



Asian Development Bank

IN.177-13  
19 July 2013

## Periodic Financing Requests Monthly Report for June 2013

## PERIODIC FINANCING REQUESTS MONTHLY REPORT FOR JUNE 2013<sup>1</sup>

1. Attached are the submissions from Central and West Asia Regional Department and South Asia Department. The following periodic financing requests were received:

MFF Number and Name	Tranche Number	Date of Request
MFF 0033-AFG: Water Resources Development Investment Program	2	9 June 2013
MFF 0061-UZB: Housing for Integrated Rural Development Improvement Program	2	7 June 2013
MFF 0058-IND: North Eastern State Roads Investment Program	2	17 June 2013
MFF 0010-IND: Uttarakhand State-Road Investment Program	3	11 June 2013
MFF 0072-SRI: Greater Colombo Water and Wastewater Management Improvement Investment Program	2	3 June 2013
MFF 0004-BAN: Railway Sector Investment Program	3	30 May 2013 <sup>a</sup>

<sup>a</sup> Received by BRM on 30 May 2013 but received by SATC on 5 June 2013.

2. East Asia Department, Pacific Department and Southeast Asia Department have nothing to report for this period.

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<sup>1</sup> This report is for approved multitranches financing facilities only. It excludes reporting of first tranches described in detail in the report and recommendation of the President submitted for Board consideration.

**Tranche at a Glance**  
(as requested by the Government in PFR)

Project Name	Water Resources Development Investment Program, Tranche 2	Tranche No.	2	
Country	Afghanistan	Project No	42091-043	
Date of Receipt by ADB of PFR	9 June 2013	Department	CWRD	
Project Data Sheet	<a href="http://www.adb.org/projects/42091-043/main">http://www.adb.org/projects/42091-043/main</a>		Division	CWER
Tranche Summary				
ADB approved a multitranche financing facility (MFF) for the Water Resources Development Investment Program for an aggregate amount not exceeding \$303.3 million in October 2009.				
<b>Impact and Outcome:</b> The impact is increased agricultural production in the Lower Kokcha Irrigation System (LKIS) in northern Afghanistan. The outcome is improved water resources management.				
<b>Outputs:</b> The outputs are: (i) the LKIS will deliver reliable irrigation supplies; (ii) institutional capacity of water management and agricultural extension institutions is improved; and (iii) project management. The outputs will be achieved through rehabilitation of the Shahrawan and Archi main canal and branches, and associated intakes on the Amu and Kokcha rivers.				
<b>Implementation arrangements:</b> The Ministry of Finance will be the executing agency, and the Ministry of Energy and Water and the Ministry of Agriculture, Irrigation and Livestock will be implementing agencies.				
<b>Project readiness:</b> A feasibility study was completed and approved in April 2013. Due diligence has been carried out to assess the technical, economic, and financial viability of the project. The concurrence of the Government on the project scope has been obtained. The land acquisition and resettlement framework was updated and a draft land acquisition and draft resettlement plan has been prepared.				
Tranche Sector Classification		Sector:	Agriculture and natural resource	
		Subsectors:	Irrigation, drainage and flood protection	
Tranche Thematic Classification		Theme:	Economic growth	
		Subthemes:	Promoting economic efficiency and enabling business environment	
Climate Change Impact		Adaptation		Mitigation
Gender Mainstreaming		Gender equity theme		
		Effective gender mainstreaming		
		Some gender benefits		
		No gender elements		√
Targeting Classification		General Intervention		√
		Targeted Intervention		
		(i) Geographic dimensions of inclusive growth		
		(ii) Millennium Development Goals		
		(iii) Income poverty at household level		
Location Impact		Rural	High	National
		Urban		Regional
				Medium
Tranche Risk Categorization		Low Risk	√	Complex
Safeguard Categorization				

