

# Social Monitoring Report

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Reporting Period: January-June 2022  
November 2022

## Pakistan: Balakot Hydropower Development Project

Prepared by Pakhtunkhwa Energy Development Organization, Government of Khyber Pakhtunkhwa, with the assistance of the project management consultant, for the Asian Development Bank.

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## LIST OF ABBREVIATIONS

ADB	:	Asian Development Bank
ADC	:	Additional Deputy Commissioner
AHs	:	Affected Households
AIIB	:	Asia Infrastructure Investment Bank
APs	:	Affected Persons
BHPP	:	Balakot Hydropower Project
C&W	:	Communication and Work Department
CAS	:	Compulsory Acquisition Surcharge
CSR	:	Corporate Social Responsibility
DC	:	Deputy Commissioner
DDR	:	Due Diligence Report
DEO	:	District Education Officer
DFO	:	Divisional Forest Officer
DHO	:	District Health Officer
DRD	:	District Revenue Department
ERM	:	External Resettlement Monitor
ESIA	:	Environmental Social Impact Assessment
ESMF	:	Environmental and Social Management Framework
FS	:	Feasibility Study
GRC	:	Grievance Redress Committee
GRM	:	Grievance Redress Mechanism
HBP	:	Hagler Bailly Pakistan
HDIP	:	Hydropower Development Investment Project
HHs	:	Households
LAA	:	Land Acquisition Act 1894
LAC	:	Land Acquisition Collector
LAC	:	Land Acquisition Collector
LAR	:	Land Acquisition and Resettlement
LARP	:	Land Acquisition Resettlement Plan
LRP	:	Livelihood Restoration Plan
M&E	:	Monitoring and Evaluation
MPR	:	Monthly Progress Report
MRS	:	Market Rate System
NGOs	:	Non-Governmental Organization
PEDO	:	Pakhtunkhwa Energy Development Organization
PHE	:	Public Health Engineering
PIC	:	Project Implementation Consultant
PIU	:	Project Implementation Unit
PLU	:	Purchase Land Unit
PMC	:	Project Management Consultants
RFST	:	Resettlement Field Survey team
RFST	:	Resettlement Field Survey Team
SASMR	:	Semiannual -Annual Social Monitoring Report
SEU	:	Social and Environment Unit
SPS	:	Safeguard Policy Statement 2009
SSM	:	Social Safeguards Monitoring
XEN	:	Executive Engineer

## GLOSSARY OF TERMS

	DEFINITION OF TERMS
<b>Displaced Household</b>	All members of a subproject affected household residing under one roof and operating as a single economic unit, who are adversely affected by the Project or any of its components; may consist of a single nuclear family or an extended family group.
<b>Displaced Persons</b>	In the context of involuntary resettlement, displaced persons are those who are physically displaced (relocation, loss of residential land, or loss of shelter) and/or economically displaced (loss of land, assets, access to assets, income sources, or means of livelihoods) as a result of (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas.
<b>Compensation</b>	Payment in cash or in kind of the replacement cost of the acquired assets.
<b>Cut-of-Date</b>	The completion date of the census of project-displaced persons is usually considered the cut-off date. A cut-off date is normally established by the borrower government procedure that establishes the eligibility for receiving compensation and resettlement assistance by the project displaced persons. In the absence of such procedures, the borrower/client will establish a cut-off date for eligibility.
<b>Encroachers</b>	People who have trespassed onto private/community land to which they are not authorized. If such people arrived before the entitlements cut-off date, they are eligible for compensation for any structures, crops or land improvements that they will lose.
<b>Entitlement</b>	Range of measures comprising compensation, income restoration, transfer assistance, income substitution, and relocation, which are due to displaced persons, depending on the nature of their losses, to restore their economic and social base.
<b>Economic Displacement</b>	Loss of land, assets, access to assets, income sources, or means of livelihood because of (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas.
<b>Involuntary Resettlement</b>	Land and/or asset loss, which results in a reduction of livelihood level. These losses have to be compensated for so that no person is worse off than they were before the loss of land and/or assets.
<b>Meaningful Consultation</b>	Is a process that (i) begins early in the project preparation stage and is carried out on an ongoing basis throughout the project cycle; (ii) provides timely disclosure of relevant and adequate information that is understandable and readily accessible to affected people; (iii) is undertaken in an atmosphere free of intimidation or coercion; (iv) gender inclusive and responsive, and tailored to the needs of disadvantaged and vulnerable groups; and (v) enables the incorporation of all relevant views of affected people and other stakeholders into decision making, such as project design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues.
<b>Physical displacement</b>	Meaning relocation, loss of residential land, or loss of shelter a result of (i) involuntary acquisition of land, or (ii) involuntary restrictions or land use or on access to legally designated parks and protected areas.
<b>Rehabilitation</b>	Compensatory measures provided under the ADB Policy Framework on Involuntary Resettlement other than payment of the replacement cost of acquired assets.
<b>Replacement Cost</b>	The rate of compensation for acquired housing, land and other assets will be calculated at full replacement costs. The calculation of full replacement cost will be based on the following elements: (i) fair market value; (ii) transaction costs; (iii) interest accrued, (iv) transitional and restoration costs; and (v) other applicable payments, if any. In applying this method of valuation, depreciation of structures and assets should not

	DEFINITION OF TERMS
<b>Squatters</b>	Meaning those people who do not own the land but are possessing and using it for residential, commercial, agricultural or other economic purposes, and as such they usually not entitled to land compensation but sometimes provided with assistance if they are found vulnerable; they are, however, entitled to compensation for the loss of built-up structures, trees, crops and other assets.
<b>Vulnerable Groups</b>	Distinct group of people who may suffer disproportionately from resettlement effects. The policy defines vulnerable groups as households below the poverty line, the elderly, those without legal title to assets, landless, women, children and indigenous people.

## EXECUTIVE SUMMARY

1. The Project Implementation Unit (PIU) is implementing the Balakot Hydropower Development Project (BHPP) with the financial assistance from Asian Development Bank (ADB) and Asian Infrastructure Investment Bank (AIIB). The project will (i) improve energy security by increasing the clean energy share in the country's energy mix currently dominated by thermal power generation; (ii) boost the economy and promote revenue generating investments in the hydro-abundant Khyber Pakhtunkhwa Province; and (iii) build capacity and awareness on climate change impacts, adaptation and mitigation measures. The project has been divided into two sections for construction purposes; therefore, two (2) separate LARPs (section-wise) were prepared. The 1<sup>st</sup> sectional LARP comprises mouza (village) Ghanool and Sangar and submitted for approval to ADB on dated March 4, 2022 while 2<sup>nd</sup> sectional LARP includes mouza (village) Paras and Bela Sacha submitted to ADB for approval May 30, 2022 for the BHP project.
2. The Khyber Pakhtunkhwa Energy and Power Department (KPEPD) is the executing agency (EA) and Pakhtunkhwa Energy Development Organization (PEDO) is the implementing agency (IA). The project implementation unit (PIU) was established in May, 2019 at PEDO office, Peshawar to manage all the project activities associated with LARP. The PIU is headed by a Project Director responsible for execution and implementation of LARP and also to ensure the availability of funds for LARP's implementation. The Project Director PIU, with the assistance of Deputy Director Social & Resettlement, Deputy Director Land Acquisition and other staff is responsible for the timely updating, implementation and monitoring of LAR activities of BHP Project.
3. This is the LARP semi-annual internal monitoring report (SAIMR) of Balakot Hydropower Project (BHPP) for the period from January to June 2022. The purpose of semi-annual internal monitoring report is to monitor the status and progress of land acquisition and resettlement plan under land acquisition Act of 1894 and social safeguard policy statement 2009 of ADB.
4. The project has involuntary resettlement impacts and as per ADB safeguard policy 2009, projects involving land acquisition and resettlement impacts are required to prepare and disclose an approved land acquisition and resettlement plan (LARP) to the DPs on project as well as ADB website. The major LAR impacts of the project are land, built-up property and trees and all the DPs will be compensated as per the land award under section-11 of the LAA 1894 and other monetary allowances as per the approved LARP provision.
5. The process of land acquisition under LAA 1894, by the Deputy Commissioner Mansehra, is ongoing. The under Section-5 & 6 and under Section-9 & 10 of LAA 1894 has been notified separately for mouza Ghanool and Sangar on dated January 3, 2022 and May 25, 2022 respectively while for mouza Bela Sacha were notified on dated May 19, 2022 and June 24 2022 respectively, and a separate notification issued for mouza Paras on dated June 1, 2022. As per demand notice of the Deputy Commissioner of Mansehra District, the requisite compensation amount and other monetary allowances were deposited in the district treasury account in May, 2022 by PEDO.

