT-01: Thach Trung reservoir, Drainage canal D1, D2, D2a	Thach Trung commune, Nguyen Du ward	4,650,958
5	HT-02: Bong Son reservoir	Dai Nai ward; Ha Huy Tap ward, Van Yen ward	4,775,000
6	HT-02: Drainage canal T2a	Dai Nai ward; Van Yen ward	4,666,667
7	HT-02: Drainage canal T2-4	Ha Huy Tap ward, Dai Nai ward	4,950,000
8	HT-03: Nguyen Trung Thien road, central section	Tan Giang ward, Thach Quy ward	4,666,667
9	HT-03: Nguyen Trung Thien road - South section	Tan Giang ward, Van Yen ward, Dai Nai ward	4,859,259
10	HT-03: Hai Thuong Lan Ong road (section from Mai Thuc Loan road	Thach Quy ward, Thach Hung commune	4,674,699
11	HT-03: Management road D3	Thach Trung commune; Nguyen Du ward	4,520,000
12	HT-03: Drainage canal T1	Thach Quy ward	4,150,000
<b>Total</b>			5,604,167

*Source: Socio-economic survey, 3/2020.*

138. **Housing.** All households engaged in the survey-built houses, of which 582 households (92.8%) own solid houses (1 floor or more with brick walls and reinforced concrete roofs) and only 7.2% own semi-solid houses (brick wall, metal corrugated/tile roofs). At the time of the initial socio – economic survey (2013), the information of house condition of displaced HHs did not describe in detail. However, during public consultations and based on the SES (2020) results, all displaced HHs in subproject said that they have built the new and better houses after relocation.

139. **Clean water.** At the time of the initial socio-economic survey (2013), the status of water supply varies among the households affected by the project work-items. Only Tan Giang, Van Yen and Dai Nai wards use 100% piped water for daily activities (cooking, bathing and washing), belonging to Nguyen Trung Thien road component – the central section. In other areas, affected households use piped water (when available), rain water and well water.

140. As of 2020, the households using piped water have increased. 100% of the communes/wards in the project area had piped water for daily use. However, in 2 areas of Thach Hung commune (Hai Thuong Lan Ong road work-item from Mai Thuc Loan road to Do Ha bridge) and Thach Dong commune (T8 drainage canal), there are still some households using drilled well water and rainwater even though the communes connected to clean water supply systems. Specifically, the number of households using piped water accounts for 98.9% (621 households), only 0.6% use drilled well water, and 0.5% uses rain water in domestic activities.

141. **Toilets/latrines.** At the start of the project (2013), all affected households had toilets. The majority of households use septic toilets and some use dug-latrines (20-40%). By 2020, the rate of households using flush septic/ semi-flush toilets has increased, with 97.5% of survey respondents saying that they own flush septic/ semi-flush toilets (612 households), except 16

households currently using one or two compartment latrines, which are mostly in Thach Hung and Thach Dong communes.

142. **Energy.** 100% of surveyed households use national electricity for lighting. In 2013, 87% of the households surveyed at that time used gas for cooking. By 2020, gas has remained the main source of energy used in cooking. However, the number of households using gas for cooking has increased by 98.2%.

143. **Environmental sanitation.** All project wards/communes have been provided with daily waste collection services for people. 100% of the surveyed households confirmed that domestic waste is collected daily 2-3 times/week by sanitation teams.

144. Accordingly, the socio-economic survey results about affected households reveal that the socio-economic life had positive changes. Specifically, the occupation tendency has shifted from agriculture to other professions. The percentage of poor households in the project communes/wards has decreased significantly compared to the project start-up period. The provision and access to social services for households (environment sanitation, clean water...) has been improved. All project wards/communes are provided with state clean water and daily waste collection services. In addition, the incomes of the project-affected households have increased compared to the project start-up period.

### **C. Satisfaction of affected people**

145. The majority of affected households received compensation, as of the final monitoring survey time, showing that 100% of the households were satisfied with the project resettlement activities. Up to now, all resettlement compensation and allowances were fully paid to the project-affected households. Specifically, the satisfaction levels of affected households on the project implementation are as follows:

146. **Community consultation.** The affected households said that they were invited to community consultation meetings at the ward/commune people's committees. Households are consulted and provided with project information, detailed measurement surveys, and compensation, support and resettlement plans. Comments/ opinions of affected households were absorbed and solved promptly. 100% of the affected households are satisfied with the community consultations. 100% of the households surveyed said that they had been informed about the project and understood their rights and entitlements.

147. **Detailed measurement survey (DMS):** 100% of the affected households received notices on the DMS for 2-weeks before it was organized.

148. **Unit prices of compensation.** 100% of the people surveyed said that they were satisfied with the compensation unit prices.

149. **Announcement of compensation plans:** 98.2% of the surveyed households (618 households) said that the compensation plans were informed to them with full amount of affected assets (including affected land and assets on land), except for 1.6% of the households surveyed that did not remember whether the compensation plans were public or not, because the project implementation was so long before and they do not remember clearly. According to the community consultation results, the compensation plans and compensation unit prices were made available to all affected people.

150. **Evaluation on the Income Restoration Program (IRP):** Among the surveyed households, 559 households (89.1%) participated and 10.9% did not participate in the IRP. The diverse activities participated by households are cooking, making rice "banh trang" ... safe vegetable cultivation, raising quail and chicken, and borrowing preferential loans from social policy banks, women's unions and farmer's unions in the respective wards/communes.

151. **Subdivision of resettlement land plots.** The whole project has 18 households which are relocates and resettled. According to the socio-economic survey results, 16 out of 18 resettled households said that they were introduced about the resettlement areas. These 16 households were allocated with land plots in the resettlement areas. By the final evaluation time, 7 households chose to build new houses in the resettlement areas; 9 households built new houses in their land elsewhere; and 02 households rebuilt houses on their remaining residential land after the project acquired. All households allocated with resettlement land plots received land use certificates for their land plots. 100% of them said that the infrastructure in their new places of residence is better than their old ones; the local authorities support them to merge and adapt to the new lives; and they are satisfied with their new places of residence.

152. **Settlement of complaints.** By the final resettlement monitoring time, 100% of the affected households with complained about the quantity and scale of affected assets, legal status of affected assets, allocation of resettlement land plots, and compensation prices, that are satisfied with the settlement options of the Project Management Unit and the implementing agencies (Compensation and Site Clearance Committee/Land Fund Development Center). Besides, according to the results of the public consultations, not any outstanding complaints related to site clearance compensation remain as of 3/2020.

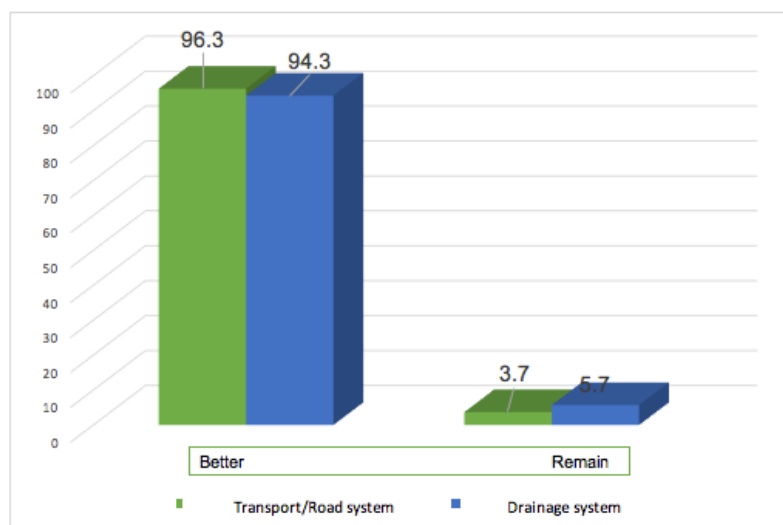
153. **People's satisfaction about the whole project.** 100% of the survey households are satisfied with the project implementation. Through community consultations, the consultants assessed that local authorities and people supported the project implementation.

#### 154. **Assessment of households about the project**

155. After the project was implemented, people responded positively to the changes in the transport infrastructure and drainage systems in the city. Specifically, for the road system, 96.3% of the surveyed households assessed that it has been improved significantly and much better than in 2013 and people's travel becomes more convenient which reduces travel time through wards/communes in the city.

156. As much as 94.3% of the surveyed people assessed that the drainage systems in their area is better than in 2013. The construction of drainage canals prevents dirty and stinking water from flowing into fields as before, protects the urban landscape and minimizes inundation and environmental pollution.

**Chart 1: Household assessments about transport infrastructure and drainage changes**



(Source: Socio-economic survey, 3/2020)

157. In addition, the consultants conducted surveys on household self-assessment and comments on their living standards compared with the period before the project implementation. As the result, 93.6% of the surveyed households (588 households) rated their living standards as better than in 2013; only 6.4% commented that their living standard has not changed compared to the previous; in particular, relocated/resettled households commented that currently (2020) their household living standards are better than in 2013.

158. By the time of the external monitoring in 3/2020, all project work-items of Ha Tinh province were completed and are being used in people's daily activities in the project area. There are not any unresolved grievances and complaints related to the project implementation of compensation and resettlement. Hereafter are some pictures of the project work-items that are being in operation.

	
<p>Nguyen Trung Thien road - South section</p>	
	
<p>Thach Trung reservoir management road (D2)</p>	<p>Thach Trung reservoir management road (D1)</p>



	
<p>Thach Trung reservoir</p>	<p>Thach Trung reservoir management road (D2a)</p>
	
<p>Infrastructure around Bong Son reservoir</p>	<p>Drainage canal T4</p>
	
<p>Drainage canal T8</p>	<p>Drainage canal T3</p>

	
Nguyen Trung Thien road – central section	Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do Ha bridge)
	
Hai Thuong Lan Ong road (section from Mai Thuc Loan road to Do Ha bridge)	Nguyen Huy Lung road (management road D3)

#### IV. Conclusions and lessons learned

##### A. Conclusions

159. The final resettlement monitoring and evaluation results show that all project land acquisition, resettlement and compensation activities were completed. All resettled households have stabilized their lives. During construction, there was no additional impact related to land acquisition. All project work-items have been completed and put into operation in 2019.

160. The Project Management Unit has cooperated with the implementing units (Land fund Development Centre/Compensation and Site Clearance Committee, People's Committees of the city and the wards/communes) to conduct land acquisition, resettlement, support and compensation in compliance with the procedures and requirements specified in the RP and the regulations of the Vietnamese government.

161. The social safeguard documents were prepared and approved according to Bank's requirements.

162. The information disclosure, community consultation, detailed measurement survey with all affected households during the project implementation were fully implemented. According to the results of the household surveys and community consultations, all households said that the detailed measurement survey was accurate, and they received information about the

project through various channels such as community consultations and radio speakers of the wards/communes....

163. The preparation of compensation options and compensation payment for affected households was accomplished. The compensation for affected households was in cash for affected land and assets on land equal to replacement costs at current market values for materials and labor, excluding deduction of depreciation and value of re-usable materials, transportation of materials and labor. Full payment was prior to the land acquisition.

164. Resettled households have built new houses and stabilized their lives in new living areas. These resettlement areas are complete with transportation systems, drainage systems, water supply systems, electricity systems, health care centres, kindergartens, etc.

165. In addition, affected households received allowances and compensation to restore their affected assets and livelihoods. Particularly severely affected and vulnerable households participated in income restoration programs such as vocational training (cooking, making incense, making rice “banh trang”), agricultural extension training (planting safe vegetables, raising chickens and quails), and were supported with loans from social policy banks with preferential interest rates. There are 369 APs/369HHs had joined in the IRPs. All activities of IRP completed as of March 2020. The socio-economic survey results of the affected households (with 100% severely affected HHs) show that 93.6% of the surveyed households had better standards of living compared to their conditions before the project implementation. For remaining HHs, they said that their life has not changed before the project implementation.

166. 100% of the people are satisfied with the project compensation and site clearance. As of March 2020, not any complaints or grievances exist. All previous grievances and complaints of affected households and people were taken over and resolved to meet the requirements of the project and the complainants.

167. The internal monitoring was fully implemented by the Project Management Unit. The internal monitoring reports reflected information and progress of the Resettlement Plan and what is related to site clearance, compensation, support as well as the issues to be addressed. Data on land acquisition, compensation, and resettlement assistance are stored in the PMU office for management and monitoring.

168. The living conditions in the project area in general and the living conditions of the affected people in particular have had many positive changes after the project implementation. Specifically, the per capita income of all project communes/wards has increased sharply compared to the period before the project implementation. The poverty rate remains 2.2% (2019). Particularly for the affected households surveyed, their average monthly income is 7,571,338 VND/household (an increase of nearly 1.6 times compared to 2013). The household engagement in the economic sectors has been more diverse (handicraft, working as employees/workers in the agencies in the area...). The percentage of household main income from agriculture has decreased slightly compared to 2013. Such social services as clean water (piped water) and sanitation (collection of domestic waste) have been provided to all people in the project area. According to the surveys on the affected households, 93.6% said that their living standards are better than in 2013.

169. After the construction works were completed and put into operation, over 90% of the surveyed households assessed that the road and drainage systems in the project area have significantly improved, much better than in 2013. People's travel is more convenient, reducing travel time through wards/communes in the city. The construction of drainage canals prevents dirty and stinking water from flowing into fields as before, ensuring urban landscape and minimizing flooding and environmental pollution.



## **B. Lessons learned**

170. The progress of preparing social safeguard policy documents for the subproject was prepared and approved at the Bank's requirement on time. Therefore, the progress of subproject implementation is on schedule. Additionally, the safeguard policy documents are the guiding documents for subproject implementation to ensure the rights/entitlements of affected people is provided following the project policy.

171. All the activities related to the resettlement of subproject is implemented following the project policy. The budget for resettlement implementation in subproject had been allocated on time leading to the site clearance activity and the construction of work-items is implemented on schedule. Therefore, the compliance with the project resettlement procedures and policies as well as the timely budget allocation from the counterpart funding to conduct compensation and support activities for affected households, and the good land acquisition and site clearance ensuring the rights/entitlements of affected people will facilitate the construction of the project work-items on schedule.

