

# Progress Report

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Project Number: 53043-001  
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October 2021

## Republic of Kiribati: Outer Islands Transport Infrastructure Investment Project

**Quarterly Progress Report No. 3**  
**QPR for the reporting period 1 July 2021 to 30 September 2021**

Prepared by Outer Islands Implementation Unit  
Tarawa, Kiribati

For Ministry of Finance and Economic Development  
Ministry of Information, Communication, Transport and Tourism Development  
Ministry of Infrastructure and Sustainable Energy

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# KIRIBATI OUTER ISLAND TRANSPORT INFRASTRUCTURE INVESTMENT PROJECT

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QUARTER 3 REPORT

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First submitted October 31<sup>st</sup>

Approved December 7<sup>th</sup>

## 1. Executive Summary

The KOITIIP is currently in its first year of implementation. Activities in the first-year focus on the procurement of consulting firms and individual consultants. To date, the UKHO firm is actively engaged to provide technical support to the Marine Division to implement Component 1 of the KOITIIP. The recruitment of the firm that will conduct the hydrographic survey is still ongoing. The EOIs have been evaluated and WB is reviewing the evaluation report. Once WB approves the report, short-listed firms will be invited to submit their technical and financial proposal. It is anticipated that the winning contractor should start the survey once the border opens in early 2022.

The OIIU team has a complete team of specialists who will support the implementation of the KOITIIP. The remaining consultants to be recruited under the OIIU are the Engineer and the Social and Environment safeguard officers. The social safeguard officers recruited under the KFSU will support the OIIU. It is expected that the OIIU safeguard officers will be recruited in 2022 depending on the need of the safeguard services from other Kiribati-WB projects.

From September 7<sup>th</sup> to December 23<sup>rd</sup>, the OIIU will conduct a mission to the Outer Islands starting from Abaiang, Tab-South, Nonotu and lastly Beru. The purpose of the mission is to consult the island communities on the progress, requirements and activities of the KOITIIP on each of the islands. The mission will allow safeguard officers as well as the Community Liaison Officer and Gender and Gender Based Violence Specialist to introduce the GRM and GBV GRM to the island communities. These are the requirements of the ADB and WB stated in the Grant and Financing agreements. The mission will also allow the island communities to understand their responsibilities and most importantly their rights to voice their ideas in the design and implementation of the projects. The KOITIIP is for the outer island communities therefore their views and ideas are of paramount importance to how the project is implemented.

Lastly, a weekly virtual meeting between the WB, ADB and OIIU is still ongoing to address arising project issues and more importantly to guide the Project Manager on management of the Project. This has been very fruitful to the project team and the Project Manager.

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### 3. Introduction

#### 3.1 **Background & Purpose**

*Project background and context.* Kiribati is made up of 32 atolls and 1 raised limestone islands scattered over an ocean area of more than 3.5 million km<sup>2</sup> across the central Pacific Ocean. The country is divided into 3 widely separated island groups: the Gilbert group in the west; the Phoenix group in the center and the Line islands in the east.

Like many developing nations, Kiribati faces a number of development challenges. The lack of services and economic opportunities in the outer islands has encouraged migration to South Tarawa creating overpopulation issues in the capital island communities.

A study report titled “Hardships in Kiribati” funded by ADB concluded that the high cost, limited availability, and unreliability of transport between Tarawa and the outer islands is contributing largely to people leaving their outer island homes to South Tarawa. With poor connectivity, access to good health services and education on Tarawa is limited; shortage of cargoes such as rice, flour, sugar, coffee and soap is frequent; and job opportunities is very limited in the rural communities.

The Kiribati 20 Year Vision Development Plan (KV 20) which aims to transform Kiribati into a wealthier, healthier and peaceful country identifies infrastructure as one of the investment sectors to focus the country’s effort on. As a guided by KV 20 and coupled with high capital cost for implementing infrastructure projects, the Government of Kiribati approached the World Bank and Asian Development Bank to co-finance the infrastructure investments in the 4 priority islands: Abaiang, Nonouti, Tabiteuea South and Beru. The agreement of the ADB and WB to the Government of Kiribati’s request resulted in the formulation of the Kiribati Outer Island Transport Infrastructure Investment Project (KOITIIP).

The development objective of KOITIIP is to improve the safe and resilient transport connectivity of the four selected outer islands, and in the event of an eligible crisis or emergency, provide an immediate response to the eligible emergency. The KOITIIP is finance through a US\$30 million IDA grant and a US\$12 million ADB grant.

*Implementation arrangements.* The Ministry of Finance and Economic Development (MFED) is the executing agency. The Marine Division under the Ministry of Information,

Communication, Transport and Tourism Development (MICTTD) is the implementing agency for the Hydrographic Survey and upgrading of Aids to Navigation (AtoNs) whilst the Civil Engineering Unit (CEU) within the Ministry of Infrastructure and Sustainable Energy is the implementing Agency for the land infrastructures including jetties and ramps. The Outer Island Implementation Unit (OIIU) and Kiribati Fiduciary Service Unit (KFSU) have been established in the MFED to support MICTTD and MISE in the management of the KOITIIP. The operational cost of the OIIU is supported by ADB and WB on a 30% to 70% share respectively, whilst the KFSU operation is supported entirely by the WB. In addition to KOITIIP, the KFSU also provides financial management and procurement support to other ongoing Kiribati-WB projects such as COVID 19, PROP and STWSP to name a few. The KFSU is expanding its support services to include safeguards and monitoring and evaluation (M&E), but such a move needs to be carefully planned as KFSU currently struggles to meet deadlines with its existing services to active projects.

*Purpose of the report.* The report is prepared to fulfill the requirements of the Grant Agreement between the Government of Kiribati and the ADB. The content of the report is specified in Section IX of the Project Administrative Manual (PAM) paragraph 57. The report should provide updates on the following:

- I. Procurement activities - Ongoing/engaged contracts and progress to the end of quarter 3
- II. Environmental and Social safeguards
- III. Monitoring and Evaluation
- IV. Gender and Gender Based Violence
- V. Financial management -disbursement and use of project funds – This component will be provided by KFSU. Due to limited number of staff at KFSU, the IFR for Quarter 3 has not been provided by KFSU.
- VI. Issues and ways forward

### **3.2 Project Description**

The project aims to improve the safety and resilience of transport connectivity on the selected outer islands in Gilbert Islands Chain of Kiribati, namely Abaiang, Nonouti, Beru, and Tabiteuea South (as shown on Figure 1.1). It will provide (i) nautical charts based on

hydrographic surveys, and aids to navigation for safe inter-island navigation; and (ii) outer island access infrastructure by providing jetties, concrete boat ramps, shelters, and multipurpose maritime facilities. The project will also support institutional strengthening through technical assistance and training. The project includes the following outputs:

- **Output 1: Safety of inter-island navigation improved.** This output consists of hydrographic surveys and nautical charts being produced for the four target islands to significantly improve the safety of navigation. This is also a precursor to inform the design of the maritime works to be financed under the proposed project and enable investments in the development of other maritime infrastructure in the future. The hydrographic surveys will also lead to more climate resilient spatial planning by establishing a detail baseline to monitor the impacts of climate change on marine resources, reefs, and coastline.
- **Output 2: Resilience of outer island access infrastructure improved.** This output consists of (i) ship-to-shore navigation safety improved by replacing existing defective aids to navigation (AtoNs), fabricating and installing new AtoNs and establishing a system of maintenance; (ii) island access infrastructure rehabilitated to address the needs of women, minors, and seniors by constructing jetties, concrete boat ramps and shelters; and (iii) intra-island connectivity improved by providing technical support in building multipurpose maritime facilities and rehabilitating island crossing causeways.
- **Output 3: Enabling environment strengthened.** This output consists of (i) MICTTD and MISE's institutional and regulatory functions strengthened by providing technical assistance and training; and (ii) priority maritime projects identified and prepared for investment in the future.

