

# Social Monitoring Report

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Quarterly Internal Monitoring Report of Resettlement Plan and Ethnic  
Minority Development Plan  
January 2021 – March 2021  
July 2021

## Viet Nam: Northern Mountain Provinces Transport Connectivity Project

Prepared by the Project Management Unit 2 of the Ministry of Transport for the Asian Development Bank.

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# Social Monitoring Report

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Project No. 50098-002

Loan No. 3762

First Quarter of 2021 (January to March 2021)

April 2021

## **VIE: Northern Mountain Provinces Transport Connectivity Project (NMPTCP)**

*Prepared by Project Management Unit 2 (PMU2) under Ministry of Transport (MOT) for Asian Development Bank (ADB).*

## Introduction and Project Overview<sup>1</sup>

<b>Project Number and Title:</b>	Loan 3762 - Northern Mountain Provinces Transport Connectivity Project (NMPTCP)	
<b>Social Safeguards Category</b>	Indigenous Peoples	B
	Involuntary Resettlement	A
<b>Reporting period:</b>	January to March 2021	
<b>Last report date:</b>	30 December 2020	
<b>Key sub-project activities since last report:</b>	<ul style="list-style-type: none"> <li>- Detailed Measurement Survey (DMS) is being implemented for Package 8, 9.</li> <li>- Independent consultants were mobilized by District Compensation, Assistance and Resettlement Boards (DCARBs) for surveying and proposing the replacement unit prices for compensation (Package 8, 9).</li> <li>- Cadastral measurement work and DMS of other packages still not start due to delay of detailed engineering design (DED).</li> </ul>	

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<sup>1</sup> For projects categorized as A or B in Involuntary Resettlement and/or Indigenous People, the Borrowers/clients are required to submit semiannual/quarterly monitoring reports for ADB review and disclosure. This template can be used for periodic monitoring report (semiannual) and RP/REMDP/EMDP completion report to start the civil works in the impacted areas. The level of detail and comprehensiveness of a monitoring report is commensurate with the complexity and significance of social safeguards impacts (IR and IP) and with the recent status of project implementation phase. The project team can adjust the contents of the report as relevant with the project activity.

## Acronyms and Abbreviations

ADB	Asian Development Bank
AH	Affected Household
AP	Affected Person
CPC	Commune People's Committee
DARD	Department of Agriculture and Rural Development
DDD	Detailed Design & Documentation Consultant
DMS	Detailed Measurement Survey
DOC	Department of Construction
DOLISA	Department of Labor, Invalids, and Social Affairs
DONRE	Department of Natural Resources and Environment
DOF	Department of Finance
DOT	Department of Transport
DPC	District People's Committee
EA	Executing Agency
EMDP	Ethnic Minority Development Plan
(C)-EMP	Environment Management Plan / Contractor - Environment
FGD	Focus Group Discussion
FS	Feasibility Study
GAP	Gender Action Plan
GOA	Government of Australia
GOV	Government of Vietnam
GRM	Grievance Redress Mechanism
ICB	International Competitive Bidding
IEE	Initial Environmental Examination
ILRP	Income and Livelihood Restoration Program
IR	Involuntary resettlement
KIIs	Key Informant Interviews
MOT	Ministry of Transport
NCB	National Competitive on Bidding
NMPTCP	Northern Mountain Provinces Transport Connectivity Project
NH	National Highway
NTP	Notice To Proceed
PMC	Project Managing Contractor
PMU	Project Management Unit
PPC	Province People's Committee
PR	Provincial Road
PID	Project Implementation Division
RP	Resettlement Plan
SAP	Social Action Plan
SPS	Safeguard Policy Statement
TCVN	Vietnamese National Standards
TCN	National Technical Regulation
TOR	Terms of Reference
TOT	Training of Trainee

## 1. General Information

1. The Northern Mountain Provinces Transport Connectivity Project (NMPTCP) will be implemented by the Government of Vietnam (GOV) through a Loan from the Asian Development Bank (ADB) under the Ministry of Transport (MoT) with the aim to enhance the access to the Greater Mekong Sub-region (GMS) corridors in the north-western region through upgrading national and provincial highways to: (i) provide reliable access to basic social services such as education, health care, job training, and emergency disaster relief; (ii) increase economic and employment opportunities for the people of the north-western provinces, especially the poor ethnic minorities; (iii) provide further opportunities of cross-border trade with the Lao People's Democratic Republic and the People's Republic of China; (iv) save travel time and costs, hence reducing fuel consumption and carbon dioxide emissions; and (v) ensure consistency of standards over the entire road stretches, including road safety and climate resilience features.

