

Social Monitoring Report

Project Number: 48424-002
January 2020

KAZ: CAREC Corridors 1 and 6 Connector Road (Aktobe–Makat) Improvement Road

Prepared by the Dongsung Engineering Co., Ltd in association with subconsultant Zhol-Sapa LLP for the Ministry of Investments and Development, Republic of Kazakhstan and the Asian Development Bank.

This bi-annual social monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature. Your attention is directed to the “terms of use” section on ADB's website.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status or any territory or area.

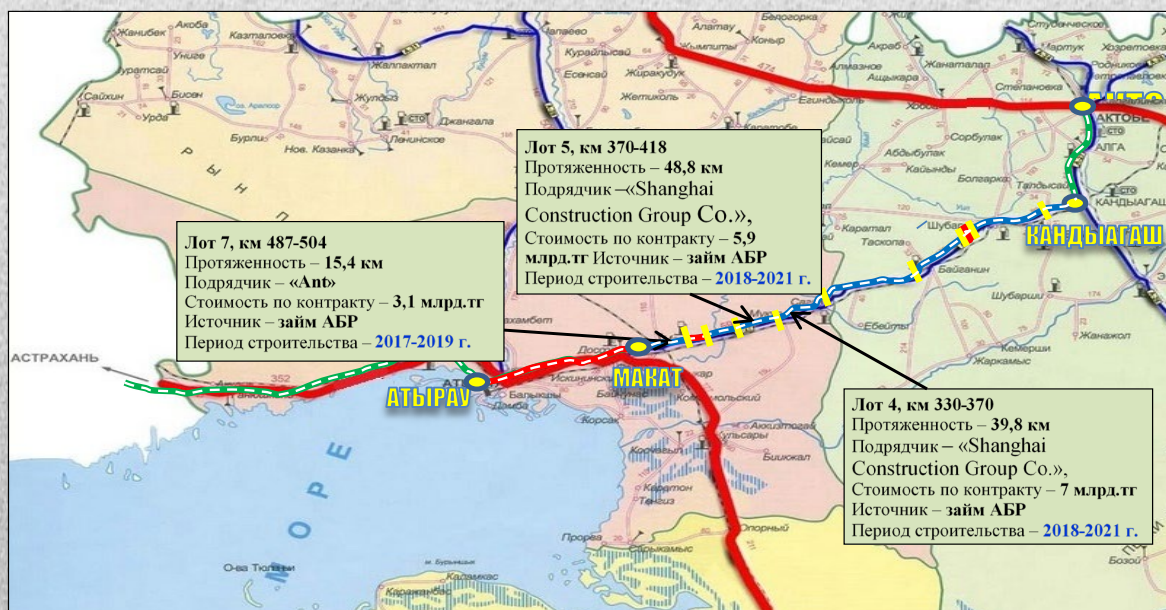
Internal Social Safeguard Monitoring Report

Loan No. 3416-KAZ

Reporting period: July–December 2019

REPUBLIC OF KAZAKHSTAN: CAREC Corridors 1 & 6 Connector Road (Aktobe–Makat) Reconstruction Project (Section km 330–504)

Funded by the Asian Development Bank



Prepared by the Construction Supervision Consultant DONGSUNG ENGINEERING Co., LTD / "ZS ENGINEERING" LLP

Seoul, Korea / Nur-Sultan, Kazakhstan

For the Committee for Roads, Ministry of Industry and Infrastructural Development, Republic of Kazakhstan

Approved by PMC "NC "KazAutoZhol" JSC – Zeinullina A.A.
(PMC specialist's name) and signature, report submission date

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Internal Social Safeguard Monitoring Report

Employer: Committee for Roads, Ministry of Industry and Infrastructural Development, Republic of Kazakhstan

Funded by: Asian Development Bank

Loan No. 3416-KAZ

Supervision Consultant: DONGSUNG ENGINEERING Co., LTD/“ZS ENGINEERING” LLP
Seoul, Korea / Nur-Sultan, Kazakhstan

General Contractor:

Lot 4 and Lot 5: “Shanghai Construction Group Co.”,
Lot 6: JV “Akkord and Ak zhol kurylys”
Lot 7: Branch of “ANT Insaat Maden Sanayi A.S.” JSC

Prepared by:

Social Safeguard Specialist

Imbarova S.E.

Approved by

Team Leader / Resident Engineer

January 2020

Social safeguard specialist confirms that this report has been prepared by using her experience, care, diligence and in accordance with the professional standards that can be expected from the consultant, and experience in conducting the work.

The conclusions and recommendations contained in the report are based on monitoring reports of work sites and base camps, information obtained through site visit, work inspection, meetings and consultations with interested parts, secondary data (letters, reviews, reports), and also information provided by the third parties, which, I believe, are reliable. The report has been prepared for the Committee for Roads of Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan, “NC “KazAutoZhol” JSC, ADB, PMC.

Report status: the 2nd semi-annual and covers the period from July to December 2019.