Including contingencies, the estimated cost of the project is US\$42 million. ADB will finance on a grant basis the expenditures on the contracts for hydrographic surveying, AtoNs, maritime infrastructure, and capacity building programs for MICTTD and MISE. The WB will provide grant co-financing equivalent to \$30

million and will jointly finance all ADB-financed contracts as well as contracts for rehabilitation of causeways, and KFSU operational support component.

#### **4. Procurement Activities**

The following tables illustrates the progress of the Procurement Activities under each component of the Project:

##### **4.1 Component 1:**

Package No	Activity	Contract Signing date	Status in Q2	Status in Q3	Progress
CS-F-1	Hydrographic Survey (UKHO)	2 <sup>nd</sup> December 2020	100%	100%	UKHO has been very active in supporting the Marine Division to deliver Component 1 of the Project. The bidding documents required from UKHO have been submitted and accepted by the MICTTD. The 10% claim by UKHO has been submitted to the Government of Kiribati on 3 <sup>rd</sup> September 2021. As agreed in the Contract, the invoice must be paid within 60 days by the GOK to avoid overdue payment charges. The due date is 15 <sup>th</sup> November 2021. The payment has been initiated by the KFSU.
CS-F-2	Hydrographic Survey Services	The contractor is not yet engaged	70%	75%	The Evaluation and Short-listing report was submitted on 10 <sup>th</sup> October 2021 onto STEP for WB review. The review by WB is still ongoing. Again, this is another

					high value procurement activity which requires two layers of review. Comments were provided on November 10 <sup>th</sup> and the evaluation report is being revised to incorporate comments
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#### **4.2 Component 2**

<b>Package No</b>	<b>Activity</b>	<b>Contract signing date</b>	<b>Status in Q2</b>	<b>Status in Q3</b>	<b>Progress</b>
CS-F-3	Engineering Consulting Firm (mentoring, audit, QA, design, supervision)	The firms is not yet engaged	5%	10%	The first draft of the TOR for this activity was submitted in STEP on 13 <sup>th</sup> September 2021. The review by WB is still ongoing. Again, this is another high value procurement activity which requires two layers of review. Informal feedback was discussed with the task team. The firm must be engaged ASAP so MISE can commence investigative engineering survey before developing designs for KOITIIP infrastructures.

#### **4.3 OIU Consulting Services**

(70% supported by WB and 30% by ADB)

<b>Package No</b>	<b>Activity</b>	<b>Contract signing date</b>	<b>Status in Q2</b>	<b>Status in Q3</b>	<b>Progress</b>

CS-I-1	Chief Technical Advisor	Not yet engaged	90%	95%	The draft negotiated contract for the Chief Technical Adviser was submitted for the WB review on November 7 <sup>th</sup> and WB provided feedback on November 25 <sup>th</sup> requesting additional information. As advised by WB TTL, this is a high value procurement activity hence the review takes time as there are two layers: technical review followed by the management review. It is anticipated that the CTA should join OIIU before end of 2021.
CS-I-2	Project Manager	27 <sup>th</sup> Feb 2021	100%	100%	Contract is active/ongoing
CS-I-3	Senior Engineer	Not yet engaged	0%	0%	As agreed in the weekly meetings, the position will be recruited once MISE Implementing Unit needs a full-time engineer to manage project activities implemented by MISE.
CS-I-4	Social safeguards	Not yet engaged	0%	0%	The Project will be using the Social Safeguards to be recruited under the KFSU. This position must be recruited once the KFSU Manager indicates that there are additional projects to be serviced by the KFSU Social safeguard officer and that the

					officer will not focus solely on KOITIIP.
CS-I-5	Gender/Gender Based Violence Specialist	18 <sup>th</sup> October 2021	0%	100%	The specialist is a former GGBV officer at the MWYSSA supported by the UNDP funds. She has been with MWYSSA for more than 5 years with a promised by the Ministry that her permanent position will be created. The promised did not happen until she joined OIIU.
CS-I-6	Environmental Specialist	Not yet engaged	0%	0%	The Project is using the Environment Safeguard currently recruited under the KFSU. This position must be recruited once the KFSU Manager indicates that there are additional projects to be serviced by the KFSU Environment safeguard officer and that the officer will not focus solely on KOITIIP.
CS-I-7	Community Liaison Officer	27 <sup>th</sup> September 2021	0%	100%	The officer is a former Senior Counselling Officer at MWYSSA. She joined OIIU because she wants to gain experience working on a ADB and WB projects.
CS-I-8	Monitoring and Evaluation Officer	20 <sup>th</sup> September 2021	0%	100%	The officer is a former monitoring and evaluation specialist at the Ministry of Education Australian funded

					project called the Kiribati Education Improvement Program. She joined the KOITIIP because of better salaries and PMU office convenience. Her home is 5 minutes' walk from KOITIIP office.
<b>Additional Consulting Services</b>					
	Hydrographic Officer	16th August 2021	0%	100%	This position is a variation to the initial procurement plan. The position was created because currently there is no existing hydrographic position in the Marine Division. The engaged Hydrographic Specialist, Tion Uriam, was engaged on a temporary appointment which ended on 13 <sup>th</sup> August 2021. It was agreed between the MICTTD and the KOITIIP that Tion Uriam will be supported by KOITIIP for one year while the MICTTD will facilitate the creation of the permanent Hydrographic government position for the year 2022. The last update from the Marine Division is that the Hydrographic position is included in the 2022 establishment register.

	Administrative Officer	Not yet engaged	0%	50%	This is another variation. The position aims to support the PM who is currently overloaded with the project tasks. The position was proposed by the PM and approved by the ADB and WB. The application for the position was closed on 15 <sup>th</sup> October 2021. The evaluation is currently progressed. The officer should join the OIIU team by the 19 <sup>th</sup> November 2021.
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#### **4.4 KFSU Consulting Services**

All consulting services under the KFSU are 100% supported by the WB.

Reference No	Activity	Contract signing date	Status in Q2	Status in Q3	Progress
	KFSU Manager		100%	100%	Atanteora Beiatu has been engaged by the KOITIIP since the preparation phase
	KFSU FMO		100%	100%	Tekiera Ruaia has long been engaged by the KOITIIP.
	Procurement Officer		100%	100%	Temaia Ereata – currently engaged by the Project
KI-KFSU-19421-CS-INDV 2	Finance Management Officer 2	11 <sup>th</sup> October 2021	0%	100%	Currently engaged by the KFSU
KI-KFSU-19420-CS-INDV 1	Finance Management Officer 1	4 <sup>th</sup> October 2021	0%	100%	Currently engaged by KFSU

KOITIIP-INDV 6 KFSU	Procurement Officer	Not yet engaged	0	80%	The Contract between the GOK and the selected consultant is currently negotiated.
	Social Safeguard Officer	9 <sup>TH</sup> April 2021	100%	50%	Komeri's contract is under reviewed due to performance reviews. The new Social safeguard officer will commence work on 15 <sup>th</sup> November 2021.
	Environment Safeguard Officer	25 <sup>th</sup> October 2021	0%	100%	Currently engaged
International positions					
KI-KFSU-194815-CS-INDV	Safeguards Specialist	To be confirmed	95%	98%	The Consultant and the GOK have finally agreed on the negotiated contract. The Contract has been sent to the consultant for his final review and signature. It is expected that the Consultant will start working with KFSU in the first week of November 2021
KI-KFSU-258262-CS-INDV	Procurement Specialist	Not yet engaged	0%	5%	The revised Procurement Plan that includes the Procurement Specialist was approved in STEP by WB.