6. BHP Project is classified as category “A” because the involuntary resettlement impact of ADB supported project are considered significant as more than 200 persons are experiencing major impact i.e., losing 10 % or more of their productive assets or physically relocated.
7. A total of 2 consultation meetings were conducted with male DPs of the affected community. The consultation meeting related to identified the targeted DPs/ land owners who are residing out of affected mouza/ village and also informed to provide related information/ data of their affected assets.
8. During the 1st ADB Mission it was agreed amongst the project proponent and ADB to split the updated LARP into two sectional LARPs i.e., one LARP of Sangar and Ghanool and the 2<sup>nd</sup> LARP of Paras and Bela Sacha settlements. The 1<sup>st</sup> sectional draft LARP has been submitted to ADB (which is being revised in light of ADB comments) while 2<sup>nd</sup> sectional LARP has been prepared and submitted to ADB in the last week of May, 2022. The need for splitting the umbrella LARP into two sectional LARPs is the safeguards readiness and compliance to avoid any untoward delay in the planned commencement of construction work.
9. Under the output-4 of the project PAM, aimed to Income-earning opportunities and skills for local communities increased; relevant training facilitation support under the livelihood restoration plan (LRP) through engagement of non-government organization (NGO) will be provided i.e., Training on agriculture production, livestock rearing, beekeeping, fish farming and linkages with micro-finance institution, to the DPs or as per the local requirements particularly unemployed youths covering both men and women for relevant skill improvement/ capacity building to reduce impact on their livelihood.
10. Two tiers Grievance Redressal Committees is constituted by the PEDO on January 3, 2022. Apart from PEDO and district administration/revenue department, one community member from each settlement has been chosen for the committee. It is planned that these committees will be trained/oriented regarding GRC so, that they have the skill how to process and redress the complaints. During reporting period no direct complaint received by project level GRM. However, a group of complaint received by office of the DC Mansehra in June 2022. Simultaneously, the PD of BHPP and DC Mansehra jointly responded on dated June 27, 2022 through a detail reply addressing all their complaints related to assessment, compensation and other monetary allowances against their affected land and non-land assets. A scan copy of response letter is annexed at **Annexure No. 1**.
11. The ERM is onboard since April 2022. PIU will engage the services of an External Resettlement Monitor (ERM) for external monitoring of the LARP's activities carried out in the project area.



## 1 INTRODUCTION

12. The Islamic Republic of Pakistan has received a loan for “Balakot Hydropower Project”, from Asian Development Bank (ADB) loan No. 4057 PAK and Asian Infrastructure Investment Bank (AIIB) loan No. 8397 with the cost of US\$ 665 Million including counterpart fund of US\$ 115 Million for entire BHP project. The project is executed by Energy and Power Department, Government of Khyber Pakhtunkhwa and implementing by Pakhtunkhwa Energy Development Organization (PEDO) through Project Implementation Unit (PIU). This is the 2<sup>nd</sup> Semi Annual Social monitoring report for the period from January-June 2022 of BHP Project.

13. The Khyber Pakhtunkhwa Energy and Power Department (KPEPD) is the executing agency (EA) and Pakhtunkhwa Energy Development Organization (PEDO) is the implementing agency (IA). The project implementation unit (PIU) was established in May, 2019 at PEDO office, Peshawar to manage all the project activities associated with LARP. The PIU is headed by a Project Director responsible for execution and implementation of LARP and also to ensure the availability of funds for LARP’s implementation. The Project Director PIU, with the assistance of Deputy Director Social & Resettlement, Deputy Director Land Acquisition and other staff is responsible for the timely updating, implementation and monitoring of LAR activities.

14. BHP Project is classified as category “A” because the involuntary resettlement impact of ADB supported project are considered significant as more than 200 persons are experiencing major impact i.e., losing 10 % or more of their productive assets or physically relocated.

### 1.1 Project Objectives

15. The Balakot Hydropower Project aims to develop a 300 MW run-of-river hydropower scheme on the Kunhar River in Kaghan Valley, between Balakot and Kaghan, Manshera District of Khyber Pakhtunkhwa, Pakistan. The project is aligned with the carbon footprint reduced, and energy sector made more renewable, efficient and reliable. The Project has the following major objectives to achieve:

- **Climate-resilient hydropower plant commissioned:** A 300 MW hydropower plant will be commissioned near Balakot City in Khyber Pakhtunkhwa Province;
- **Capacity for climate change risk management in hydropower production enhanced:** Awareness will be developed among the communities with specific training for women and youth groups to serve as climate change leaders;
- **Pakhtunkhwa Energy Development Organization’s revenues from indigenous resources increased.** PEDO will supply one-third of the electricity generated from the 300 MW hydropower plant to the Peshawar Electricity Supply Company and the rest to the National Transmission Dispatch Company Limited;
- **Income-earning opportunities and skills for local communities increased.** During construction, the local population will benefit from job opportunities, commercial activities, and material supply. The project will generate more than 1,200 skilled and unskilled jobs for male and female workers, of which about 40% will be sourced locally.

### 1.2 Project LARP’s Description

16. Resettlement Monitoring and Evaluation is an integral part of the social safeguard requirements (Involuntary Resettlement and Indigenous Peoples), including the land acquisition and resettlement (LAR) process covering the preparation, implementation and monitoring of Land Acquisition & Resettlement Plans (LARP). This is a requirement under ADB's Safeguard Policy Statement 2009 (SPS) and the corresponding loan and project agreements. The BHP project has been divided into two sections for construction purpose; therefore, two (2) separate LARPs (section-wise) were prepared for the entire project.

17. The DC/ LAC has been issued different under section notifications for the entire affected mouzas/ villages for under land acquisition act of 1894. The date wise various notifications issuance under section of LAA 1894 of project are presented below **Table 1.1**.