172. The detailed measurement survey (DMS) for affected assets requires high accuracy and is important process during the land acquisition. During the subproject implementation, the DMS had been done with all affected HHs. The DMS was took a lot of time to completed. The DMS results had been reviewed by IAs and each affected HHs to ensure those results were accurate. Therefore, the DMS information is the baseline data for calculating compensation and allowances for each affected household. Therefore, any errors or nonconformities in the DMS process will make the calculations of compensation and allowances for affected households wrong. This will result in complaints by affected households later and slow down the land acquisition and compensation, thereby affecting the construction progress of the project work-items. The practice proves that a good DMS process will make land acquisition progress, and compensation, support and resettlement process quick and accurate.

173. The disclosure of information activities of subproject is implemented well during subproject preparation/implementation. The information of project had been provided sufficiently for all local people. Therefore, local people had been freely given their opinions and complaints about the issues related to project implementation. All the complaints of local people had been reviewed and resolved by IAs. Therefore, local people agreed and satisfied with subproject implementation. Therefore, the disclosure of information, especially the grievance redress mechanism, for affected households during the project implementation is indispensable. Providing sufficient information about the project and the rights of project-affected households in relation to land acquisition, resettlement, compensation, and grievance redress mechanism will be favourable conditions for households to be actively and initially involved in the project implementation process.

## **V. ANNEXES**

## **Annex 1: Public consultation minutes at project wards/communes**

### **Summary of public consultation minutes**

The consultations were conducted in March 2020 in 10 communes/wards with the representative of consultancy units, CPCs and PMU.

The content of public consultations:

- Representative of PMU/consultancy unit discusses with local authorities and local people about: project's general information (objectives, location, scale, funding sources, etc.), information on scope/level/type of impacts on local people during the project implementation; discussion on project-related issues (information disclosure, compensation, resettlement and site clearance supports, etc.).
- During the implementation, local people were consulted and informed fully of project information and policies.
- In the DMS process, APs participated in the DMS team to ensure to the accuracy and transparency of the survey results.
- The compensation plan was disclosed to the APs. The compensation rate was reasonable at the time of compensation.
- Up to now, all affected households have received full compensation and there are no claims related to site clearance compensation and supports.
- Those who are relocated, have received supports and land allocation at the resettlement sites. Displaced households in had built their houses.
- For severely affected and displaced households and vulnerable HHs, they have participated in the livelihood restoration programs such as training courses on cooking, beverages preparation, and incense making, agricultural extension activities such as: safe vegetable cultivation, chicken and quail raising, loan supports from VBSP, loans from Ward Women's Association
- The work-items were completed in 2019. After being putting in the operation, the work-items created favorable conditions for local people's travel, economic development, and increased incomes.
- Collection of information from AHs is conducted with the cooperation of local authorities.
- Local authority and APs totally agree with the Project implementation
- PMU acknowledges comments/feedback and supports of AHs, especially operation unit to operate the project works effectively.

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc

BIÊN BẢN HỌP THAM VẤN CỘNG ĐỒNG DÂN CƯ

Tên dự án: Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh

Địa điểm thực hiện dự án: Xã Thạch Hưng

Thời gian họp: 14h 03/3/2020

Địa chỉ nơi họp: UBND xã Thạch Hưng

I. Thành phần tham dự

I.1. Đại diện UBND xã/phường

1) Nguyễn Xuân Hòa Chức vụ: Chủ tịch UBND xã

2) Chức vụ: Phó Chủ tịch UBND xã

I.2. Đại diện chủ dự án: Ban QLDA đầu tư xây dựng TP. Hà Tĩnh

1) Văn Học Ninh Chức vụ: Giám đốc

2) Nguyễn Hoàng Thanh Chức vụ: Trưởng phòng KT-TT

I.4. Đại diện đơn vị tư vấn:

1) Phạm Sơn Tùng Chức vụ: Cán bộ

2) Chức vụ: Cán bộ

I.5. Đại biểu các thành phần tham dự: Đại diện của UBND, UBMTTQ xã Thạch Hưng, các tổ chức chính trị - xã hội, tổ chức xã hội nghề nghiệp, tổ dân phố (có danh sách kèm theo) thuộc xã.

II. Nội dung và diễn biến cuộc họp

II.1. Ông/Bà: Văn Học Ninh chủ trì cuộc họp thông báo lý do cuộc họp và giới thiệu thành phần tham dự.

II.2. Ông/Bà: Nguyễn Hoàng Thanh trình bày tóm tắt các nội dung: Giới thiệu chung về dự án (cung cấp các thông tin như mục tiêu, địa điểm, quy mô, nguồn vốn...); thông tin về phạm vi, mức độ, loại ảnh hưởng đến người bởi tiểu dự án, trao đổi các vấn đề trong quá trình thực hiện dự án (cộng bố thông tin, hỗ trợ đền bù giải phóng mặt bằng, tái định cư,...)

II.3. Thảo luận, trao đổi giữa cộng đồng dân cư chịu tác động trực tiếp với chủ dự án, Ủy ban nhân dân cấp phường về các vấn đề mà chủ dự án đã trình bày tại cuộc họp

a. Ý kiến thảo luận của cộng đồng dân cư

Ban chỉ đạo xã Thạch Hưng có đầu tư hàng chục công ty tư vấn để thực hiện các dự án, các dự án đã hoàn thành vào năm 2019. Sau khi hoàn thành việc đầu tư, các công ty

chính sa thuận là hàn, tạo điều kiện cho phát triển  
khiến tế, giao thông bằng hàng, năng của thu  
nhập của gia đình  
- Tổng quát, thực hiện chỉ an aq chiu và  
chức vụ để được thông báo, thông tin về chi phí  
- Thanh quả, kết quả kinh doanh, chi phí, chi phí  
anh hưởng của, được thông qua, cũng là, được đến  
và kết quả do các là, chính xác  
- phước an bị các, cũng bị, cũng khai  
thị, với sự bị ảnh hưởng  
- Đại quá, bị, thường là, địa lý, hợp lý tại  
thời điểm, bị, thường  
- Tiến, các, thời điểm, hiện tại, tất cả các hồ  
sơ, lý do, nhân, đang đi, tiến, bị, thường và  
kết quả, các, điều, biến, ước, hiện, quá, đang  
- Các, B. Nhưng, lý do, chi, chi, chi, đang, lý  
do, và, nhân, chi, chi, chi, đang, chi, chi  
lại, chi, chi, chi, chi, chi, chi, chi, chi, chi  
lý, chi, chi, chi, chi, chi, chi, chi, chi, chi  
chi, chi, chi, chi, chi, chi, chi, chi, chi  
T.Đ.C. các, chi, chi, chi, chi, chi, chi, chi, chi, chi  
- Đại, với, các, chi, chi, chi, chi, chi, chi, chi, chi, chi  
tôi, thường, chi, chi, chi, chi, chi, chi, chi, chi, chi  
phần, chi, chi, chi, chi, chi, chi, chi, chi, chi  
chi, chi, chi, chi, chi, chi, chi, chi, chi  
ngay, với, chi, chi, chi, chi, chi, chi, chi, chi, chi  
chi, chi, chi, chi, chi, chi, chi, chi, chi  
bất, chi, chi, chi, chi, chi, chi, chi, chi, chi  
- Chi, chi, chi, chi, chi, chi, chi, chi, chi  
trung, với, chi, chi, chi, chi, chi, chi, chi, chi, chi  
anh, chi, chi, chi, chi, chi, chi, chi, chi, chi



**b. Ý kiến phản hồi của chủ đầu tư**

Thưa, hàng là xã có 5' từ dân bị ảnh hưởng phải đi dời  
nhà của cơ nhất trong dân xã là xã có 5' từ bị  
ảnh hưởng về mô mà Ban quản lý địa an xin gửi nhà  
những việc họ đầu bị xây dựng địa an trong quá  
trình thực hiện.

**3. Người chủ trì cuộc họp tuyên bố kết thúc cuộc họp**

- Những nội dung tán thành:

Tất cả đều tán thành với chính sách của địa an.

- Những nội dung còn có ý kiến chưa đồng ý (nếu có):

Không.

Biên bản cuộc họp tham vấn cộng đồng của Dự án: **Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh**

kết thúc lúc 15<sup>h</sup> 3.13.2020

Nội dung biên bản đã được đọc lại cho mọi người cùng nghe và thông qua ý kiến.

**ĐẠI DIỆN CHỦ ĐẦU TƯ**



*Văn Hoài Ninh*

**ĐẠI DIỆN UBND xã/phường**



*Nguyễn Chính Đức*

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập – Tự do – Hạnh phúc

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DANH SÁCH ĐẠI DIỆN CÁC THÀNH VIÊN THAM GIA

Thời gian:

Bắt đầu..... h..... ngày 3..... tháng 3..... năm 2020.

Kết thúc..... h..... ngày 3..... tháng 3..... năm 2020..

Địa điểm..... Xã Thạch Lũng.....

STT	Họ và tên	Địa tục	Địa chỉ chức vụ	Chữ ký	Ghi chú
1	Ngô Đình Tâm		Xóm Kinh Nam		
2	Ngô Văn Minh		"		
3	Trương Thế Thạch		"		
4	Vũ Thị Ninh		"		
5	Phạm Văn Ba		"		
6	Phạm Văn Phụng		"		
7	Ngô Quang		"		
8	Ngô Thị Hà		"		
9	Ngô Chính Tý		"		
10	Phạm Xuân Thủy		"		
11	Trần Hữu Hồng		"		
12	Trình Nhân		Xóm Bình		
13	Trình Văn Sơn		"		
14	Bùi Thị Minh		"		
15	Trần Hữu Nam		"		
16	Trần Chuyền		"		
17	Dương Văn Tuấn		"		
18	Dương Thiệu Quý		"		
19	Trần Văn Hòa		"		

STT	Họ và tên	Dân tộc	Đã chức vụ	Chức vụ	Ghi chú
20	Trần Văn Vĩ		Xóm Bính	Đi	
21	Trần Văn Vư		"	Đi	
22	Trần Hải		"	Hải	
23	Phạm Thị Sơn		Xóm Kinh Văn	Sơn	
24	Trần Hải Dương		"	Sơn	
25	Trần Hải Hưng		"	Lưu	
26	Phạm Văn Phòng		"	Phòng	
27	Phạm Văn Tú		"	Tú	
28	Phạm Xuân Thủy		"	Thủy	
29	Phạm Văn Hải		"	Hải	
30	Phạm Văn Tuấn		Xóm Bính	Phạm	
31	Lê Trung Liên		"	Liên	
32	Phạm Thị Tâm		"	Phạm	
33	Trần Thị Mai		"	Mai	
34	Đỗ Trung Thuần		"	Thuần	
35	Ngô Thị Thuận		Xóm Trung Hưng	Thuận	
36	Trần Thị Hoa Thanh		"	Thanh	
37	Trần Thị Yên		"	Yên	
38	Đỗ Thị Tường		"	Tường	
39	Ngô Chính Dân		UBND xã		

Ngày 3 tháng 3 năm 2020

Xác nhận của địa phương



Nguyễn Chính Dân

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**  
**Độc lập - Tự do - Hạnh phúc**

**BIÊN BẢN HỌP THAM VẤN CỘNG ĐỒNG DÂN CƯ**

Tên dự án: **Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh**

Địa điểm thực hiện dự án: Phường Tân Giang

Thời gian họp: 14/6/2020

Địa chỉ nơi họp: UBND Phường Tân Giang

**I. Thành phần tham dự**

**I.1. Đại diện UBND xã/phường**

1) Trưởng Phường Chức vụ: Chủ tịch UBND

2) ..... Chức vụ: .....

**I.2. Đại diện chủ dự án** Ban QLĐA đầu tư xây dựng TP. Hà Tĩnh

1) Ông Văn Hoài Ninh Chức vụ: Giám đốc

2) Ông Nguyễn Hoàng Thịnh Chức vụ: Trưởng phòng KH - TT

**I.4. Đại diện đơn vị tư vấn:**

1) Phạm Sơn Tùng Chức vụ: Chuyên gia xe buýt

2) Phường Tân Dũng Chức vụ: Chuyên gia

**I.5. Đại biểu các thành phần tham dự:** Đại diện của UBND, UBMTTQ xã P. Tân Giang, các tổ chức chính trị - xã hội, tổ chức xã hội nghề nghiệp, tổ dân phố (có danh sách kèm theo) thuộc xã P. Tân Giang

**II. Nội dung và diễn biến cuộc họp**

II.1. Ông/Bà: Văn Hoài Ninh chủ trì cuộc họp thông báo lý do cuộc họp và giới thiệu thành phần tham dự.

II.2. Ông/Bà: Nguyễn Hoàng Thịnh trình bày tóm tắt các nội dung: Giới thiệu chung về dự án (cung cấp các thông tin như mục tiêu, địa điểm, quy mô, nguồn vốn...); thông tin về phạm vi, mức độ, loại ảnh hưởng đến người bởi tiểu dự án, trao đổi các vấn đề trong quá trình thực hiện dự án (công bố thông tin, hỗ trợ đền bù giải phóng mặt bằng, tái định cư,...)

II.3. Thảo luận, trao đổi giữa cộng đồng dân cư chịu tác động trực tiếp với chủ dự án, Ủy ban nhân dân cấp phường về các vấn đề mà chủ dự án đã trình bày tại cuộc họp

**a. Ý kiến thảo luận của cộng đồng dân cư**

Trên địa bàn phường có 04 hàng trục công trình như sau:  
- Đường Nguyễn Huệ đoạn Trung Tâm  
- Đường Nguyễn Huệ đoạn phía Nam



Trong quá trình thực hiện dự án, người dân đã được tham  
vấn và phổ biến thông tin về dự án và chính sách dự án  
ngay từ lúc lập dự án.