(Refer to ADB. 2009. Safeguards Policy Statement. <i>Operations Manual, OM F1</i> . Manila.)			
	Category	Required Document	Disclosure Date
(i) Environment	B	Initial Environment Examination	19 June 2013
Weblink:	<a href="http://www.adb.org/projects/documents/water-resources-development-investment-program-project-2-lower-kokcha-iee">http://www.adb.org/projects/documents/water-resources-development-investment-program-project-2-lower-kokcha-iee</a>		
(ii) Involuntary resettlement	B	Land Acquisition and Resettlement Plan	1 July 2013
Weblink:	<a href="http://www.adb.org/projects/documents/water-resources-development-investment-program-project-2-lower-kokcha-rp">http://www.adb.org/projects/documents/water-resources-development-investment-program-project-2-lower-kokcha-rp</a>		
(iii) Indigenous peoples	C	None	
Weblink:	Not applicable		
Financing Requested from ADB	Modality	Source	Amount (\$ million)
	Project Grant	Asian Development Fund	100
	Total:		100
	Source		Amount (\$ million)
Counterpart Financing	Government		0
Aid Effectiveness	Parallel project implementation unit		No
	Program-based approach		Yes
<b>Significant Developments in the MFF and Previous Tranches</b>			
Tranche 1 of the grant (G0167-AFG) equivalent to \$93 million was approved on 6 October 2009 and became effective on 7 January 2010.			
<b>Physical and Nonphysical Progress:</b> Project implementation was delayed by 24 months due to (i) restructuring of the project to improve program efficiency and effectiveness; and (ii) subsequent late recruitment and mobilization of the consultants. The rehabilitation of the Nangahar Valley Development Authority (NVDA) infrastructure comprises a \$2 million national competitive bidding contract for priority works to be signed in Q3 2013, and a \$17 million international competitive bidding contract that is expected to be awarded in Q4 2013. The restructuring of the NVDA is ongoing, and the creation and strengthening of irrigation associations will be undertaken by an NGO due to commence in Q4 2013. The detailed design of the flood management and bank protection works along the Amu Darya River in Takhar province is ongoing, the bidding process is scheduled to commence in Q3, 2013, with contract award in Q1 2014. The ongoing Helmand River Basin Master Plan study is due to be completed in Q4 2013.			
<b>Project Covenants:</b> Most framework financing agreement undertakings and project covenants are complied with, with four covenants being partly complied. These include (i) the late appointment of external auditors as a result of delays in starting the recruitment process, low quality of implementing agency (IA) submissions, and pending decisions by ADB; (ii) MOF has not established a program coordination office (PCO), due to revised implementation arrangements, which is now expected to be formed by August 2013; (iii) the program steering committee was inaugurated in July 2012, however the committee has yet to meet; and (iv) the program performance management system is pending the establishment of the PCO.			
<b>Contract Awards and Disbursements.</b> As of 10 June 2013, overall cumulative contract awarded is \$40.437 (47%) and overall disbursement is at \$8.766 (10%). The project is rated "on track."			
CPS	AFG: Country Partnership Strategy (2009-2013) <a href="http://www.adb.org/documents/afghanistan-country-partnership-strategy-2009-2013?ref=countries/afghanistan">http://www.adb.org/documents/afghanistan-country-partnership-strategy-2009-2013?ref=countries/afghanistan</a>		
COBP	AFG: Country Operations Business Plan (2013–2014) <a href="http://www.adb.org/documents/afghanistan-country-operations-business-plan-2013-2014?ref=countries/afghanistan">http://www.adb.org/documents/afghanistan-country-operations-business-plan-2013-2014?ref=countries/afghanistan</a>		

**Tranche at a Glance**  
(as requested by the Government in PFR)

(as requested by the Government of PFR)