**Table 1.1: Notification Status of Land Acquisition under Land Acquisition**

Mouzas (Village)	BHPP Dam Component	Notification of Land Acquisition Act 1894	Date of Issuance	Action By	Remarks
Ghanool	Surge shaft and access road to surge shaft	Section-4	October 8, 2019	Deputy Commissioner / Land Acquisition Collector, Mansehra	PIU and PMC jointly in close coordination with DC/ LAC on the issuance of all under section notifications.
		Section-5	January 3, 2022		
		Section-6	May 16, 2022		
		Section-9 & 10	May 25, 2022		
Sangar	Power house, access road, tailrace, staff colony and switch yard	Section-4	October 8, 2019		
		Section-5	January 3, 2022		
		Section-6	May 16, 2022		
		Section-9 & 10	May 25, 2022		
Bela Sacha	Dam Access Right Side Abutment and Diversion Tunnel	Section-4	October 8, 2019		
		Section-5	May 19, 2022		
		Section-6	June 24, 2022		
Paras	Dam Reservoir, Dam access left side abutment and Access to road to Dam	Section-4	October 8, 2019		
		Section-5	June 1, 2022		
		Section-6	July 4, 2022		

18. To determine the cost of land being acquired, a committee of concerned line departments has been constituted and notified by DC Mansehra for affected land and non-land assets in all the affected mouzas. A scan copy of DC notification of committee annexed at Annexure No. 2. Mouza-wise prices for each type of land were fixed by the committee on the basis one year average (oust yaksala) sale and purchase rate from October 9, 2018 to October 8, 2019. It is pertinent to mentioned that the under Section-4 notification of LAA 1894 was issued on dated October 8, 2019. Moreover, the additional measures will be undertaken by the project through an IVS (independent valuation study) to validate replacement rates in the affected mouzas of the project to overcome the gaps (if any) in affected land and non-land assets of the DPs. The details of impacted land and non-land assets and compensation amount and no. of DPs in each Mouza (village) are shown in **Table 1.2, Table 1.3, and Table 1.4**

**Table 1.2: Mouza (village) wise Acquired Land, Cost of land and No. of DPs of BHP Project**

S. No.	Mouzas (Village)	No. of DPs	Total Land under Acquisition (Kanal)	Total Cost of Land	Amount in Million
1	Ghanool	126	30.95	2,819,180	2.819
2	Sangar	1,665	817.45	141,198,394	141.198
3	Bela Sacha	126	175.58	82,744,871	82.745
4	Paras	1,639	787.38	352,283,627	352.284
<b>Total</b>		<b>3,556</b>	<b>1,811.35</b>	<b>579,046,072</b>	<b>579.046</b>

**Table 1.3: Mouza (village) wise Affected Trees, Cost of Trees and No. of DPs of BHP Project**

S. No.	Mouzas (Village)	No. of DPs	No. of Trees Affected		Total Cost of Trees
			Fruit Trees	Non-Fruit Trees	
1	Ghanool	100	N.A	192	2,498,950
2	Sangar	1,673	876	2,853	30,206,682
3	Bela Sacha	138	319	5357	11,205,278
4	Paras	1,437	1129	3832	37894167.5
<b>Total</b>		<b>3,348</b>	<b>2,324</b>	<b>12,234</b>	<b>81,805,078</b>

**Table 1.4: Mouza (village) wise Affected Built-up Property, Cost of Built-up Property and No. of DPs of BHP Project**

S. No.	Mouzas	No. of DPs	Built-up Property		Total Cost of Built-up Property
			Private (Structures)	Private (Commercial)	
1	Sangar	40	45	1	72,523,045
2	Bela Sacha	32	41	3	184,291,938
3	Paras	375	406	12	1,240,174,350
<b>Total</b>		<b>447</b>	<b>492</b>	<b>16</b>	<b>1,496,989,333</b>