## 5. Safeguard

The environmental and social management framework (ESMF) prepared for the project describes procedural responses to identifying and managing impacts of the project throughout the course of project implementation. The ESMF will be used by the Project safeguard personnel to provide guidance on the processes and procedures to be followed to ensure that the ADB, WB and the country's safeguard requirements are complied with.

In addition, the recruitment of the International Safeguard Specialist aims to provide support and capacity building to the locally engaged safeguard officers. The international specialist is expected to sign his contract in the first week of November 2021. Once engaged, the specialist will provide remote support until the border opens, which is expected to happen in early next year, in which then the specialist will station at the KFSU office.

There are safeguard positions at the KFSU and OIIU. The KFSU safeguard will provide support to the ongoing Kiribati World Bank projects whilst the OIIU will focus solely on KOITIIP. It was agreed by the KFSU Manager and OIIU Project Manager that the KFSU Safeguards will support the KOITIIP in the year 2022. This is the reason the recruitment for the OIIU positions is being delayed.

### **5.1 Environment Safeguard**

Miss Bweneata Kaoti commenced her appointment as the KFSU Environmental Safeguard on Monday 25<sup>th</sup> October 2021. She is currently focusing on KOITIIP safeguard requirements.

### **5.2 Social Safeguard**

Dr. Komeri occupied the positions since 9<sup>th</sup> April 2021. Due to his ongoing commitments with other non-World Bank projects, KFSU Manager in consultation with the WB recommended to reduce his engagement with KFSU which will result in the reduction of hours of commitment as well as salary. Dr. Komeri does not agree with the proposed new arrangement and started not turning up to work since 18<sup>th</sup> October 2021. His absence from work has caused delays to the KOITIIP outer island mission. The appointment of Komeri is currently reviewed and finalized by the KFSU Manager.

The new Social Safeguard Officer, Puta Tofinga, will commence work with KOITIIP on November 15<sup>th</sup>. The officer is currently clearing himself with his current employer, ECD MELAD.

## 6. Monitoring & Evaluation

### 6.1 UKHO

In addition to the ongoing Individual Consulting Services contracts, the Contract with UKHO also needs to be monitored closely. The Marine Division is currently preparing the Request for Proposal (RFP) documents with the assistance of UKHO. The UKHO is continually attending monthly progress meetings and other meetings organized to progress Component 1 of the Project. UKHO continues to submit quarterly reports as agreed in the contract. UKHO had provided hydrographic survey documents that form part of the bidding documents. Such documents have been formally accepted by the Marine Division. Lastly, UKHO had worked closely with the Marine Division, OIIU and KFSU to evaluate the EOIs for the hydrographic survey and mapping exercise. The EOI Evaluation and short-listing report was submitted to the WB and ADB on October 10, 2021. Comments were provided on Nov 10, and the evaluation report is being revised to incorporate comments.

Once the WB approves the evaluation and short-listing report, the draft RFP will be submitted. This could at least take another 20 days for review. Once approved, the RFP will be shared to the short-listed contractors, and it would at least take 6 weeks for the contractors to prepare their technical and financial proposal. This implies that the contract award for the Hydrographic Survey and Mapping Exercise would be most likely to happen in early 2022. Referring to the project implementation plan in the PAM, the contract award for the surveying work should have happened in Q4 of 2020. This indicates that the project is currently delaying for almost 1 year. The schedule in the PAM needs to be revised to reflect what is happening on the ground.

### 6.2 Safeguard instruments

The Grant Agreement between ADB and the Republic of Kiribati as well as the Financing Agreement between the WB and the Government of Kiribati highlighted the need to provide safeguard instruments before commencing any project activities, especially the civil works. This is the first and major assignment of the newly recruited KFSU safeguard officers who will be supported by the international safeguard specialist. It is important to note that failure to provide the instruments would lead to further project delays.

It is expected that the safeguard officers would collect data required to develop the ESIA and EIA during the first project mission to the outer island which will commence from November 7<sup>th</sup>, 2021.

## 7. Communications, consultations & GRM

The Stakeholder Engagement Plan (SEP) that has been prepared for the Project is currently reviewed by the KOITIIP team. The SEP defines a technically and culturally appropriate approach to consultation and disclosure; to improve and facilitate decision making and create an atmosphere of understanding that actively involves project-affected people and other stakeholders in a timely manner so that these groups are provided sufficient opportunity to voice their opinions and concerns that may influence Project decisions. The SEP will guide consultation between the implementing agencies; MICTTD and MISE and their stakeholders.

The schedule for the outer island consultation is illustrated in the table below and it is prepared according to the flight schedule and available seats of Air Kiribati:

<b>Island</b>	<b>Mission date</b>	<b>Returning date</b>
Abaiang	7 <sup>th</sup> November	14 <sup>th</sup> November
Tab-South	19 <sup>th</sup> November	26 <sup>th</sup> November
Nonouti	2 <sup>nd</sup> December	11 <sup>th</sup> December
Beru	13 <sup>th</sup> December	23 <sup>rd</sup> December

The primary objective of the mission is to Inform the island council members and island communities (village communities identified in the SEP) the updates on the KOITIIP. The updates include the following:

- a. Implementation timelines
- b. Investments under KOITIIP on each of the four islands
- c. Agreement with island councils and communities on the GRM and communities' representatives who will involve the implementation of the agreed GRM. The GRM prepared in the ESMF will be discussed and agreed with the island communities.

- d. Discussion on the social and environmental impacts of the project and agreement on practical mitigation measures
- e. Discussion on the support required from the island council and communities.
- f. Discussion on the ADB and WB requirements stated in the ESMF.
- g. Review to the SEP and follow up on pending issues from the last mission to the island communities.
- h. The GRM for the GGBV will be also discussed with the island communities.

The mission will also collect baseline data for the project.

## **8. Gender Action Plan & Gender Based Violence Framework**

The project is categorized as Effective Gender Mainstreaming (EGM) and includes an ADB Gender Action Plan (GAP) with 11 GAP activities and 14 qualitative gender targets. Five of the 14 qualitative gender-related targets are integrated in the project's Design and Monitoring Framework.

The Gender and Gender Based Violence Specialist has been recruited for the overall implementation, monitoring and reporting of the GAP and the GBV framework and she is taking the lead in the implementation of the GBV GRM. She started by understanding the ESMF and other project documents related to the GGBV. Her key responsibilities include:

- Ensure that all KOITIIP activities have equal access and opportunities to all gender identities in Kiribati
- Implement and monitor Gender and GBV action plan and framework and GAP ensure all stakeholders and contractors understand their roles and deliver their targets
- Establish, maintain and report on the GBV GRM and
- Provide training and assistance on all GGBV activities.

Updates on the GAP implementation, using the standard GAP reporting template, will be integrated in OIIU's quarterly progress reports submitted to ADB.<sup>1</sup> Please refer to Annex 3 for the reporting template (GAP Monitoring Report).

## 9. Financial Management

This information will be provided once KFSU provided the IFR. KFSU had recruited 2 Finance Management officers who will assist to ensure that the IFR is submitted to ADB and WB in a timely manner.

## 10. Issues and Recommendations

The following are issues that need to be considered and monitored carefully:

Issues	Impact on Project	Recommendation
Internet	Communication issues with WB, ADB and international contractor	OIIU staff are currently using their mobile internet which sometimes does not have a good internet. The OIIU has received approval from WB to install an VSAT internet with a monthly charge of AUD\$856.00.
Marine Division, OIIU and KFSU are not familiar with WB Procurement Procedures	Delay the implementation of the project activity	Recruit a Procurement Specialist to do a pre-review the procurement documents to be submitted to WB so the approval is granted after the WB initial review.
Delayed response from WB to submission on STEP	Delay the implementation of the project activity	Follow up on weekly meetings and emails to TTL
Financial Management	Can cause delays if the finance report	KFSU is recruiting more staff to ease the load on its existing staff.