2. The Project involves the improvement and upgrading of two national highways and two provincial roads with approximately 199 kilometers (km) in length that will connect several towns/districts in the provinces of Lai Chau, Lao Cai and Yen Bai to the Noi Bai - Lao Cai Expressway.

3. The Project roads including 02 following routes as illustrated in Figure 1: location Map below:

The route 1: From Bao Ha to Lai Chau city, the road section of 147 km.

The route 2: From Mau A to Nghia Lo town, the road section of 52 km.

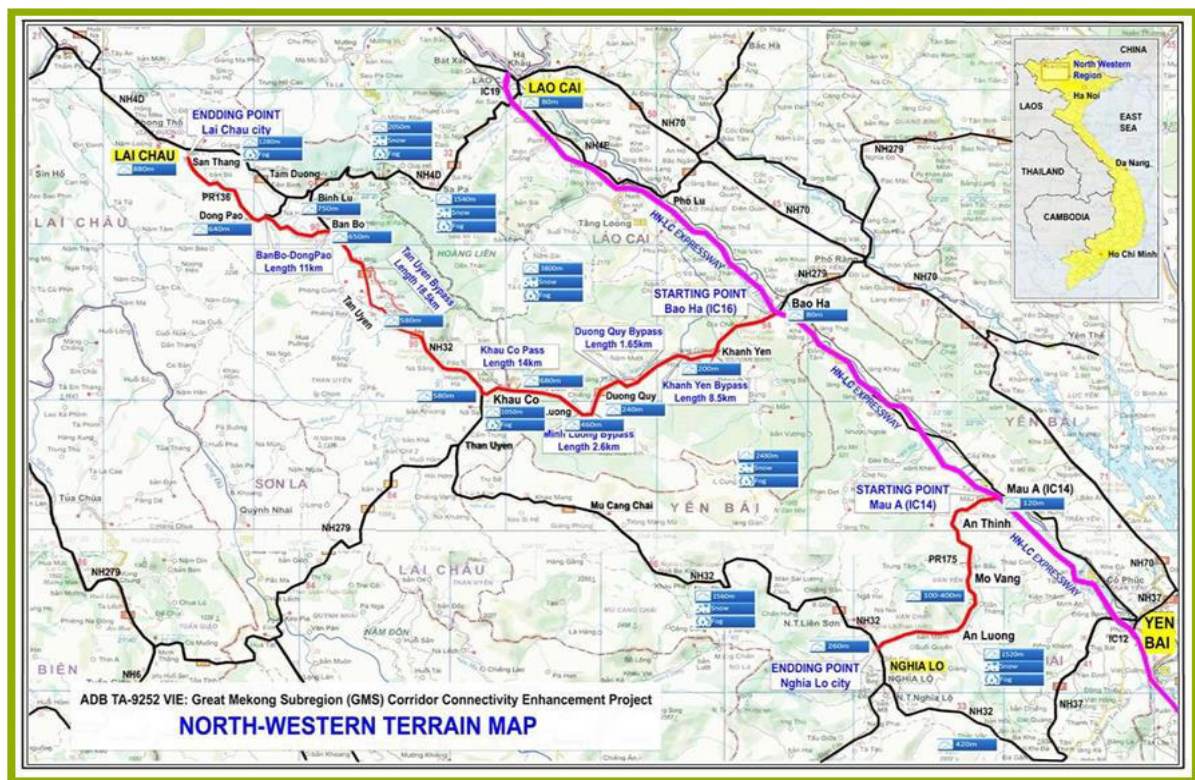


Figure 1: The project Roads Map (two red lines)