Project Name	Housing for Integrated Rural Development Improvement Program, Tranche 2	Tranche No.	2
		Project No	44318-025
Country	Uzbekistan	Department	CWRD
Date of Receipt by ADB of PFR	7 June 2013	Division	CWPF
Project Data Sheet	<a href="http://www.adb.org/projects/44318-025/main">http://www.adb.org/projects/44318-025/main</a>		
<b>Tranche Summary</b>			
ADB approved a multitranche financing facility (MFF) for the Housing for Integrated Rural Development Improvement Program for an aggregate amount not exceeding \$500 million on 31 August 2011.			
<b>Impact and Outcome:</b> The impact will be improved rural housing for targeted beneficiaries. The outcome will be downstreaming of the rural housing scheme for moderate- to lower-income beneficiaries, with a focus on women. Tranche 2 will improve living standards for 20,000 rural families.			
<b>Outputs:</b> The outputs of Tranche 2 will be (i) at least 20,000 housing loans provided by three participating commercial banks (PCBs) to targeted beneficiaries in rural areas; (ii) improved capacity of local governments to prepare and implement integrated rural development plans and investment promotion strategies; and (iii) an improved enabling environment for entrepreneurs and micro and small enterprises to expand or establish new businesses in rural areas.			
<b>Implementation arrangements:</b> The Ministry of Economy (MOE) will be the executing agency. MOE and PCBs will be the implementing agencies.			
<b>Project Readiness:</b> The procurement plan and procurement arrangements, including contractor selection following national commercial practices and procedures acceptable to ADB, have been defined. ADB approved advance procurement on 25 January 2013. Safeguards due diligence of all rural housing sites for 2013 has been completed, and selection of socially prioritized homebuyers for 2013 is nearing completion. An assessment of the demand for and affordability of the new houses has been undertaken. Financial and integrity due diligence is being finalized on each of the three proposed PCBs: Qishloq Qurilish Bank, the National Bank of Uzbekistan, and Ipoteka Bank.			
Tranche Sector Classification	Sector:	Multisector	
	Subsectors:	Agriculture and rural sector development Housing finance	
Tranche Thematic Classification	Theme:	Economic growth	
	Subthemes:	Promoting economic efficiency and enabling business environment	
Climate Change Impact	Adaptation		Mitigation
Gender Mainstreaming	Gender equity theme		
	Effective gender mainstreaming	√	
	Some gender benefits		
	No gender elements		
Targeting Classification	General Intervention	√	
	Targeted Intervention		
	(i) Geographic dimensions of inclusive growth		
	(ii) Millennium Development Goals		
	(iii) Income poverty at household level		
Location Impact	Rural	High	National
			High

	Urban	Low	Regional	
Tranche Risk Categorization	Medium Risk		Complex	√
Safeguard Categorization (Refer to ADB. 2009. Safeguards Policy Statement. <i>Operations Manual, OM F1</i> . Manila.)				
	Category	Required Document	Disclosure Date	
(i) Environment	FI-C	None	Not Applicable	
Weblink:	Not Applicable			
(ii) Involuntary resettlement	FI-C	None	Not Applicable	
Weblink:	Not Applicable			
(iii) Indigenous peoples	FI-C	None	Not Applicable	
Weblink:	Not Applicable			
Financing Requested from ADB	Modality	Source	Amount (\$ million)	
	Project loan	Ordinary Capital Resources	200.0	
	Total:		200.0	
	Source		Amount (\$ million)	
Cofinancing			None	
Counterpart Financing	Homebuyers (down payments), PCBs, Government, Private Sector		1,564.6	
Aid Effectiveness	Parallel project implementation unit			No
	Program-based approach			Yes
Significant Developments in the MFF and Previous Tranches				
Tranche 1 financed by Loan 2775-UZB for \$200 million was approved on 9 September 2011, became effective on 20 April 2012, and the completion date is 31 December 2013.				
<b>Progress on road map and policy framework.</b> The HIRD strategy has three main elements: rural housing development, local government planning and budgeting, and rural micro and small enterprise development with accompanying access to finance. The structured approach to implementation of the MFF has enabled the government to commit to a rolling plan for the delivery of rural housing for moderate to lower income households that is well coordinated by local government. Local governments are more effectively and more accountably playing their role in community planning, land use management, and planning and delivery of infrastructure and social services. New communities and new modern housing with reliable infrastructure services are opening up opportunities for rural home-based, micro and small enterprises. In addition, in 2012 the government reduced license and permit requirements, simplified and reduced tax payments, and reduced inspections to lower MSE transaction costs. Policy actions have been undertaken, particularly revisions to the planning and budgeting process. Budget allocations are now made annually to relevant state entities and local governments to ensure site and services development for HIRD, and to fulfill Components 2 and 3 work plans. District development plans are being prepared across 13 regions for more effective regional-national government interaction on regional investment planning and results based service delivery. To improve disclosure and meet revised transparency policies the HIRD website, operating in 3 languages, will be launched by September 2013, and the government is piloting e-procurement in the new tranche. Three studies on lower cost housing, green housing options, and construction standards have not yet been completed. With the delay in recruiting of consultants, finalization and incorporation of findings into policy is expected by early 2014.				
<b>Physical and Nonphysical progress.</b> Under Component 1, over 25,000 homebuyer mortgage loan applications were evaluated. Procurement documents conforming to ADB standards were developed and used by over 2,700 contractors, and 851 bid packages were awarded for construction of 8,510 houses. Construction is under way on 272 sites, all inspected to ensure ADB safeguards compliance. House completion and handover to the 8,364 selected homebuyers is expected by the end of August 2013.				