<sup>1</sup> ADB. [Project Administration Manual](#), Performance Monitoring, Evaluation, Reporting, and Communication, paragraph 55.

Quarterly report from KFSU	is not submitted in a timely manner	
Issues in Quarter 2 that have been attended		
PM needs assistance	Delay and oversight to project activities	ADB and WB have approved the recruitment of the Administrative Office who will assist the PM. The evaluation of the EOIs is underway.
Permanent position for Tion in the Marine Division	No point of contact from Marine Division	According to the Director of the Marine Division, Tion position has been approved by the Public Service Office and will be included in 2022 Budget for the Marine Division.

## 11. Conclusion

The KOITIIP is currently in its first year of implementation. Activities in the first-year focus on the procurement of consulting firms and individual consultants. To date, the UKHO firm is actively engaged to provide technical support to the Marine Division to implement Component 1 of the KOITIIP. The recruitment of the firm that will conduct the hydrographic survey is still ongoing. The EOIs have been evaluated and WB is reviewing the evaluation report. Once WB approves the report, short-listed firms will be invited to submit their technical and financial proposal. It is anticipated that the winning contractor should start the survey once the border opens in early 2022.

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From September 7<sup>th</sup> to December 23<sup>rd</sup>, the OIIU will conduct a mission to the Outer Islands starting from Abaiang, Tab-South, Nonotu and lastly Beru. The purpose of the mission is to consult the island communities on the progress, requirements and activities of the KOITIIP on each of the islands. The mission will allow safeguard

officers as well as the Community Liaison Officer and Gender and Gender Based Violence Specialist to introduce the GRM and GBV GRM to the island communities. These are the requirements of the ADB and WB stated in the Grant and Financing agreements. The mission will also allow the island communities to understand their responsibilities and most importantly their rights to voice their ideas in the design and implementation of the projects. The KOITIIP is for the outer island communities therefore their views and ideas are of paramount importance to how the project is implemented.

Lastly, a weekly virtual meeting between the WB, ADB and OIIU is still ongoing to address arising project issues and more importantly to guide the Project Manager on management of the Project. This has been very fruitful to the project team and the Project Manager.

## **Annex 1: Requirements of the Grant Agreement – ADB**

Section	Description	Does it comply?
<b>Article IV</b>		
4.02	Maintain separate accounts and records for the project	Done
	Prepare annual financial statements for the Project in accordance with financial reporting standards acc	Not yet due
	Have financial statements audited annually by independent auditors whose qualifications, experience and terms of reference are acceptable to ADB, in accordance with auditing standards acceptable to ADB	Not yet due
	As part of each such audit, have the auditors prepare a report, which includes the auditors' opinions on the financial statements and the use of the Grant proceeds and a management letter, which sets out the deficiencies in the internal control of the Project that were identified in the course of the audit	Not yet due
	Furnish to ADB, no later than 6 months after the end of each related fiscal year, copies of such audited financial statements, audit report and manage letter.	Not yet due
<b>Schedule 3</b>		
2 (a)	The Procurement of Goods, Works and Services is carried out in accordance with the Procurement Framework	Yes
2 (b)	Goods, Works and Services shall be procured based on the detailed arrangements set forth in the Procurement Plan, including the procurement and selection methods, the type of bidding documents, and review requirements. The Recipient may modify the detailed arrangements set forth in the Procurement Plan only with the prior agreement of ADB, and such modifications must be set out in the updates to the Procurement Plan	Yes
2 (c)	All goods and Works procured and Services obtained do not violate or infringe any industrial property or intellectual property right or claim of any third party	Yes
	All contracts for the procurement of Goods, Works and Services contain appropriate representations, warranties and if appropriate indemnities from the contractor, supplier, consultant or service provider with respect to the matters referred to in this subparagraph.	Yes
3	The Recipient will not award any Works contract which involves environmental impacts until: the Recipient's Ministry of Environments, Lands and Agricultural Development has granted final approval of the respective ESIA and the Recipient has incorporated the relevant provisions from the respective ESMP into the Works contract	The GOK is currently complying with this requirement
4	The Recipient will not award any Works contract which involves involuntary resettlement impacts, until the Recipient has prepared and submitted to ADB the final Resettlement Plan for the Project site based on the	Not yet due

	Project's detailed design and obtained ADB's clearance of such Resettlement Plan.	
5	The Recipient shall ensure that the preparation, design, construction, implementation, operation and decommissioning of the Project and all Project facilities comply with (a) all applicable laws and regulations of the Recipient relating to environment and requirements set forth in the respective ESIA and ESMP, and any corrective or preventative actions set forth in a Safeguards Monitoring Report.	Not yet due
6	The Recipient shall ensure that all land and all rights-of-way required for the Project are made available to the Works contractor in accordance with the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with (a) all applicable laws and regulations of the Recipient relating to land acquisition and involuntary resettlement; (b) the Involuntary Resettlement Safeguards; (c) the Resettlement Framework; and (d) all measures and requirements set forth in the respective Resettlement Plan, and any corrective or preventative actions set forth in a Safeguards Monitoring Report.	Not yet due
7.	Without limiting the application of the Involuntary Resettlement Safeguards, the Resettlement Framework or the respective Resettlement Plan, the Recipient shall ensure that no physical or economic displacement takes place in connection with the Project until: (a) compensation and other entitlements have been provided to affected people in accordance with the Resettlement Framework and the respective Resettlement Plan; (b) a comprehensive income and livelihood restoration program has been established in accordance with the Resettlement Framework and the respective Resettlement Plan	Noted
8	The Recipient shall ensure that the Project does not impact indigenous people within the meaning of the SPS. If due to unforeseen circumstances, the Project impacts indigenous people, the Recipient shall take all steps necessary or desirable to ensure that the Project complies with all applicable laws and regulations of the Recipient and with the SPS	Complying – the mission to the outer islands will discuss the impacts of the projects with indigenous people
9	The Recipient shall make available necessary budgetary and human resources to fully implement each ESMP, the Resettlement Framework and each Resettlement Plan	Not yet due
10	The Recipient shall ensure that all bidding documents and contracts for Works contain provisions that require contractors to:	
	a. Comply with the measures relevant to the contractor set forth in the respective ESIA, ESMP, Resettlement Plan (to the extent they concern impacts on affected people during	Not yet due

	construction), and any corrective or preventative actions set forth in a Safeguards Monitoring Report	
	b. Make available a budget for all such environmental and social measures	Not yet due
	c. Provide the Recipient with a written notice of any unanticipated environmental, resettlement or indigenous people risks or impacts that arise during construction, implementation or operation of the Project that were not considered in the respective ESIA, ESMP, Resettlement Framework or Resettlement Plan	Not yet due
	d. Adequately record the condition of roads, agricultural land and other infrastructure prior to starting to transport materials and construction	Yes, conditional assessment report completed. Another conditional assessment will be conducted before construction commences
	e. Reinstatement pathways, other local infrastructure, and agricultural land to at least their pre-project condition upon the completion of construction	Noted
11	The Recipient shall do the following	
	a. Submit semi-annual Safeguards Monitoring Reports to ADB and disclose relevant information from such reports to affected persons promptly upon submission	Complying
	b. If any unanticipated environmental and/or social risks and impacts arise during construction, implementation or operation of the Project that were not considered in any ESIA, EMP, Resettlement Framework or Resettlement Plan, promptly inform ADB of the occurrence of such risks or impacts, with detailed description of the event and proposed corrective action plan	Not yet due
	c. Report any actual or potential breach of compliance with the measures and requirements set forth in any ESMP, Resettlement Framework or Resettlement Plan promptly after becoming aware of the breach.	Not yet due
13	The Recipient shall ensure that the core labour standards and the Recipient's applicable laws and regulations are complied with during Project implementation. The Recipient shall include specific provisions in the bidding documents and contracts financed by ADB under the Project requiring that the contractors, among other things	
	a. Comply with the Recipient's applicable labour law and regulations and incorporate workplace occupational safety norms	Complying
	b. Do not use child labor	Complying

