

PROCUREMENT PLAN

Basic Data

Project Name: Xinjiang Akesu Integrated Urban Development and Environment Improvement Project	
Project Number: 46049-002	Approval Number: 3262
Country: China, People's Republic of	Executing Agency: Xinjiang Uygur Autonomous Region Government
Project Procurement Classification: Category B	Implementing Agency: Akesu Municipal Government
Project Procurement Risk: Medium	
Project Financing Amount: US\$ 250,990,000 ADB Financing: US\$ 150,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 100,990,000	Project Closing Date: 30 April 2021
Date of First Procurement Plan: 26 June 2015	Date of this Procurement Plan: 3 January 2020, Version 9
Advance Contracting: Yes	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 10,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 9,999,999	The two NCB contracts are subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 99,999	
International Competitive Bidding for Works	US\$ 40,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 39,999,999	The two NCB contracts are subject to prior review, thereafter post review
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	Quality and cost ratio: 80:20
Quality-Based Selection for Consulting Firm	
Individual Consultant Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CHN-CW03	Civil works and installation engineering of 12 old communities' external facade reconstruction	6,927,000.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N

							Bidding Document: Others Comments: MOF NCB SBD for Civil Works
CHN-CW0 4	Civil works and installation engineering of 11 old communities' external facade reconstruction	7,507,300.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Civil Works
CHN-CW0 5	Civil works and installation engineering of old communities' external pipeline reconstruction, equipment procurement of old communities' external pipeline reconstruction	4,858,300.00	NCB	Post	1S1E	Q4 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Civil Works
PSP-CW0 3	Civil works and installation engineering of protective forest on the both sides of Kaersiya flood control canal, plants procurement of protective forest on the both sides of Kaersiya flood control canal	2,322,100.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Civil Works
PSP-CW0 4	Civil works and installation engineering of greening on the both sides of Kaersiya flood control canal, and associated Kaersiya flood control canal	13,074,700.00	NCB	Prior	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N

							Bidding Document: Others Comments: MOF NCB SBD for Civil Works
SMW-GD07	Intelligent sanitation management system	2,737,800.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Goods
UPT-GD02	The purchase of 100 bus vehicles (including charging pile + canopy supply and installation)	11,388,600.00	ICB	Prior	1S1E	Q3 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
URN-CW15	Civil and installation engineering of Yingbage community back-street alleys water drainage pipeline; Civil and installation engineering of Yingbage community back-street alleys public toilet	1,074,300.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Civil Works
URN-CW16	Civil and installation engineering of Hongqipo area back-street alleyways and associated water supply and drainage pipeline	7,557,800.00	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others

							Comments: MOF NCB SBD for Civil Works
URN-GD0 4	Procurement of road lighting facilities at Jiankang road, Tuanjie road, Dongxidajie, Jiefangnan road, Awen road, Wuka road, Zhongyuan road, Desheng road, Dongsu road, Qingyuan road, Xinhe road and back-street alleyways	7,029,300.00	NCB	Post	1S1E	Q4 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Goods
URN-GD0 5	Procurement of traffic safety facilities (Sign, signal lights) at Jiankang road, Tuanjie road, Dongxidajie, Jiefangnan road, Awen road, Wuka road, Zhongyuan road, Desheng road, Dongsu road, Qingyuan road and Xinhe road	1,194,500.00	NCB	Post	1S1E	Q4 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Goods

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments

CSS-CS02	Office equipment	200,000.00	1	NCB	Post	1S1E	Q3 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Others Comments: MOF NCB SBD for Goods
SMW-GD08	270 dustbins of 4m3, 300 trash cans of 240L and 300 trash cans of 80L	184,500.00	1	NCB	Post	1S1E	Q4 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Goods
SMW-GD09	1 car cranes, 2 loaders, 1 wheeled excavators, 1 shovels, 2 road lamps boarding vehicles, 24 pickup trucks	848,500.00	1	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: MOF NCB SBD for Goods
WRH-GD01	1 patrol boat	73,200.00	1	SHOPPING	Post		Q3 / 2020	Advanced Contracting: N
WRH-GD02	1 patrol vehicle	32,500.00	1	SHOPPING	Post		Q3 / 2020	Advanced Contracting: N