Under Component 2, HIRD training on safeguards, beneficiary selection processes, and procurement has improved understanding of these improved approaches for about 5,000 local government and PCB officers (30% women) nationwide. The government has been training local government officials in all 160 districts to finalize integrated rural development strategies and investment plans to be completed by December 2013. Under Component 3, in 2012 the government abolished a number of license and permit requirements and simplified business registration and tax payment systems to lower SME transactions costs.

**Project 1 Loan Covenants.** With one exception, compliance with the loan and project agreements has been satisfactory. QQB as the sole participating commercial bank did not meet a financial covenant; it had a return on average assets for the last two years of 0.3%. The bank has submitted to ADB for review and confirmation a time-bound action plan to meet the financial covenant for 2013 onwards.

**FFA Undertakings.** The FFA undertakings have been complied with to date, with the following exceptions. First, the technical and financial performance audit and annual “value-for-money” assessment for 2009, 2010, and 2011 construction has not commenced due to delays with the recruitment of the consulting firm. The assessment is retrospective and does not cover construction supported by ADB financing. Many of the identified implementation concerns were addressed by new, detailed program process and oversight measures as listed above. The assessment will be completed by April 2014. The technical and financial performance audit for 2012 has not commenced as construction is not yet complete; it will be competitively bid as a package for each of the three years 2012-2014 to a qualified firm. Second, the construction materials factories owned by QQI were to have been divested by January 2013. They have been transferred into a subsidiary of QQB in May 2013 until they can be valued and sold. Third, the audited project financial statements (on the capacity development component for expenditures less than \$40,000) for 2012 have not been submitted. It is expected that they will be submitted by September 2013.

**Contract Awards and Disbursements.** As of 30 June 2013, the physical progress for Tranche 1 is 90% against the elapsed period of 77%. \$178.8 million (89.9%) has been disbursed. The Project is rated on track.

CPS	UZB: Country Partnership Strategy (2012–2016) <a href="http://www.adb.org/documents/uzbekistan-country-partnership-strategy-2012-2016">http://www.adb.org/documents/uzbekistan-country-partnership-strategy-2012-2016</a>
COBP	UZB: Country Operations Business Plan (2012–2014) <a href="http://www.adb.org/documents/uzbekistan-country-operations-business-plan-2012-2014">http://www.adb.org/documents/uzbekistan-country-operations-business-plan-2012-2014</a>