Lời hứa bị ảnh hưởng tiêu cực thông báo về lịch thực hiện  
hoạt động kiểm đếm chi tiết; được ký xác nhận và tham  
qua vào quá trình kiểm đếm chi tiết. Những vấn đề bị tác  
động cũ được công bố công khai với người dân bị ảnh hưởng  
khi đến bị và họ từ đó được thực hiện đầy đủ đối  
với tất cả các hộ bị ảnh hưởng không còn bất kỳ thiệt  
hại. Khiến nơi này phát sinh liên quan đến việc bồi  
thường và bồi tử.

Hộ gia đình di dời đã nhận được khoản hỗ trợ tái định  
cư. Hộ gia đình đã xây dựng lại nhà trên khu đất nhà đã  
đang và hiện không di chuyển vào khu tái định cư.

Làng trình đã được hoàn thành vào năm 2019. Sau  
khi hoàn thành việc giao thông đi lại tại khu vực phòng  
thuận lợi hơn, tạo điều kiện cho phát triển kinh tế,  
nâng cao đời sống, thu nhập cho người dân tại phường.

Trong quá trình thu thập thông tin, chính quyền  
phương bố trí người hỗ trợ trong việc khảo sát các hộ  
bị ảnh hưởng bị dân bản thu thập các thông tin kinh  
tế xã hội của các hộ này.

**b. Ý kiến phản hồi của chủ đầu tư**

Ban quản lý dự án ghi nhận những ý kiến của các hộ dân cũng như những ứng hộ của các hộ trong gia đình theo diện dân cư. Ban QLDA sẽ tiếp tục tham mưu UBND thành phố để được các bên liên quan đề xuất giải pháp cho các công trình dân cư có liên quan.

**3. Người chủ trì cuộc họp tuyên bố kết thúc cuộc họp**

- Những nội dung tán thành:

Tất cả các hộ dân tham gia dự họp đều tán thành.

- Những nội dung còn có ý kiến chưa đồng ý (nếu có):

Không.

Biên bản cuộc họp tham vấn cộng đồng của Dự án: Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh

kết thúc lúc 15<sup>h</sup> 30 ngày 21/3/2020.

Nội dung biên bản đã được đọc lại cho mọi người cùng nghe và thông qua ý kiến.

**ĐẠI DIỆN CHỦ ĐẦU TƯ**



Văn Hoài Ninh

**ĐẠI DIỆN UBND xã/phường**



Trương Quang Kiên

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập – Tự do – Hạnh phúc

-----8008-----



**DANH SÁCH ĐẠI DIỆN CÁC THÀNH VIÊN THAM GIA**

Thời gian:

Bắt đầu: 14 h. 00... ngày 04... tháng 05... năm 2020.

Kết thúc: 15 h. 30... ngày 04... tháng 05... năm 2020.

Địa điểm: phòng Train Center.....

STT	Họ và tên	Dân tộc	Địa chỉ/chức vụ	Chữ ký	Ghi chú
1	Trương Thuần Hải	Kinh	KP10 - Bùn Giồng	Hải	
2	Lê Thị Hương	Kinh	"	Hương	
3	Nguyễn Sĩ Tâm	"	KP8 Bùn Giồng	Tâm	
4	Nguyễn Văn Hương	"	"	Hương	
5	Nguyễn Dương Tân	"	"	Tân	
6	Lê Xuân Hùng	"	"	Hùng	
7	Phạm Văn Linh	"	KP3 "	Linh	
8	Đoàn Thị Thu	"	KP10 "	Thu	
9	Trương Thuần Hải	"	"	Hải	
10	Trần Văn Hải	"	"	Hải	
11	Trương Quang Hải	"	CT UBND phường		
12	Nguyễn Hoàng Thịnh	"	Ban GIDA		
13					







+ Đường Nguyễn Trãi - Thôn Bắc Hải (đoạn phía Nam)

Trong quá trình thu hồi di sản, người dân đã tích cực tham gia quy tụ lại lập di sản, tham gia vào quá trình kiểm tra chỉ một vài hộ bị ảnh hưởng, đồng thời cũng thường xuyên báo cáo tham gia để được kiểm tra chỉ một vài hộ bị ảnh hưởng trước tiên và bị xử lý nhân văn hơn hẳn trước khi được xử lý. Sau khi nắm vững công khai, các hộ bị ảnh hưởng đã được đặc biệt quan tâm chỉ một là chính xác.

Trong thời gian thu hồi di sản, tất cả các hộ bị ảnh hưởng đã nhận được đầy đủ các khoản bồi thường và hỗ trợ từ di sản, không có bất kỳ khoản nào, không nơi nào phát sinh liên quan đến các hộ bị ảnh hưởng, kể cả GPMB.

Nhường hộ di sản đã được hỗ trợ và nhận được đầy đủ các khoản bồi thường. Các hộ bị ảnh hưởng đã xây dựng xong nhà ở, trên địa bàn phường Văn Yên không có hộ nào chuyển ra ngoài môi trường, khu tái định cư mà chỉ làm nhà tại nơi ở cũ (còn đất tại di sản làm nhà) và làm nhà ở mới. Nhà mới xây dựng đã có đất từ trước, các hộ đã ổn định nhà ở và sinh hoạt bình thường. Các hộ ở một

đất có các hộ bị ảnh hưởng rằng và hộ phải đi tới, các hộ này đã được hỗ trợ và tham gia chương trình phục hồi sinh kế, các khoản đầu tư đã được hỗ trợ chi trả chi phí sinh hoạt, trợ cấp (day, nấu ăn, pha chế đồ uống, làm ruộng), các hộ tiếp nhận không riêng được hỗ trợ tại phường như: tặng xe đạp, quần áo, chăn mền, quần áo, chăn nuôi, chim cút, hoạt động văn hóa từ ngân hàng chính sách xã hội đã được thực hiện, hoạt động văn hóa của chi hội phụ nữ phường. Xã hỗ trợ vay vốn định kỳ khoảng 6/2019 đến nay là 25 hộ.

Tổng kinh phí của dự án hoàn thành vào tháng 4/2019. Sau khi hoàn thành việc giao thông đi lại và hoạt động bình thường, các phường kết nối liên lạc tạo điều kiện cho phát triển kinh tế, nâng cao đời sống, thu nhập cho nhân dân.

Trong quá trình thu thập thông tin, chính quyền phường đã bố trí người hỗ trợ trong việc khảo sát và thu thập thông tin các hộ bị ảnh hưởng.

b. Ý kiến phản hồi của chủ đầu tư

Trong quá trình vận hành và dùng công trình, ban quản lý dự án và chủ đầu tư mong nhận được sự đóng góp ý kiến của các bên liên quan để chỉnh sửa, nâng cấp.

3. Người chủ trì cuộc họp tuyên bố kết thúc cuộc họp

- Những nội dung tán thành:

Chánh quyền phường và các hộ dân bị ảnh hưởng đã đồng ý với dự án.

- Những nội dung còn có ý kiến chưa đồng ý (nếu có):

Không có ý kiến phản đối nào khác.

Biên bản cuộc họp tham vấn cộng đồng của Dự án: Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh

kết thúc lúc 10h00 ngày 10/05/2024

Nội dung biên bản đã được đọc lại cho mọi người cùng nghe và thông qua ý kiến.

ĐẠI DIỆN CHỦ ĐẦU TƯ

ĐẠI DIỆN UBND xã/phường Văn Yên



Văn Hoài Ninh

Th.s Nguyễn Xuân Ninh



Lê Đình Thắng



**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**

**Độc lập – Tự do – Hạnh phúc**

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**DANH SÁCH ĐẠI DIỆN CÁC THÀNH VIÊN THAM GIA**

**Thời gian:**

Bắt đầu...8... h...20... ngày...04... tháng...11... năm 2020

Kết thúc...16 h...00... ngày...04... tháng...11... năm 2020

**Địa điểm:**...phường Văn Yên - TP. Hồ Chí Minh.....

STT	Họ và tên	Dân tộc	Địa chỉ/chức vụ	Chữ ký	Ghi chú
1	Lê Minh Hải	Kinh	Văn Phú - Văn Yên	Hải	
2	Lê Hải Tú	"	"	Tú	
3	Phạm Hùng	"	"	Hùng	
4	Lê Anh Xuân	"	"	Xuân	
5	Lê Văn Đường	"	"	Đường	
6	Lê Văn Quang	"	"	Quang	
7	Nguyễn Văn Hồng	"	"	Hồng	
8	Lê Hải Dân	"	"	Dân	
9	Nguyễn Hải Sơn	"	"	Sơn	
10	Lê Hải Thanh	"	Bến Yên - Văn Yên	Thanh	
11	Lê Đình Trung	"	"	Trung	
12	Lê Phi Quý	"	"	Quý	
13	Phạm Thanh Hải	"	Bến Yên - Văn Yên	Hải	
14	Nguyễn Phi Văn	"	"	Văn	
15	Bà Văn Sơn	"	"	Sơn	
16	Lê Đình Việt	"	Hòa Bình - Văn Yên	Việt	
17	Lê Hải Dân	"	"	Dân	
18	Lê Phi Thủy	"	"	Thủy	
19	Bà Hải Thủy	"	"	Thủy	

STT	Họ và tên	Dân tộc	Địa chỉ/chức vụ	Chữ ký	Ghi chú
20	Lê Thị Thảo	"	"	Thảo	
21	Lê Thị Loan	"	"	Loan	
22	Lê Thuỳ Khanh	"	"	Thảo	
23	Lê Thị Hoa	"	"	Hoa	
24	Nguyễn Thị Loan	"	"	Loan	
25	Lê Thị Hương	"	"	Hương	
26	Lê Thị Hiền	"	"	Hiền	
27	Trần Hải Tiên	"	"	Tiên	
28	Trần Hải Bình	"	"	Bình	
29	Trần Thị Lý	"	"	Lý	
30	Trần Thị Loan	"	"	Loan	
31	Lê Văn Anh	"	"	Anh	
32	Phạm Văn Tuấn	"	"	TUẤN	
33	Lê Thị Ngọc	"	Văn Phòng - V. Văn	Ngọc	
34	Phạm Văn Phú	"	"	Phú	
35	Hương Thị Hương	"	"	Hương	
36	Võ Thị Ngân	"	"	Ngân	
37	Lê Đình Thắng	"	CI. UBND phường		
38	Lê Hải Sơn	"	CI. MTTB phường		
39	"				



**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**  
**Độc lập - Tự do - Hạnh phúc**

**BIÊN BẢN HỌP THAM VẤN CỘNG ĐỒNG DÂN CƯ**

Tên dự án: **Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh**

Địa điểm thực hiện dự án: **phường Thạch Quy**

Thời gian họp: **h 5/3/2024**

Địa chỉ nơi họp: **UBND Phường Thạch Quy**

**I. Thành phần tham dự**

**1.1. Đại diện UBND xã/phường**

1) **Đào Minh Tiến** Chức vụ: **Phó Chủ tịch UBND**

2) ..... Chức vụ: .....

**1.2. Đại diện chủ dự án: Ban QLDA đầu tư xây dựng TP Hà Tĩnh**

1) **Văn Hoài Ninh** Chức vụ: **Giám đốc**

2) **Nguyễn Hoàng Thịnh** Chức vụ: **Tổng phòng Kế hoạch Tổng hợp**

**1.4. Đại diện đơn vị tư vấn:**

1) **Phạm Sơn Tùng** Chức vụ: **Chủ trì và hội**

2) ..... Chức vụ: .....

**1.5. Đại biểu các thành phần tham dự: Đại diện của UBND, UBMTTQ xã**

**phường Thạch Quy**, các tổ chức chính trị - xã hội, tổ chức xã hội nghề nghiệp, tổ dân phố (có danh sách kèm theo) thuộc xã **phường Thạch Quy**

**II. Nội dung và diễn biến cuộc họp**

II.1. Ông/Bà: **Văn Hoài Ninh** chủ trì cuộc họp thông báo lý do cuộc họp và giới thiệu thành phần tham dự.

II.2. Ông/Bà: **Nguyễn Hoàng Thịnh** trình bày tóm tắt các nội dung: Giới thiệu chung về dự án (cung cấp các thông tin như mục tiêu, địa điểm, quy mô, nguồn vốn...); thông tin về phạm vi, mức độ, loại ảnh hưởng đến người bồi tiểu dự án, trao đổi các vấn đề trong quá trình thực hiện dự án (công bố thông tin, hỗ trợ đền bù giải phóng mặt bằng, tái định cư,...)

II.3. Thảo luận, trao đổi giữa cộng đồng dân cư chịu tác động trực tiếp với chủ dự án, Ủy ban nhân dân cấp phường về các vấn đề mà chủ dự án đã trình bày tại cuộc họp

**a. Ý kiến thảo luận của cộng đồng dân cư**

**Bên địa phương có 3 hàng mua công trình dưới cầu đi gần đường Nguyễn Trung Trực đơn hàng tại đường Thái Hoàng Lân ông đi đường Mai Khu loan đến cầu đi bộ tuy nhiên không được đi qua cầu dưới cầu đi bộ các hộ bị ảnh hưởng và chính quyền**

địa phương đã được thông báo về thông tin dự án, các chất  
sắc của dự án, công tác đo đạc hiện diện dự án được diễn  
ra với sự tham gia của đại diện các tổ chức dân sự; thông tin  
đo đạc hiện diện chính xác các khu vực xem và tổng hợp  
các tài liệu, quá trình tiến hành.

Phường an bố thường được công bố công khai với người dân  
trên địa bàn, thường là phù hợp tại thời điểm tiến hành  
lên đến thời gian này không có bất kỳ khiếu nại hoặc nơi  
nào liên quan đến việc tiến hành thủ tục hành chính thông tin. Tất cả  
các hồ sơ ảnh hưởng đến nhân dân dự án được tiến hành.

Những hồ sơ bị ảnh hưởng nặng nề được nhân dân biết và tham gia  
chương trình phục hồi sinh kế (tham gia chương trình dạy nghề  
nấu ăn), các lớp tập huấn chuyển đổi và không sau ăn, trước hoạt  
động này với tư cách đang chuẩn bị và tiến hành các hoạt động.