## PROJECT MAPS

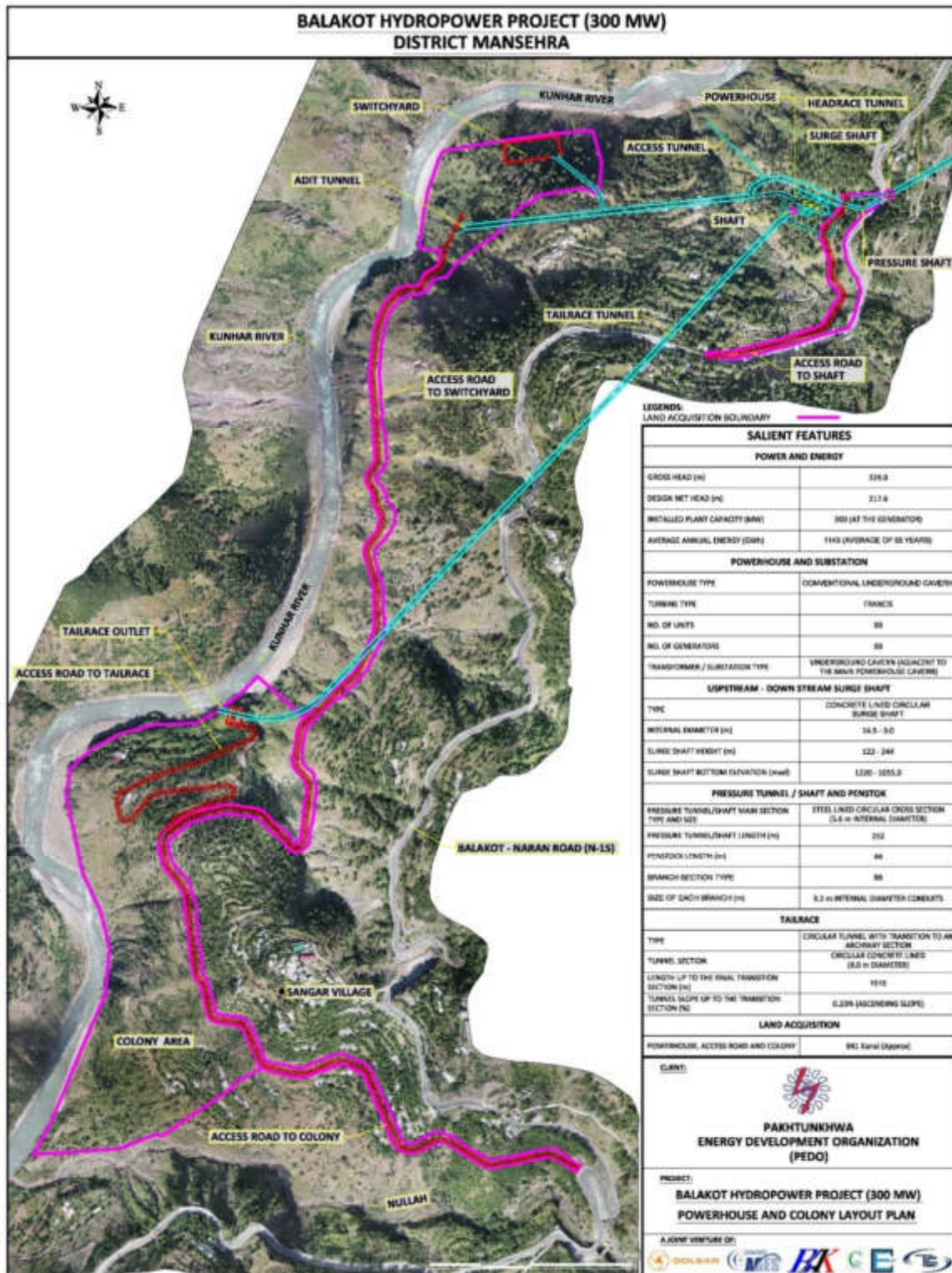


Figure 1-1: Staff Colony, Access Road and Powerhouse Area



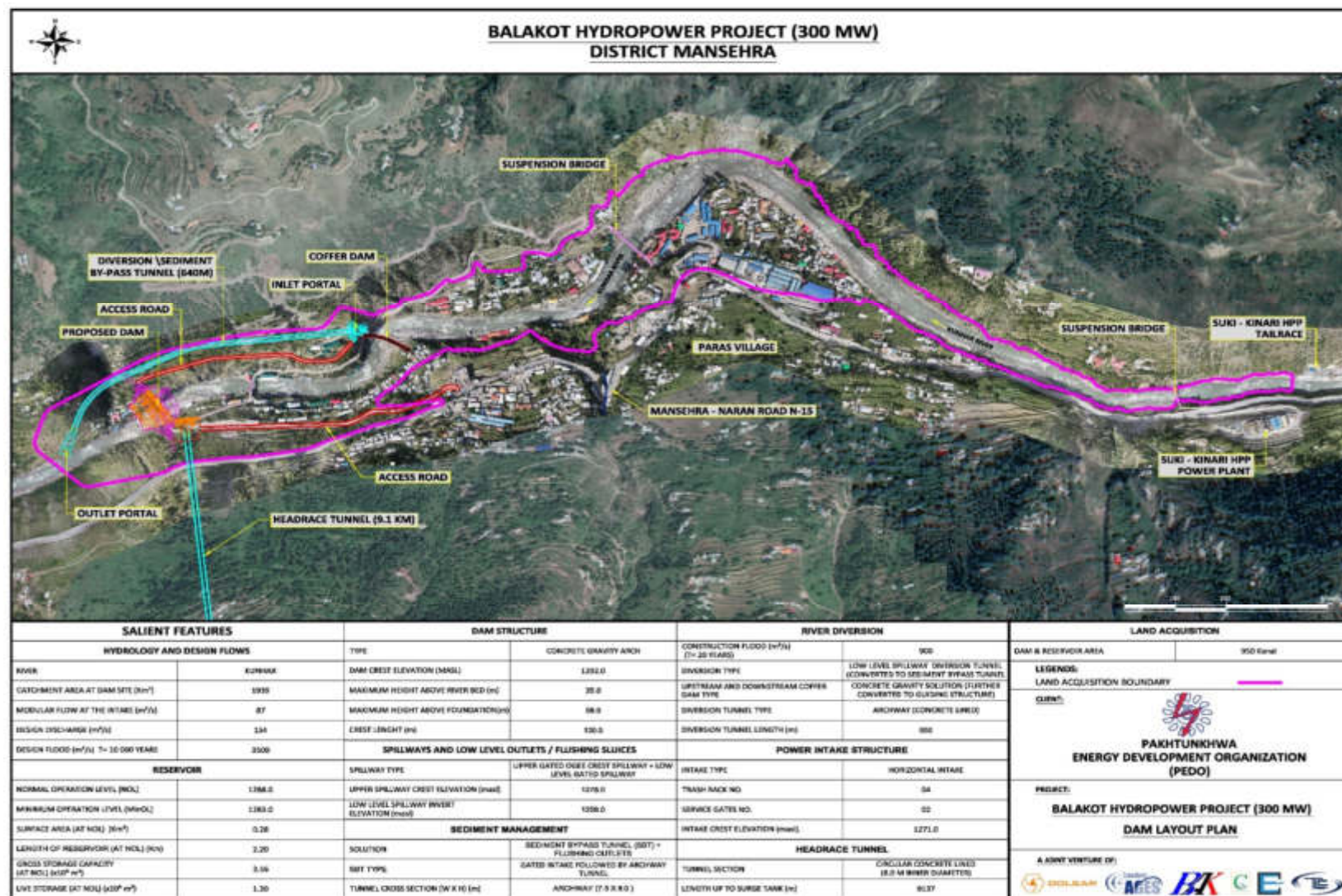


Figure 1-2: Dam Reservoir Area Layout Plan

## 2 SOCIO ECONOMIC BASELINE

19. The socio-economic survey for updating the draft LARP was conducted in Ghanool, Sangar, Bela Sacha and Paras settlements during the months March-April 2021, September-December, 2021 and January-February 2022. Compilation and analysis of the data collected in Ghanool, Sangar has been finalized/completed, and the updated LARP was submitted for review to ADB on March 4, 2022. After ADB reviews/comments, the PIU addressed all the comments and resubmitted to ADB on May 18, 2022 and again received observation from MJP+PS on June 8, 2022. Data of socio-economic, census, businesses for Paras and Bela Sacha settlements has already been collected by the social safeguard team and LAC has provided detailed "Baach" (Record of Individual land holdings and land related assets) for Paras and Bela Sacha settlements. The LARP survey has been completed by the Resettlement Field Survey Team (RFST). The RFST team has been collected all the requisite information/ data of displaced persons within the project demarcated area. The team contacted each DPs or his/ her relatives for the collection of data/ information and there is no DPs missed out from the survey/ data collection process. The collected data/ information then has been compiled for LARP's preparation accordingly.

20. A total of 492 privately structures and 16 private commercial structures will be affected owned by 447 DPs in entire four (4) mouzas of Project. The mouza wise no. of DPs, no. of affected structures/ (built-up property) and total cost of built-up property are presented below in **Table 2.1**.

**Table 2.1: Mouza (village) wise Affected Built-up Property, Cost of Built-up Property and No. of DPs of BHP Project**

S. No.	Mouzas	No. of DPs	Built-up Property		Total Cost of Built-up Property
			Private (Structures)	Private (Commercial)	
1	Sangar	40	45	1	72,523,045
2	Bela Sacha	32	41	3	184,291,938
3	Paras	375	406	12	1,240,174,350
<b>Total</b>		<b>447</b>	<b>492</b>	<b>16</b>	<b>1,496,989,333</b>

21. All relocating households will be supported for 6-month transition period to ensure that they are able to properly adjust with their relocation. Apart from compensation of their affected built-up property all the admissible allowances including severity, vulnerability, structure relocation, shifting for houses, shifting for commercial structure, business moving assistance, business allowances for 12 months, transition, house rent, electricity and household dislocation (chulla) allowances will be paid to each entitled DPs.

22. Similarly, 69 vulnerable DPs have been identified comprising 35 % DPs are women headed household and 65 % DPs are below poverty line (national) in the entire four affected mouzas under the project and entitled for vulnerability allowance. No minorities (cultural, religious, or ethnic) and indigenous people were found in project affected area. The major impact of the project in four settlements was on the land, built-up property, fruit and non-fruit trees. While, no built-up structure being affected by the project in Mouza Ghanool.

## 2.1 Institutional Arrangements

23. PEDO as the executing agency will be responsible for implementation of the LRP. The updated LARP ensures that sufficient resources are allocated for implementation of the LRP. The overall coordination will be provided by Project Implementation Unit (PIU) (headed by the Project Director) in addition to recruitment of consultant, contract signing and preparation of key reports the PIU will be responsible for these activities. The Social safeguard team under the PIU is in the process of formation to manage the LAR-tasks and activities including handling/resolving any complaints or grievances of those affected by the project (APs).

24. PEDO has already established a PIU for the Project. A Social and Environment Unit (SEU) and a Purchase of Land Unit (PLU) will be established within the PIU. The SEU and PLU will be responsible for the implementation of the LARP of the project.

25. Currently the PIU as is shown in **Figure 2-1** below illustrates about the proposed and current number of officials/staffs of PIU. Those in blue are on board and actively working with PIU. Indeed, PIU currently running with short of staff but several, positions of deputy directors have been advertised in the month of October, 2021 and the recruitment against these positions has been complete in the month of April 2022.