**Tranche at a Glance**  
(as requested by the Government in PFR)

Project Name	North Eastern State Roads Investment Program		Tranche No.	2
			Project No	37143-033
Country	India		Department	SARD
Date of Receipt by ADB of PFR	17 June 2013		Division	SATC
Project Data Sheet		To be posted after completion of fact-finding mission		
Tranche Summary (as requested by the government in PFR)				
<b>Impact and Outcome.</b> The impact is improved surface transport connectivity in north eastern region (NER). The outcome of Project 2 will be improved mobility and accessibility in the project areas (Assam, Manipur, Mizoram, and Tripura).				
<b>Outputs.</b> The outputs include (i) reconstructed and rehabilitated state roads (approximately 235 km of state roads in the states of Assam, Manipur, Mizoram, and Tripura); and (ii) improved business process and staff skills of public works departments in the 4 states.				
<b>Readiness for tranche implementation.</b> The investment subproject in Project 2 has been appraised under ADB project preparatory technical assistance for the North Eastern States Road Investment Program. A project management unit within the Ministry of Development of the North Eastern Region, project implementation units (PIUs) and state level steering committees in the 4 states has been established. The existing national level project steering committee established for the implementation of previous project will also cover Project 2. Resettlement and indigenous people’s development plans have been prepared for all subprojects which have been further refined to be fully compliant with ADB policy and guidelines in consultation with each state government. Initial environmental examination reports have been prepared covering all 4 investment projects.				
Tranche Sector Classification		Sector:	Transport and ICT	
		Subsectors:	Road transport	
Tranche Thematic Classification		Theme:	Economic growth, Regional cooperation and integration	
		Subthemes:	Widening access to markets and economic opportunities, Other regional public goods	
Climate Change Impact		Adaptation		Mitigation
Gender Mainstreaming <sup>3</sup>		Gender equity theme		
		Effective gender mainstreaming		
		Some gender benefits		✓
		No gender elements		
Targeting Classification		General Intervention		✓
		Targeted Intervention		
		(i) Geographic dimensions of inclusive growth		
		(ii) Millennium Development Goals		
		(iii) Income poverty at household level		
Location Impact		Rural	High	National
		Urban	Low	Regional
				Low



Tranche Risk Categorization		Low Risk		Complex	✓
Safeguard Categorization <sup>1</sup>					
	Category	Required Document			Disclosure Date <sup>2</sup>
(i) Environment	B	Initial Environmental Examinations			1 <sup>st</sup> Week of August 2013
Weblink:					
(ii) Involuntary resettlement	A	Combined Resettlement Plan and Indigenous Peoples Plans			1 <sup>st</sup> Week of August 2013
Weblink:					
(iii) Indigenous peoples	A	Combined Resettlement Plan and Indigenous			1 <sup>st</sup> Week of August 2013
Weblink:					
Financing Requested from ADB		Modality	Source	Amount (\$ million)	
		MFF-Tranche	OCR	125.20	
		Total:		125.20	
		Source		Amount (\$ million)	
Cofinancing					
Counterpart Financing		Government		32.00	
Aid Effectiveness		Parallel project implementation unit			
		Program-based approach			✓
Significant Developments in the MFF and Previous Tranches					
<p><b>Loan 2770.</b> The loan agreement for \$74.8 million was signed on July 9, 2012 and became effective on 22 October 2012. All consultants had been recruited in November 2012. Selection of all three civil works contractors have been completed, two of which had already commenced their works in April 2013. All civil works are to be completed by the end of 2016. Land acquisition and resettlement activities is in progress to comply with Resettlement Plans and due diligence reports on safeguard implementation shows satisfactory performance of implementation agencies. Two consultants for the capacity development technical assistance commenced their work in Q1 2013 and expected to be completed by December 2014. Contract awarded to date is \$31.3 million with a cumulative disbursement of \$0.6 million, or about 1.9% of contracted amount. Compliance with the legal agreements and undertakings in the FFA are ensured.</p>					
CPS/COBP		<a href="http://www.adb.org/documents/india-country-operations-business-plan-2012-2014?ref=countries/india/strategy">http://www.adb.org/documents/india-country-operations-business-plan-2012-2014?ref=countries/india/strategy</a>			

<sup>1</sup> All safeguard documents have been approved by ADB. Awaiting for EA's endorsement for disclosure.

<sup>2</sup> Targeted.