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road
(Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Content

Content	3
Abbreviations	4
I. Introduction	5
II. Project summary	5
III. Methodology and Approaches used to prepare the report	7
IV. Integrated inspection report	8
4.1. Impacts, related to land during construction works	8
V. Internal monitoring indicators	9
VI. Results of field studies, consultations with local population	11
VII. Public consultations and Grievance Redressal Mechanism	12
7.1. Complaints and appeals status	14
7.2. Social audits and missions	14
VIII. Conclusions and recommendations	16
IX. Attachments	18
Attachment 1. Minutes of public consultation	19
Attachment 2. Attendance list of public consultation	21
Attachment 3. Site photos	24
Attachment 4. List of people met during the field visits	29

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Abbreviations

RK	Republic of Kazakhstan
MIID RK	Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan
CoR	Committee of Roads
ADB	Asian Development Bank
CAREC	Central Asia Regional Economic Cooperation
PMC	project management consultant
DED	design and estimate documentation
CP	crushing plant
CMP	concrete mixing plant
ACP	asphalt concrete plant
CSC	construction supervision consultant
RSE	republic state enterprise
LLP	limited liability partnership
OJSC	open joint-stock corporation
EP	environmental protection
HS	health and safety
RS	road safety
PHP	public health protection
GRM	grievance redressal mechanism
PAP	project affected person
EMP	environmental management plan
FDLAR	framework document for land acquisition and resettlement
SLR	state land reserves
HIV/AIDS	human immunodeficiency virus / acquired immunodeficiency syndrome
STD/STI	sexually transmitted diseases / sexually transmitted infections

I. Introduction

1. This project follows the existing road Aktobe-Makat, which is a two-lane republican road. There are ADB's environmental and social policy requirements (2009) to be complied with. In all relevant stages of the project implementation, the requirements focus on avoiding the identified social consequences, where possible, or on measures to minimize the consequences or reduce possible consequences to an acceptable level. The designed alignment of section km 330-504 follows the existing road, and no buildings or land plots, including leased land, fell under acquisition.
2. The purpose of this report is to monitor any emerging issue related to land acquisition and resettlement or complaints from communities during the implementation of civil works from km 330 to 504. CSC's Social Safeguards Specialist had meetings with 23 people during the follow-up visits between July 24, 2019, to October 03, 2019. Details are provided in Attachment 1.
3. Aktobe-Makat road is a two-lane republican road built in the 1970s -1980s. It has a length of 459 km, mainly Categories III/IV, and passes through the territory of Aktobe and Atyrau regions. Complete reconstruction of the road pavement and strengthening of the entire pavement structure will reduce travel time of vehicles on the road, fuel consumption and vehicle operation costs, and it will contribute to increase in transport connection and economic development in the region. The road will be reconstructed up to Category II as per the national standard of the Republic of Kazakhstan.

II. Project summary

4. The project includes the reconstruction of km 160 – km 468 of Aktobe-Makat road, including: (i) km 160 – km 330 in Aktobe region; and (ii) km 330 – km 468 in Atyrau region.
5. The entire section of Aktobe-Makat road with the length of 299 km was divided for 7 lots, each of which is a separate contract for construction works. The road section is divided into the following Lots: Lot 1 (km 160 - Km 220), Lot 2 (Km 236 - Km 275), Lot 3 (Km 275 - km 330), Lot 4 (Km 330- Km 370), Lot 5 (Km 370 - Km 418), Lot 6 (Km 418 –Km 458) and Lot 7 (Km 487 – Km 504). This report provides information about Lots 4, 5, 6 and 7.
6. The project in Atyrau region is divided into 4 sections. Lot 4 (Km 330-370) and Lot 5 (Km 370-418) were awarded to the Contractor "Shanghai Construction Group". For Lot 6 (Km 418-458), the Contractor is the Joint Venture "Akkord" and "Ak Zhol Kurylys" LLP (hereinafter "AZHK"). For Lot 7 (Km 487-504), the Contractor is a Branch of "ANT Insaat Maden Sanayi A.S" JSC.
7. Lot 4: km 330 – km 370 (Sagiz village): This section includes the reconstruction of the road from Category III up to Category II with a total length of 40 km. Other parts of this section, the direction

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

of traffic flow coincide with the existing pavement with partial slopes from the embankment in the area of rectification and curve. The project provides the construction of 2 bridges and 1 overpass on this site. Overpass at km 336+900 has a length of 42.2 meters. The bridge across the Nogayty River at km 338+900 has a length of 66.15 meters. The bridge across the Sagiz River at km 342+300 has a length of 105.3 meters. There are 14 culverts with different diameters, 6 cattle passes and 2 rest areas on this site.

8. Lot 5: km 370 – km 418 (v.Mukur - v. Zhanterek): This section includes the reconstruction of the road from Category III up to Category II with a total length of 48.2 km. Other parts of this section, the direction of traffic flow coincide with the existing pavement with partial slopes from the embankment in the area of rectification and curve. The project provides the construction of 1 bridge on this site. The bridge across the Mukur River at km 379 +100 has a length of 48.55 meters. There are 12 culverts with different diameters, 8 cattle-passes and 2 rest areas on this site.
9. Lot 6: km 418 – km 458 (v. Zhamansor): This section includes the reconstruction of the road from Category III up to Category II with a total length of 36.73 km. Other parts of this section, the direction of traffic flow coincide with the existing pavement with partial slopes from the embankment in the area of rectification and curve. The project provides the construction of 1 bridge on this site. The bridge across the Sagiz River at km 429+000 has a length of 84.25 meters. The project also provides 12 culverts with different diameters, 1 cattle pass and 2 rest areas on this site.
10. Lot 7: km 487 – km 504 (v. Dossor): length – 15.392 km, type II, 2 lanes. This section includes the reconstruction of the road from Category III to Category II. Other parts of the site, the direction of traffic flow coincide with the existing pavement with partial slopes from the embankment in the area of rectification and curve. Twelve (12) culverts with different diameters and 2 cattle passes are provided.