Công trình dự án đã được hoàn thành vào đầu năm 2019, hiện  
tại đã đi vào hoạt động. Sau khi hoàn thiện, việc di chuyển đi lại của  
người dân khu vực dự án thuận lợi hơn rất nhiều, đường đi  
sạch sẽ, vào mùa mưa không bị ngập lụt, việc thoát nước được cải thiện đáng  
kể, thuận lợi cho công việc đi lại hoạt động của người dân, thuận  
lợi cho người dân trên địa bàn phát triển kinh tế, thu nhập, nâng  
cao chất lượng cuộc sống cho người dân.

Trong quá trình thực hiện và khai thác kinh tế và các hồ sơ  
bị ảnh hưởng được quản lý địa phương là người, các tài liệu thông  
tin được cập nhật và thu thập thông tin các hồ sơ bị ảnh hưởng.



b. Ý kiến phản hồi của chủ đầu tư

Ban quản lý dự án đã ghi nhận những ý kiến đóng góp của các đại diện của các hộ gia đình hướng nhất là việc hỗ trợ các loại cây trồng, bảo vệ môi trường, đảm bảo an toàn cho người dân.

3. Người chủ trì cuộc họp tuyên bố kết thúc cuộc họp

- Những nội dung tán thành:

Các hộ dân đã đồng ý về các thời gian tham dự đồng ý với các nội dung của dự án.

- Những nội dung còn có ý kiến chưa đồng ý (nếu có):

Không.

Biên bản cuộc họp tham vấn cộng đồng của Dự án: Dự án phát triển đô thị loại 2, tỉnh Hà Tĩnh

kết thúc lúc .....

Nội dung biên bản đã được đọc lại cho mọi người cùng nghe và thông qua ý kiến.

ĐẠI DIỆN CHỦ ĐẦU TƯ



Văn Hoài Ninh

ĐẠI DIỆN UBND xã/phường



Điện Minh Tiến

**CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM**  
**Độc lập – Tự do – Hạnh phúc**  
 -----SỞ CQ-----



**DANH SÁCH ĐẠI DIỆN CÁC THÀNH VIÊN THAM GIA**

**Thời gian:**

Bắt đầu... 8... h... 00... ngày... 3... tháng... 3... năm 2020

Kết thúc... 10 h... 50... ngày... 3... tháng... 3... năm 2020

**Địa điểm:** Trường THPT Lý - Thuyết - Lê Văn Việt

STT	Họ và tên	Dân tộc	Địa chỉ/chức vụ	Chữ ký	Ghi chú
1	Trần Hải Sơn	Kinh	KP Hải Phòng	Phong	
2	Đường Hải Lý	"	"	Id	
3	Nguyễn Anh Châu	"	"	Chau	
4	Trần Hải Khoa	"	"	Khoa	
5	Nguyễn Thị Cẩm	"	"	Cam	
6	Trần Đình Phan	"	"	Phan	
7	Lê Văn Thuận	"	"	Thuan	
8	Trần Hải Ninh	"	"	Ninh	
9	Trần Hải Phúc	"	"	Phuc	
10	Đặng Anh Tuấn	"	"	Tuan	
11	Đào Đức Tuấn	"	"	Tuan	
12	Lê Thị Bảo	"	"	Bao	
13	Lê Văn Thanh	"	"	Thanh	
14	Trần Hải Lạc	"	"	Lac	
15	Đinh Ngọc Sơn	"	"	Son	
16	Nguyễn Quốc Hưng			Hung	
17	Nguyễn Thị Thanh Huyền			Huyen	
18	Nguyễn Thị Huệ			Hue	
19	Trần Hải Vân			Van	

STT	Họ và tên	Dân tộc	Địa chỉ/chức vụ	Chữ ký	Ghi chú
20	Tường Huy Minh	Kinh	"	Mus	
21	Lê Thị Xuân	"	"	Xuân	
22	Trần Thuần Cường	"	"	Cường	
23	Trần Thị Minh	"	"	Minh	
24	Trần Hải Âu	"	"	Âu	
25	Trần Văn Tâm	"	KP Tiến Tiến	Tâm	
26	Trần Văn Mai	"	"	Mai	
27	Hồ Bùi Hòa	"	"	Hòa	
28	Trần Văn Quang	"	"	Quang	
29	Trần Văn Thuận	"	"	Thuận	
30	Trần Văn Cường	"	"	Cường	
31	Trần Thị Hòa	"	"	Hòa	
32	Hoàng Thị Hương	"	"	Hương	

## Annex 2: Updated resettlement implementation progress in Ha Tinh as of 3/2020

No.	Package		Public consultation and information disclosure		DMS		Preparation of compensation plan		Approval of compensation plan		Compensation payment		Site clearance and resettlement		Contract award
			Start	Finish	Start	Finish	Start	Finish	submission date	Approval date	Start	Finish	Start	Finish	
1	2	3	5	6	7	8	9	10	11	12	13	14	15	16	17
1	HT-01: Drainage routes + Thach Trung lake	Plan	12/2012	12/2015	5/2013	12/2014	11/2013	5/2015	3/6/2015	24/6/2015	1/2015	5/2016	2/2015	4/2016	3/2016
		Actual	12/2012	6/2016	11/2013	5/2015	2/2014	9/2015	10/9/2015	16/9/2015	3/2015	8/2016	4/2015	9/2016	5/2016
2	HT-02: Improvement of Bong Son lake environment	Plan	12/2012	12/2015	5/2013	12/2014	11/2013	5/2015	3/8/2015	24/8/2015	5/2015	5/2016	6/2015	5/2016	3/2016
		Actual	12/2012	6/2016	11/2013	6/2015	2/2014	10/2015	8/10/2015	8/10/2015	11/2015	12/2016	12/2015	12/2016	7/2016
3	HT-03: Nguyen Trung Thien road (centre + south) + Hai Thuong Lan Ong road + Nguyen Huy Lung road	Plan	12/2012	12/2015	5/2013	5/2014	11/2013	5/2015	3/6/2015	24/6/2015	5/2015	5/2016	6/2015	5/2016	3/2016
		Actual	12/2012	6/2016	11/2013	5/2015	2/2014	10/2015	15/10/2015	25/11/2015	6/2014	9/2016	1/2015	9/2016	4/2016

### Annex 3: Number of AHs in Ha Tinh as of 3/2020

No	Package	Permanently AHs					Severely AHs			Total number of AHs
		Agricultural land	Residential land	Public land	Business	Houses/structures	Losing more than 10% productive land	Relocation	Total of severely AHs	
<b>I</b>	<b>Component 1</b>	<b>718</b>	<b>18</b>	<b>4</b>	<b>4</b>	<b>39</b>	<b>364</b>	<b>0</b>	<b>364</b>	<b>801</b>
1	Drainage canal T3 and access road	78	3	1	0	2	34	0	34	89
2	Drainage canal T4 and access road	159	0	1	0	0	44	0	44	160
3	Drainage canal T8 and access road	145	1	1	0	0	35	0	35	155
4	Thach Trung lake and technical infrastructure around the lake	336	14	1	4	37	251	0	251	397
<b>II</b>	<b>Component 2</b>	<b>18</b>	<b>6</b>	<b>10</b>	<b>1</b>	<b>30</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>63</b>
1	Technical infrastructure for improvement of environment around Bong Son lake or Hoang Xuan Han road	0	6	0	1	30	0	0	0	30
2	Drainage canal T2a and access road	13	0	10	0	0	0	0	0	26
3	Drainage canal T2-4 (Bau Ra canal)	5	0	0	0	0	0	0	0	7
<b>III</b>	<b>Component 3</b>	<b>177</b>	<b>69</b>	<b>2</b>	<b>3</b>	<b>81</b>	<b>146</b>	<b>18</b>	<b>164</b>	<b>296</b>
1	Nguyen Trung Thien road – centre section	0	18	0	2	29	0	0	0	34
2	Nguyen Trung Thien road – south section	123	11	2	0	7	93	2	95	134
3	Hai Thuong Lan Ong road, from Mai Thuc Loan road to Do bridge	54	32	0	1	28	53	16	69 <sup>7</sup>	97
4	Management Road D3 (Nguyen Huy Lung road)	0	6	0	0	17	0	0	0	26
5	Drainage route T1 and access road	0	2	0	0	0	0	0	0	5
	<b>Total</b>	<b>913</b>	<b>93</b>	<b>16</b>	<b>8</b>	<b>150</b>	<b>510</b>	<b>18</b>	<b>528</b>	<b>1,160</b>

<sup>7</sup> Some HH affected in many types: losing more than 10% productive land and being relocated.

**Annex 4: Acquired land in Ha Tinh as of 3/2020**

No.	Component	Permanent affected area				Temporary affected area	Total affected area
		Agricultural land	Residential land	Public land	Total	Public land	
<b>I</b>	<b>Component 1</b>	<b>251,669</b>	<b>1,285</b>	<b>18,757</b>	<b>271,711</b>	<b>1,500</b>	<b>273,211.0</b>
1	Drainage canal T3 and access road	20,624	265	972.8	21,861.2	0	21,861.2
2	Drainage canal T4 and access road	18,339	0	0.0	18,338.7	1,500	19,838.7
3	Drainage canal T8 and access road	21,551	207	5,329.4	27,087.7	0	27,087.7
4	Thach Trung lake and technical infrastructure around the lake	191,156	813	12,454.5	204,423.4	0	204,423.4
<b>II</b>	<b>Component 2</b>	<b>4,449.7</b>	<b>122.0</b>	<b>0.0</b>	<b>4,571.7</b>	<b>0</b>	<b>4,571.7</b>
1	Technical infrastructure for improvement of environment around Bong Son lake or Hoang Xuan Han road	0.0	122.0	0.0	122.0	0	122.0
2	Drainage canal T2a and access road	4,297.3	0.0	0.0	4,297.3	0	4,297.3
3	Drainage canal T2-4 (Bau Ra canal)	152.4	0.0	0.0	152.4	0	152.4
<b>III</b>	<b>Component 3</b>	<b>79,362</b>	<b>10,088</b>	<b>8,989</b>	<b>98,439.1</b>	<b>3,000</b>	<b>101,439.1</b>
1	Nguyen Trung Thien road – centre section	662.0	1,442.8	0.0	2,104.8	1,500	3,604.8
2	Nguyen Trung Thien road – south section	49,904.4	869.3	3,109.7	53,883.4	0	53,883.4
3	Hai Thuong Lan Ong road, from Mai Thuc Loan road to Do bridge	28,553.0	7,140.0	5,879.2	41,572.1	1,500	43,072.1
4	Management Road D3 (Nguyen Huy Lung road)	0.0	503.7	0.0	503.7	0	503.7
5	Drainage route T1 and access road	243.0	132.2	0.0	375.2	0	375.2
	<b>Total</b>	<b>335,481</b>	<b>11,495</b>	<b>27,746</b>	<b>374,722</b>	<b>4,500</b>	<b>379,222.0</b>



**Annex 5: Affected houses and assets as of 3/2020**

Component		House (m2)	Gate (m3)	Yard (m2)	Fence (m)	Roof (m2)	Auxiliary works (m2)	Total number of HHs affected with houses and structures (HH)	Affected graves (each)	Tree	Crop (m2)
HT-01	Number of HH	3	13	18	17	11	2	39	0	2,164	251,891.2
	Area	168.6	97.6	752.59	559.43	474.9	20.8				
HT-02	Number of HH	2	9	26	18	19	2	30	0	148	2,236.4
	Area	112.8	57.23	1075.5	400.28	509.03	16.1				
HT-03	Number of HH	20	28	43	46	30	17	81	993	9,086	82,824.8
	Area	1613.5	168.77	2,409.1	1,956.0	1,554.9	304.75				
<b>Total</b>	<b>Number of HH</b>	<b>25</b>	<b>50</b>	<b>87</b>	<b>81</b>	<b>60</b>	<b>21</b>	<b>150</b>	<b>993</b>	<b>11,398</b>	<b>336,952</b>
	<b>Area</b>	<b>1,894.9</b>	<b>323.6</b>	<b>4,237.1</b>	<b>2,915.7</b>	<b>2,538.8</b>	<b>341.7</b>				

### Annex 6: Grievance Redress in Ha Tinh as of 3/2020

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
1	23/5/2016	Request	Compensation, Support and Resettlement Committee	Tran Huu Chuong	Request to support money for grave relocation in Dong Cham cemetery	Site clearance	Support 7 million VND to MR. Tran Huu Chuong for grave relocation	Hai Thuong Lan Ong road under Package HT-03
2	5/6/2016	Request	Compensation, Support and Resettlement Committee	Dau Viet Thanh	Request to support money for grave relocation in Dong Cham cemetery	SITE CLEARANCE	Support 7 million VND to Mr. Dau Viet Thanh for grave relocation	Hai Thuong Lan Ong road under Package HT-03
3	23/12/2016	Request	PMU	Thach Linh WPC	Request for addition of culverts on canal T3 in Vinh Hoa, Tuy Hoa, Nam Tien, Bac Tien residential areas	Addition of work-items (not including in project design)	Some culverts were added	Canal T3 under Package HT-01
4	27/3/2017	Petition	PMU + Project Management Department	15 HHs resided on both sides of Nguyen Huy Lung road	Construction elevation of Nguyen Huy Lung road	Construction related issues	Document No.3//HATINH PMU dated January 31, 2017 on construction of Nguyen Huy Lung street; Document No.784/UBND-QLĐT of City People's Committee dated 12/4/2017 about Reply on Petition of construction elevation of Nguyen Huy Lung Street.	Nguyen Huy Lung street under Package HT-03
5	04/2/2017	Call directly to PMU Head	PMU and Contractor	Mr. Duong Dinh Que, Community-based supervision board in Thach Dong commune Tel:01647463017	Inappropriate construction of bridge crossing Drainage canal T8	Construction related issues	Minutes of adjusting the bridge direction at the location of pile 116A under Drainage canal T8 was made. Signing into the minutes including representatives of PMU, Thach Dong CPC, Supervision consultant, Construction contractors, Mr. Duong Dinh Que, Community-based supervision board of Thach Dong commune.	Drainage canal T8 under Package HT-01
6	10/4/2017	Call directly to Planning-Technique Department Head	Hoa Hiep Co., Ltd	Head of Group 5 - Dai Nai ward	HT-02 Contractor cause dust while constructing Hoang Xuan Han road	Construction related issues	Contractor of Package HT-02 is requested to water the road to ensure environmental sanitation	Infrastructure surrounding Bong Son lake - Package HT-02