	c. Do not discriminate workers in respect of employment and occupation	Complying
	d. Do not use forced labor	Complying
	e. Allow freedom of association and effectively recognize the right to collective bargaining	Complying
	f. Disseminate or engage appropriate service providers to disseminate, information on the risks of sexually transmitted diseases, including HIV/AIDS, to the employees of contractors engaged under the Project and to members of the local communities surrounding the Project area, particularly women.	Yes
14	The Recipient shall strictly monitor compliance with the requirements set forth in paragraph 13 above and provide ADB with regular reports.	Yes
15	The Recipient shall ensure that	
	a. The GAP is implemented in accordance with its terms	Complying
	b. The bidding documents and contracts that include relevant provisions for contractors to comply with the measures set forth in the GAP	Complying
	c. Adequate resources are allocated for implementation of the GAP	Not yet due
	d. Progress on implementation of the GAP including progress toward achieving key gender outcome and output targets, are regularly monitored, and reported to ADB	Complying
16	The Recipient shall make available through budgetary allocations or other means, all counterpart funds required for the timely and effective implementation of the Project including any funds required	
	a. Compensation costs for safeguard impacts	Not yet due
	b. Mitigation of unforeseen environmental and social impacts	Not yet due
	c. Any additional costs arising from design changes, financing gaps or construction or installation costs	Not yet due
17	The Recipient shall ensure the allocation and availability of a sufficient budget or subsidy, on an annual basis, for the operations and maintenance of Project Facilities and ensure that the Project facilities are included in an asset management information system established to account for the assets of the Recipient	Not yet due
18	The Recipient, the Project Executing Agency, and the implementing agencies shall:	
	a. Comply with ADB's Anticorruption Policy (1998, as amended to date) and acknowledge that ADB reserves the right to investigate directly, or through its agents, any alleged corrupt, fraudulent, collusive or coercive practice relating to the Project	Complying

	b. Cooperate with any such investigation and extend all necessary assistance for satisfactory completion of such investigation	Not yet due
	c. The Recipient, the Project Executing Agency and the implementing agencies shall ensure that the anticorruption provisions acceptable to ADB are included in all bidding documents and contracts, including provisions specifying the right of ADB to audit and examine the records and accounts of the executing and implementing agencies and all contractors, suppliers, consultants, and other service providers as they relate to the Project.	Complying

## Annex 2: Requirements of the Financing Agreement (WB & GOK)

Section/Part	Description	Progress/Does the Government comply?
<b>Schedule 1</b>		
<b>1</b>	<b>Safe Inter-Island Navigation- Carrying out activities to improve the safety of inter-island navigation including:</b>	
a	Conducting hydrographic surveys and preparing and publishing maritime charts	EOI evaluation report is under review by the WB
b	Providing and installing tide gauges and current meters	Not yet due
c	Providing contract management services to support activities under Part 1	Ongoing – OIIU and MICTTD are currently managing the Contract of UKHO. UKHO is to supervise the hydrographic survey and to convert the survey data into the navigational charts.
<b>2</b>	<b>Resilient Outer Island Access Infrastructure</b>	
1	<b>Improvement of Ship Safety Navigation</b>	
a	Designing and installing new aids to navigation and replacing existing ineffective aids to navigation in locations informed by the hydrographic surveys and maritime charts prepared under Part 1a of the Project	ATON specification has been produced in the preparation phase of the KOITIIP. The design and build contract will be discussed further with WB ADB and GOK. This is another important task that needs to be confirmed before the actual start of the hydrographic survey.
b	Providing technical assistance to develop a system for maintenance of aids to navigation and preparing safeguard documents.	This activity will become part of the Contract for the Contractor who will design and build the ATON according to the Specification produced in the Preparation Phase of the Project.
2	<b>Rehabilitation of Island Access Infrastructure</b>	
	Carrying out activities to construct and rehabilitate infrastructure to improve maritime access to Selected Outer Islands, as informed by the hydrographic surveys and maritime charts under Part 1a of the Project including:	
a	Designing and constructing a new jetty, passenger terminal, concrete ramp and associated infrastructure on the Recipient's island of Abaiang; undertaking small scale dredging in	The design and construction of the Jetty and dredging still await the recruitment of an independent contractor.

		Tebikeriki, on the Recipient's island of Beru; and constructing a passenger terminal and associated infrastructure, and upgrading the seawall on Recipient's island of Beru; including preparing site assessments and safeguards documents	
	b	constructing small multipurpose maritime facilities on Recipient's islands of Nonouti and Tabiteuea South, including a boat ramp, shelter and aids to navigation workshop; preparing site assessments and safeguard documents; and providing associated small equipment	Not yet due
	c	providing technical assistance for the design, construction and maintenance activities under Part 2.2(b) of the Project, including conducting engineering studies, carrying out capacity development activities for the Recipient's staff, preparing safeguard documents, and conducting quality assurance audits.	This will form part of the Contractor Agreement for 2.2 (b)
3		<b>Rehabilitation of Lagoon Crossings</b>	
	a	Rehabilitating and upgrading existing causeways identified and selected in accordance with the criteria and procedures specified in the <b>Project Operations Manual</b> to ensure climate resilient access to Selected Outer Islands, including preparing site assessments and preparing safeguard documents, and carrying out activities related to the maintenance of the causeways.	Not yet due
	b	Providing technical assistance for the design, construction, and maintenance activities under Part 2.3(a) of the Project, including conducting site investigations and engineering studies, carrying out supervision of works, conducting quality assurance audits, preparing safeguard documents, and carrying out capacity development activities for staff and personnel of the Ministry of Infrastructure and Sustainable Energy ("MISE").	Awaits the recruitment of MISE mentoring firm. MISE is still preparing the TOR for the mentoring firm. The TOR is under review by WB.
3		<b>Strengthening the Enabling Environment</b>	
	1	Institutional Strengthen - Providing technical assistance to the Ministry of Information, Communications, Transport and Tourism	

	Development (“MICTTD”) and MISE to increase their capacity to implement the Project and manage transport infrastructure, including:	
a	conducting assessments and studies, and training MICTTD staff on the development and management of a marine spatial database; and	Not yet – UKHO is now currently working with MICTTD on the development of bidding documents for the survey work. This is also part of the capacity building. Tion is the key person from MICTTD who is currently working with UKHO.
b	developing a MISE capacity investment plan and providing associated training, program licenses and software.	OIIU and KFSU are still waiting for the specification of the software. It is recommended that MISE purchases software or computer programs that the mentoring firm is familiar with or currently use in its everyday business.
2	<b>Operational Support for the Outer Island Implementation Unit</b>	
	Providing technical and operational assistance to the Outer Islands Implementation Unit (“OIIU”) on Project management and implementation, including audits, training of Project staff and personnel, supporting overall supervision of the Project, providing small equipment, reporting, monitoring and evaluation, and safeguard monitoring; and supporting gender informed activities, including citizen engagement, outreach activities, and developing employment networks designed to increase women’s participation and prevent gender-based violence.	OIIU PM is currently paid under the fund dedicated for the support of the OIIU.
3	<b>Operational Support for the Kiribati Fiduciary Services Unit</b>	
	Strengthening capacity of the Kiribati Fiduciary Services Unit (“KFSU”) in providing Project management and implementation support to this Project and other IBRD and/or Association financed or co-financed projects in the Recipient’s territory, including	All operational costs of the KFSU are funded under KOITIIP. It is a shame if KFSU does not put KOITIIP activity as priority number 1 in their everyday activity!