Table 1: Contractors' Contract Information

Contractor	Contract No.	Site length (km)	Contract signing date	Project commencement and completion data
Lot 4 "Shanghai Construction Group Co." (China)	004-ADB/CW-2018	39.80	20.07.2018	08.01.2019 07.03.2021
Lot 5 "Shanghai Construction Group Co." (China)	005-ADB/CW-2018	48.21	20.07.2018	08.01.2019 05.06.2021
Lot 6 JV "Akkord / Ak zhol kurylys" (Azerbaijan/	006-ADB/CW-2018	36.74	10.07.2018	01.10.2018 28.11.2020

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Contractor	Contract No.	Site length (km)	Contract signing date	Project commencement and completion data
Kazakhstan)				
Lot 7 "Ant Insaat Maden Sanayi A.S." (Turkey)	007-ADB/CW-2017	15.392	16.08.2017	20.12.2017 12.06.2019
Total project length:		140.14		

11. CFR MIID made a service contract with JSC "NC "KazAutoZhol" (KAZh) to provide consulting services for project management in accordance with the terms of reference acceptable to the ADB and applicable under the legislation of the Republic of Kazakhstan. KAZh remains fully staffed throughout the Project implementation. The responsible specialist for environmental and social safeguards conducts audits, site inspections, interacts with a social safeguard specialist of the CSC in order to effectively manage the project in terms of implementing environmental protection plans.
12. Since 11.04.2019, CFR MIID appoints JSC "NC "KazAutoZhol", which is the National operator for road management, as an agency executing the Employer's Personnel functions on road projects, replacing RSE "AtyrauZholLaboratory" that performed this function previously.

III. Methodology and Approaches used to prepare the report

13. The methodology, tools, and participatory approaches have been used to prepare this report. The participatory approach implies the work of a social safeguard specialist of the CSC, involving project stakeholders and project-affected people. In addition, data from the Contractors' monthly reports have been used. All visits and inspections of construction sites and base camps have been carried out with the participation of the Contractor's representatives. During social audits, information was received from specialists responsible for managing and monitoring the project's environmental and social impacts, as well as from specialists ensuring safety on the project. Information was checked during site visits.
14. The functions of grievance coordinator on Lot 4 are assigned to a social safeguard specialist with intermittent work schedule. There is no responsible specialist on Lot 5. On Lot 6 the functions of grievance coordinator are assigned to a social safeguard specialist of "Akzholkurylys" LLP, who also has intermittent work schedule. Work on Lot 7 was completed on July 30, 2019. Employees have been demobilized from the site since August. The Contractor prepares the project documentation for the construction of Dossor Bypass Way.

IV. Integrated Inspection Report

4.1. Impacts, related to land during construction works

15. Since the beginning of design preparation, there has been no acquisition of any leased or private land at this road section km 330-504. Land acquisition is approved by all stakeholders in accordance with the established procedure of the Land Code of the RK. Lands, used for road, are poorly productive pasture. Detailed information on acquisition and resettlement was provided earlier in the first semi-annual report of 2018. During the reporting period of monitoring at this road section km 330-504, no additional land plots were identified or affected by either permanent and temporary acquisition, and there are no design changes.
16. In the autumn of 2019, the local population appealed with regard to the safety of traffic passing through Dossor village (Lot 7). The road category of this site limits traffic of heavy and oversized load. Dossor Bypass Way is required to solve the safety issues of traffic passing through this village, as this site is mainly used for transportation of oversized loads of oil refining companies in the region. During the transportation of such loads, local population feels inconvenience due to traffic jams and unsafety.
17. The Employer started the work on changes to the project of Lot 7. The Contractor Lot 7 was instructed to start the preparation of the design project "Dossor Bypass Way" and to organize and carry out the work on assessment of social and environmental impact on the external environment in the light of the construction Dossor Bypass Way. It is expected that the upcoming design of the road "Dossor Bypass Way" with a length of 5 km will be included in Lot 7 Contract, and, therefore, the works at this Lot will require time extension and increase in quantities. Design and survey works have been completed and handed over to the State Expertise. The conclusion of the State Expertise is expected on March 17, 2020.
18. Due to design change at the section of Lot 7, i.e. the construction of bypass of Dossor village with a length of 5 km, there was a concern that one legal entity would be impacted, which is at the liquidation stage now. This impact is not associated with land acquisition, as the bypass of Dossor village passes in 70-80 meters from the coordinates of the base of this legal entity and exit to its land plot is designed. The Manager of this entity was notified about the planned works at the close area to the legal entity's base by a letter and was invited to public consultation held on October 9, 2019 in Akimat of Dossor village. The minutes and attendance list are included in Attachment 1.2.
19. There is no building or business entity located at the section planned for the construction of the bypass of Dossor village. Land plots within the impact area of the bypass construction are included in the state land reserve fund, i.e. these land plots are state-owned. There are two large land plots previously used as uncontrolled dumpsite of solid waste. Survey of these sites and

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

clarifications received during public consultations in Dossor village (presentation of the bypass of Dossor village) from specialists of the municipal service of Dossor confirm that these areas are actually spontaneous, do not lie deep in soil layers and are state-owned, i.e. this is a state property. DDR for the bypass of Dossor village with a length of 5 km will be prepared in the first half of this year.