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
7	14/4/2017	Petition	Ward PC	Trần Văn Chiến, No.17, Hoang Xuan Han street, Quarter 3, Ha Huy Tap ward	Request for support	SITE CLEARANCE	Redressed	Infrastructure surrounding Bong Son lake - Package HT-02
8	18/4/2017	Request	PMU, Construction contractors	Nguyễn Linh, Doai Thinh village, Thach Trung commune, Ha Tinh city	Construction of Nguyen Huy Lung street causes impacts on household's wall and foundation	Construction related issues	PMU has instructed contractors to check and buy insurance for the works on the route. Document No.1362/UBND-VP dated June 13, 2017 of City PC on responding to petition of Mr. Nguyen Linh.	Access road in Thach Trung D2a lake under package HT-01
9	24/4/2017	Request	PMU, Construction contractors	Nguyễn Đình Tiến, Doai Thinh village, Thach Trung commune, Ha Tinh city	Construction of Nguyen Huy Lung Street affects the house foundation structure	Construction related issues	PMU has instructed contractors to check and buy insurance for the works on the route. Report No.35/BC-HA TINH PMU dated May 25, 2017 on reporting the situation of redressing petition of Mr. Nguyen Linh and Mr. Nguyen Dinh Tien in Doai Thinh village, Thach Trung commune.	Nguyen Huy Lung street under Package HT-03
10	26/4/2017	Request	PMU, Construction contractors	05 HHs in Thach Linh ward	Construction of drainage ditch: the transportation of muddy soil causes spillage of soil on Le Hong Phong road, affecting the daily activities of people in Tuy Hoa and Vinh Hoa residential areas.	Construction related issues	The contractors are requested to ensure environmental sanitation for the road, water the route to reduce dust, cover the trucks to minimize spillage.	Canal T3 under Package HT-01
11	04/2017	Request	Compensation, Support and Resettlement Committee, PMU	Ms. Lê Thị Thái, Thach Linh ward	Being affected by T3 drainage route. The household has not agreed with the compensation rate for garden land because she thinks her land should be compensated with the compensation rate of residential land.	SITE CLEARANCE	The household received full compensation money.	Canal T3 under Package HT-01
12	04/2017	Request	Compensation, Support and	Mr. Võ Tá Liên, Thach Linh ward	Being affected by T3 drainage route. The	SITE CLEARANCE	The household received full compensation money.	Canal T3 under Package HT-01

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
			Resettlement Committee, PMU		household has not agreed with the compensation rate for garden land because she thinks her land should be compensated with the compensation rate of residential land.			
13	4/2017	Request	Compensation, Support and Resettlement Committee, PMU	Trần Thị Việt, Dai Nai ward	Being affected by the access road to Bong Son lake (Hoang Xuan Han street) in Dai Nai ward. The household has not agreed with the compensation plan because the compensation rate is for residential and garden land but the household thinks that her affected land is residential land.	SITE CLEARANCE	The Compensation, Assistance and Resettlement Board has worked with this household. The household received the compensation money.	Infrastructure surrounding Bong Son lake - Package HT-02
14	04/2017	Request	Compensation, Support and Resettlement Committee, PMU	Nguyễn Thị Hà, Thạch Hung commune	Being affected by Hai Thuong Lan Ong Street in Thạch Hung commune. The family has received compensation for houses, structures and trees but has not received compensation for residential land because she does not agree with the in-place resettlement allocation, this household requires to receive LURC for the remaining land area of 450 m2.	SITE CLEARANCE	The Compensation, Assistance and Resettlement Board has worked with this household. The household was satisfied with the extra payment, and received the resettlement land area.	Hai Thuong Lan Ong road under Package HT-03
15	04/2017		Compensation, Support and Resettlement	Mr. Nguyễn Đức Cừ, Thanh Phu village, Thạch Trung commune	Mr. Nguyen Duc Cu, Thanh Phu village, Thạch Trung commune, has not received	SITE CLEARANCE	The compensation, assistant and resettlement board in collaboration with the Department of Natural Resources and Environment, the	Access road in Thạch Trung D1 lake, package HT-01

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
			Committee, PMU		compensation money because he wants to be allocated a resettlement land plot and he still complains about compensation rate.		People's Committee of Thach Trung commune continue to propagate households. The household received full compensation and resettlement assistance.	
16	04/2017	Request	Compensation, Support and Resettlement Committee, PMU	MS Trương Thị Liên	Being affected by Nguyen Trung Thien Street (Center), the family has not received compensation money because they want to be allocated with a land plot.	SITE CLEARANCE	The household has received full compensation money and land allocation. The household separation plan has been disclosed.	Nguyen Trung Thien street (center) under Package HT-03
17	04/2017	Request	Compensation, Support and Resettlement Committee, PMU	Ms Lê Thị Xuân	Being affected by Nguyen Trung Thien Street (Center), the household has not received compensation money but has handed over the site for construction. The household has agreed with the approved plan but she has not received the money because she is waiting for the land allocation to separate her son from family register, household separation plan has been disclosed.	SITE CLEARANCE	Household separation plan has been disclosed	Nguyen Trung Thien street (center) under Package HT-03
18		Request	Compensation, Support and Resettlement Committee, PMU	HH Lê Hữu Thành, Tan Yen street, Van Yen ward	the household requests for allocation of resettlement land plot in Hoang Nay field	SITE CLEARANCE	The household has been allocated a resettlement land plot in Hoang Nay field	Nguyen Trung Thien street (in the south) under Package HT-03
19	10/5/2017	Request	PMU, Construction contractors	Party Committee of Tran Phu ward	Contractor is requested to increase the size of water outlet of existing T3 canal	Construction	PMU has directed the contractor to increase the size of water outlet of existing T3 canal	Canal T3 under Package HT-01

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
20	26/5/2017	Request	PMU, Construction contractors	Thach Linh WPC	During the construction of the T3 drainage route, drainage pipes and water intakes have been built, but these pipes/culverts are small, long and shallow, the drainage is not sufficient for crop harvest.	Construction issues	Contractor and Ward PC redressed directly	Canal T3 under Package HT-01
21	15/6/2017	Request	CITY PC, Compensation, Support and Resettlement Committee	Trần Hậu Dũng, Kinh Nam village, Thạch Hung commune	Request for land allocation on behalf of his son Mr. Trần Viết Roi due to his house is affected by Extension Hai Thuong Lan Ong road (from Mai Thúc Loan road to Do Ha bridge).	SITE CLEARANCE	Document No.1424/UBND-TNMT dated June 21, 2017 of CPC on assignment of responding to citizen claims. Report No.141/BC-HDBT dated June 28, 2017 of the Compensation, Assistance and Resettlement Board on reporting the content of Document No.1424/UBND-TNMT dated June 21, 2017 of CITY PC.	Hai Thuong Lan Ong road under Package HT-03
22	25/7/2017	Request	PMU, Construction contractors	Võ Tá Cường, Doai Thinh village, Thạch Trung commune	Construction of D2A road causes crack of houses	Construction issues	PMU has worked with the contractor to inspect the cracked house and the construction is temporarily stopped. The household's complaint has been resolved	Access road in Thạch Trung D2a lake under package HT-01
23	25/7/2017	Request	PMU, Construction contractors	Trần Đăng Ninh, Hau Thuong street, Thạch Quy ward	Construction of Nguyen Trung Thien road causes crack of houses	Construction issues	PMU has worked with the contractor to inspect the cracked house and the construction is temporarily stopped. The household's complaint has been resolved	Nguyen Trung Thien street (center) under Package HT-03
24	12/7/2017	Request	PMU, Construction contractors	Nguyễn Đình Châu, Hau Thuong street, Thạch Quy ward	The construction of Nguyen Huy Lung road using compactor has affected his house because the house is so close to the road, its floor and wall is cracked.	Construction issues	Complaint has been resolved	Nguyen Trung Thien street (center) under Package HT-03

No.	Receive date	Grievance form	Redress unit	Full name, address of complainant	Content	Aspect	Result	Note
25	3/8/2017	Request	PMU, Compensation, Support and Resettlement Committee	Nguyễn Hữu Toàn, Tân Hương village, Thạch Khe commune	The family whose land plot in Van Yen ward is affected by the project has not been compensated	SITE CLEARANCE	The household received compensation.	Nguyen Trung Thien street (in the south) under Package HT-03
26	6/2019	Request	City PC, Compensation, Support and Resettlement Committee	Ms Trần Thị Hoa	AH whose land was acquired has received compensation but the family wants to be land for land	SITE CLEARANCE	The household received a new land plot under their request	Access road D1 under package HT-01



### Annex 7: Resettlement implementation process as of March 2020

No.	Package	Package 1		Package 2		Package 3	
1	2	3	4	5	6	7	8
I	<b>Resettlement activities</b>	<u>Start</u>	<u>Finish</u>	<u>Start</u>	<u>Finish</u>	<u>Start</u>	<u>Finish</u>
1	Information disclosure and consultation with AHs	12/2012	6/2016	12/2012	6/2016	12/2012	6/2016
2	Detailed Measurement Survey	11/2013	5/2015	11/2013	6/2015	11/2013	5/2015
3	Preparation of compensation plan and consultation with APs	2/2014	9/2015	2/2014	10/2015	2/2014	10/2015
4	Update of RP and submission of uRP for approval	10/9/2015	16/9/2015	8/10/2015	8/10/2015	15/10/2015	25/11/2015
5	Consultation with APs on draft compensation plan	4/2014	9/2015	4/2014	8/2015	3/2014	10/2015
6	Completion and submission of Compensation plan	2/2015	7/2016	9/2015	11/2016	5/2014	8/2016
7	DPC or PPC review and approve the compensation plan	3/2015	8/2016	10/2015	11/2016	6/2014	9/2016
8	Consultation with APs on approved compensation plan	3/2015	8/2016	10/2015	11/2016	6/2014	9/2016
9	Compensation payment	3/2015	8/2016	11/2015	12/2016	6/2014	9/2016
10	Resettlement and Site clearance	4/2015	9/2016	12/2015	12/2016	1/2015	9/2016
11	Independent Monitoring and Reporting	4/5/2016	3/2020	4/5/2016	3/2020	4/5/2016	3/2020
II	<b>Progress of each package</b>	<b>On schedule</b>		<b>On schedule</b>		<b>On schedule</b>	

**Annex 8: Sample list of households and household members participating in vocational training courses in the period of 2015 - 2019**

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
<b>I</b>	<b>Dai Nai ward</b>					
	None					
<b>II</b>	<b>Tan Giang ward</b>					
	None					
<b>III</b>	<b>Thach Linh ward</b>					
1	Lê Thị Mận			Văn Phúc, Văn Yên	Cooking	2019
2	Nguyễn Thị Mỹ			Hợp Tiến, Thạch Linh	Cooking	2019
3	Lê Thị Túc			Nam Tiến, Thạch Linh	Cooking	2019
4	Nguyễn Thị Hải Yến			Vĩnh Hòa, Thạch Linh	Cooking	2019
5	Lê Thị Phương			Vĩnh Hòa, Thạch Linh	Cooking	2019
6	Biện Thị Hoa			Hợp Tiến, Thạch Linh	Cooking	2019
7	Nguyễn Thị Tươi			Hợp Tiến, Thạch Linh	Cooking	2019
8	Võ Thị Ninh			Hợp Tiến, Thạch Linh	Cooking	2019
9	Võ Thị Oanh			Hợp Tiến, Thạch Linh	Cooking	2019
10	Nguyễn Thị Thảo			Hợp Tiến, Thạch Linh	Cooking	2019
11	Phạm Thị Mai			Hợp Tiến, Thạch Linh	Cooking	2019
12	Phạm Thị Hoa			Bắc Tiến, Thạch Linh	Cooking	2019
13	Phạm Thị Minh			Yên Đồng, Thạch Linh	Cooking	2019
14	Nguyễn Thị Vinh			Linh Tiến, Thạch Linh	Cooking	2019
15	Lê Thị Years			Tân Tiến, Thạch Linh	Cooking	2019
16	Nguyễn Thị Dung			Linh Tân, Thạch Linh	Cooking	2019
17	Lê Thị Oanh			Hợp Tiến, Thạch Linh	Cooking	2019
18	Nguyễn Thị Định			Hợp Tiến, Thạch Linh	Cooking	2019
19	Nguyễn Thị Hoan			Bắc Tiến, Thạch Linh	Cooking	2019
20	Nguyễn Thị Ngụ			Nam Tiến, Thạch Linh	Cooking	2019
21	Trương Xuân Quốc			Tuy Hòa, Thạch Linh	Cooking	2019
22	Nguyễn Thị Đào			Bắc Tiến, Thạch Linh	Cooking	2019
23	Lê Thị Hường			Thanh Phú, Thạch Trung	Cooking	2019
24	Nguyễn Thị Phượng			Đoài Thịnh, Thạch Trung	Cooking	2019
25	Đặng Thị Thúy Lan			Thanh Phú, Thạch Trung	Cooking	2019
26	Nguyễn Thị Huế			Tiền Phong, Thạch Quý	Cooking	2019
27	Nguyễn Thị Ánh			Tiền Tiến, Thạch Quý	Cooking	2019
28	Trần Thị Vượng			Tiền Tiến, Thạch Quý	Cooking	2019
29	Nguyễn Quang Văn			Tiền Tiến, Thạch Quý	Cooking	2019

