

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Qinghai Haidong Urban-Rural Eco Development Project		
<b>Project Number:</b> 48102-002		<b>Approval Number:</b> 3443
<b>Country:</b> China, People's Republic of		<b>Executing Agency:</b> Haidong Municipal Government
<b>Project Financing Amount:</b> US\$ 307,100,000 <b>ADB Financing:</b> US\$ 150,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 157,100,000		<b>Implementing Agency:</b> Haidong Water Affair Group Co., Ltd., Ledu Housing, Planning, & Construction Bureau, Ledu Water Affairs Bureau, Ping'an Forestry Resource Bureau, Ping'an Housing, Planning and Construction Bureau, Ping'an Water Affairs Bureau, Qinghai Haidong Huangshui River New District Management Committee
		<b>Project Closing Date:</b> 30 September 2024
<b>Date of First Procurement Plan:</b> 19 October 2016		<b>Date of this Procurement Plan:</b> 16 June 2023, Version 9
<b>Advance Contracting:</b> No	<b>Related to COVID-19 response efforts:</b> No	<b>Use of e-procurement (e-GP):</b> No

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 2,999,999	First NCB contract and those higher than \$10,000,000 are subject to prior review, contracts below \$1,000,000 are subject to post review.
Shopping for Goods	Up to US\$ 99,999	First contract subject to prior review, thereafter post review.
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 39,999,999	First NCB contract and those higher than \$10,000,000 are subject to prior review, contracts below \$10,000,000 are subject to post review.
Shopping for Works	Up to US\$ 99,999	First contract subject to prior review, thereafter post review.

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	Quality and cost ratio: 80:20, FTP
Consultant's Qualification Selection for Consulting Firm	STP
Individual Consultant Selection for Individual Consultant	

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
HD-IF-W10	Huangshi River Hehuang New District Segment: River Terrace Water and Soil Conservation	7,597,534.00	NCB	Post	1S1E	Q2 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-IF-W11	Huangshi River Hehuang New District Segment: Wetland Ecological Conservation	11,199,331.00	NCB	Prior	1S1E	Q2 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-IF-W12	Huangshi River Hehuang New District Segment: Lighting engineering of Cliff	1,556,000.00	NCB	Post	1S1E	Q4 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-IF-W8	Huangshi River Hehuang New District Segment: Dyke Part	19,559,486.00	NCB	Prior	1S1E	Q2 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works

							Covid-19 Response? No
HD-IF-W9	Huangshi River Hehuang New District Segment: Cliff Soil Reinforcement and Restoration	9,912,000.00	NCB	Post	1S1E	Q2 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-RUI-W 5	Yangjia Water Supply: Water Intake & Raw Water Pipeline	8,720,000.00	NCB	Post	1S1E	Q3 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-RUI-W 6	Yangjia Water Supply: Yangjia WTP - Civil Work, Equipment Supply and Installation	36,840,000.00	NCB	Prior	1S1E	Q3 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No
HD-RUI-W 7	Yangjia Water Supply: Water supply distribution Pipelines (North Bank)	14,800,000.00	NCB	Prior	1S1E	Q3 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document:

							Small Works  Covid-19 Response? No
HD-RUI-W 8	Yangjia Water Supply: Water supply distribution Pipelines (South Bank)	31,260,000.00	NCB	Prior	1S1E	Q3 / 2023	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
HD-CB-C S4	Climate-resilient water resources management planning support	225,000.00	CQS	Prior	Q3 / 2022	STP	Assignment: International  Advance Contracting: N  Covid-19 Response? No
HD-CB-C S5	Support on River Smart Management	204,000.00	CQS	Prior	Q1 / 2023	STP	Assignment: International  Covid-19 Response? No

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
HD-RUI-W9	External Power Supply for Rural-Urban Water Supply Works	65,000.00	1	SHOPPING	Prior		Q1 / 2023	Covid-19 Response? No

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

## C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
HD-IF-W4	Huangshi River	12,703,000.00	7,774,563.79	NCB	Q3 / 2021	10-DEC-21	PCSS 0015

	Ledu Rural Segment (Upstream)						
HD-IF-W1	Huangshui River Ping'an Segment	14,410,000.00	9,117,082.40	NCB	Q2 / 2020	22-MAR-21	PCSS 0012
HD-RUI-W4	Landfill Expansion	7,586,000.00	3,187,633.65	NCB	Q3 / 2019	09-OCT-19	PCSS 0008
HD-RUI-W2	Sanhe Town WTP and Highland Reservoir	4,560,000.00	3,821,727.65	NCB	Q2 / 2020	05-FEB-21	PCSS 0011
HD-RUI-W3	Water Conveyance Pipelines	8,960,000.00	6,066,116.67	NCB	Q2 / 2020	09-JUL-21	PCSS 0013
HD-SE-W3	Water Reclamation-Pipeline	7,922,000.00	3,890,631.65	NCB	Q2 / 2019	24-JUL-19	PCSS 0007
HD-SE-W2	Water Reclamation-pumping station	4,193,000.00	1,342,487.48	NCB	Q1 / 2019	09-MAY-19	PCSS 0006
HD-RUI-W1	Wenzukuo WTP and Fatai WTP	1,840,000.00	1,459,033.95	NCB	Q2 / 2020	05-FEB-21	PCSS 0010
HD-SW-G1	Landfill O&M Equipment	610,000.00	625,704.06	NCB	Q2 / 2020	06-JAN-21	PCSS 0009
HD-SW-G2	Standby Power Supply and HVAC System	20,000.00	19,889.75	SHOPPING	Q3 / 2021	25-NOV-21	PCSS 0014

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
HD-CB-CS 1	Project implementation and institutional strengthening support	1,900,000.00	1,890,125.00	QCBS	Q1 / 2018	15-NOV-18	PCSS 0004
HD-CB-CS 2	External resettlement and social monitoring	145,000.00	149,144.00	CQS	Q2 / 2018	16-APR-19	PCSS 0005
HD-CB-CS	Initial project	50,000.00	50,000.00	ICS	Q4 / 2016	09-FEB-17	PCSS 0001

3-1	implementation support (Position 1: Project management and procurement specialist)						
HD-CB-CS 3-2	Initial project implementation support (Position 2: Safeguards specialist)	46,000.00	45,180.00	ICS	Q4 / 2016	07-APR-17	PCSS 0002
HD-CB-CS 3-3	Initial project implementation support (Position 3: financial management specialist)	19,000.00	17,906.00	ICS	Q1 / 2017	12-APR-17	PCSS 0003

#### D. Non-ADB Financing

The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

Goods and Works				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Comments
Huangshi River Ledu Rural Segment (Downstream)	14,750,000.00	6	NCB	Covid-19 Response? No Completed
Ping'an district, Huangshui river riverside greenbelt	660,000.00	1	NCB	Covid-19 Response? No Completed
Ping'an district mountain edge greenbelt	8,985,000.00	22	NCB	Covid-19 Response? No Completed

Consulting Services				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Comments
None				

#### E. National Competitive Bidding

##### A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

##### B. Procurement Procedures

**1. Competitive Bidding Procedure** (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the

accepted bid invitation mode.

## **2. Eligibility**

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

## **3. Advertising**

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website ([www.chinabidding.com](http://www.chinabidding.com)). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

## **4. Bidding Period**

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

## **5. Participation by Government-Owned Enterprises**

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

## **6. Rebidding**

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

## **C. Bidding Documents**

### **7. Qualification requirements**

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

## **8. Bid Submission and Opening**

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

## **9. Bid Evaluation and Award**

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract



estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

#### **10. ADB Policy Clauses**

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