	audits, providing small equipment, training Project staff and personnel, reporting, and monitoring and evaluation.	
<b>Part 4 – Contingent Emergency Response</b>		
	Providing immediate response to an Eligible Crisis or Emergency, as needed.	
<b>SCHEDULE 2: PROJECT EXECUTION</b>		
Section 1 IMPLEMENTATION ARRANGEMENT		
A	Institutional Arrangements	
1	The Recipient shall maintain until the Closing Date, the Development Coordination Committee, with a mandate, composition and resources satisfactory to the Association, which shall be: (i) chaired by the Secretary to Cabinet (or their representative), and comprising, <i>inter alia</i> , the secretaries (or their representatives) of the Ministry of Finance and Economic Development (“MFED”), MISE, MICTTD, Ministry of Internal Affairs, Ministry for Women, Youth, Sports and Social Affairs, and Ministry of Environment, Lands and Agriculture Development, and other members as specified in the Project Operations Manual; and (ii) responsible for, <i>inter alia</i> , providing general oversight, coordination, strategic direction and guidance for the Project.	Delaying.
2	The Recipient shall, by no later than three (3) months after the Effective Date (or such later date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), establish and thereafter maintain until the Closing Date, a Project Technical Taskforce, with a mandate, composition and resources satisfactory to the Association, which shall: (i) be chaired by the Project manager of the OIIU (or their representative), and comprising, <i>inter alia</i> , technical staff representatives from MISE, MICTTD, Ministry of Internal Affairs, Ministry of Environment, Lands and Agriculture Development, and key personnel from OIIU; and (ii) be responsible for, <i>inter</i>	The first meeting of the Project Technical Taskforce, TTF, was in March 2021. The only member turning up were representatives from the Ministry of Women, Youth and Social Affairs. Another TTF meeting was held in April 2021. The next one is scheduled for 4 <sup>th</sup> November 2021.

	<i>alia</i> , providing technical oversight of the Project, preparing Annual Workplans and Budgets and ensuring that the Project meets its development objectives.	
3	The Recipient shall vest in MFED: (i) the overall responsibility for coordination and implementation of the Project; (ii) the responsibilities on implementation of Parts 3.2, 3.3 and 4 of the Project; and (iii) the responsibilities on Project management and fiduciary aspects of Project implementation, all in accordance with the provisions of this Agreement and the Project Operations Manual.	Done - KFSU was created within MFED by the KRRP and KOITIIP is currently maintaining that set up until now.
4	Without limitation to the generality of Section I.A.3 above, the Recipient shall, by no later than three (3) months after the Effective Date (or such later date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), establish and thereafter maintain until the Closing Date, an OIIU within MFED, with a mandate, composition and resources satisfactory to the Association, which shall: (i) be responsible for, <i>inter alia</i> , carrying out day-to-day implementation of activities under Part 3.2 of the Project and Project management and fiduciary aspects of Project implementation, including safeguards compliance, and monitoring and evaluation, with support from KFSU on, <i>inter alia</i> , procurement and financial management; and (ii) unless otherwise agreed with the Association or specified in the Project Operations Manual, include at all times the following minimum staff and/or personnel, or be supported by such specialists from KFSU: (A) a Project manager; (B) a chief technical advisor; (C) a procurement specialist; (D) a social safeguards specialist; (E) an environmental safeguards specialist; (F) a gender or gender-based violence specialist; and (G) a community liaison specialist; each with terms of reference, qualifications and experience satisfactory to the Association.	OIIU has been established. The OIIU has been given a new office which was used to be a boardroom.
5	Without limitation to the generality of Section I.A.3 above, the Recipient shall	Done - KFSU was created within MFED by the KRRP

	maintain until the Closing Date (or such other date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), KFSU within MFED, with a mandate, composition and resources satisfactory to the Association, which shall: (i) be responsible for, <i>inter alia</i> , carrying out day-to-day implementation of activities under Part 3.3 of the Project, and supporting OIIU on fiduciary aspects of Project implementation, including procurement and financial management; and (ii) unless otherwise agreed with the Association or specified in the Project Operations Manual, include at all times the following minimum staff and/or personnel: (A) a procurement specialist; (B) a financial management specialist; and (C) a safeguards specialist; each with terms of reference, qualifications and experience satisfactory to the Association.	and KOITIIP is currently maintaining that set up until now.
6	The Recipient shall vest the responsibilities on technical implementation of: (i) Parts 2.2(b), 2.2 (c) and 2.3 of the Project in MISE; and (ii) Part 3.1 of the Project jointly in MISE and MICTTD, all in accordance with the provisions of this Agreement, the Project Operations Manual and the MISE Implementation Manual.	Yes - the GOK is following this arrangement
7	The Recipient shall vest the responsibilities on technical implementation of: (i) Parts 2.2(b), 2.2 (c) and 2.3 of the Project in MISE; and (ii) Part 3.1 of the Project jointly in MISE and MICTTD, all in accordance with the provisions of this Agreement, the Project Operations Manual and the MISE Implementation Manual.	Yes - the GOK is following this arrangement
8	Without limitation to the generality of Section I.A.7 above, the Recipient shall, by no later than three (3) months after the Effective Date (or such later date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), establish and thereafter maintain until the Closing Date, an MISE Implementation Unit within MISE, with a mandate, composition and resources satisfactory to the Association, which shall: (i) be responsible for, <i>inter alia</i> , carrying out	Yes - the GOK is following this arrangement – MISE has drafted a Ministerial directive note regarding the establishment of the MISE-Implementing Unit

	day-to-day technical implementation of Parts 2.2(b) and 2.3(a) of the Project; and (ii) include at all times the following minimum staff and/or personnel: (A) a Project manager; and (B) a senior civil engineer; each with terms of reference, qualifications and experience satisfactory to the Association	
B	Project Operational Manual	The draft POM has been submitted to the WB. Only Sam Johnson provided feedbacks.
1	By not later than two (2) months after the Effective Date (or such later date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), the Recipient shall:	
a	prepare a manual, in form and substance satisfactory to the Association, which shall set forth, <i>inter alia</i> , the following detailed arrangements and procedures for the implementation of the Project: (i) implementation arrangements, including the division of roles and responsibilities between the implementing ministries and their relevant units, the Island Councils, the <u>Development Coordination Committee</u> and the Project Technical Taskforce; (ii) procurement procedures and standard procurement documentation; (iii) reporting requirements, financial management procedures and audit procedures; (iv) Project performance indicators; (v) arrangement and procedures for environment and social safeguard management; (vi) monitoring and evaluation arrangements; (vii) the criteria and procedures for identifying any additional Selected Outer Islands and identifying and prioritizing investments under Part 2 of the Project; and (viii) any other administrative, financial, technical or organizational arrangements and procedures as may be necessary for the implementation of the Project and the achievement of its development objectives	
b	furnish to, and exchange views with the Association on such manual promptly upon its preparation; and	
c	adopt such manual as shall have been accepted by the Association ("Project Operations Manual").	
2	The Recipient shall thereafter implement the Project in accordance with the Project Operations Manual, and except as the Association shall otherwise	

