

PROCUREMENT PLAN

Basic Data

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| Project Name: South Asia Subregional Economic Cooperation Roads Improvement Project | |
| Project Number: 48337-002 | Approval Number: 3478 |
| Country: Nepal | Executing Agency: Ministry of Physical Infrastructure and Transport |
| Project Financing Amount: US\$ 256,500,000 ADB Financing: US\$ 186,800,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 69,700,000 | Implementing Agency: Project Directorate (ADB) - Department of Roads |
| | Project Closing Date: 31 July 2022 |
| Date of First Procurement Plan: 1 December 2016 | Date of this Procurement Plan: 9 April 2019, Version 2 |
| Advance Contracting: Yes | e-GP: No |

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

| Procurement of Goods and Works | | |
|---|---|------------------------------------|
| Method | Threshold | Comments |
| International Competitive Bidding for Goods | US\$ 2,000,000 and Above | |
| National Competitive Bidding for Goods | Between US\$ 100,001 and US\$ 1,999,999 | Beneath that stated for ICB, Goods |
| Shopping for Goods | Up to US\$ 100,000 | As per procurement plan in the PAM |
| International Competitive Bidding for Works | US\$ 5,000,000 and Above | |
| National Competitive Bidding for Works | Between US\$ 100,001 and US\$ 4,999,999 | Beneath that stated for ICB, Works |
| Shopping for Works | Up to US\$ 100,000 | As per procurement plan in the PAM |

| Consulting Services | |
|---|----------|
| Method | Comments |
| Quality- and Cost-Based Selection for Consulting Firm | |
| Individual Consultant Selection for Individual Consultant | |

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

| Package Number | General Description | Estimated Value | Procurement Method | Review (Prior/Post) | Bidding Procedure | Advertisement Date (quarter/year) | Comments |
|----------------|---------------------|-----------------|--------------------|---------------------|-------------------|-----------------------------------|----------|
| None | | | | | | | |

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

| Package Number | General Description | Estimated Value | Recruitment Method | Review (Prior/Post) | Advertisement Date (quarter/year) | Type of Proposal | Comments |
|----------------|---------------------|-----------------|--------------------|---------------------|-----------------------------------|------------------|----------|
|----------------|---------------------|-----------------|--------------------|---------------------|-----------------------------------|------------------|----------|

| | | | | | | | |
|-------|--------------------------------|--------------|-----|-------|-----------|--|--|
| CS-02 | Project Management Consultants | 1,000,000.00 | ICS | Prior | Q3 / 2018 | | Assignment: International Expertise: Project Management Advance Contracting: N |
| CS-03 | Project Management Consultants | 1,000,000.00 | ICS | Prior | Q3 / 2018 | | Assignment: National Expertise: Project Management Advance Contracting: N |

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

| Goods and Works | | | | | | | | |
|-------------------|----------------------------|-----------------|---------------------|--------------------|---------------------|-------------------|-----------------------------------|-------------------------|
| Package Number | General Description | Estimated Value | Number of Contracts | Procurement Method | Review (Prior/Post) | Bidding Procedure | Advertisement Date (quarter/year) | Comments |
| Equipment/Good s1 | Supply of Equipment/Good s | 100,000.00 | 1 | SHOPPING | Post | | Q3 / 2018 | Advanced Contracting: N |
| Equipment/Good s2 | Supply of Equipment/Good s | 100,000.00 | 1 | SHOPPING | Post | | Q3 / 2018 | Advanced Contracting: N |

| Consulting Services | | | | | | | | |
|---------------------|---------------------|-----------------|---------------------|--------------------|---------------------|-----------------------------------|------------------|----------|
| Package Number | General Description | Estimated Value | Number of Contracts | Recruitment Method | Review (Prior/Post) | Advertisement Date (quarter/year) | Type of Proposal | Comments |
| None | | | | | | | | |

| Package Number | General Description | Estimated Value | Awarded Contract Value | Recruitment Method | Advertisement Date (quarter/year) | Date of ADB Approval of Contract Award | Comments |
|----------------|-------------------------------------|-----------------|------------------------|--------------------|-----------------------------------|--|----------|
| CS-01 | Construction Supervision Consultant | 10,000,000.00 | 7,346,396.00 | QCBS | Q3 / 2016 | 12-MAY-17 | |

D. National Competitive Bidding

National competitive bidding (NCB) shall be in accordance with that described as "by inviting bids and a national level" as set forth in "The Public Procurement Act, 2007" (PPA) and "The Public Procurement Regulations, 2007" (PPR) and subject to the following:

1. The first NCB document for both goods and works procurement shall be reviewed and approved by Asian Development Bank (ADB) prior to issue. These will be used for all NCB procurement under the project. The document shall include qualification and evaluation criteria and ADB's right to audit and inspect in accordance with para 1.14 of ADB's Procurement Guidelines (March 2013, as amended from time to time). No other criteria other than that described in the bidding document may be used to determine the lowest evaluated responsive bidder and no form of domestic preference may be employed. A positive assessment of a bidder's qualifications to perform a contract will be a pre-requisite to an award.
2. All qualified ADB member bidders and ADB member produced goods, services and works shall be eligible. Registration and licensing shall be permitted only as a condition of contract award and not participation in bidding. No award may be withdrawn for failure to obtain tax registration; license or fulfill any similar requirement without ADB's prior concurrence. No bidder will be held ineligible based on provision 63 of the PPA without ADB prior concurrence.
3. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper or freely accessible, nationally-known website allowing a minimum of twenty-eight (28) days for the preparation and submission of bids. Invitations for bids for contracts estimated at \$500,000 or more for goods and \$1,000,000 or more for civil works shall be advertised on ADB's website. No restriction will be placed on the sale of bidding documents.
4. The approved Standing List of a Procuring Entity prepared in accordance with the law shall only be accepted to supplement an advertised open pre-qualification exercise for the specific contract provided that those on the standing list meet the qualification criteria.
5. Bids shall be opened at a single location immediately after the deadline for submission. Multiple locations for submission and opening are not acceptable.
6. Government-owned enterprises in Nepal shall be eligible to participate only if they meet the conditions of para 1.8 (c) of ADB's Procurement Guidelines (March 2013, as amended from time to time).
7. Extension of bid validity of more than 4 weeks beyond the original validity shall not be allowed without the prior concurrence of ADB.
8. Cancellation of bidding and re-bidding shall not be carried out without the prior concurrence of ADB.

9. Percentage variations from rates fixed by a district rate fixation committee shall not be used for the purpose of evaluating bids.

In the event of a conflict between these provisions and the law, these provisions shall prevail.