## 2.2 Project Implementation Unit (PIU) For 300 Mw Balakot HPP Revised Organogram

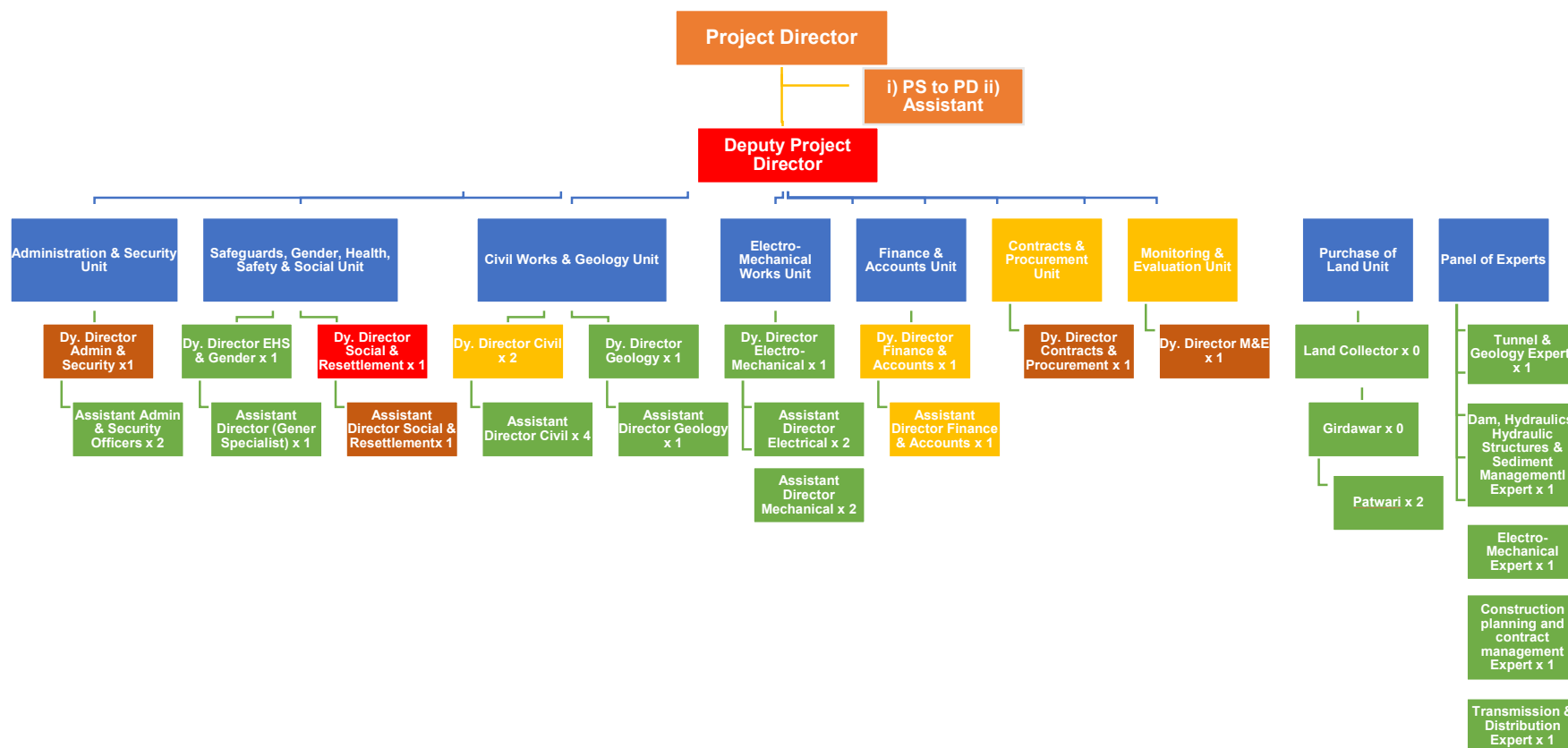


Figure 2-1: Organogram of PIU- BHPP



### 3 MONITORING OBJECTIVES AND METHODOLOGY

#### 3.1 Monitoring Objectives

26. This internal monitoring report presents the safeguards management progress achieved, coordination and consultations efforts carried out to mobilize and assist the DPs for receiving payment of compensation and redress their grievances. It summarizes the progress on following aspects:

- Delivery of compensation for assets and entitled allowances.
- Collection of DPs data and preparation of LAR database.
- LAR issues and progress.
- Activities and actions for the compensation of payment to the DPs with which efforts were implemented by recording additional documentation as required under ADB's SPS 2009 guidance notes of handling of compensation cases with legal and administrative impediments.
- Community consultation and information dissemination activities performed for compensation disbursement.
- Updates on recording, and handling of grievances; and Employment (skilled/ un-skilled) data of DPs and local community who got opportunity in the construction work.

#### 3.2 Monitoring Methodology

- Resettlement Monitoring and Evaluation is an integral part of the social safeguard requirements, including the land acquisition and resettlement (LAR) process covering the preparation, implementation and monitoring of Land Acquisition & Resettlement Plans (LARP). This is the LARP semi-annual internal monitoring report (SAIMR) of Balakot Hydropower Project (BHPP) for the period from January to June 2022. The purpose of semi-annual internal monitoring report is to monitor the status and progress of land acquisition and resettlement plan under land acquisition Act of 1894 and social safeguard policy statement 2009 of ADB.

#### 3.3 Status of Progress on the Following Items

27. **Compensation:** During reporting period the process of disbursement of compensation has not yet started.

28. **Income Restoration:** Two vocational centers for women have been proposed in the LRP one for Sangar and Ghanool and one for Paras and Bela Sacha. A skill development training program have also been proposed for the youth of the affected area. The youth will be trained in different trades. In green sector (forest and agriculture) trainings have been proposed for the APs. Training on raising of livestock have also been proposed to restore the income of the APs.

29. **Livelihood:** The admissible allowances including severity, vulnerability, structure relocation, shifting for houses, shifting for commercial structure, business moving assistance, business allowances for 12 months, transition, house rent, electricity and household dislocation (chulla) allowances will be paid to each entitled DPs. The LARP's provisioned for employment of local laborers and members of DPs. PIU continued follow up with contractors for providing job opportunities to the locals (DPs). The contractor has already strongly intimated by PIU to provide job opportunities to the affected community on priority basis either skill or unskilled. The long-term

project-based employment which is related to output-4 of the project including, relevant training facilitation support under the livelihood restoration plan (LRP) will be provided i.e., Training on agriculture production, livestock rearing, beekeeping, fish farming and linkages with micro-finance institution, to the DPs or as per the local requirements particularly unemployed youths covering both men and women for relevant skill improvement/ capacity building to reduce impact on their livelihood during project implementation. All such training link and included in draft LRP of BHP project which will be finalized and conducted by an NGO. The anticipated date of NGO mobilization will be March 2023 as per updated ToR. The LRP will be finalized by NGO and will be shared with all stakeholders before implementation by NGO.

30. **Data Processing and Analysis:** The following steps has been taken to ensure proper data review and analysis; data gathered during field visits and consultations processes by category of indicators for analysis purposes, and all analyzed data has been tabulated for interpretation and deriving conclusions and recommendations. The data has illustrated entitlement, impacts and cost of assets to be affected by the project.

### 3.4 Livelihood Support and Assistance

31. In response to the impacts caused by the Balakot Hydropower project, the aim of the LRP is to restore and protect the livelihoods of the affected community. Those vulnerable who are dependent on the local resources and who suffered from the project will be supported through LRP activities. The project through the LRP will attempt to improve the livelihoods and economic condition of the APs and their families. The LRP illustrate and propose about the skill development, skill-based trainings for the vulnerable and affected population. The APs will be supported in their agriculture, forest, livestock and forest development so they are capable enough to earn their bread and butter. Women groups will be supported through establishment of women vocational centers and other capacity building programs.

32. Considering the viewpoints expressed in the public consultations and individual interviews a Livelihood Restoration Plan (LRP) has submitted in the month of March 2022. The ADB review and sent back with comments on July 08, 2022. The PIU address all the comments and forward to ADB on July 14, 2022.