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
30	Trần Thị Thương			Hamlet 1, Tran Phu	Cooking	2019
31	Nguyễn Thị Phương (Hoàn)			Bac Quang village, Thach Trung	Cooking	2019
<b>IV</b>	<b>Thach Quy ward</b>					
32	Lê Thị Hoa	1983		Trung Quý	Cooking	2015
33	Lê Thị Hải	1973		Trung Quý	Cooking	2015
34	Điện Thị Hoa	1968		Trung Quý	Cooking	2015
35	Trần Thị Xuân	1968		Trung Quý	Cooking	2015
36	Trần Thị Lý	1977		Đông Quý	Cooking	2015
37	Hoàng Thị Đường	1962		Tiền Tiến	Cooking	2015
38	Trần Thị Hà	1959		Tiền Tiến	Cooking	2015
39	Nguyễn Thị Liên	1978		Tiền Tiến	Cooking	2015
40	Trần Thị Thủy	1986		Tiền Tiến	Cooking	2015
41	Trần Thị Diễm	1989		Trung Quý	Cooking	2015
42	Nguyễn Thị Nhung	1968		Hậu Thượng	Cooking	2015
43	Nguyễn Thị Huyền	1975		Hậu Thượng	Cooking	2015
44	Nguyễn Thị Thiện	1983		Hậu Thượng	Cooking	2015
<b>V</b>	<b>Tran Phu ward</b>					
	None					
<b>VI</b>	<b>Van Yen ward</b>					
45	Lê Thị Hạnh	16/1/1982	183244828	Văn Thịnh-Văn Yên	Cooking	2016
46	Lê Thị Hiền	15/4/1976	183636352	Văn Phúc-Văn Yên	Cooking	2016
47	Lê Thị Hòa	3/6/1973	183134932	Văn Thịnh-Văn Yên	Cooking	2016
48	Lê Thị Nga	14/3/1980	183125856	Văn Thịnh-Văn Yên	Cooking	2016
49	Lê Thị Nguyên	22/1/1977	183125824	Văn Thịnh-Văn Yên	Cooking	2016
50	Trần Thị Ninh	10/2/1972	184084137	Văn Phúc-Văn Yên	Cooking	2016
51	Võ Thị Sen	10/03/1993	184084158	Tân Yên-Văn Yên	Cooking	2016
52	Trần Thị Thắm	11/8/1993	183998495	Văn Phúc-Văn Yên	Cooking	2016
53	Lê Thị Thắng	8/10/1975	183196026	Văn Thịnh-Văn Yên	Cooking	2016
54	Phan Thị Thảo	24/11/1993	184084173	Hòa Bình-Văn Yên	Cooking	2016
55	Nguyễn Thị Thơ	12/12/1987	183484590	Hòa Bình-Văn Yên	Cooking	2016
56	Lê Thị Hương	25/11/1982		Văn Phúc	Cooking	2018
57	Lê Thị Quý	20/07/1973		Văn Phúc	Cooking	2018
58	Trần Thị Khánh Linh	12/10/1994		Văn Phúc	Cooking	2018
59	Ngô Thị Tâm	18/11/1987		Văn Phúc	Cooking	2018
60	Lê Hữu Cảnh	5/5/1981		Văn Phúc	Cooking	2018

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
61	Lê Quang Tý	23/02/1996		Văn Phúc	Cooking	2018
62	Lê Quý Điều	19/07/1988		Văn Phúc	Cooking	2018
63	Nguyễn Thị Liệu	2/4/1965		Văn Phúc	Cooking	2018
64	Lê Xuân Quý	25/10/1984		Văn Phúc	Cooking	2018
65	Nguyễn Thị Nga	20/07/1983		Văn Phúc	Cooking	2018
66	Lê Hữu Quý	12/12/1979		Văn Phúc	Cooking	2018
67	Nguyễn Thị Cậy			Văn Phúc	Cooking	2018
68	Nguyễn Văn Hồng	5/5/1991		Văn Phúc	Cooking	2018
69	Nguyễn Thị Hiền	7/1/1983		Văn Phúc	Cooking	2018
70	Nguyễn Thị Minh	24/05/1982		Văn Phúc	Cooking	2018
71	Võ Thị Tuyết	20/03/1983		Văn Phúc	Cooking	2018
72	Lê Thị Vinh	1964		Văn Phúc	Cooking	2018
73	Nguyễn Thị Kim	1993		Văn Thịnh	Cooking	2018
74	Nguyễn Thị Thảo	1986		Văn Thịnh	Cooking	2018
75	Nguyễn Văn Hùng	1981		Văn Thịnh	Cooking	2018
76	Nguyễn Thị Thư	1994		Văn Thịnh	Cooking	2018
77	Trương Thị Uyên	1980		Văn Thịnh	Cooking	2018
78	Trương Thị Ngân	1992		Văn Thịnh	Cooking	2018
79	Trương Thị Phước	1988		Văn Thịnh	Cooking	2018
80	Nguyễn Thị Xuân	1973		Văn Thịnh	Cooking	2018
81	Lê Thị Trông	1996		Văn Thịnh	Cooking	2018
82	Nguyễn Thị Quý	1974		Văn Thịnh	Cooking	2018
83	Nguyễn Thị Luận	1969		Văn Thịnh	Cooking	2018
84	Trần Xuân Hệ	1971		Văn Thịnh	Cooking	2018
85	Nguyễn Thị Hồng			Văn Thịnh	Cooking	2018
86	Trần Thị Oanh	1965		Văn Thịnh	Cooking	2018
<b>VII</b>	<b>Thạch Trung commune</b>					
	None					
<b>VIII</b>	<b>Thạch Dong commune</b>					
87	Hồ Thị Bé	10/9/1996	No telephone	Thạch Đồng	Incense making	2016
88	Nguyễn Thị Bích	25/05/1996	No telephone	Thạch Đồng	Incense making	2016
89	Dương Thị Huệ	9/8/1980	No telephone	Thạch Đồng	Incense making	2016
90	Dương Thị Dân	6/17/1988	183.562.066	Thạch Đồng	Incense making	2016
91	Đào Thị Đường	8/20/1994	184.146.549	Thạch Đồng	Incense making	2016
92	Nguyễn Thị Hải	6/20/1971	183.875.215	Thạch Đồng	Incense making	2016
93	Trần Thị Tám	16/03/1983	183.399.212	Thạch Đồng	Incense making	2016

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
94	Nguyễn Thị Hoài	7/11/1993	184.041.557	Thạch Đồng	Incense making	2016
95	Trần Thị Long	2/9/1989	183.530.687	Thạch Đồng	Incense making	2016
96	Đào Thị Minh	12/23/1991	183.788.474	Thạch Đồng	Incense making	2016
97	Dương Thị Thanh Nga	11/28/1990	184.088.424	Thạch Đồng	Incense making	2016
98	Đào Thị Ngân	8/4/1968	183.237.869	Thạch Đồng	Incense making	2016
99	Dương Thị Nghĩa	3/21/1988	183.505.182	Thạch Đồng	Incense making	2016
100	Trần Thị Phúc	1/2/1976	183.743.624	Thạch Đồng	Incense making	2016
101	Chu Thị Phụng	1/8/1965	183.743.665	Thạch Đồng	Incense making	2016
102	Dương Thị Thương	7/7/1983	183.994.309	Thạch Đồng	Incense making	2016
103	Trần Thị Tịnh	11/21/1984	183.412.937	Thạch Đồng	Incense making	2016
104	Hoàng Thị Vân	10/12/1987	183.414.961	Thạch Đồng	Incense making	2016
105	Phan Thị Viên	3/12/1986	186.061.448	Thạch Đồng	Incense making	2016
<b>IX</b>	<b>Thạch Hưng commune</b>					
106	Phan Thị Liên	9/28/1971	184.204.003	Thạch Hưng	Incense making	2016
107	Nguyễn Thị Nhung	2/4/1988	183.571.198	Thạch Hưng	Incense making	2016
108	Phan Thị Oanh	2/24/1981	183.571.238	Thạch Hưng	Incense making	2016
109	Trần Thị Thu	6/1/1985	183.530.690	Thạch Hưng	Incense making	2016

**Annex 9: Sample list of households and household members involving in agricultural extension in 2015 - 2019**

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
<b>I</b>	<b>Dai Nai ward</b>					
	None					
<b>II</b>	<b>Tan Giang ward</b>					
	None					
<b>III</b>	<b>Thach Linh ward</b>					
1	Nguyễn Thị Cảnh	20/06/1982	183196299	Thạch Linh	Chicken breeding	2015
2	Lưu Thị Chiến	3/1/1966	183878347	Thạch Linh	Chicken breeding	2015
3	Nguyễn Thị Cúc	13/07/1963	184120638	Thạch Linh	Chicken breeding	2015
4	Trần Thị Dung	6/10/1976	183384483	Thạch Linh	Chicken breeding	2015
5	Nguyễn Thị Giáo	20/06/1976	183275955	Thạch Linh	Chicken breeding	2015
6	Trần Thị Hoài	6/11/1985	183330949	Thạch Linh	Chicken breeding	2015
7	Nguyễn Thị Huệ	10/1969	184007053	Thạch Linh	Chicken breeding	2015
8	Nguyễn Thị Lan	20/10/1963	183079333	Thạch Linh	Chicken breeding	2015
9	Nguyễn Thị Lê	26/02/1992	184007082	Thạch Linh	Chicken breeding	2015
10	Nguyễn Thị Linh	4/8/1962	183434489	Thạch Linh	Chicken breeding	2015
11	Lưu Thị Thanh Nga	2/1/1981	183049708	Thạch Linh	Chicken breeding	2015
12	Nguyễn Thị Ngọc	10/8/1985	183330941	Thạch Linh	Chicken breeding	2015
13	Nguyễn Thị Ngụ	20/10/1965	183878340	Thạch Linh	Chicken breeding	2015
14	Trương Thị Nguyệt	22/4/1970	184229077	Thạch Linh	Chicken breeding	2015
15	Nguyễn Thị Nhung	5/5/1975	180232459	Thạch Linh	Chicken breeding	2015
16	Nguyễn Thị Phước	31/5/1977	183074535	Thạch Linh	Chicken breeding	2015
17	Đoàn Thị Phượng	20/07/1986	183434351	Thạch Linh	Chicken breeding	2015
<b>IV</b>	<b>Thach Quy ward</b>					
18	Lê Thị Loan	1965		Trung Quý-Thạch Quý	Safe vegetable growing	2015

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
19	Chế Thị Nga	1968		Trung Quý-Thạch Quý	Safe vegetable growing	2015
20	Nguyễn Thị Lý	1964		Trung Quý-Thạch Quý	Safe vegetable growing	2015
21	Nguyễn Thị Minh	1964		Trung Quý-Thạch Quý	Safe vegetable growing	2015
22	Trương Thị Bình	1987		Bắc Quý-Thạch Quý	Safe vegetable growing	2015
23	Trần Thị Cúc	1965		Bắc Quý-Thạch Quý	Safe vegetable growing	2015
24	Trần Thị Hòa	1964		Bắc Quý-Thạch Quý	Safe vegetable growing	2015
<b>V</b>	<b>Tran Phu ward</b>					
	None					
<b>VI</b>	<b>Van Yen ward</b>					
25	Lê Thị Bảy	16/1/1974	01686096045	Văn Yên	Safe vegetable growing	2017
26	Lê Thị Cúc	1/9/1964		Văn Yên	Safe vegetable growing	2017
27	Lê Thị Giang	23/10/1988	0985349003	Văn Yên	Safe vegetable growing	2017
28	Lê Thị Dung	18/7/1992		Văn Yên	Safe vegetable growing	2017
29	Nguyễn Thị Hà	16/5/1974	01292051929	Văn Yên	Safe vegetable growing	2017
30	Lê Thị Hải	20/12/1989	0911478104	Văn Yên	Safe vegetable growing	2017
31	Hoàng Thị Hằng	20/11/1985	0947932536	Văn Yên	Safe vegetable growing	2017
32	Lê Thị Hậu	9/2/1982	0988314970	Văn Yên	Safe vegetable growing	2017
33	Nguyễn Thị Hiền	28/1/1995	0987038850	Văn Yên	Safe vegetable growing	2017
34	Lê Thị Hồng	17/3/1977	0974917642	Văn Yên	Safe vegetable growing	2017
35	Lê Thị Hương	1/10/1977	01636374370	Văn Yên	Safe vegetable growing	2017
36	Lê Thị Lan	5/5/1987	094842883	Văn Yên	Safe vegetable growing	2017
37	Lê Thị Hà Linh	5/5/1991	0964384591	Văn Yên	Safe vegetable growing	2017



No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
38	Nguyễn Thị Nga	10/11/1981		Văn Yên	Safe vegetable growing	2017
39	Lê Thị Nhâm	2/2/1982	0945587082	Văn Yên	Safe vegetable growing	2017
40	Trần Thị Nhung	16/2/1974		Văn Yên	Safe vegetable growing	2017
41	Lê Thị Phương	3/10/1963		Văn Yên	Safe vegetable growing	2017
42	Trần Thị Thủy	5/4/1988		Văn Yên	Safe vegetable growing	2017
43	Hồ Thị	22/9/1980		Văn Yên	Safe vegetable growing	2017
44	Trần Hậu Toàn	5/5/1991		Văn Yên	Breeding techniques	2019
45	Lê Hữu Lục	10/11/1981		Văn Yên	Breeding techniques	2019
46	Trần Hữu Ngụ	2/2/1982		Văn Yên	Breeding techniques	2019
47	Lê Hữu Tứ	5/5/1991		Văn Yên	Breeding techniques	2019
48	Lê Việt Hùng	10/11/1981		Văn Yên	Breeding techniques	2019
49	Trần Hữu Hạnh	2/2/1982		Văn Yên	Breeding techniques	2019
50	Lê Hữu Thuận	16/2/1974		Văn Yên	Breeding techniques	2019
51	Lê Đình Hạnh	3/10/1963		Văn Yên	Breeding techniques	2019
52	Trần Quốc Báu			Văn Yên	Breeding techniques	2019
53	Lê Văn Phúc			Văn Yên	Breeding techniques	2019
54	Lê Phương Tào	13/11/1984		Văn Yên	Breeding techniques	2019
55	Lê Thị Học	6/11/1967		Văn Yên	Breeding techniques	2019
56	Lê Đình Định	1/8/1987		Văn Yên	Breeding techniques	2019
57	Lê Hữu Bình	3/2/1985		Văn Yên	Breeding techniques	2019