**Tranche at a Glance**  
(as requested by the Government in PFR)

Project Name	Uttarakhand State Road Investment Program	Tranche No.	3
		Project No	38255-043
Country	India	Department	SARD
Date of Receipt by ADB of PFR	11 June 2013	Division	SATC
Project Data Sheet	<a href="http://www.adb.org/projects/38255-043/main">http://www.adb.org/projects/38255-043/main</a>		
Tranche Summary (as requested by the government in PFR)			
<p>This project comprises an infrastructure improvement component and an institutional development component, and will improve about 1,036 km sub-network of roads from the prioritized and updated road list of Road Development Plan prepared by the state government of Uttarakhand. This project will also develop the capacity of Public Works Department of Uttarakhand (PWD).</p> <p><b>Impact and Outcome.</b> The impact of the project will be improved efficiency and sustainability of road transport in Uttarakhand. The outcome will be improved efficiency of road transport.</p> <p><b>Outputs.</b> Project outputs include (i) selected priority roads are resurfaced, upgraded or rehabilitated, (ii) PWD staff skills and qualifications are improved, (iii) road planning and programming uses objective data driven procedures and tools; and (iv) effective project management.</p> <p><b>Readiness for tranche implementation.</b> The roads proposed for improvement under the project is compiled geographically in 18 contract packages. Four large packages will be procured under international competitive bidding (ICB), and the rest under national competitive bidding (NCB). The institutional building component will include creation of capacities for objective and data-driven road planning and programming through the establishment of the Planning Unit (PU), and mainstreaming new business procedures and practices based on Management Information and Project Management System (MIPMS) as well as performance-based maintenance of constructed roads. The Program Management Consultant (PMC) to be recruited will support the implementation of this institutional building component.</p> <p>PWD prepared detailed project reports (DPR) and started advance contracting based on the provisions in Framework Financing Agreement (FFA). The environmental and forest land clearances required for the project are being sought. The resettlement plans have been finalized and the service of a non-government organization (NGO) is being utilized for their implementation.</p>			
Tranche Sector Classification	Sector:	Transport and ICT	
	Subsectors:	Road transport	
Tranche Thematic Classification	Theme:	Economic growth	
	Subthemes:	Promoting economic efficiency and enabling business environment	
Climate Change Impact	Adaptation		Mitigation
Gender Mainstreaming	Gender equity theme		
	Effective gender mainstreaming		
	Some gender benefits		
	No gender elements		✓
Targeting Classification	General Intervention		✓
	Targeted Intervention		
	(i) Geographic dimensions of inclusive growth		
	(ii) Millennium Development Goals		

	(iii) Income poverty at household level			
Location Impact	Rural	High	National	Low
	Urban	Low	Regional	Low
Tranche Risk Categorization	Low Risk	✓	Complex	
Safeguard Categorization <sup>1</sup>				
	Category	Required Document		Disclosure Date
(i) Environment	B	Initial Environmental Examinations		Aug 2012
Weblink:	<a href="http://www.adb.org/projects/documents/mff-uttarakhand-state-road-investment-program-project-3-iee">http://www.adb.org/projects/documents/mff-uttarakhand-state-road-investment-program-project-3-iee</a>			
(ii) Involuntary resettlement	B	Resettlement Plans		Nov 2012
Weblink:	<a href="http://www.adb.org/projects/38255-043/documents">http://www.adb.org/projects/38255-043/documents</a>			
(iii) Indigenous peoples	C	Not required		
Weblink:				
Financing Requested from ADB	Modality	Source	Amount (\$ million)	
	MFF-Tranche	OCR	150.00	
	Total:		100.00	
	Source		Amount (\$ million)	
Cofinancing				
Counterpart Financing	Government		77.55	
Aid Effectiveness	Parallel project implementation unit			
	Program-based approach			✓
Significant Developments in the MFF and Previous Tranches				
<b>Loan 2308:</b> Loan agreements signed in 2007 and closed in October 2012. The civil works for improvement of 22 roads covering a total length of 535 km have been completed under 9 contract packages. Cumulative disbursement for the loan is \$40.4 million or 80.7% of the original loan amount before cancellations. Project completion review is expected to be conducted in 2014 or later. FFA undertakings and covenants have been complied with during the tranche implementation.				
<b>Loan 2458:</b> Project was signed and effective in 2009. Among the 20 civil works contracts awarded, 7 contracts have been completed with the remaining 13 contracts are ongoing. All contracts have been awarded and cumulative disbursement to date is 75% of contracted amount. The infrastructure management consultant under Project 1 (IMC-1) prepared reports with various recommendations for Public Works Department (PWD) reorganization and operations. Based on the IMC-1 recommendations, the infrastructure management consultant under Project 2 (IMC-2) continued providing support to PWD throughout Project 2. Notable achievements are the establishment of a Planning Unit in PWD, establishment of Management Information and Project Management System (MIPMS), development of longer term measures for better quality control of the performance-based maintenance contracts; and the reorganization of PWD with increased focus on planning, road sector management and implementation of projects. Due diligence reports on safeguard implementation show the satisfactory capacity of PMU to implement the safeguard requirements. FFA undertakings and covenants are being complied with during the tranche implementation.				
CPS/COBP	<a href="http://www.adb.org/documents/india-country-operations-business-plan-2012-2014?ref=countries/india/strategy">http://www.adb.org/documents/india-country-operations-business-plan-2012-2014?ref=countries/india/strategy</a>			