	agree in writing, the Recipient shall not amend, suspend, abrogate, repeal or waive any provisions of the Project Operations Manual.	
3	In the event of any conflict between the provisions of the Project Operations Manual and those of this Agreement, the provisions of this Agreement shall prevail.	
C	<b>MISE Implementation Manual</b>	MISE is yet to draft this document
1	By not later than twelve (12) months after the Effective Date (or such later date which the Association has confirmed in writing is acceptable to the Association in its sole discretion), the Recipient shall:	
a	prepare a manual, in form and substance satisfactory to the Association, which shall set forth, <i>inter alia</i> , the following detailed arrangements and procedures for the implementation of activities under Parts 2.2(b) and 2.3(a) of the Project: (i) implementation arrangements; (ii) procurement procedures and standard procurement documentation; (iii) reporting requirements, financial management procedures and audit procedures; (iv) arrangement and procedures for environment and social safeguard management, including gender and gender-based violence prevention and mitigation activities; (v) monitoring and evaluation arrangements; (vi) technical procedures and design specifications; and (vii) any other administrative, financial, technical and organizational arrangements and procedures as may be necessary for the implementation of Parts 2.2(b) and 2.3 (a) of the Project.	
b	furnish to, and exchange views with the Association on such manual promptly upon its preparation; and	
c	adopt such manual as shall have been accepted by the Association (“MISE Implementation Manual”).	
2	The Recipient shall thereafter implement Parts 2.2(b) and 2.3(a) of the Project in accordance with the MISE Implementation Manual, and except as the Association shall otherwise agree in writing, the Recipient shall not amend, suspend,	

	abrogate, repeal or waive any provisions of the MISE Implementation Manual.	
3	In the event of any conflict between the provisions of the MISE Implementation Manual and those of this Agreement and/or the Project Operations Manual, the provisions of this Agreement and the Project Operations Manual shall prevail, in that order of priority.	
D	<b>Annual Work Plans and Budgets</b>	Complying – the budget has been submitted to the WB.
1	<p>The Recipient shall prepare and furnish to the Association, by not later than one (1) month after the Effective Date and June 30 of each subsequent year during the implementation of the Project (or such other interval or date as the Association</p> <p>The Recipient shall prepare and furnish to the Association, by not later than one (1) month after the Effective Date and June 30 of each subsequent year during the implementation of the Project (or such other interval or date as the Association may agree), for the Association's review and no-objection, an Annual Work Plan and Budget, which shall, <i>inter alia</i>: (a) list all activities (including Operating Costs and Trainings and Workshops) proposed to be included in the Project in the Recipient's following fiscal year; (b) provide a budget for their financing (with a financial plan specifying all sources of financing including the Financing, the Co-financing and any other resources provided by the Recipient); and (c) describe the environmental and social safeguard measures taken or planned to be taken in accordance with the provisions of Section I.F of this Schedule 2.</p>	
	The Recipient shall ensure that the Project is implemented in accordance with the Annual Work Plans and Budgets accepted by the Association for the Recipient's respective fiscal year; provided, however, that in the event of any conflict between the Annual Work Plans and Budgets and the provisions of this Agreement, the provisions of this Agreement shall prevail.	
	The Recipient shall not make or allow to be made any change to the Annual Work	

	Plans and Budgets, unless the Association has provided its prior no-objection thereof in writing.	
F	SAFEGUARDS	
1		
a	the Project is carried out with due regard to appropriate health, safety, social, and environmental practices and standards, and in accordance with the Safeguard Instruments;	The Project is recruiting Safeguards Officers to ensure that safeguard issues are addressed by the Project.
b	<p>for each activity under the Project for which the Environmental and Social Management Framework (“ESMF”) and the Resettlement Framework (“RF”) provide for the preparation of an Environmental and Social Impact Assessment (“ESIA”), an Environmental and Social Management Plan (“ESMP”) and/or a Resettlement Action Plan (“RAP”):</p> <p>proceed to have such ESIA, ESMP and/or RAP as appropriate: (A) prepared and disclosed in accordance with the ESMF and the RF, respectively; (B) consulted upon adequately with people affected by the Project as per the ESMF and the RF, respectively, and submitted to the Association for review and approval; and (C) thereafter adopted, prior to implementation of the activity; and</p> <p>take such measures as shall be necessary or appropriate to ensure compliance with the requirements of such ESIA, ESMP and/or RAP in a manner satisfactory to the Association; and</p>	
c	<p>all measures are taken to implement the RAPs in a manner and timeframe satisfactory to the Association. To this end, the Recipient shall ensure that:</p> <p>funds are made available to cover all the costs of implementing the RAPs;</p> <p>prior to carrying out activities which involve displacement, Affected Persons shall be compensated at full replacement cost, resettled and provided with assistance in accordance with the RAPs, as applicable; and</p>	

	the implementation, monitoring and evaluation of such RAPs is completed and reported in a manner satisfactory to the Association.	
2	The Recipient shall ensure that the MISE Implementation Manual, all bidding documents and contracts for civil works under the Project include the obligation of all implementing ministries, local labor, contractors, subcontractors, and supervising entities to: (a) comply with the relevant aspects of Safeguard Instruments; (b) adopt and implement measures to assess and manage the risks and impacts of labor influx and workers' camps; and (c) adopt and enforce codes of conduct that should be provided to and signed by all workers, detailing measures on environmental, social, health and safety, gender-based violence and violence against children; all as applicable to such civil works commissioned or carried out pursuant to said contracts.	
3	The Recipient shall ensure that: (a) all consultancies related to technical assistance, design and capacity building under the Project, the application of whose results could have environmental, social and health and safety implications, shall only be undertaken pursuant to terms of reference reviewed and found satisfactory by the Association; and (b) such terms of reference shall require the technical assistance, design and capacity building activities to take into account the requirements of the applicable Safeguard Policies and EHS Guidelines	
4	<p>The Recipient shall ensure that the Emergency Response Part does not include any activities and expenditures on the negative list set forth in the CERC ESMF; and</p> <p>if any activities under Emergency Response Part, would, pursuant to the CERC ESMF, require the preparation of a CERC ESMP, the Recipient shall ensure that: (i) no such activities shall be implemented unless and until such CERC ESMP is prepared, consulted upon, approved, adopted and disclosed in accordance with the provisions of the CERC ESMF; and (ii) thereafter such measures are taken as shall be necessary or</p>	

	appropriate to ensure compliance with the requirements of such CERC ESMP.	
5	<p>Without limitation upon its other reporting obligations under this Agreement, the Recipient shall:</p> <p>(a) take all measures necessary on its part to regularly collect, compile, and submit to the Association, as part of the Project Reports, and promptly in a separate report whenever the Association may require or whenever the circumstances warrant, information on the status of compliance with the Safeguard Instruments, all such reports in form and substance acceptable to the Association, setting out, <i>inter alia</i>: (i) the status of implementation of the Safeguard Instruments; (ii) conditions, if any, which interfere or threaten to interfere with the implementation of the Safeguard Instruments; and (iii) corrective and preventive measures taken or required to be taken to address such conditions;</p>	