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
58	Lê Hữu Tình	5/10/1973		Văn Yên	Breeding techniques	2019
59	Lê Hữu Khán	5/1962		Văn Yên	Breeding techniques	2019
60	Lê Thị Thủy	5/5/1991		Văn Yên	Breeding techniques	2019
61	Lê Hữu Thành	10/11/1981		Văn Yên	Breeding techniques	2019
62	Nguyễn Thị Minh	2/2/1982		Văn Yên	Breeding techniques	2019
63	Lê Hữu Thanh	16/2/1974		Văn Yên	Breeding techniques	2019
64	Trần Hậu Phúc	3/10/1963		Văn Yên	Breeding techniques	2019
65	Trần Thị Lâm			Văn Yên	Technology of using fertilizers	2019
<b>VII</b>	<b>Thạch Trung commune</b>					
66	Nguyễn Thị Cúc	9/10/1969	182567505	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
67	Võ Thị Dung	25/2/1992	183969462	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
68	Nguyễn Thị Hà	30/11/1977	183244998	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
69	Hoàng Thị Hạnh	13/11/1984	183391787	Hồng Hà-Thạch Trung	Chicken breeding	2015
70	Nguyễn Thị Hiền	6/11/1967	183100499	Thanh Phú-Thạch Trung	Chicken breeding	2015
71	Nguyễn Thị Hoa	1/8/1987	183522155	Đông Tiến-Thạch Trung	Chicken breeding	2015
72	Nguyễn Thị Huyền	3/2/1985	183401561	Nam Quang-Thạch Trung	Chicken breeding	2015
73	Dương Thị Huyền	5/10/1973	183567518	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
74	Phan Thị Luận	5/1962	183454840	Thanh Phú-Thạch Trung	Chicken breeding	2015
75	Nguyễn Thị Minh	28/3/1962	183100491	Thanh Phú-Thạch Trung	Chicken breeding	2015
76	Trần Thị Nghĩa	20/3/1961	183818716	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
77	Đặng Thị Nhung	22/12/1974	183369800	Thanh Phú-Thạch Trung	Chicken breeding	2015

No.	Full name	Date of birth	ID NO./PHONE	Permanent residence	Training course	Training year
78	Võ Thị Thanh Phương	1/6/1985	183871657	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
79	Nguyễn Thị Tứ	5/10/1963	183822731	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
80	Hoàng Thị Xuân	20/02/1971	183369886	Đoài Thịnh-Thạch Trung	Chicken breeding	2015
81	Nguyễn Văn Hồng			Đoài Thịnh – Thạch Trung	Safe vegetable growing	2019
<b>VIII</b>	<b>Thạch Dong commune</b>					
	None					
<b>IX</b>	<b>Thạch Hưng commune</b>					
82	Nguyễn Thị Hương	08/1964	183909409	Thạch Hưng	Safe vegetable growing	2016
83	Lê Thị Hương	22/11/1974	183301813	Thạch Hưng	Safe vegetable growing	2016
84	Võ Thị Loan	16/08/1981	183146918	Thạch Hưng	Safe vegetable growing	2016
85	Dương Thị Lý	16/11/1966	184275759	Thạch Hưng	Safe vegetable growing	2016
86	Bùi Thị Nga	5/5/1968	183998637	Thạch Hưng	Safe vegetable growing	2016
87	Nguyễn Thị Nghị	13/3/1966	183998638	Thạch Hưng	Safe vegetable growing	2016

**Annex 10: Sample list of loaned households and household members in the period of 2015 - 2019**

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
<b>I</b>	<b>Dai Nai ward</b>							
1	Phạm Thị Minh	Group 9	2016	12,000,000	HN	6.6%	5 years	VBSP
2	Phan Thị Niêm	Group 3	2014	7,000,000	HN	6.6%	5 years	VBSP
3	Nguyễn Xuân Vinh	Group 8	2018	12,000,000	HN	6.6%	5 years	VBSP
<b>II</b>	<b>Tan Giang ward</b>							
4	Võ Thị Minh Hồng	Group 10	2017	4,000,000		6.0%	2 years	ADB loan
<b>III</b>	<b>Thạch Linh ward</b>							
5	Võ Tá Trung	Vĩnh Hòa	2016	38,000,000	Other	9.0%	5 years	VBSP
6	Lưu Thị Thiện	Vĩnh Hòa	2016	30,000,000	Other	9.0%	5 years	VBSP
7	Biện Thị Lý	Vĩnh Hòa	2017	40,000,000	HSSV	6.6%	5 years	VBSP
8	Nguyễn Tuất Hoàn	Tân Tiến	2017	27,000,000	HCN	6.6%	5 years	VBSP
9	Lê Thị Thu	Tuy Hòa	2016	12,500,000	Other	6.6%	5 years	VBSP
10	Bùi Thị Châu	Tuy Hòa	2017	30,000,000	Other	6.6%	5 years	VBSP
11	Nguyễn Thị Tươi	Hợp Tiến, Thạch Linh	2019	20,000,000	HSSV	6.6%	5 years	VBSP
12	Biện Thị Hoa	Hợp Tiến, Thạch Linh	2019	20,000,000	HSSV	6.6%	5 years	VBSP
13	Nguyễn Thị Nga	Hợp Tiến, Thạch Linh	2019	12,000,000	HN	6.6%	5 years	VBSP
14	Nguyễn Thị Ngụ	Hợp Tiến, Thạch Linh	2019	20,000,000	HCN	6.6%	5 years	VBSP
15	Biện Thị Lý	Vĩnh Hòa, Thạch Linh	2019	12,000,000	HN	6.6%	5 years	VBSP
16	Biện Thị Tín	Vĩnh Hòa, Thạch Linh	2019	20,000,000	HCN	6.6%	5 years	VBSP
17	Hà Đăng Hường (children)	Vĩnh Hòa, Thạch Linh	2019	30,000,000	Other	6.6%	5 years	VBSP
18	Hồ Thị Vân	Vĩnh Hòa, Thạch Linh	2019	15,000,000	GQVL	6.6%	5 years	VBSP
19	Phan Văn Lệ	Vĩnh Hòa, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
20	Nguyễn Xuân Hoàng	Nam Tiến, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
21	Nguyễn Thị Hải	Tuy Hòa, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
22	Võ Thị Hương	Tuy Hòa, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
23	Lê Thị Hoa	Tuy Hòa, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
24	Đinh Thị Hoàng Mai	Hợp Tiến, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
25	Nguyễn Thị Nga	Hợp Tiến, Thạch Linh	2019	27,500,000	HSSV	6.6%	5 years	VBSP
26	Trần Văn Đức	Tuy Hòa, Thạch Linh	2019	15,000,000	Other	6.6%	5 years	VBSP
27	Nguyễn Quang Tân	Vĩnh Hòa, Thạch Linh	2019	12,000,000	HN	6.6%	5 years	VBSP
28	Nguyễn Thị Hiền	Vĩnh Hòa, Thạch Linh	2019	20,000,000	HCN	6.6%	5 years	VBSP
29	Trần Thị Châu	Hợp Tiến, Thạch Linh	2019	12,000,000	HN	6.6%	5 years	VBSP
30	Đinh Thị Hoàng Mai	Hợp Tiến, Thạch Linh	2019	20,000,000	HCN	6.6%	5 years	VBSP
31	Hoàng Thị Bưởi	Hợp Tiến, Thạch Linh	2019	30,000,000	Other	6.6%	5 years	VBSP
32	Lê Thị Phương	Vĩnh Hòa, Thạch Linh	2019	15,000,000	GQVL	6.6%	5 years	VBSP
33	Nguyễn Thị Hải Yến	Vĩnh Hòa, Thạch Linh	2019	20,000,000	Other	6.6%	5 years	VBSP
34	Nguyễn Thị Liên	Vĩnh Hòa, Thạch Linh	2019	20,000,000	HCN	6.6%	5 years	VBSP
<b>IV</b>	<b>Thạch Quy ward</b>							
35	Nguyễn Thị Huế	Trung Đình	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
36	Nguyễn Thị Đức	Hậu Thượng	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
37	Nguyễn Thị Hà	Hậu Thượng	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
38	Nguyễn Thị Nguyệt	Tiền Tiến	2015	25,000,000	GQVL	6.6%	5 years	VBSP
39	Trần Thị Trọng	Tiền Tiến	2015	25,000,000	GQVL	6.6%	5 years	VBSP
40	Trần Thị Lan	Trung Quý	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
41	Trần Thị Hoa	Trung Quý	2017	30,000,000	Other	6.6%	5 years	VBSP
42	Nguyễn Văn Định	Bắc Quý, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
43	Nguyễn Công Trình	Bắc Quý, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
44	Trương Ngọc Cảnh	Tiền Giang, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
45	Trần Hậu Trung	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
46	Trần Hậu Ngụ	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
47	Trịnh Văn Hải	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
48	Trần Hậu Đồi	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
49	Trịnh Văn Hải	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
50	Trịnh Văn Tam	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
51	Trịnh Xuân Thanh	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
52	Dương Đình Khánh	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
53	Trần Hậu Hiếu	Đông Quý, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
54	Trịnh Quý	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
55	Trương Thị Châu	Tiền Tiến, Thạch Quý	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
<b>V</b>	<b>Tran Phu ward</b>							
<b>VI</b>	<b>Van Yen ward</b>							
56	Lê Thị Cúc	Văn Thịnh	2017	20,000,000	HSSV	6.6%	5 years	VBSP
57	Lê Thị Liên	Văn Phúc	2017	20,000,000	HSSV	6.6%	5 years	VBSP
58	Lê Thị Hiền	Văn Phúc	2017	12,000,000	HN	6.6%	5 years	VBSP
59	Lê Thị Hoa	Văn Phúc	2017	20,000,000	HCN	6.6%	5 years	VBSP
60	Lê Thị Quế	Văn Phúc	2017	12,000,000	HN	6.6%	5 years	VBSP
61	Lê Thị Lục	Văn Phúc	2016	20,000,000	HCN	6.6%	5 years	VBSP
62	Lê Thị Tứ	Hòa Bình	2017	30,000,000	Other	6.6%	5 years	VBSP
63	Lê Thị Thủy	Hòa Bình	2016	15,000,000	GQVL	6.6%	5 years	VBSP
64	Lê Thị Thành	Hòa Bình	2016	20,000,000	HCN	6.6%	5 years	VBSP
65	Lê Thị Linh	Hòa Bình	2017	20,000,000	HCN	6.6%	5 years	VBSP
66	Nguyễn Thị Huyền	Hòa Bình	2016	20,000,000	HCN	6.6%	5 years	VBSP
67	Lê Thị Hồng	Hòa Bình	2017	20,000,000	HCN	6.6%	5 years	VBSP