<sup>1</sup> Initial categorization and subject to RSDD confirmation.

**Tranche at a Glance**  
(as requested by the Government in PFR)

Project Name	Greater Colombo Water and Wastewater Management Improvement Investment Program		Tranche No.	2
			Project No	45148-007
Country	Sri Lanka		Department	SARD
Date of Receipt by ADB of PFR	3 June 2013		Division	SAUW
Project Data Sheet	<a href="http://www.adb.org/projects/45148-007/main">http://www.adb.org/projects/45148-007/main</a>			
Tranche Summary (as requested by the government in PFR)				
<b>Impact and Outcome.</b> The impact of the proposed project will be improved water supply and wastewater service and management in Greater Colombo. The expected outcome will be improved efficiency and financial sustainability of water supply and wastewater service in Greater Colombo.				
<b>Outputs.</b> The following outputs are expected: (i) rehabilitated and expanded water supply system and reduced non-revenue water (NRW) in south part of Colombo city; (ii) completed designs and improved preparedness for wastewater investments; (iii) strengthened institutional structure and capacity of service providers; and (iv) successfully managed and implemented Project 2.				
<b>Implementation Arrangements.</b> For water supply investments, the Ministry of Water Supply and Drainage (MWSD) will be the executing agency (EA) and the National Water Supply Drainage Board (NWSDB), through its Project Management Unit (PMU) formed under Project 1, will be the implementing agency (IA). For wastewater management investments, Ministry of Local Government and Provincial Councils (MLGPC) will be the EA and the Colombo Municipal Council (CMC), through its existing PMU, will be the IA.				
<b>Readiness for tranche implementation.</b> Project implementation is at an advanced stage. The detailed feasibility study has been completed and endorsed by the NWSDB. The initial environmental examination (IEE) and resettlement plan (RP) have been prepared and will be disclosed, and environmental management plan provisions are incorporated in the bidding documents. Bidding documents for the major civil works, which are similar to the civil works packages under Project 1, are under preparation and will be ready for tendering by December 2013.				
Tranche Sector Classification		Sector:	Water and Other Municipal Infrastructure and Services	
		Subsectors:	Water supply and sanitation, Urban sector development, Waste management	
Tranche Thematic Classification		Theme:	Social development, Environmental sustainability, Governance, Capacity development	
		Subthemes:	Human development, Urban environmental improvement, Public administration (national, decentralized, and regional), Institutional development	
Climate Change Impact		Adaptation	Mitigation	
Gender Mainstreaming		Gender equity theme		
		Effective gender mainstreaming		✓
		Some gender benefits		
		No gender elements		
Targeting Classification		General Intervention		
		Targeted Intervention		

