

PROCUREMENT PLAN

Basic Data

Project Name: Hubei Enshi Qing River Upstream Environment Rehabilitation Project	
Project Number: 47048-002	Approval Number: 3277
Country: China, People's Republic of	Executing Agency: The People's Government of Enshi Tujia and Miao Autonomous Prefecture
Project Financing Amount: US\$ 231,620,000 ADB Financing: US\$ 100,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 131,620,000	Implementing Agency: N/A
	Project Closing Date: 31 January 2022
Date of First Procurement Plan: 11 September 2015	Date of this Procurement Plan: 15 October 2020, Version 12
Advance Contracting: No	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 5,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 4,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 20,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 200,000 and US\$ 19,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Up to US\$ 199,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	Five submissions: (i) shortlist, (ii) technical evaluation, (iii) financial evaluation and ranking of technical proposals, (iv) draft negotiated contract, and (v) signed contract. Use of this method is subject to Guidelines on the Use of Consultants by ADB and its Borrowers (2013, as amended from time to time), and paragraphs 48 of PAI 2.03.
Consultant's Qualification Selection for Consulting Firm	Three submissions: (i) evaluation of expressions of interest and request for proposals, (ii) technical and financial evaluation, and (iii) draft negotiated contract.
Individual Consultant Selection for Individual Consultant	Two submissions: (i) proposal for engagement of consultant, including shortlist, and (ii) signed contract.

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
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EC05	Dashaba WWTP pipeline network and equipment (Caijia River areas)	6,975,330.40	NCB	Post	1S1E	Q4 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No
EC16	Daishui River Rehabilitation (Lot 1)	10,719,092.81	NCB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No
EC17	Daishui River Rehabilitation (Lot 2)	10,970,051.37	NCB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
EE05	Enshi sewers pipeline GIS system	286,337.20	1	NCB	Post	1S1E	Q2 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
LE03	Lichuan wastewater pipeline GIS system	218,000.00	1	NCB	Prior	1S1E	Q2 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
EC01	Dashaba WWTP Civil Works	13,038,740.23	9,405,011.36	NCB	Q4 / 2018	02-AUG-19	0015
EC02	Dashaba WWTP Leveling	14,725,003.32	14,082,839.66	NCB	Q3 / 2016	21-MAR-17	0003
EC07	Dashaba WWTP pipeline network and equipment (Gaoqiao River areas)	14,222,792.95	10,673,279.68	NCB	Q4 / 2019	04-SEP-20	0018
LC10	Lichuan Wastewater Collection and Treatment System (including WWTP)	11,415,220.51	9,210,004.61	NCB	Q3 / 2017	23-APR-18	0007
LC04	River Management Project: Qing River sections from Sandu gorge to upstream of water source protection zone (including dredging)	14,087,453.45	11,095,803.25	NCB	Q4 / 2017	29-JUN-18	0012
LC05	River Management Project: Qing River sections from downstream of water source protection zone to Tenglong No.2 bridge rehabilitation (including dredging)	5,435,413.13	5,784,178.58	NCB	Q2 / 2017	23-APR-18	0009
LC06	Sandao River	6,540,291.24	6,521,840.19	NCB	Q3 / 2017	23-APR-18	0010

	and Huilong Channel Rehabilitation (including dredging)						
LC03	Wastewater pipeline for new town (including pump station reconstruction)	7,471,011.84	5,482,427.39	NCB	Q3 / 2017	23-APR-18	0008
LC07	Yuanbao River Rehabilitation (including dredging)	6,461,707.14	5,502,477.10	NCB	Q4 / 2017	17-MAY-18	0011
EE01	Dashaba WWTP equipment (including Gaoqiao river pump station equipment)	10,657,900.00	9,939,953.94	ICB	Q3 / 2018	18-SEP-19	0016
LE01	Lichuan WWTP equipment	10,465,870.00	8,528,187.38	ICB	Q4 / 2018	17-MAY-19	0014
EE04	Enshi flood forecast and warning system	93,520.00	91,039.97	SHOPPING	Q4 / 2019	15-NOV-19	0017
EE03	Equipment, fertilizer and pesticides for Agricultural non-point source pilot program	68,790.00	71,416.95	SHOPPING	Q1 / 2019	18-FEB-19	0013

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS2	Resettlement and social monitoring	190,000.00	186,800.00	CQS	Q1 / 2016	20-MAR-17	0005
CS1	Project implementation and institutional strengthening support	630,000.00	620,600.00	QCBS	Q4 / 2016	23-MAR-17	0004
CS4	Strengthening non-structural measures on Qing River Integrated Management	760,000.00	717,930.00	QCBS	Q3 / 2017	12-FEB-18	0006
CS3-1	Individual consultant for initial project implementation support– Project management and procurement	33,300.00	26,000.00	ICS	Q4 / 2015	13-APR-16	0001
CS3-2	Individual consultant for initial project implementation support– Resettlement	26,700.00	32,000.00	ICS	Q4 / 2015	13-APR-16	0002

D. Non-ADB Financing

The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

Goods and Works				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Comments
Gaoqiaohe Pump station and pipeline to Dashaba WWTP	2,784,100.49	1	NCB	Covid-19 Response? No
Dashaba WWTP pipeline network and equipment (Qingshulin area)	2,386,390.00	1	NCB	Covid-19 Response? No Advertisement date: Q1/2016
Dashaba WWTP pipeline network and equipment (Qing River right bank interception sewers)	5,609,270.00	1	NCB	Covid-19 Response? No Advertisement date: Q1/2016
River management project: Qing river upstream and downstream sections; 1 km section upstream of Daishui river mouth; (including dredging)	9,985,500.00	1	NCB	Covid-19 Response? No Advertisement date: Q3/2016
Gaoqiao River section management (including dredging)	2,122,500.00	1	NCB	Covid-19 Response? No Advertisement date: Q1/2015
Upstream of Huangshi bridge and ecological rehabilitation ancillary facilities	2,608,800.00	1	NCB	Covid-19 Response? No Advertisement date: Q1/2015

Consulting Services				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Comments
None				

E. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of

the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the

bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