WRH-GD03	5 dredging machines	406,500.00	1	NCB	Post	1S1E	Q3 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Others Comments: MOF NCB SBD for Goods
WRH-GD04	Wetland scientific research and monitoring engineering equipment	585,400.00	1	NCB	Post	1S1E	Q3 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Others Comments: MOF NCB SBD for Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
SWM-GD01	Solid Waste Management Contract Package	7,049,800.00	4,846,693.86	NCB	Q2 / 2015		PCSS 0001 to 0006
SMW-GD10	3 garbage compressing trucks of 13m ² , 3 dust suppression cars, 12 multifunctional cleaning cars, 1 pollutant suction car of 10m ³ , 3 road sweepers, 2 sprinklers of 30m ³ , 2 pipeline cars, 2 greening spray (water mist) trucks, 1 greening spray truck	2,351,600.00	1,942,715.33	NCB	Q2 / 2019	25-SEP-19	PCSS 0028. CNY13,776,960.00
URN-GD03	Equipment purchase for road and street light maintenance	1,443,900.00	1,252,667.00	NCB	Q1 / 2017	08-NOV-17	PCSS 0021
URN-GD07	Intelligent traffic management equipment	3,030,000.00	2,683,311.20	NCB	Q2 / 2019	07-OCT-19	PCSS0031. CNY19,181,381.80
UPT-GD03	Procurement of 300 sets of bus GPS and bus IC card system, 300 sets of bus real-time monitoring and alarm system, 1 set of intelligent bus dispatching system (including system platform, software, decoration), and inbus fire-control facilities	2,168,300.00	840,646.36	NCB	Q2 / 2019	30-OCT-19	PCSS0032. 5,939,755.00
URN-GD01	Purchase of road lighting facility for Minzhu Road, Tianshan Road,	6,493,000.00	3,417,658.73	NCB	Q1 / 2016	15-SEP-16	PCSS 0018

	Minzhu Road (E), Jiaoyu Road, Yingbin Road, Nanchang Road, Huannan Road, Jianshe Road, Back street, Fuqi Road, East Ying' awati Road, Wangsan Road, Jiefang Middle Road, Tanan Road						
URN-GD02	Purchase of traffic safety devices (signs and traffic-light) for Minzhu Road, Tianshan Road, Minzhu Road (E), Jiaoyu Road, Yingbin Road, Nanchang Road, Huannan Road, Jianshe Road, Back street, Fuqi Road, East Ying' awati Road, Wangsan Road, Jiefang	3,609,500.00	1,861,082.00	NCB	Q3 / 2016	20-NOV-17	PCSS 0027
UPT-GD04	Purchase of urban bus stops facilities	5,090,900.00	3,535,396.60	NCB	Q2 / 2019	06-NOV-19	PCSS0035. CNY24,880,000.00
URN-GD06	Road maintenance and lights maintenance facilities procurement	4,545,500.00	3,447,400.86	NCB	Q2 / 2019	26-SEP-19	PCSS0029. CNY24,540,323.00
UPT-GD01	The purchase of 200 bus vehicles (including charging pile + canopy supply and installation)	22,777,300.00	16,436,213.95	ICB	Q2 / 2019	14-OCT-19	PCSS0036. CNY115,789,840.00
URN-CW12	Civil and installation engineering of Wuka Road	5,699,000.00	5,053,799.67	NCB	Q2 / 2019	31-OCT-19	PCSS0033. CNY35,719,750.66
PSP-CW02	Civil works and installation engineering of landscape of Dongcheng Park, and seedling base	5,086,400.00	3,852,569.00	NCB	Q1 / 2017	08-NOV-17	PCSS 0022
PSP-CW01	Civil works and installation engineering of seedling base ancillary works; equipment of seedling base ancillary works; civil works and installation	3,420,600.00	2,307,078.05	NCB	Q2 / 2015	12-AUG-15	PCSS 0007