20. The Contractor prepared the Design and Estimate Documentation, held public consultation with the population of Dossor village on 9.10.2019 and submitted the necessary documents for the State Expertise.
21. During the reporting period, the reconstruction of high-voltage power lines fell under private land on Lot 4. The Contractor sent a written request to the Engineer on 10.10.2019. In this regard, Kyzylkoga Akimat identified and verbally approved another land area to accommodate the construction of power lines (High voltage-10kv). The Engineer requested KustanaiDorProject (Designer) to carry out and complete design of power lines with incorporation of the land area designated by the Kyzylkoga Akimat as aforementioned. Also, the Engineer instructed the Contractor to send relevant topographic survey data and all other information to the Designer in electronic format for assistance.
22. On Lot 5 and Lot 6, the monitoring did not identify any issue. During the reporting period, no complaints and appeals from Lot 4, 5, 6 and 7 regarding illegal acquisition, unauthorized land allotment were received. No appeals and complaints have been received about the negative impact on local businesses and roadside trade, as there are no such places on the sites. Visits and observations in construction sites did not reveal negative impacts on the social environment.

V. Internal monitoring indicators

23. ADB's social safeguards are set out in the ADB's Social Safeguard Policy Statement (2009), which aims to analyze the main threats to the preservation, if possible, improvement of life level and quality of project-affected people.

The safeguard policy requires that:

- (i) impacts are identified and assessed early in the project cycle;
 - (ii) plans to avoid, minimize, mitigate, or compensate for the potential adverse impacts are developed and implemented;
 - (iii) affected people are informed and consulted during project preparation and implementation.
24. During the construction works, all requirements of the ADB policy listed above have been met and new circumstances have not been recorded either on the GRM or during social audits of the sites. In order to get a clear picture of the impact on social sphere, a number of indicators/parameters

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

that characterize the project progress were taken into account during the social audit and subsequent monitoring. The audit data is presented in table 2.

Table 2. Progress status of mitigation measures

Type of impact	Measures for mitigation / elimination of negative impact	Monitoring indicators	Measures progress status
Construction camps			
Temporary land acquisition	Legalization and legal registration of temporary land acquisition	- agreement for temporary land acquisition; - payment for rent in case of rent from land user	Land for construction camps, production bases of Lot 4, 5, 6 and 7 are allocated from the state land reserve fund. There is no payment.
Impact on society			
Land and buildings for commercial purposes	Construction work monitoring	reports of Contractor's specialists responsible for social aspect	Monitoring is carried out weekly by the Contractor's specialists and monthly by the CSC specialist. Roadside service points have not been built yet, and the condition of bypass roads is being monitored. There are no impact by these categories at all sites.
Temporary or permanent loss of employment due to the loss of a building or business	Monitoring of site and roadside service point condition		
impact as loss of business and loss of business benefits	Construction work monitoring		

25. Monitoring of the impact on society is included in the work plans of the social safeguard specialist of the CSC. Table 3 below shows information on all sites of the Project for the reporting period.

Table 3. Indicators progress monitoring

Indicators / parameters	Collected information
disclosure of information, information campaign and consultations with the PP and other stakeholders;	There are boxes for appeals on Lot 4, Lot 6 and Lot 7. The GRM information in Russian and Kazakh languages is posted in base camp in places convenient for review. There are boxes in base camp and in Dossor village on Lot 7. The GRM in Kazakh and Russian

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

	languages is shown on information stands
compensation payments for land and affected buildings	During the reporting period, no new PAP were recorded
relocation status of alienated buildings and other property of the PP	none
payment of lost income to small businesses whose interests are affected	No claim was made by PAP, no such cases were identified
renting of alternative land plots	No such facts
re-registration of land plots;	none
restoring of living standard.	none

VI. Results of field studies, consultations with local population

26. In the reporting period, CSC's Safeguards Specialist carried out monitoring of all sections and pointed out during the site visits that everyone had access to GRM and boxes were placed in accessible locations.
27. On Lot 4, due to Safety and Road Safety staff turnover, safety work is periodically restarted because after coming a new specialist, it is necessary to familiarize and advise on the management of safety and safeguards on the project. During the reporting period, the staff of safety and safeguards department was updated three times. In this regard, this site has not been studied and does not provide reporting on a special form that the CSC has used since September 2019.
28. There is no GRM on Lot 5. A social safeguard specialist has not been mobilized to the site. The functions are assigned to the head of security service. The functionality of the security service and social safeguard do not match, and therefore, without the necessary training and basic knowledge on social development, this combination did not show the expected results.
29. There is a social safeguard specialist with the necessary and sufficient experience and qualifications on Lot 6. This specialist has eliminated all previously identified non-conformities, commenced the GRM, constantly monitors activities on compliance with the social safeguard standards, weekly reviews Health and Safety plans and interacts with Safety and Road Safety services.
30. On Lot 7 the Project Manager is responsible for social safeguards due to the demobilization of key personnel and the expectation of contract extension. He provides the GRM work, communicates with Dossor Akimat, and carried out the entire work on organizing and conducting public consultation for the project Dossor Bypass Way.

