

PROCUREMENT PLAN

Basic Data

Project Name: Xinjiang Integrated Urban Development Project	
Project Number: 45508-002	Approval Number: 3017
Country: China, People's Republic of	Executing Agency: Government of Xinjiang Uygur Autonomous Region
Project Procurement Risk:	Implementing Agency: N/A
Project Financing Amount: US\$ 372,700,000 ADB Financing: US\$ 200,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 172,700,000	Project Closing Date: 30 June 2021
Date of First Procurement Plan: 28 August 2013	Date of this Procurement Plan: 29 March 2021, Version 11
Advance Contracting: Yes	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 3,000,000 and Above	Prior review
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 2,999,999	The first NCB procurement documents for goods for each project implementing agency should be submitted for ADB prior review and approval. Subsequent NCB procurement documents will be subject to post review.
Shopping for Goods	Up to US\$ 100,000	Post review
International Competitive Bidding for Works	US\$ 40,000,000 and Above	Prior review
National Competitive Bidding for Works	Between US\$ 200,001 and US\$ 39,999,999	The NCB procurement documents for packages with cost estimates at \$10 million above and the first NCB procurement documents for works for each project implementing agency should be submitted for ADB prior review and approval. The other NCB procurement documents will be subject to post review.
Shopping for Works	Up to US\$ 200,000	Post review

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	Prior review
Consultant's Qualification Selection for Consulting Firm	Prior review
Individual Consultant Selection for Individual Consultant	Prior review

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
KL-E11	Maintenance equipment and vehicles for Nanjiao WWTP	2,029,000.00	NCB	Post	1S1E	Q1 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
KL-E12	Maintenance equipment and vehicles for municipal facilities	1,739,100.00	NCB	Post	1S1E	Q1 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
KL-C16	Kelamayi-NRW M civil engineering (4 residential communities water supply pipelines supplies and installation, etc.)	890,000.00	612,827.52	NCB	Q4 / 2015	17-MAR-16	Contract value CNY3,983,378.90
KL-C10	Kelamayi-New road – Xingfu Road West Extension	7,750,000.00	4,528,720.50	NCB	Q1 / 2015	24-JUL-15	Contract value CNY28,865,158.72
KL-C11	Kelamayi-New road – Xingfu Road West Extension	9,390,000.00	5,192,360.77	NCB	Q1 / 2015	26-JUN-15	Contract value CNY33,095,069.06

KL-C12	Kelamayi-New road – Xingfu Road West Extension	9,550,000.00	4,157,908.98	NCB	Q1 / 2015	24-JUL-15	Contract value CNY26,501,680.27
KL-C13	Kelamayi-New road – Xingfu Road West Extension	7,400,000.00	4,013,403.46	NCB	Q1 / 2015	31-JUL-15	Contract value CNY25,580,630.98
KL-C14	Kelamayi-New road – Xingfu West Extension Section 5 (Century Boulevard - Jing Six Road, 5.665km)	27,410,000.00	14,816,146.88	NCB	Q2 / 2015	27-NOV-15	Contract value CNY91,860,110.66
KL-C15	Kelamayi-Waste water reuse pipe network system (ductile iron pipe, steel pipe supplies and installation)	16,590,000.00	5,238,886.49	NCB	Q1 / 2016	05-JUL-16	Contract value CNY33,895,595.59
KL-C02	Kelamayi-Wetland construction and treated wastewater storage recycling project(area 9.93 km2)	27,650,000.00	17,959,362.43	NCB	Q4 / 2018	05-JUL-19	Contract value CNY123,506,535.40
KL-C17	Kelamayi-Xingfu Road West Extension-Water Supply Pipeline and Electrical Equipment & Installation	3,180,000.00	1,681,168.10	NCB	Q1 / 2016	05-JUL-16	Contract value CNY10,877,157.58
KT-C01	Kuitun-East Channel (0+000~0+401) + East Flood Channel Branch 1 Improvement (Kuitun City)	3,860,000.00	1,930,943.58	NCB	Q1 / 2017	29-SEP-17	Contract Value CNY13,177,724
KT-C12	Kuitun-RWM Water Main and Community Water Pipe Improvement	2,417,400.00	1,728,703.95	NCB	Q4 / 2015	15-AUG-17	Contract value CNY11,500,203.04
KT-C03	Kuitun-South Trunch Channel Improvement (Kuitun City)	8,860,000.00	4,403,121.98	NCB	Q1 / 2017	15-AUG-17	Contract value CNY29,291,769
KT-C10	Kuitun-Wastewater Interception Works	660,000.00	524,902.52	NCB	Q3 / 2019	30-APR-20	Contract Value CNY3,719,669.22
KT-C02	Kuitun-West Channel (0+000~1+605)	4,390,000.00	2,363,378.93	NCB	Q1 / 2016	28-NOV-16	Contract value CNY16,359,072.62