	engineering of seedling engineering soil displacement and filling						
URN-CW07	Civil works and installation engineering of 4 community lanes (26.5km)	3,266,600.00	1,946,407.00	NCB	Q1 / 2017	08-NOV-17	PCSS 0025
URN-CW13	Civil works and installation engineering of Dongxidajie and associated water supply and drainage pipeline, Awen Road, Jiankang Road, Tuanjie Road and associated drainage pipeline, Desheng Road, Dongsu Road, Xinhe Road and Qingyuan Road	9,302,000.00	5,747,596.32	NCB	Q2 / 2019	31-OCT-19	PCSS0034. CNY40,623,436.00
UPT-CW01	Civil works and installation engineering of urban bus terminals	1,515,000.00	2,683,311.20	NCB	Q2 / 2019	07-OCT-19	PCSS 0030. CNY19,181,381.80
WRH-CW02	Civil works of excavation of water surface; civil works of construction of water gate, isolation strip around the lake, passing culvert, overfall and settling region waterpower; houses for management station, patrol roads civil works	11,818,400.00	5,223,291.00	NCB	Q1 / 2017	20-NOV-17	PCSS 0026
WRH-CW01	Dredging, breaking bank expanding area of 330 mu ; treatment of rehabilitated bank, canal system construction and connection ; civil works and installation engineering of constructed inverted siphon and aqueduct at the gathering	6,504,100.00	3,131,123.85	NCB	Q2 / 2015	11-SEP-15	PCSS 0008
URN-CW011	Road civil works and installation engineering of Awen Avenue and Renmin Road	10,656,400.00	5,209,883.91	NCB	Q1 / 2016	23-JUN-16	PCSS 0014

URN-CW04	Road civil works and installation engineering of Fuqi Road, East Ying'awati Road, Wangsan Street, Tabei Road; drainage pipeline civil works and installation engineering of Fuqi Road	9,129,200.00	4,729,846.99	NCB	Q1 / 2016	05-MAY-16	PCSS 0013
URN-CW08	Road civil works and installation engineering of Jiaotong Road	4,629,900.00	3,122,927.00	NCB	Q1 / 2017	01-AUG-17	PCSS 0019
URN-CW05	Road civil works and installation engineering of Jiefang Middle Road, Wenhua Road	12,902,200.00	4,985,069.95	NCB	Q1 / 2016	02-JUN-16	PCSS 0015
URN-CW06	Road civil works and installation engineering of Tanan Road	2,360,000.00	1,165,981.00	NCB	Q1 / 2017	08-NOV-17	PCSS 0024
URN-CW09	Road civil works and installation engineering of Zhongyuan Road	9,497,400.00	4,574,897.00	NCB	Q1 / 2017	01-AUG-17	PCSS 0020
URN-CW03	Road civil works and installation engineering, water supply and drainage pipeline civil works and installation engineering of Nanda Street and Beida Street	6,695,400.00	3,509,719.66	NCB	Q1 / 2016	05-MAY-16	PCSS 0012
URN-CW01	Urban Road and Relevant Infrastructure Construction Works	26,650,800.00	18,890,973.79	NCB	Q2 / 2015		PCSS 0009 to 0010

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CSS-RM01	External resettlement monitoring	60,000.00	60,000.00	ICS	Q4 / 2016	11-SEP-17	PCSS 0023

2. Completed Contracts

Goods and Works								
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments

URN-CW010	Civil works and installation engineering of pedestrian overpass of Dashizi	2,442,300.00	1,115,555.23	NCB	Q3 / 2015	14-OCT-15	31-MAY-16	PCSS 0011
WSN-GD02	Pipeline associated infrastructures etc., water supply pipeline equipment purchase of Nanda Street, Beida Street, and Fuqi Road	889,300.00	625,851.07	NCB	Q1 / 2016	28-JUN-16	31-AUG-16	PCSS 0016

Consulting Services								
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
None								

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.
10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.
12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.
14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