VII. Public consultations and Grievance Redressal Mechanism

31. Grievance consideration procedures, related to the project, aim to provide an effective and systematic mechanism for the Project in responding to questions, feedbacks and complaints from affected persons, other stakeholders and public.
32. Guideline on grievance redressal mechanism on environment and social safeguards for road sector projects has been prepared and approved by the CFR in August 2014. Grievance resolution mechanism aims to:
 - ✓ reduce conflicts, risk of unnecessary delays and difficulties in project implementation;
 - ✓ improve the quality of project activity and results;
 - ✓ ensure that the rights of people affected by the project are respected;
 - ✓ identify and respond to unforeseen consequences of project on individuals;
 - ✓ maximize the participation, support and benefits for local communities.
33. The GRM envisages two levels of grievance resolution for the road sector projects implemented under the management of CFR: Grievance Redress Committee (GRC) at regional (oblast) and central (Nur-Sultan) levels in accordance with the Guideline on GRM. GRCs are usually composed of members nominated from CFR, Akimats, "NC "KazAutoZhol" JSC, PMC, CSC and Contractors. GRCs at regional and central levels are chaired by the heads responsible for the overall operation of GRM and its efficient and timely implementation, while the coordinators are responsible for involving the relevant parties and coordinating the works of GRC at regional/central levels.
34. People affected by the project, also other interested parties, can visit, call or send a letter or fax to the GRC at regional level in Atyrau region. Detailed information on the GRC members is presented in Table 4.
35. The proposed GRM does not replace the public mechanisms of complaint and conflict resolution envisaged by the legal system of the RK, but attempts to minimize its use as far as possible. Overall responsibility for timely implementation of GRM lies on the CFR and "NC" KazAutoZhol" Atyrau branch supported by teams of consultants, such as PMC, CSC involved in managing and supervising the civil works. Construction Contractors undertake the actual civil works. Relevant oblast, rayon and community Akimats, who are mandated by law to perform grievance redress related tasks, and mediators/non-governmental organizations, who are involved in facilitating amicable resolution of grievances are also included in GRM.
36. On October 9, 2019, public consultation on the project Dossor Bypass Way were held in Akimat of Dossor village. The minutes of consultation and attendance list are attached hereto in Attachments 1 and 2. There are boxes for complaints and proposals on the consultations, also at all construction sites (base camps), which are checked every month. The announcement of the

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

public consultation was published in local media. During the reporting period, there were changes of the GRC members due to the movement of the Director of Atyrau branch to another region.

Table 4. GRC Contact details in Atyrau region (regional level)

Representative / Organization name	Address	Contacts
Kobylandin Zhanabay Nagimovich “NC “KazAutoZhol” JSC Atyrau regional branch	Atyrau, Isatai Taimano avenue 5	Phone +7 7122 29 01 49 e-mail: atyrau.info@kazautozhol.kz
Nurtayev Kairay Talapovich Director of Atyrau regional Department of passenger transport and road Zhumagaliyev Parasat Bauyrzhanovich Road Department Manager	Atyrau, Abay street, building 10a	Phone +7 (7122) 32-55-02
PMC, Zeinullina Aliya Amantayevna Social safeguard specialist	Nur-Sultan, Kunayev street, 10	Mobile phone + 7 701 982 66 57 e-mail: a.zeinullina@kazautozhol.kz
Imbarova Sara Social safeguard specialist, CSC	CSC, Atyrau region, Zhamansor village, Lot 6 base camp	Mobile phone + 7 771 754 13 55, +7 701 362 36 12 e-mail: imbarovas@mail.ru
Atyrau regional Akimat, State Entity “Atyrau regional Akim's office”	Atyrau, Aiteke bi street, 77	Phone + 7 (7122) 354-092 e-mail: obl.akimat@atyrau.gov.kz
Bazhenov Arman Sydykovicj Kyzylkoga distict, Akimat of Miyali community	Kyzylkoga district, Miyali community, A.Kunanbayev street, building 4	Phone + 7/71238/ 2 13 45

GRC Contact details (central level):

Address: 32/1 Kabanbay Batyr avenue, Nur-Sultan, 010000, Kazakhstan

Committee of Roads,

Ministry of Investments and Development of the Republic of Kazakhstan

Tel: +7 (7172) 75-46-42

E-mail: r.kusainov@miid.gov.kz

7.1. Complaints and appeals status

37. For the reporting period between July and December 2019, complaints and appeals regarding illegal acquisition, unauthorized land allotment including the temporary or permanent allotment and resettlement according to ADB's Policy in the field of social safeguard measures 2009 have not been identified. No appeals and complaints have received about the negative impact on local businesses and roadside trade, as there are no such places on the sites. Visiting construction sites and observing the work on site did not reveal negative impacts on the social environment.

7.2. Social audits and missions

38. During the reporting period, the social safeguard specialist of CSC carried out visits to construction sites. Information on social audits and observations is shown in table 5 below.