33. The PIU is doing its utmost to compensate the entitled APs in accordance with the cost of their lost land and land-based assets, and to provide livelihood assistance in the form of allowances and trainings in consistent with the SPS, 2009. PIU with the assistance of PIC have worked out the livelihood cost and accordingly included it in the revised PC-I. Two additional allowances being offered to the APs. And the valuation of structures is based on the MRS-2021.

34. **Table 3.1** below provides estimated costs for the resettlement assistance to be provided to the APs. The final and detailed information will be provided in the respective LARPs of the subprojects. The rate for land and other affected assets has been provided by the LAC which is presented as part of the LARPs.

**Table 3.1: Tentative Cost of Resettlement Allowances for Sangar and Ghanool Settlements**

S. No	Resettlement Allowances	Affected Households	Per AHs Cost (PKR)	Total Cost (PKR)
1	Vulnerability Allowance	18	63,000	1,134,000
2	Structure Relocation Allowance	27	31,000	837,000
3	Shifting Allowance for Houses	27	31,000	837,000
4	Shifting Allowance for Commercial Structures	1	31,000	31,000
5	Transition Allowance	25	63,000	1,425,000
6	Business Moving Assistance	1	63,000	63,000
7	House Rent	25	100,000	2,500,000
8	Severe Impact Allowance	26	63,000	1,638,000
9	Business Allowance for 12 months (To be determined on the per month average loss of business for 12 months)	1	50,000	600,000
10	Electricity Allowance	25	20,000	500,000
11	Household Dislocation Allowance	25	2,000,000	50,000,000
<b>Total</b>				<b>59,565,000</b>
2% contingency				1,191,300
<b>Grand Total</b>				<b>60,756,300</b>

**Table 3.2: Tentative Cost of Resettlement Allowances for Paras and Bela Sacha Settlements**

S. No	Resettlement allowances	Affected Households	Per AHs Cost (PKR)	Total Cost (PKR)
5	Vulnerability Allowance	51	63,000	3,213,000
6	Structure Relocation Allowance	190	31,000	5,890,000
7	Shifting Allowance for Houses	190	31,000	5,890,000
8	Shifting Allowance for Commercial Structures	15	31,000	465,000
9	Transition Allowance	190	57,000	10,830,000
10	Business Moving Assistance	15	63,000	945,000
11	House Rent	190	100,000	19,000,000
12	Severe Impact Allowance	195	63,000	12,285,000
13	Business Allowance for 12 months (To be determined on the per month average loss of business for 12 months)			0
13.1	Hotel / Resturant/ Business	8	50,000	4,800,000
13.2	Poultry Farm	3	30,000	1,080,000
13.3	Shop	4	20,000	960,000
14	Electricity Allowance	190	20,000	3,800,000
15	Household Dislocation /Chula Allowance	196	2,000,000	392,000,000
<b>Total</b>				<b>461,158,000</b>
2% Contingency				9,223,160
<b>Grand Total</b>				<b>470,381,160</b>

## 4 GRIEVANCE REDRESS MECHANISM

35. The Grievance Redressal Committees have been notified by the PEDO dated January 3, 2022. In which one community member from each settlement has been chosen. It is planned that these committees will be trained/oriented, so that they could know how to process and redress the complaints.

36. The GRCs are proposed at two tiers', i) Grievance Redress Committee at Field/village Level, ii) Grievance Redress Committee at PIU Level). The draft GRM is a gender responsive, culturally suitable, and readily accessible to the stakeholders at no cost and without retribution. Step wise grievance redress mechanism is explained below:

37. The proposed GRM is consist of two-tier Grievance Redress Committees (GRCs) i.e., at village level and at Project level. In both the GRCs, the Project area community will be represented by the villager's nominated representatives. Having members based in the village, the village-level GRC will be helpful in swift resolution of the grievance(s) without indulging in lengthy documentation/legal proceedings. The local participation will further build local capacity in dispute resolution and decision-making and will provide leadership support in the implementation of the Project.

38. Cases, which are not satisfactorily resolved or affected persons remain aggrieved, the case will then be forwarded to the Project-level GRC as the prime floor for resolution of the grievances. However, if any disputant remains dissatisfied with the GRC decision/resolution the disputant can seek redress from a court of law.

39. The project GRM is fully operational and notified on January 3, 2022. The village level GRC will be notified as and when the DPs of affected mouzas will develop consensus on a representative/ member from their respective mouza/ community for nomination. Hence, such process of the affected mouza/ village not yet completed during reporting period. The DPs and local community of affected mouzas are informed on project based GRC available to them through community consultation meetings and as well as through dissemination of LARP's information brochure. During reporting period no direct complaint received by project level GRM. However, a group of complaint received by office of the DC Mansehra in June 2022. Simultaneously, the PD of BHPP and DC Mansehra jointly responded on dated June 27, 2022 through a detail reply through letter addressing all their complaints related to assessment, compensation and other monetary allowances against their affected land and non-land assets.

40. The following **Table 4.1** will be utilized to know about the status of resolved and pending complaints, the nature of complaint etc.

**Table 4.1: Status of Complaints Resolution**

S. No.	Date of Complaint filled	Name of Complainant	Nature of Complaints	Recipient of Complaint	Action Taken and to be taken to address the complaints	Resolution Status	
						Resolved	Pending

## 5 CONSULTATIONS

41. The purpose of consultation and participation is to ensure meaningful and adequate consultation with all stakeholders, particularly the primary stakeholders in the Project area in Project planning processes. Thus, the resettlement planning processes will follow a participatory planning process with local inputs in decision-making, policy development and mitigation measures. Provisions for disclosures and mechanisms for information sharing among the stakeholders are also discussed

42. A participatory approach to consultations and communication was adopted that included a two-way communication approach, the respondents were given the opportunity to express their views or any concerns about the project. Individual and group meetings were also held to identify issues and non-compliance (if any).

43. A total of 2 consultation meetings were conducted with male DPs of the affected community. The consultation meeting related to identified the targeted DPs/ land owners who are residing out of affected mouza/ village and also informed to provide related information/ data of their affected assets. The number of male DPs is shown below in **Table 5.1**.

**Table 5.1: List of Consultation Meetings with DPs**

S. No	Mouza/ Village	Venue	Date	No. of DPs
1.	Paras (Nihan)	Nihan	Jan 19,2022	15
2.	Paras (Bela Belsari)	Bela Belsari	Feb 08, 2022	18
<b>Total</b>				<b>33</b>

### 5.1 Concerns raised by the affectees in the Reporting Period

- i. The local administration and PEDO is trying to implement the Land Acquisition Act LAA-1894 (that is in no way a poor friendly act) to acquire the area proposed for BHPP. The local administrations wants to implement the OSAT (Average value) system, completely ignoring the scenario and importance of the area, which is totally against our rights as well as the ADB safeguard policy.

**Ans:** The permanent land acquisition and value assessment thereof falls in purview of the District Revenue Office of the Government of Khyber Pakhtunkhwa. The valuation of land and land-based resources has been done under the provisions of Land Acquisition Act 1894 with its subsequent amendments whereas other compensations/allowances have been assessed under the guidelines of SPS 2009 and in compliance with the conditions of loan agreement.

- ii. The community-raised question regarding the compensation and stated that the compensation of their built-up structures should be based on the updated MRS.

**Ans:** The assessment of the affected structures was carried out, based on MRS-2021, thereby resolving the community question concerning MRS.

In addition, it is worthwhile to mention here that while conducting such assessment, no depreciation of structures were taken into account.