4. Scale of the project:

- Road connecting Lai Chau with Noi Bai - Lao Cai expressway: The route is designed with the scale of mountainous grade III road in accordance with TCVN 4054: 2015, design speed V<sub>tk</sub> = 60km / h; sections passing Khau Co pass and Khe Lech pass are designed with the scale of mountainous grade IV road in accordance with TCVN 4054: 2015, design speed V<sub>tk</sub> = 40km / h; section passing Hoang Lien - Van Ban nature reserve (Km52 + 000 - Km63 + 444.68) is designed with the scale of mountainous grade V road (in accordance with Decision No. 2276 / QD-BTNMT by the Ministry of Natural Resources and Environment dated July 18, 2018). Length of route L = 146.6 km;
- Road connecting Nghia Lo with Noi Bai - Lao Cai expressway: The route is designed with the scale of mountainous grade IV road in accordance with TCVN 4054: 2015, design speed V<sub>tk</sub> = 40km / h. Length of route L = 52.73 km.

5. The Existing Roads and Upgrading Road of the Project as follows:

No.	Road Section – By Pass	Length (km)	Bridges	Current Road Class	Upgraded Road Class
The route 1: Bao Ha to Lai Chau city					
1.	NH 279	69	8 existing & 5 new	IV	III (IV, V in steep section)
1b	Duong Quy (Bypass)	2.03			III
1c	Minh Luong (bypass)	1.69			III
2.	NH 32	44	18 existing & 5 new (2 viaducts)	IV	III (IV in steep section)
3.	PR 136	19	5 existing & 1 new	V	III
3a	Ban Po-Dong Pao Bypass	10.8			III
The route 2: Mau A to Nghia Lo town					
4.	PR 175	52	2 existing & 3 new	-	IV

## 2. Construction packages and progress

6. The project is divided into 11 work packages as follows:

**Table 01: Construction packages information and progress**

No.	Package name	From Km to Km	District/Province	Status of the design	Status of award of civil works contracts	Land acquisition progress	Civil works progress
1	Package XL-01	Km0+00 to Km18+500 (including replanting the forest) belong to the road connecting to Lai Chau	Van Ban District, Lao Cao Province	Ongoing	QIV/2021	Expected to start in QII/2021	Expected to start at the end of QIV/2021
2	Package XL-02	Km18+500 to Km40+000 belong to the road connecting to Lai Chau	Van Ban District, Lao Cao Province	Ongoing	QIV/2021	Expected to start in QII/2021	Expected to start at the end of QIII/2021
3	Package XL-03	Km40+000 to Km63+446 belong to the road connecting to Lai Chau	Van Ban District, Lao Cao Province	Ongoing	QIV/2021	Expected to start in QII/2021	Expected to start at the end of QIII/2021
4	Package XL-04	Km64+272 to Km84+554 belong to the road connecting to Lai Chau	Than Uyen & Tan Uyen Districts, Lai Chau Province	Ongoing	QIV/2021	Expected to start in May, 2021	Expected to start at the end of QIII/2021
5	Package XL-05	Km84+554 to Km107+757 belong to the road connecting to Lai Chau	Tan Uyen District, Lai Chau Province	Ongoing	QIV/2021	Expected to start in May, 2021	Expected to start at the end of QIII/2021
6	Package XL-06	Km107+757 to Km120+600 belong to	Tam Duong & Tan Uyen Districts,	Ongoing	QIV/2021	Expected to start in	Expected to start at