Table 5. Information on site visits

Date of visit	Auditor's name	Audit's aim	Conclusion summary of the visit
31.07.2019	Imbarova Sara – CSC From the Contractor participated Dautova Zh. Head of Health and Safety Department and Environmental specialist Lot 6	Monitoring the implementation of instructions and non-conformities identified in the previous semi-annual period. Implementation status of safeguard measures in the Contractor's Health and Safety Plans	The instructions issued on the previous audit on 19.03.2019 regarding the preparation of Health, Safety Plans, and measures to ensure safe condition in base camp and on construction sites have been fulfilled.
20.09.2019	CSC: Imbarova Sara – social safeguard specialist and Novossadova Natalya – environmental safeguard specialist	Lot 4, Lot 5	Base camp construction has been completed and it is populated by employees. Work on providing information to the local population was carried out on Lot 4 and Lot 6 through participation in local Akimat meetings. Such work was not conducted on Lot 5 due to the absence of a social safeguard

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Date of visit	Auditor's name	Audit's aim	Conclusion summary of the visit
			<p>specialist on the site.</p> <p>Lot 4: during the period of social audit in June 2019, the CSC recommended to the grievance coordinator to constantly monitor the situation with the external environment, to prepare a plan for involving the interested parts in order to reduce conflict in the area, and to develop and proceed an Internal regulations on disciplinary responsibility. The first two recommendations were proceeded and the development of internal documentation was sent to the Contractor's head office.</p>
02.10.2019	CSC: Imbarova Sara – social safeguard specialist and Novossadova Natalya – environmental safeguard specialist	Overview visit to Lot 4, Lot 5 and Lot 6 on the implementation of environmental and social safeguard measures	<p>Informing about the standards for the implementation of social and environmental safeguard measures.</p> <p>There are no remarks, boxes are installed, the booklet for GRM is posted on information stands and in canteens of Lot 6, Lot 7</p>

VIII. Conclusions and recommendations

<p>Lot 4</p>	<p>Conclusions:</p> <ul style="list-style-type: none"> • The Contractor, with the support of the Employer represented by Atyrau KAZh, was able to eliminate the negative attitude towards the company from the local population. No aggression or conflicts with the external environment have recorded during the reporting period; • Approval of change the location for reconstruction of the high-voltage line 10kV conducted by consultation of the CSC and KAZh with notification of local executive bodies. The Contractor notified the CSC in writing on 10.10.2019 that it is necessary to develop land acquisition by a document because land was not allocated and developed in the project location, and now it is shown as land for individual house construction in the overall plan. By a letter dated 15.10.2019 the CSC requested the Designer to develop design due to new circumstances. Then the Designer confirmed that the design provided for allocation of state land plot for high-voltage line, i.e. there is no need to acquire lands for reconstruction of the high-voltage line 10 kV; • Reporting on social safeguard measures does not meet the appropriate level both on awareness and documentation of work; • Health and Safety staff turnover has the consequences of non-compliance with contractual obligations to provide timely information and reports. <p>Recommendations:</p> <p>The Contractor should do the reporting process in due course and eliminate staff turnover. The deadline for the report preparation in the required quality is 30.01.2020.</p>
<p>Lot 5</p>	<p>Conclusions:</p> <ul style="list-style-type: none"> • Instructions issued earlier by the Engineer: <ul style="list-style-type: none"> - to assign the grievance coordinator; - to record a logbook for appeals and to proceed complaints redressal process; - It is necessary to keep reporting on social safeguard measures according to instructions issued by the Engineer and report format – not fulfilled;

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

	Recommendations: Rectify all non-conformities identified earlier in relation to social safeguards, as indicated in the conclusions, by 30.01.2020 (see above)
Lot 6	Conclusions: <ul style="list-style-type: none"> • The Contractor has been following the instructions issued earlier by the Engineer for reporting according to the schedule since August 2019. • There is no comment for social safeguard measures on the site. The specialist works regularly, the GRM is proceeded.
Lot 7	Conclusions: There is no work on the site due to the expectation of the contract extension for Dossor Bypass Way project. The work on creating an interested environment is carried out within the framework of preparing and informing the public about Dossor Bypass Way project.

The next social safeguard monitoring report should be disclosed by July 31, 2020.

IX. ATTACHMENTS

Internal Social Safeguard Monitoring Report

CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019

Attachment 1

Minutes of Public Consultation for the project “Construction of Dossor Bypass Way, Makat district, Atyrau region”

- 1. Date and Time:** 09.10.2019, 3:00 p.m.
- 2. Venue:** Dossor village Akimat
- 3. Participants of public consultation:**
 1. Yergozhiyeva Gulnar – Deputy Akim of Dossor village
 2. Kurzhumova Saule – Head of Quality Control and Work Acceptance Department of “NC “KazAutoZhol” Atyrau regional branch
 3. Torebaliyev Maulen – Director of “InjenerProjectSroy” LLP (Designer)
 4. Serdaliyev Kanat – Consultant of “Asian Development Bank” in the RK
 5. Imbarova Sara – Social Safeguard Specialist of “ZS Engineering” LLP (CSC)
 6. Dossor village population – 35 people (a list is attached)

4. Public Consultation Procedures:

1. Public Consultation opening
2. Selection of Public Consultation Chairman and Secretary
3. Report about the project
4. Questions and proposals for the project
5. Decision, made for the project and Consultation closing

Public Consultation was opened by the Deputy Akim of Dossor village Yergozhiyeva Gulnar.

Yergozhiyeva Gulnar has been selected as the Chairwoman of Public Consultation and Khamitov Samat as the Secretary.

5. Speakers:

1. Kurzhumova Saule gave a general information about the importance of project.
2. Torebaliyev Maulen made a report about the project.