- iii. Community raised concerns on the Census, LAR surveys and Impact Assessment.  
**Ans:** The LARP survey has been completed by the Resettlement Field Survey Team (RFST). The RFST team has been collected all the requisite information/ data of displaced persons within the project demarcated area. The team contacted each DPs or his/ her relatives for the collection of data/ information and there is no DPs missed out from the survey/ data collection process. The collected data/ information then has been compiled for LARP's preparation accordingly.
- iv. The non-presence of a single female representative in the socio-economic survey team is another big question mark on the viability of the survey as female-headed household and their related issues are completely ignored.  
**Ans:** The RFST conduct a socio-economic survey, wherein 38 numbers female APs were also interviewed and the same is reflected in the entitlement table in the updated LARP. The female enumerator was hired for consultation process being a part of RFST.
- v. It is requested to acquire the land for BHPP on real estate value with full replacement cost as adopted for other ADB and World Bank projects including Ghazi Barotha and Gulpur Hydropower projects is a reference and also mentioned in the LARP of Balakot Hydropower project.  
**Ans:** The Balakot Hydropower Project (300MW) a Government of Khyber Pakhtunkhwa flagship project to be constructed with the financial assistance of the Asian Development Bank (ADB) and Asian Investment and Infrastructure Bank (AIIB). Land acquisition and assessment of compensation(s) are in progress under the provisions of Land Acquisition Act 1894 with the subsequent amendments made therein and in pursuance of the Loan Agreement signed with the foregoing banks.  
As the aforementioned projects have been developed/executed under separate conditions and financial arrangements hence, their reference to the Balakot HPP (300MW) is not tenable.
- vi. Hence, this was agreed in Jirga that the affectees should be provided with plots as implemented in the Gulpur Hydropower project funded by ADB and Ghazi Barotha Hydropower project funded by WB.  
**Ans:** As evident from the minutes of the Jirga, the matter of provision of plots was neither raised nor discussed in the two Jirgas held 06-12-2021 and 09-12-2021 attached as **Annex-02**.
- vii. The affectees of Balakot HPP also demand some other benefits as given below:
- **Allowances (Especially displacement charges)**  
**Ans:** The allowances accounted for and the compensation assessment thereof, as exhibited in the implementation ready LARP, have been carried out while taking into account the prevailing circumstances in the country in general and in the project area in particular. Additional allowances like electricity (Rs.20,000) and Chula/household (Rs.2 million) are the examples in this context.
  - **Livelihood Restoration/ Replacement**  
**Ans:** In order to address Income restoration of the Affected Persons, paid skilled development trainings will be arranged.

- **Jobs and supplies in the project**  
**Ans:** Under the conditions of EPC Contract, priority will be given to the locals of the project area according to their qualification and skills.
- **Free Electricity**  
**Ans:** As transmission and distribution of electricity is the Federal Government subject hence, Provincial Government of Khyber Pakhtunkhwa cannot guarantee provision of free electricity from the Balakot Hydropower Project (300MW).
- **Water supplies**  
**Ans:** Monetary compensation(s) have already been paid to the respective department against the affected water supply scheme fall in the permanent land acquisition boundary.  
 In addition to the above, in pursuance of the conditions of contract, any water supply scheme to be affected due to construction works will either be restored to the original conditions or new scheme will be put in place if reinstatement is not possible. Nevertheless, during the water deprived period, EPC Contractor will arrange alternate source of water for the affectees.
- **School**  
**Ans:** Monetary compensation against the affected schools has already been paid to the District Administration for appropriate provision of alternate schools or as the case may be.
- **Roads**  
**Ans:** During currency of the Contract, it is the EPC Contractor's contractual obligation to either construct temporary diversion road(s) against the affected road(s) or to provide permanent road in case of permanent impact on the existing road.
- **Hospital**  
**Ans:** 1 No. Basic Health Unit is to be affected by BHPP, the assessment was carried out by the C&W and Revenue Department. The assessed Budget for re-construction of BHU has been deposited in the account of Deputy Commissioner. The BHU will be re-constructed through C&W Department with a finance already deposited in the DC account. In addition, a dispensary will be constructed within the employer staff colony which will also serve the local community.
- **Suspension Bridge**  
**Ans:** Construction of new permanent bridge against the affected bridges is part of the EPC Contract. Nevertheless, till construction of such bridge, EPC Contractor will ensure alternate arrangements to facilitate the project activities and other general commuters.
- **Academic scholarships**  
**Ans:** Paid skilled development trainings are part of the CSR/livelihood activities. In this regard, vocational and on job trainings will be provided to the eligible affectees of the project.

## 5.2 Information Disclosure

44. In order to expedite the LARP's preparation process, Project Implementation Unit (PIU) supported by PMC has disseminated LARP related information to all concerned DPs which include announcement through Mosque (Masjid) loudspeaker and through social media regarding survey/ data collection also notices sent to the DPs through local patwari of each Mouzas.

## **6 GENDER ISSUES/ ANALYSIS IN LARP IMPLEMENTATION**

45. During reporting period, there is no major impact recorded regarding gender issues as the payment of compensation of land and non-land assets and allowances not yet started. The LARP also identified the women DPs in terms of the loss of their assets. Like, male DPs the women are also receiving their payment, the PIU and PMC staff will be facilitating the women DPs in resolving their issues (if any) related to compensation/ allowances payment. The field staff will be conducting consultation meetings with the women DPs to keep them update about the project, their compensation payment and addressing their issue if arise during disbursement. Before LAR implementation stage, there is no major impact recorded regarding women mobility and privacy in the project area due to project activities in the reporting period. The major activity is the information dissemination and facilitation by PIU/ PMC social team to disseminate the project LARP information among the affected household and communities of project area. The women DPs will be informed and supported regarding opening of bank account, preparation of valid CNIC (computerized national identity card) for receiving compensation smoothly against their affected land and non-land assets. Similarly, the women of affected mouzas also will be informed through consultation meetings of their male household member to receive their due compensation amount from the revenue department.

## **7 CONCLUSIONS AND RECOMMENDATIONS**

### **7.1 Conclusions**

46. The PMC/PIU social safeguard team after working through the project documents and consultation with the concerned stakeholders grasped on the following conclusion;

- a. During reporting period, the process of disbursement of compensation has not yet started.
- b. Two consolidated LARPs will be prepared separately one for Ghanool and Sangar while one for Paras and Bela Sacha settlements. (The 1<sup>st</sup> sectional LARP (Sangar & Ghanool) has submitted on March, 04, 2022 and second sectional LARP (Paras & Bela Sacha) has been prepared.
- c.
- d. LAC has determined the land price for the project affected area (based on one-year average sale and purchase record).
- e. All the stakeholders' institutions being consulted to get information for preparation of LARP.
- f. Tentatively LARP implementation (Sangar & Ghanool) expected around September 2022 (provided the circumstances remains supportive).
- g. The PIU has the required LAR funds available with them for Ghanool, Sangar and Bela Sacha to deliver the awarded compensation to APs for their affected assets, execution of LARP, and its monitoring.

### **7.2 Recommendations**



47. The social safeguard team of PMC/ PIU propose the following recommendations before any construction activities start;



- a. As proposed by ADB/AIIB and agreed by PEDO an implementable sectional LARP one for Ghanool and Sangar and another for Paras and Bela Sacha shall be prepared in accordance with the land Acquisition Act-1894 and ADB SPS 2009. The main objective of the sectional updated draft LARP is to identify impacts and to plan measures to mitigate adverse social impacts resulting from loss of assets due to construction of several project facilities.
- b. The additional measures will be undertaken by the project through an IVS (independent valuation study) to validate replacement rates in the affected mouzas of the project to overcome the gaps (if any) in affected land and non-land assets of the DPs.
- c. Mouza wise sectional approach will be adopted for smooth implementation of LARP and construction approach for the entire project.