	Improvements (Kuitun City)						
KT-C24	Windbreaks trees planting and other works along flood channels (Kuitun City Section)	4,000,000.00	4,023,184.00	NCB	Q4 / 2019	30-APR-20	Contract value CNY28,509,892.93
KL-E10	Deodorization system equipment and installation of Nanjiao WWTP	2,898,600.00	2,779,683.36	NCB	Q3 / 2020	18-JAN-21	Contract Value CNY17, 960, 924
KL-E05	Kelamayi-Equipment for Fuxing Road West Section (10kV substation, etc.)	2,890,000.00	1,251,273.90	NCB	Q1 / 2016	28-OCT-16	Contract Value CNY8,258,408
KL-E06	Kelamayi-Equipment for Xingfu Road West Extension (street lighting, etc.)	1,480,000.00	416,869.00	NCB	Q4 / 2015	28-OCT-16	Contract Value CNY2,751,336
KL-E01	Kelamayi-Equipment supply and installation for upgrading and renovation of the Nanjiao WWTP - Core Wastewater Treatment Process	9,090,000.00	5,312,582.00	ICB	Q3 / 2016	21-JUN-17	
KL-E02	Kelamayi-Equipment supply and installation for upgrading and renovation of the Nanjiao WWTP - Electrical Equipment and Instrument	2,720,000.00	1,557,092.80	NCB	Q1 / 2017	20-NOV-17	Contract Value CNY10,325,550
KL-E03	Kelamayi-Equipment supply and installation for upgrading and renovation of the Nanjiao WWTP - Processing Equipment	4,420,000.00	2,159,295.54	ICB	Q3 / 2016	17-JUL-17	Contract value CNY14,645,422
KL-E07	Kelamayi-Upgrading of old equipment in Nanjiao WWTP	980,000.00	1,100,165.07	NCB	Q1 / 2020	12-OCT-20	Contract Value CNY7,471,221
KT-E05	Kuitun-NRWM equipment (water quality and safety testing equipment, leak detectors, DAM flowmeter control leakage	700,000.00	579,751.00	NCB	Q1 / 2016	21-JUL-16	Contract value CNY3,817,600

	monitoring)						
KT-E01	Kuitun-River improvement equipment procurement (garbage compression truck, Vacuum truck, pickup trucks, trash and garbage bin, etc.)	270,000.00	232,985.03	NCB	Q1 / 2015	07-OCT-15	Contract value CNY1,485,000.00
KL-E09	Wetland construction and treated wastewater storage recycling project-procurement and installation of road color coatings	579,700.00	597,577.74	NCB	Q3 / 2020	23-NOV-20	Contract Value CNY3, 934, 332.30

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
KL-S01	Kelamayi-None profitable water management (water supply pipe network modeling)	250,000.00	250,954.50	CQS	Q4 / 2015	12-OCT-16	Contract Value CNY1,656,300
KT-S02	Kuitun-Kuitun Pipe Networks Planning and Training	210,000.00	211,461.20	CQS	Q1 / 2016	13-OCT-17	Contract value CNY1,400,000
XJ-TA-A	Project Implementation and institutional strengthening support	1,600,000.00	1,584,740.00	QCBS	Q3 / 2013	16-NOV-15	

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