According to the Minutes of meeting of the President of the Republic of Kazakhstan No.19-01-7.22 dated June 04, 2019 and in connection with the proposals of local population the Akims of village, district and regions are planning to implement the project “Construction of Dossor Bypass Way”. This road with the length of 5,6 km, 2 lanes, each lane width is 3,75 m and it is a republic importance, II category road. Road construction in addition to the roadbed includes a sand and gravel mix (SGM), crushed stone and sand mix (CSSM), hot high porous and coarse-grained asphalt concrete, crushed stone and mastic asphalt concrete (CSMA).

6. Questions and proposals for the project:

1. When this project will be sent to the state expertise and when it will be started and completed?
2. Will there be any culverts in addition to the cattle passes on saline areas?

3. Does this project provide a job for local population?
4. To control that the Contractor's large trucks will not pass through the internal roads of Dossor village after the beginning of project works. To transport materials, delivered by railways, to the site through a special constructed temporary bypass roads, also if possible to choose a borrow pit near to a railway dead-end and to deliver materials from one point.
5. To provide for a car ramp installation, rest area and toilet around a bypass road under construction.

7. Responses of Kurzhumova Saule and Torebaliyev Maulen for the received questions and proposals:

1. The project will be submitted for state expertise with time limits set by the Employer, according to the current legislation the state expertise takes 45 calendar days. The project completion time is approximately December 2020. More detailed information will be available after the state expertise.
2. In accordance with the recommendations of population there is a cattle pass (at PK9+00) in the project, also the road crosses the saline areas at 3 places due to it culverts will be installed at 3 places.
3. The issue of local population employment is solved through negotiations between the Contractor and local executive bodies, that is Akimat of district.
4. Within the current legislation framework, intra-village roads traffic is available for a large transports not more than 13 tons. For large trucks, there will be a special bypass road. Borrow pit location will be determined by the Contractor jointly with local Akimats of district and region. In general, the issue of not causing a negative impact to the environment and settlements is under special control.
5. This proposal will be taken into account and reviewed by the Employer. During review will be counted the near located and provided for rest areas, toilets and car ramps pursuant to approved standards in road field.


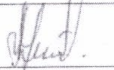
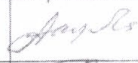

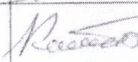
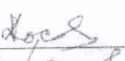

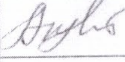
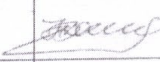
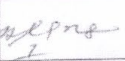
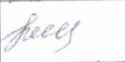
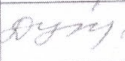


8. Public Consultation closing and made decision:

Within the project implementation framework the special attention will pay to the environment protection and compliance the current legislation and regulations (SNiP) of the Republic of Kazakhstan, while the abovementioned proposals will not be ignored. To all population, participated in public consultation, have been expressed thanks for their questions, proposals and exchange of views, also for supporting the project "Construction of Dossor Bypass Way"

Public Consultation Chairwoman: *//signature and stamp//* **Yergozhiyeva G.B.**
Deputy Akim of
Dossor village

Public Consultations Secretary: *//signature//* **Khamitov S.M.**

09, October, 2019, 09 октября 2019 г., 09 Казан 2019 ж.

№	Name, Surname Ф. И.О. А.Т.Ж.	Occupation Место работы Жумys орны	Contact details (phone, e-mail) Контактные данные (тел. эл. адрес) Байланыс акпарат (тел., эл. мекен-жайы)	Signature Подпись Қолы
1	Торешкариев Есенсен Р.	мрз-ль Совет 9 ветеринар	8 702 602 32 80	
2	Умеев Теймур	пенсиякер почетный ветеринар	8 701 754 78 07	
3	Даулетбаев Жур. Жантам	кол. ветеран ветеринар. служ.	8 402 661 56 75	
4	Темірбеков	пенсиякер	2-12-46.	
5	Рисаидов Закат	Зейнакеткер	8-709-11-35-80	
6	Ростанов А. Айтжан	Зейнакеткер		
7	Турганов Комар	Зейнакеткер	8 701 301 08 19	
8	Алибаев Маман	А. Кулибаев министр зейнакеткер	8-701-260-17-39	
9	Баймашев Аурман	"Оман" РХД	87014209416	
10	Мамытжанов Курам	зейнакеткер	8 745 662 93 3 1	
11	Ташкеева Алия	Дессер кент жилигерлер бас маман	8 702 619 8368	
12	Дурдыев Есенгелдиз	Зейнакеткер	87014762240	
13	Чигиров Назир	зейнакеткер	87057915949	
14	Камаров Толган	зейнакеткер	874522865	



List of Participants of the Public Consultation for Construction of Bypass of Dossor Village, Makat District of Atyrau Region" CAREC Corridors 1 and 6 Connector Road (Aktobe-Makat) Improvement Project

Список участников общественных слушаний по проекту «Строительство объездной автомобильной дороги пос. Доссор Макатского района Атырауской области» улучшения автомобильной дороги соединяющей коридоры 1 и 6 ЦАРЭС (Актобе-Макат)

ОААЭЫ 1 және 6 дәліздерін байланыстыратын автомобиль жолдарын жанарту жобасы (Ақтобе – Мақат) жоспарының "Доссор кентті айналма жол" салу бойынша қоғамдық тыңдаудың қатысушылардың тізімі

09, October, 2019, 09 октября 2019 г., 09 қазан 2019 ж.