### **7.3 Plans for the Subsequent Reporting Period**

48. During the period January-June, 2022 the project needs to; complete the LARP for all 4 affected settlements i.e., Ghanool, Sangar, Paras and Bela Sacha, including delivery of compensation and submission of compliance report, with subsequent implementation expected to end in July to December 2022. During reporting period no temporary design work has started on any site/ mouzas and land under LARP of the project.

# ANNEXURES

**ANNEX 01: RESPONSE LETTER OF PD BHPP**

 **P E D O**   
**PAKHTUNKHWA ENERGY DEVELOPMENT ORGANIZATION**  
Government of Khyber Pakhtunkhwa Peshawar  
Energy & Power Department

No. 246-56/5/PE.DKA/PO Balakot HPP/LA  
Dated: 27/6/2022

To  
✓ The Complainants,  
1) Syed Tanveer Alam Shah, 2) Syed Riaz Hussain Shah, 3) Azhar Hussain Shah,  
4) Syed Zulfiqar Ali Shah, 5) Syed Abid Hussain Shah, 6) Syed Tahir Shah,  
7) Syed Jibran Shah, 8) Riaz Khan, 9) Syed Ijaz Hussain Shah,  
10) Syed Khalid Shah, 11) Syed Javaid Shah and 12) Syed Sadaqat Shah

**Subject: DEMANDS OF LAND OWNERS/ AFFECTEES OF TEHSIL BALAKOT - BALAKOT HPP**

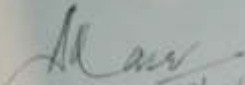
Dear all,

We hereby acknowledge receiving a copy of the complaint application dated 31<sup>st</sup> May 2022, addressed to the Deputy Commissioner Mansehra. The Deputy Commissioner Mansehra and the undersigned met with the representatives of all four mouzas where the queries have been discussed & addressed by the DC and undersigned on 15<sup>th</sup> June, 2022.

The key concerns you have highlighted in your complaint were included: resettlement, land compensation and ownership, surveys for preparation of LARPs, houses and other affected assets including graveyards and loss of businesses and employment opportunities. While we have carefully noted down all your concerns and are in close coordination with the DC office and concerned Revenue Staff, we would like to inform you, that our Consultant LARP Team informed other APs and community members at large during the process of public consultations and impacts assessment which was mandatory for the preparation of LARP. The compensation and livelihood restoration package has been prepared based on the ADB's Safeguard Policy Statement (SPS) and the Land Acquisition Act of 1894. The needs and aspirations of those affected by the project have and will continue to be taken into account throughout the project design and implementation.


The APs and general community members were consulted during the survey carried out for the preparation of LARP. Likely all the APs in the affected community are aware of the compensation and livelihood restoration package. The key features of the project compensation package include the following: (i) Compensation of land and assets as per Land Acquisition Act-1894 and the following allowances have been proposed for the entitled APs (ii) Vulnerability allowance (iii) Structure relocation allowance (iv) Shifting allowance for houses (v) Shifting allowance for commercial structures (vi) Transition allowance (vii) Business moving assistance (viii) House rent (ix) Severe impact allowance and (x) business allowance for 12 months in addition to (xi) the household dislocation/ehula allowance and (xii) Electricity allowance. Furthermore, all APs have access to the Project's Grievance Redress Committee at their villages, wherein all specific and relevant complaints of APs will receive due consideration.

I trust the above given justification addresses your concerns. Further if you require more information or wish to discuss this with DC/ADC/LAC and PEDO, a joint meeting at the office of DC Mansehra can be arranged at a time convenient to all concerned.

  
Project Director, 27/6/2022  
Balakot HPP.

CC:

- 1) The Secretary E&PD w.r.t. E&PD letter dated 13<sup>th</sup> June 2022.
- 2) The Commissioner, Hazara Division.
- 3) PSO to the Chief Minister, Khyber Pakhtunkhwa
- 4) PS to Chief Secretary, Khyber Pakhtunkhwa
- 5) The CEO, PEDO
- 6) The Deputy Commissioner, Mansehra
- 7) MPA, PK-30
- 8) Asian Development Bank

  
Project Director,  
Balakot HPP.

ANNEX 02

DC NOTIFICATION OF COMMITTEE

OFFICE OF THE DEPUTY COMMISSIONER AND ACQUISITION COLLECTOR  
MANSEHRA

No. \_\_\_\_\_ /Acq:  
Dated 11 /12/2020

To

1. The Divisional Forest Officer,  
Kaghan Forest Division, Jabba.
2. The XEN, C&W Division, Manshra.
3. The XEN, Public Health Engineering Division, Manshra.
4. The District Director, Agriculture, Manshra.

Subject:- ASSESSMENT OF BUILT-UP PROPERTY, FORESTS & FRUIT/FRUITLESS TREES - CONSTRUCTION OF 300 MW BALAKOT HYDRO POWER PROJECT MOUZA PARAS, BELA SACHA, GHANOL & SANGARH TEHSIL BALAKOT DISTRICT MANSEHRA.

Reference: Corrigendum Notification under section-4 of the Land Acquisition Act, 1894 issued on 11-12-2020 (copy enclosed) on the subject noted above.

Please assess the value of built-up property, Forest & Fruit/Fruitless Trees in Mouza Paras, Bela Sacha, Ghannol & Sangarh area under subject acquisition measuring 1790 Kanal & 18 Marlas in presence of officers/ representative of acquiring Department, Revenue Field Staff & concerned Landowners send the same to this office within a week time positively so that further process could be initiated accordingly.

Encl: As above.

Deputy Commissioner  
Collector Manshra  
Deputy Commissioner  
Land Acquisition Collector  
Manshra.

No. \_\_\_\_\_ /Acq:  
Copy forwarded to the:-

1. Project Director Balakot HPP, PEDO Peshawar for information & with the request to authorize/direct an officer/representative of your department to coordinate with concerned departments for doing the needful within a week time.
2. Tehsildar Balakot for doing the needful.

Deputy Commissioner  
Collector Manshra  
Deputy Commissioner  
Land Acquisition Collector  
Manshra.



**OFFICE OF THE  
DEPUTY COMMISSIONER, MANSEHRA**

No.1845-48/Acq./DC (M)  
Dated: 17/09/2021

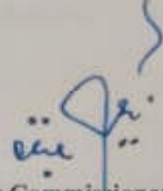
To

1. The Assistant Commissioner, Balakot.
2. The XEN, Communication & Works (Building), Mansehra.
3. The Assistant Director PEDO, Peshawar.
4. The DSP, Balakot.
5. Mr. Ali Akbar, LARP Expert.

Subject: **ASSESSMENT OF BUILD-UP PROPERTIES OF BALAKOT HYDRO POWER PROJECT:-**

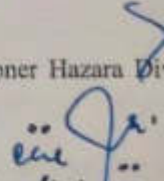
Please carry out assessment jointly of build-up properties of following Mouzas falling in Balakot Hydro Power Project from Tuesday i.e 21-09-2021 onwards and submit report accordingly duly signed by all concerned.

1. Paras.
2. Bela Sacha.
3. Ghanool.
4. Sangar.

  
Deputy Commissioner  
Mansehra

No. 1849/Acq./ DC(M)

Copy forwarded for information to the Commissioner Hazara Division,  
Abbottabad.

  
Deputy Commissioner  
Mansehra

**ANNEX 03: PHOTO GALLERY**

	
<b>Consultation with Deputy commissioner Mansehra &amp; Local Community</b>	<b>Consultation with Local Community</b>
	
<b>Consultation with DFO-Forest Kaghan Valley</b>	<b>Consultation with Additional Deputy commissioner Mansehra</b>