No.	Package name	From Km to Km	District/Province	Status of the design	Status of award of civil works contracts	Land acquisition progress	Civil works progress
		the road connecting to Lai Chau	Lai Chau Province			May, 2021	the end of QIII/2021
7	Package XL-07	Km120+600 to Km128+040 belong to the road connecting to Lai Chau	Tam Duong District, Lai Chau Province	Ongoing	QIV/2021	Expected to start in May 2021	Expected to start at the end of QIV/2021
8	Package XL-08	Km128+040 to Km146+540 (including replanting the forest) belong to the road connecting to Lai Chau	Tam Duong District and Lai Chau City, Lai Chau Province	Completed and submitted to MOT for approval	QIII/2021	Started in November 06, 2020	Expected to start at the end of QIII/2021
9	Package XL-09	Km00+000 to Km20+667 (including replanting the forest) belong to the road connecting to Nghia Lo	Van Yen District, Yen Bai Province	Completed and submitted to MOT for approval	QIII/2021	Started in October 21, 2020	Expected to start at the end of QIII/2021
10	Package XL-10	Km20+667 to Km38+252 belong to the road connecting to Nghia Lo	Van Yen and Van Chan Districts, Yen Bai Province	Ongoing	QIV/2021	Expected to start in May 2021	Expected to start at the end of QIII/2021
11	Package XL-11	Km38+252 to Km54+069 belong to the road connecting to Nghia Lo	Van Chan District, Yen Bai Province	Ongoing	QIV/2021	Expected to start in QII/2021	Expected to start at the end of QIV/2021

### 3. Overall progress of RP implementation

#### 3.1. Implementation organization personnel

7. PMU2 and Implementation organizations were established as required in the Resettlement Policy Framework (RPF), Resettlement Plans (RPs) and Government Policies. Details were reported in the Internal Monitoring reports for Quarter 3 and Quarter 4, 2020.

#### 3.2. Trainings on implementation of RP and EMDP

8. PMU2 in coordination with SMEC implemented trainings for the provinces as reported in Q3-2020 report.

#### 3.3. Update RP

9. RP updating progress was delayed due to the delay in the completion of DED, DMS and compensation plans. For Construction Package 8 and 9, the respective RP is expected to be updated by May-June 2021. For others Construction Packages (Except Construction Packages 8 and 9, the respective RPs are expected to be updated by September - December 2021).

#### 3.4. Land measurement, DMS, replacement cost, compensation and support plans

10. Construction package No.8, No.9: Cadastral measurement has been implemented (measuring and determination of land origin are carried out before the cadastral map is submitted to the cadastral map verification and appraisal unit). At present, the Land Fund Development Centers of Tam Duong district, Lai Chau city, Lai Chau province are going to

carry out DMS to serve the establishment of compensation, support and resettlement plan for households affected by package 8,9. *Expected next steps are as follows:*

- Completion of DMS: April 2021.
- PPC issues the replacement unit prices: 30 April 2021.
- Completion of compensation plan, Complete RP updating: 30 May 2021.
- Submit compensation plan to PPC for approval, submit Updated RP to ADB for clearance: June 2021.
- Payment and hand over the site to Contractor: July 2021.

11. The remaining packages have not completed technical designs, have not set up site clearance piles and handed over to localities, so DMS has not been conducted. PMU2 will update the progress in the next quarter report.

12. The DMS process is being implemented with the following specific steps:

- AHs have been informed about the project and DMS plan of the project, the specific date of DMS in each household will be notified in advance by the village leader;
- During the DMS progress, if AHs think that the DMS was not correct (for example data of acquired land area, assets, or trees was not correct), AHs can inform to village leader or LFDC's staff for reviewing and correcting.
- AHs also join the DMS process at their house, check the DMS records and sign to confirm the DMS minutes.
- AHs will keep one (01) copy of DMS minute for their reference.
- DMS will be used for preparing compensation plan. Compensation plan will be posted at the Commune People's Committee office and village cultural house; sent to households for checking before submitting to DPC for approval.