№	Name, Surname Ф. И. О. А.Т.Ж.	Occupation Место работы Жұмыс орны	Contact details (phone, e-mail) Контактные данные (тел. эл. адрес) Байланыс ақпарат (тел., эл. мекен-жайы)	Signature Подпись Қолы
15	Исраилов Темірбай	Зейнеткер	87023593070	
16	Арысов Асанжол	Зейнеткер	2-17-57	
17	Исмаилов Науродан	Директор АО "Корпус-инженерия"	87017323462	
18	Арысов Аман	Зейнеткер		
19	Моллашабетов Шабыр	Зейнеткер	87784900047	
20	Мамитов Рубин	Мр Карматов кеш қарматкер	87056445875	
21	Исмаилов Григорий	Карматов зед-сод	-2-31-28	
22	Исмаилов Айзак	Ас Карматов	87026906689	
23	Исмаилов Хасан	Зейнеткер	8705714243	
24	Исмаилов Жаппар	Сандудан "с/с"	99752382671	
25	Исмаилов Таулар	О.Сарғуланов атқарушы директ	87025160181	
26	Исмаилов Батыр	О.Сарғуланов О.С.	87019861076	
27	Исмаилов Самат	О.Сарғуланов О.С.	87013481122	
28	Исмаилов Аманжол	Сандудан	87025391077	



List of Participants of the Public Consultation for Construction of Bypass of Dossor Village, Makat District of Atyrau Region" CAREC Corridors 1 and 6 Connector Road (Aktobe-Makat) Improvement Project

Список участников общественных слушаний по проекту «Строительство объездной автомобильной дороги пос. Доссор Макатского района Атырауской области» улучшения автомобильной дороги соединяющей коридоры 1 и 6 ЦАРЭС (Актобе-Макат)

ОААЭЫ 1 және 6 дәліздерін байланыстыратын автомобиль жолдарын жанарту жобасы (Ақтобе – Мақат) жоспарының "Доссор кентті айналма жол" салу бойынша қоғамдық тыңдаудың қатысушылардың тізімі

09, October, 2019, 09 октября 2019 г., 09 қазан 2019 ж.

№	Name, Surname Ф. И.О. А.Т.Ж.	Occupation Место работы Жұмыс орны	Contact details (phone, e-mail) Контактные данные (тел. эл. адрес) Байланыс ақпарат (тел., эл. мекен-жайы)	Signature Подпись Қолы
29	Айтжанбеков А.Т.Ж.	Сандуған 818	8 778 - 208 - 53 - 63	
30	Абдуллин Т.	891901 808123	8705888553	
31	Айтжанбеков О.Сартуналов	О.Сартуналов	870291515301	
32	Сапаров А.М.	О.Сартуналов	8 775 900 05 85	
33	Шинтасов Солтан	шофир	87538702530212	
34	Сейтов Нурман	Шинертас сұлба	+7 702 137 1499	
35	Рахманов Максат	еңбеккер сұлба	778 1903844	
36	Салимов А.Б.	Ант. ин-т	8701125 6781	
37	Халипов Салат	Ант. ин-т	8777 919 4984	
38	Билуханов Р.К.	Ант. ин-т	8775646 0228	
39	Айтжанбеков	Дук. проект АО "Ант. ин-т"	8 777 9159101	
40	Айтжанбеков Р.К.	эколог	8777 29888 44	
41	Султанов К.	АБП консультант	8705722 7074	
42	Шибарова С.	КНС ZS engineering	8701362 3662	



Site photos



Public consultation in Dossor village



During discussion of the project Dossor Bypass Way

Internal Social Safeguard Monitoring Report CAREC Corridors 1 & 6 Connector Road (Aktobe-Makat) Reconstruction Project (section km 330-504), the 2nd semi-annual 2019



Speech by the representative of the project organization



Public consultation in Akimat of Dossor village



Bypass road, Zhamansor Lot 6 (30.11.2019)



Warning sign at bypass road, Zhamansor Lot 6



Publication in a district mass media about the charitable support of “Ak Zhol” LLP for vulnerable families as assistance to prepare children for school (30.08.2019)



During the commission opening the box for complaints at the checkpoint of Zhamansor Lot 6 base camp (29.11.2019)

List of people met during the field visits

Date	Goal and Subject of Consultation	Note
July 24, 2019	Procedure for reviewing complaints and appeals as per GRM Social audit at the site, interaction with local authorities and public	Gulnaz Zharylgasova, Safeguards Specialist, Lots 5 and 6 Yusup Arzykhanov, Safeguards Specialist, Lot 4
August 12, 2019	Consultation on interaction with local communities (8 people) for the issue related to the use of water resources for project needs	Gulnaz Zharylgasova, Lots 5 and 6 The sections strongly needs water for dust suppression on bypass road, Sagyz and Zhamansor villages
September 21, 2019	Consultation on the issues of temporary land acquisition for quarries, in case additional quarries are required (7 people). Coverage of issues and risk prevention related to archaeological digs/finds. Procedures and algorithm of actions in case of archaeological finds and burials.	Joint consultation in the Engineer's office regarding in Zhamansor village (Lot 6) for specialists of Lots 4,5 and 6.
October 02-03, 2019	Consultations on the conduct of social audits at the section: verification of bills for culverts, cattle passes and temporary locations for cattle passes.	Joint consultation in the Engineer's office regarding Lots 4,5 and 6. The meeting was held in Sagyz village (Lot 4)