	<p>(b) promptly furnish to the Association a copy of each progress report prepared and submitted by any entity (including any engineer) supervising the Project's civil works, the Project's contractors and/or subcontractors; and</p> <p>(c) promptly notify the Association of any incident or accident related to or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers.</p>	
6	The Recipient shall maintain, throughout Project implementation, and publicize the availability of a grievance mechanism, in form and substance satisfactory to the Recipient, to hear and determine fairly and in good faith all complaints raised in relation to the Project, and take all measures necessary to implement the determinations made by such mechanism in a manner satisfactory to the Recipient.	
7	Except as the Association shall otherwise agree in writing, the Recipient shall ensure that none of the provisions of the Safeguard Instruments be abrogated, amended, repealed, suspended or waived. In case of any	

	inconsistencies between the provisions of any of the Safeguard Instruments and the provisions of this Agreement, the provisions of this Agreement shall prevail	
<b>SECTION II PROJECT MONITORING &amp; EVALUATION</b>		
1	The Recipient shall furnish to the Association each Project Report not later than forty-five (45) days after the end of each calendar semester, covering the calendar semester.	Complying
2	<p>The Recipient shall carry out, jointly with the Association, not later than three (3) years after the Effective Date, or such other period as may be agreed with the Association, a mid-term review of the Project (“Mid-Term Review”) to assess the status of Project implementation, as measured against Project indicators acceptable to the Association, and compliance with the legal covenants included or referred to in this Agreement. Such review shall include an assessment of the following: (a) overall progress in implementation; (b) results of monitoring and evaluation activities; (c) progress on procurement and disbursement; (d) progress on implementation of safeguards measures; (e) implementation arrangements and Project staff turnover; and (f) the need to make any adjustments to the Project to improve performance. To this end, the Recipient shall:</p> <p style="padding-left: 40px;">(i) prepare and furnish to the Association, at least one (1) month before the date of the Mid-Term Review, a report, in scope and detail satisfactory to the Association and integrating the results of the monitoring and evaluation activities performed pursuant to Section II.1 of this</p>	Not yet due

	<p>Schedule 2 and the General Conditions, on the progress achieved in the carrying out of the Project during the period preceding the date of such report and setting out the measures recommended to ensure the efficient carrying out of the Project and the achievement of the objectives thereof; and</p> <p>(ii) review, jointly with the Association, the report referred to in the preceding paragraph and thereafter take all measures required to ensure the efficient completion of the Project and the achievement of the objectives thereof, based on the conclusions and recommendations of such report and the Association's views on the matter.</p>	



## Annex 3: Gender Action Plan (GAP) Monitoring Report

Date of GAP Update: 15/11/2021

Project Title: Outer Islands Transport Infrastructure Investment Project

Country: Kiribati

Approval Number: Grant 0713-KIR

Gender Mainstreaming Category: Effective Gender Mainstreaming

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
<b>Output 2: Resilient outer island access infrastructure ship-to-shore transfer and land transport improved.</b> <b>2a. 30 new ATONs installed (2019 baseline: 0).</b> <b>2b. 1 jetty, 4 boat ramps, and 2 multipurpose maritime and maintenance facilities/workshops all designed with EWCD friendly features (2019 baseline: 0).</b>		
DMF-gender Target 2.1. 1 jetty, 4 boat ramps and 2 shelters, and 2 multipurpose maritime facilities designed with features that are friendly to older people, women, children, and people with disability (2020 baseline: 0)	Not yet due	
Target 2.2 Women employed by the project in skilled and unskilled positions (Target: 40% women).	The Project is in its first year of implementation. The main activity for the first year is the recruitment of the OIIU team. Currently, 60% of the OIIU team is women.	
Target 2.3 At least 1 community consultation in each project site organized to raise awareness of women working in non-traditional sectors conducted by the contractor (Target: 50% women).	Not yet due	

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
Activity 2.1 Equal pay for work of equal value for men and women working on the project guaranteed by the contractor.	Not yet due	
Activity 2.2 Facilities at and around the project site meet needs of men and women working on construction, such as separate, well-lit and lockable and easily accessible toilets and locker rooms (Target: all project sites).	Not yet due	
Activity 2.3 KOITIIP GBV requirements (code of conduct, signage on GBV, consultations, GRM for receiving, registering, referring, and reporting complaints) supported by the contractor.	Not yet due	
Target 2.4 All (100%) infrastructure / facilities built by the project respond to the needs of women, children, the elderly and disabled: (i) well-lit; (ii) sheltered from rain and sun; (iii) priority seating; (iv) handrails and safety	Not yet due	

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
barriers; and (v) flush toilet (Nonouti) (Target: all project infrastructure facilities).		
Target 2.5 Survey/FGD held to collect and document women's views on level of improvement of trade opportunities as result of the project interventions. 70% of women surveyed/in FGDs report some level of improvement of trade opportunities.	Not yet due	
Target 2.6 Advocacy with local councils to allocate a place for women to informally market their produce in shelters built by the project (Target: at least one advocacy meeting per year organized).	Not yet due	
Target 2.7 Case study / qualitative research on women's transport habits for trade conducted (Target: 1 case study prepared)	Not yet due	
<b>Output 3: Capacity of MICTTD and MISE strengthened to ensure the sustainable investments and operations</b> <b>3a. MISE staff received training from mentoring firm(s) (at least 20% women).</b> <b>3b. Relevant training delivered to staff from implementing agencies including all eligible women staff</b> <b>3c. Pilot one all women routine maintenance team for causeways/maritime facilities)</b> <b>3d. Training on handling cases of GBV to all project staff from implementing agencies and GBV framework prepared</b>		
DMF-gender Target 3.1 5 MISE staff received training from mentoring firm (at least 20% women).	Not yet due	
DMF-gender Target 3.2 Relevant training delivered to 10 staff from implementing agencies including all eligible women staff (at least 30% women).	Not yet due	
	The GGBV officer is drafting the GGBV Manual. The manual will identify the best approach to establish the GGBV GRM, plans to implement GAP activities, indicators and targets, timeframe and responsibilities. The first	

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
	draft of the manual will be completed after the consultation to the Outer Island communities.	
Target 3.3 4 trainings on handling cases of GBV to all project staff from implementing agencies (2020 baseline: 0).	Not yet due	
DMF-gender Target 3.4 A GBV framework developed and systems in place to address GBV among workers and the communities (signage, code of conduct and a GRM for receiving, registering, referring and reporting complaints) implemented by OIIU.	Progressing. It is expected that by January 2022, the GBV framework will be finalized.	
Activity 3.1 A support fund for GBV survivors maintained by OIIU.	Not yet due – The details will be specified in the GBV manual	
Target 3.5 GBV referral mechanism (SafeNet) operational in 100% project sites implemented (baseline 2019: 25%).	Not yet due – The GGBV is assessing the SafeNet on the Outer Islands. After the assessment, the Project can work together with the Island Councils to address gaps to ensure it is 100% operational before the Project is actually implemented.	
Activity 3.2 Training courses provided to all Assistant Social Welfare Officers (MWYSSA) in project sites on KOITIIP GBV Framework and GRM (Target: at least 2 training courses).	Not yet due	

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
Activity 3.3 MWYSSA representative is included in KOITIIP steering committee.	Complying – the MWYSSA representative is included in the Project Technical Taskforce committee and in the Project Steering committee.	
Target 3.6 Project staff and contractors (male and female) trained on KOITIIP gender related instruments and GOK GBV commitments. (Target: 100% of project staff).	The GGBV is finalizing the GGBV Manual which will identify trainings required by Project staff, contractors and GOK staff.	
DMF-gender Target 3.7. An all-female routine maintenance team piloted for causeway/maritime facilities in Abaiang (Target: 1).	Not yet due	
Activity 3.4 Community consultation conducted to evaluate the effectiveness of all-female routine maintenance team.	Not yet due	
Activity 3.5 Collection and analysis of sex disaggregated data, monitoring and reporting of the GAP and GBV Framework (Target: Quarterly reporting).	Not yet due	
Activity 3.6 By 2025, capacity exists within MISE and MICTTD to effectively mainstream gender equality in infrastructure (baseline 2019: 0). Capacity will be measured by pre-post questionnaires, case studies and other evaluation methodologies	Not yet due	
Activity 3.7 Women in Infrastructure Network in MISE established to promote the importance women working in non-traditional fields, build professional capacity and support the operationalization of GOK gender equality and safe workplace commitments.	Not yet due	

(GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress (What To Report)	Issues and Challenges
Activity 3.8 Gender focal points MISE and MICTTD formally appointed/nominated	Not yet	

**Comments/Remarks:**

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