**Table 02. DMS Status of the Subprojects as of 31 March 2021**

No.	Subproject/Package	DMS commencement date	DMS completion date	Remarks
<b>I</b>	<b>Package XL-08</b>			
<b>1</b>	<b>Tam Duong District , Lai Chau, Province</b>			
1.1	Ban Giang Commune	Not yet	Not yet	
1.2	Ban Hon Commune	Not yet	Not yet	
<b>2</b>	<b>Lai Chau City, Lai Chau Province</b>			
2.1	Dong Phong Ward	02/3/2021	30/3/2021	
3	San Thang Commune	02/3/2021	30/3/2021	
<b>II</b>	<b>Package XL-09</b>			



No.	Subproject/Package	DMS commencement date	DMS completion date	Remarks
1	Van Yen District, Yen Bai Province			
1.1	Dai Son Commune	29/3/2021	Not yet	
1.2	An Thinh Commune	Not yet	Not yet	

### 3.5. Budget allocation for resettlement

13. MOT issued Decision No. 814/QĐ-BGTVT dated 29/4/2020 regarding to detailed allocation of local budget in 2020 for projects using mid-term contingency for period from 2016 to 2020 and Correspondence No. 6132/BGTVT-KHĐT dated 24/6/2020 and No. 12358/BGTVT-KHĐT dated 03/12/2020 of MOT regarding to detailed disbursement plan of the government budget in 2020 for the NMPTCP (*Site clearance fund of Lai Chau province: 0.20 billion VND, Lao Cai: 0.10 billion VND, Yen Bai: 2.90 billion VND*). The budget is ready, but there is no disbursement for the affected households during the reporting period.

**Budget allocation in 2021:** The Prime Minister has issued Decision No. 2185 / QĐ-TTg dated December 21, 2020 on the assignment of the State's budget plan in 2021; The Ministry of Transport has issued Decision No. 2542 / QĐ-BGTVT dated December 31, 2020 on the assignment of investment plan of state budget in 2021 for projects (in which the Northern Mountain Provinces Transport Connectivity Project has been allocated VND 339,250 billion of counterpart fund and VND 356,430 billion of foreign capital). The Ministry of Transport has distributed in detail of the land acquisition capital for each province (Lai Chau: VND 67,890 billion, Lao Cai: VND 99,140 billion, Yen Bai: VND 120,824 billion) in accordance with the official letter No. 1598 / BGTVT-KHĐT dated February 26, 2021.

14. The funding is available and so far, there has been no disbursement activity for affected households. It has been disbursing consultancy costs. It has been being updated the data of funds required for site clearance provided by the locality to arrange enough capital for site clearance and compensation in 2021.

## 4. Ethnic Minority Development Plan

15. Similar to updating and implementing RP, the EMDP work has not been carried out yet. Updating and implementing EMDP will be conducted in parallel with the RP works. PMU2 will update the progress in the next quarter reports.

## 5. Income restoration

16. To date, SMEC is carrying out surveys, consultations for updating RP and EMDP, activities for the income restoration have not been implemented yet. For Package 8 and 9, EMDP activities could be started after DMS. PMU2 is accelerating the progress of updating RP and EMDP, especially for the priority Packages.

## 6. Grievance Redress Mechanism

17. The grievance redress mechanism process of project will be applied the grievance redress mechanism in accordance with the Project Resettlement Policy Framework approved by the Prime Minister as recommended by SMEC and confirmed by ADB with noted following:

- During implementation, it is necessary to determine that the GRM and the grievances of affected households (AHs) are received and resolved in a timely manner. Information about the GRM must be included in the quarterly social monitoring reports;
- Ensure that APs do not have to pay costs of accommodation and settling complaints, this will be confirmed in social monitoring reports and verified by external monitoring unit of social safeguard.

18. PMU2 has disseminated grievance redress mechanism process of project to relevant officers of provinces, districts during training. . The grievance redress mechanism process of project was included in PIB, and already distributed to affected households by SMEC PMU2 is proactive in coordination and monitoring for redressing the grievances while implementation of RP and EMDP.

## **7. External monitoring of RP & EMDP**

19. External Monitoring Agencies (EMA) was mobilized to complete the Inception report on November 2020 and share with ADB. EMA will also conduct the first site visit in April 2021. First report will be completed and submitted to PMU 2 in June 2021. PMU.2 will submit to ADB for review in July 2021.

20. In April 2021, EMA also started SES for collected baseline data of affected People at Package number 8 and 9. Due to the delay of other packages, SES will take longer time and is expected to complete at the end of 2021 , be submitted to PMU.2 and ADB at beginning of January 2022