No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
68	Trần Thị Lộc	Hòa Bình	2016	20,000,000	HCN	6.6%	5 years	VBSP
69	Trần Thị Lý	Hòa Bình	2017	20,000,000	HCN	6.6%	5 years	VBSP
70	Lê Thị Lương	Hòa Bình	2016	27,500,000	HSSV	6.6%	5 years	VBSP
71	Lê Thị Liệu	Hòa Bình	2016	15,000,000	Other	6.6%	5 years	VBSP
72	Trần Thị Hương	Tân Yên	2017	18,000,000	Other	6.6%	5 years	VBSP
73	Lê Thị Thành	Tân Yên	2016	30,000,000	Other	6.6%	5 years	VBSP
74	Lê Thị Hường	Tân Yên	2015	30,000,000	HSSV	6.6%	5 years	VBSP
75	Lê Việt Hùng	Hòa Bình, Văn Yên	2019	20,000,000	HSSV	6.6%	5 years	VBSP
76	Trần Hậu Chiến	Hòa Bình, Văn Yên	2019	20,000,000	HSSV	6.6%	5 years	VBSP
77	Lê Đình Hồng	Hòa Bình, Văn Yên	2019	12,000,000	HN	6.6%	5 years	VBSP
78	Trần Hậu Toàn	Hòa Bình, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
79	Lê Văn Hiền	Hòa Bình, Văn Yên	2019	12,000,000	HN	6.6%	5 years	VBSP
80	Lê Hữu Tư	Tân Yên, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
81	Lê Văn Quang	Tân Yên, Văn Yên	2019	30,000,000	Other	6.6%	5 years	VBSP
82	Lê Hữu Khải	Tân Yên, Văn Yên	2019	15,000,000	GQVL	6.6%	5 years	VBSP
83	Trần Thị Lộc	Hòa Bình, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
84	Nguyễn Huy Dinh	Hòa Bình, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
85	Lê Đình Hà	Hòa Bình, Văn Yên	2019	20,000,000	HSSV	6.6%	5 years	VBSP
86	Lê Đình Toàn	Hòa Bình, Văn Yên	2019	20,000,000	HSSV	6.6%	5 years	VBSP
87	Lê Hữu Bình	Văn Thịnh, Văn Yên	2019	12,000,000	HN	6.6%	5 years	VBSP
88	Lê Hữu Ngụ	Văn Thịnh, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
89	Lê Hữu Lục	Văn Thịnh, Văn Yên	2019	12,000,000	HN	6.6%	5 years	VBSP
90	Trần Thị Hòa	Văn Thịnh, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
91	Trần Hữu Hạnh	Văn Thịnh, Văn Yên	2019	30,000,000	Other	6.6%	5 years	VBSP
92	Trần Hậu Tuấn	Văn Thịnh, Văn Yên	2019	15,000,000	GQVL	6.6%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
93	Lê Hữu Thuận	Văn Thịnh, Văn Yên	2019	20,000,000	HCN	6.6%	5 years	VBSP
94	Lê Đình Bình	Văn Thịnh, Văn Yên	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
95	Lê Đình Hạnh	Văn Thịnh, Văn Yên	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
96	Lê Thị Lan	Văn Thịnh, Văn Yên	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
97	Lê Hữu Bình	Văn Phúc, Văn Yên	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
98	Trần Quốc Báu	Văn Phúc, Văn Yên	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
99	Lê Hữu Khánh	Văn Phúc, Văn Yên	2019	7,000,000	NSVSMT	9.0%	5 years	VBSP
<b>VII</b>	<b>Thạch Trung commune</b>							
100	Nguyễn Thị Tuyết	Nam Phú	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
101	Nguyễn Thị Lê	Nam Phú	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
102	Phan Thị Hà	Nam Phú	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
103	Võ Thị Trông	Nam Quang	2017	25,000,000	HCN	6.6%	5 years	VBSP
104	Bùi Thị Hiền	Nam Quang	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
105	Nguyễn Văn Thắng	Nam Quang	2017	25,000,000	HCN	6.6%	5 years	VBSP
106	Võ Đình Lương	Nam Quang	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
107	Nguyễn Thị Công	Nam Quang	2016	30,000,000	HN	6.6%	5 years	VBSP
108	Nguyễn Thanh Tịnh	Nam Quang	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
109	Nguyễn Thị Quang	Nam Quang	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
110	Nguyễn Thị Thanh	Nam Quang	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
111	Võ Thị Dung	Nam Quang	2016	8,000,000	NHNTQ	6.6%	5 years	VBSP
112	Võ Đình Hùng	Nam Quang	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP
113	Trương Thị Đào	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
114	Phan Thị Hường	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
115	Nguyễn Thị Tứ	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
116	Nguyễn Thị Thanh	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
117	Biện Văn Quế	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
118	Võ Thị Minh	Đoài Thịnh	2016	7,000,000	NSVSMT	9.0%	5 years	VBSP
119	Trần Hậu Bình	Đoài Thịnh	2016	42,000,000	Other	6.6%	5 years	VBSP
120	Nguyễn Thị Loan	Đoài Thịnh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
121	Phan Thị Bảo	Hồng Hà	2016	8,000,000	NHNTQ	9.0%	5 years	VBSP
122	Võ Tá Lý	Hồng Hà	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
123	Võ Thị Long	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
124	Mai Thị Ân	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
125	Nguyễn Thị Em	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
126	Mai Thị Hà	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
127	Nguyễn Thị Phụ	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
128	Nguyễn Thị Trúc	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
129	Võ Tá Tân (con)	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
130	Nguyễn Thị Tuyết	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
131	Võ Thị Linh	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
132	Lê Thị Túc	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
133	Nguyễn Đức Anh (con)	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
134	Nguyễn Thị Sâm	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
135	Nguyễn Thị An	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
136	Nguyễn Thị Hà	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
137	Nguyễn Thị Huy	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
138	Mai Thị Thanh	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
139	Nguyễn Huệ	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
140	Võ Thị Thu Trang	Hồng Hà	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP
141	Mai Văn Công	Nam Phú	2019	12,000,000	NSVSMT	9.0%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
142	Trương Thị Liệu	Đoài Thịnh	2019	40,000.000	Farmers	0.7%	24 months	Farmer Association
143	Phan văn Chương	Đoài Thịnh	2019	40,000,000	Farmers	0.7%	24 months	Farmer Association
144	Võ Văn Thanh	Đoài Thịnh	2019	40,000.000	Farmers	0.7%	24 months	Farmer Association
145	Phan Văn Nguyên	Đoài Thịnh	2019	40,000,000	Farmers	0.7%	24 months	Farmer Association
146	Phan Trọng Lý	Đoài Thịnh	2019	40,000.000	Farmers	0.7%	24 months	Farmer Association
147	Đặng Nam Định	Thanh Phú	2019	10,000,000	NSVSMT	9.0%	5 years	VBSP
148	Nguyễn Văn Quyền	Thanh Phú	2019	10,000,000	NSVSMT	9.0%	5 years	VBSP
149	Đặng Đình Lý	Thanh Phú	2019	10,000,000	NSVSMT	9.0%	5 years	VBSP
150	Lưu Văn Sơn	Thanh Phú	2019	10,000,000	NSVSMT	9.0%	5 years	VBSP
151	Lưu Hồng Thảo	Thanh Phú	2019	10,000,000	NSVSMT	9.0%	5 years	VBSP
<b>VIII</b>	<b>Thach Dong commune</b>							
152	Lê Thị Xuân	Đồng Liên	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
153	Dương Thị Thảo	Đồng Thanh	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
154	Đặng Thị Nga	Đồng Thanh	2017	12,000,000	NSVSMT	9.0%	5 years	VBSP
155	Hồ Thị Nhung	Đồng Thanh	2018	12,000,000	NSVSMT	9.0%	5 years	VBSP
156	Nguyễn Thị Mai	Thắng Lợi	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP
157	Nguyễn Thị Bình	Thắng Lợi	2016	30,000,000	NSVSMT	6.6%	5 years	VBSP
158	Nguyễn Thị Xuân	Hòa Bình	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
159	Văn Thị Nguyệt	Hòa Bình	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP
160	Nguyễn Thị Thu	Hòa Bình	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
161	Dương Thị Tình	Đồng Giang	2018	12,000,000	NSVSMT	9.0%	5 years	VBSP
162	Dương Thị Hồng	Đồng Giang	2018	12,000,000	NSVSMT	9.0%	5 years	VBSP
163	Trần Hậu Nghĩa	Đồng Tiến	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP

No.	Full name	Current residence (Quarter/village/hamlet)	Loan year	Amount VND	Loan Program (Borrower)*	Interest rate %/year	Loan duration	Bank
164	Dương Thị Hằng	Đồng Tiến	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
<b>IX</b>	<b>Thạch Hung commune</b>							
165	Phan Thị Hà	Kinh Nam	2017	50,000,000	HN	6.6%	5 years	VBSP
166	Phan Thị Đại	Kinh Nam	2017	12,000,000	NSVSMT	9.0%	5 years	VBSP
167	Phan Thị Lan	Kinh Nam	2017	12,000,000	NSVSMT	9.0%	5 years	VBSP
168	Trần Thị Liên	Kinh Nam	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
169	Trần Thị Nhung	Kinh Nam	2016	12,000,000	NSVSMT	9.0%	5 years	VBSP
170	Trần Thị Yến	Kinh Nam	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP
171	Trương Thị Lài	Kinh Nam	2015	12,000,000	NSVSMT	9.0%	5 years	VBSP
172	Trần Đại Chất	Tiến Hưng	2017	30,000,000	HN	6.6%	5 years	VBSP
173	Trương Thị Lâm	Tiến Hưng	2016	10,000,000	NSVSMT	9.0%	5 years	VBSP

# Annex 11: Photo of IRPs implemented in subproject and AHs livelihood changing

	
<p>Training course on safe vegetable production techniques under the Integrated Pest Management Program (IPM) in Thach Trung commune (November 2019)</p>	<p>Training course on fertilizer use techniques, propaganda on job introduction and labor export in Van Yen ward (October 2019)</p>
	
<p>Loan disbursement in Thach Trung commune</p>	<p>Mushroom model - in Thach Trung commune (loan borrowing households)</p>
	
<p>"Banh Trang" making model of resettled households - Thach Hung commune</p>	<p>"Banh Trang" making model of resettled households - Thach Hung commune</p>



## Annex 12: Socio-Economic Survey Form for AHs in the Project area

### SOCIO – ECONOMIC SURVEY

Survey date: \_\_\_\_ / \_\_\_\_ / 20....

1. Full name of householder: ..... Gender: Male =1; Female =2
2. Address: Village/hamlet: ..... Commune: ..... District: ..... Province: .....  
.....
3. Age: ..... 4. Religion: .....

#### A. GENERAL INFORMATION

A1. Number of family members? \_\_\_\_\_ people,

In which: Male: \_\_\_\_\_ people, Female \_\_\_\_\_ people.

A2. Number of working members at working age, main labor (generate income): \_\_\_\_\_ people

A3. Which types of household does your family belong to? (You can choose more than one option)

1. Poor households (having poor certificate)	4. Ethnic minority households
2. Near poor households	5. Elderly households (1-2 members)
3. Women-headed households with/without dependents	6. Households with Meritorious Services to the Revolution

#### Information of respondents:

##### A6. Relationship with householder:

1. Husband/wife	2. Children	3. Grandchildren
4. Father, mother	5. Grandfather/grandmother	6. Brother/Sister

A7. Gender: 1. Male                      2. Female                      Age: .....

A8. Ethnic Minority: 1. Kinh                      2. Others (specify): .....

##### A9. Marital status:

1. Single	2. Married	3. Divorced
4. Separated	5. Widowed	6. No answer

##### A10. Education level (with degree)

0. Illiteracy	1. Primary school	2. Secondary school
3. High school	4. Vocational training	5. University/College
6. Have no idea		

##### A11. What is your main job?

1. Disable/Unemployed	2. Agro, forestry, aquaculture
3. Trading/Service	4. Civil servants/Military
5. Pupils/Students	6. Handicraft
7. Worker	8. Housewife/Retired

#### B. ASSETS

##### B1. Houses (choose only one option)

1. Permanent (one-storey or more/ brick wall, reinforced concrete roof)
2. Semi-permanent (brick wall, tile/corrugated iron roof, etc.)
3. Wooden house, leaf roof (wooden house, wood/leaf roof)
4. Temporary houses (bamboo, wooden, corrugated iron, etc.)
5. No house
6. Others (apartment), specify: .....

##### B2. Which source of water do your family use? (choose only one option)

Cooking and washing
1. Natural rivers/ streams/ creek
2. Reservoir/ Irrigation system
3. Drilled/ Dug well

4. Clean water supply system
5. Storm water
6. Bought water bottle (e.g. 20 liter bottle)
7. Others (specify):

**B3. Which type of toilets do you use? (Choose only one option)**

1. Septic/semi-septic tank	3. Temporary toilets/ No toilet
2. One/Two-compartment toilet	4. Others (specify): .....

**B4. What is your main type of power for lighting? (Choose only one option)**

- |                     |   |
|---------------------|---|
| 1. Kerosene         | 4. Electricity from battery, generator, homemade hydropower |
| 2. Gas              | 5. Others (specify): .....                                  |
| 3. Grid electricity |   |

**B5. Which fuel do you use for cooking: (Choose only one option)**

- |                        |                            |
|------------------------|----------------------------|
| 1. Wood/ Straw, leaves | 4. Biogas                  |
| 2. Coal                | 5. Electricity             |
| 3. Gas/Biogas          | 6. Others (specify): ..... |

**B6. How is household waste collected/disposed of? (Choose multiple options)**

1. Burning/Burying in home garden	4. Pour into cattle sheds
2. Dispose in public landfill	5. Throw away into field/bush/river/pond/canal
3. Pour into garden	6. The sanitation team collects daily/weekly.
	7. Others (specify): .....

**B7. Which of following household equipment do you have? (Enumerator: ask about each type of equipment)**

Equipment	Yes	Equipment	Yes
1. Television		8. Car (excluding 'công nông')	
2. Internet		9. Refrigerator	
3. Boat/ Motorboat		10. Air conditioner	
4. Motorbike/ Electric bike		11. Computer	
5. Telephone		12. Washing machine	
6. Mobile phone		13. Water heater	
7. Gas stove		14. Water filter	
		15. Others (specify): .....	

**C. INCOME AND EXPENDITURE**

**C1. How much is the average expenditure of your family last year?**

.....(VND/month)

**C2. How much is your family income from following sources within 12 recent months?**

.....(VND/month)

**G. SOME PROJECT-RELATED ISSUES**

**G1. How is your family affected by the Project? (Choose one option)**

1. Partially affected	2. Losing more than 10% productive land
3. Relocation	4. Both losing more than 10% of productive land and being relocated

**Information Disclosure (CIRCLE ONE option)**

G2	Is your family invited to participate in public consultation meetings at the Ward People's Committee for information disclosure about the project?	1. Yes    2. No
G3	Do you know clearly the project?	1. Yes    2. No
G4	Do you understand your entitlement in the project?	1. Yes    2. No

G5	Is compensation plan publicly to affected people?	1. Yes 2. No
G6	Do you know the grievance redress mechanism of the project?	1. Yes 2. No
G7	Is your family introduced and visited the resettlement area? <b>(only for relocated households)?</b>	1. Yes 2. No

**Assess the satisfaction of APs (SELECT 1 option)**

G8	Are you satisfied with the compensation rate?	1. Yes 2. No
G9	How do you assess the change of infrastructure (road system) in your area compared to before the project (2013)?	1. Better 2. Remain 3. Worse
G10	How do you assess the change of the drainage system in your area compared to before the project (2013)?	1. Better 2. Remain 3. Worse
G11	What do you think about your family's living standard after the project completion?	1. Better 2. Remain 3. Worse
G12	Have you received the compensation and assistance as affected by the subproject?	1. Received 2. Not yet
G13	Is your family involved in the livelihood restoration program? <b>(Livelihood restoration program is only for severely affected households, displaced households)</b>	1. Yes 2. No
G14	What livelihood restoration programs have you or your family members participated in?	Answer:..... .....

**FOR RELOCATED HOUSEHOLDS ONLY**

G15	Have you received the resettlement land plot/resettlement allocation?	1. Received 2. Not yet
G16	How do you assess the infrastructure conditions in your new place of residence (compared to the old one)?	1. Better 2. Remain 3. Worse
G17	Have you been issued a certificate of land use right for your new land parcel?	1. Received 2. Not yet
G18	Does the local government at your new residence support you in integrating into the new life such as creating conditions for procedures, children's education, etc.?	1. Yes 2. No
G19	Are you satisfied with your new home?	1. Yes 2. No

**General assessment of the project implementation**

**G20. Are you satisfied with the project resettlement and compensation activities?**

1. Yes 2. No

**How do you assess about project's impacts?**

- Positive impacts: .....
- .....
- Negative impacts: .....
- .....
- Others: .....
- .....

**THANK YOU FOR YOUR COOPERATION!**