	(i) Geographic dimensions of inclusive growth			
	(ii) Millennium Development Goals			MDG7
	(iii) Income poverty at household level			
Location Impact	Rural	Low	National	Medium
	Urban	High	Regional	
Tranche Risk Categorization	Low Risk	✓	Complex	
Safeguard Categorization				
	Category	Required Document		Disclosure Date
(i) Environment	B	Initial Environmental Examination		2 Jul 2013
Weblink:	<a href="http://www.adb.org/projects/documents/greater-colombo-water-and-wastewater-management-improvement-tranche-2-iee">http://www.adb.org/projects/documents/greater-colombo-water-and-wastewater-management-improvement-tranche-2-iee</a>			
(ii) Involuntary resettlement	B	Resettlement Plan		3 Jul 2013
Weblink:	<a href="http://www.adb.org/projects/documents/greater-colombo-water-and-wastewater-management-improvement-investment-tranche-2-rp">http://www.adb.org/projects/documents/greater-colombo-water-and-wastewater-management-improvement-investment-tranche-2-rp</a>			
(iii) Indigenous peoples	C	Not required		
Weblink:				
Financing Requested from ADB	Modality	Source	Amount (\$ million)	
	MFF-Tranche	OCR	70.0	
	MFF-Tranche	ADF Loan	18.0	
	Total:		88.0	
	Source		Amount (\$ million)	
Cofinancing				
Counterpart Financing	Government		30.0	
Aid Effectiveness	Parallel project implementation unit			✓
	Program-based approach			✓
Significant Developments in the MFF and Previous Tranches				
<p><b>Loan 2947/2948 (Tranche 1):</b> A loan of \$84 million was approved on 22 November 2012 to support Project 1 and its legal agreements were signed on 28 June 2013. The loan is yet to be declared effective. NWSDB has already established the PMU and mobilized a total of 34 staff, including a full-time Project Director, Deputy Project Director, 4 chief engineers, 4 engineers, and several support staff. Initial contract awards are expected in the last quarter of 2013.</p> <p>Recruitment of the Management Advisory and Supervision Consultant (MASC), the main consulting services is ongoing. Proposals from short-listed firms were received on 6 June 2013. MASC is expected to be mobilized by October 2013. The invitation for bids for the first civil works package (out of the two major civil works packages under Project 1) was issued on 7 June 2013, and the contract is expected to be awarded in December 2013.</p> <p>Two of the key institutional reforms agreed were endorsed by the NWSDB Board on 10 June 2013, namely: (i) to transfer all management and operations responsibilities of the region to the Regional Support Centre–West Central (RSC-WC), and establish it as an autonomous entity with a separate cost center from June 2013; and (ii) to establish the NRW Monitoring and Audit Cell to review and audit NRW reductions countrywide from July 2013. The decision paper on these two institutional changes was submitted by the NWSDB Board to the MWSD for confirmation.</p>				
CPS/COBP	<a href="http://www.adb.org/documents/sri-lanka-country-operations-business-plan-2013-2015">http://www.adb.org/documents/sri-lanka-country-operations-business-plan-2013-2015</a>			

**Tranche at a Glance**  
(as requested by the Government in PFR)

Project Name	Railway Sector Investment Program		Tranche No.	3
			Project No	32234-053
Country	Bangladesh		Department	SARD
Date of Receipt by ADB of PFR	30 May 2013		Division	SATC
Project Data Sheet	<a href="http://www.adb.org/projects/32234-053/main">http://www.adb.org/projects/32234-053/main</a>			
Tranche Summary (as requested by the government in PFR)				
<p><b>Impact and Outcome.</b> The impact will be efficient and safe railway transport in Bangladesh and the outcome will be improved railway transport capacity in the main line network of Bangladesh Railway.</p> <p><b>Outputs.</b> The outputs are 50 Broad Gauge Passenger Coaches and 100 Meter Gauge passenger coaches for Bangladesh Railway's main line network.</p> <p><b>Implementation Arrangements.</b> Bangladesh Railways (BR) will be the Executing Agency, a dedicated Project Director under the Project Implementation Unit (PIU) for Investment Projects, which was established under the first tranche, will be responsible for the implementation of tranche 3.</p> <p><b>Readiness for tranche implementation.</b> An Appraisal Report with design documents for the rolling stock was prepared by consultants recruited under the first tranche and the bid documents are ready for review by ADB upon approval of advance procurement action.</p>				
Tranche Sector Classification	Sector:	Transport and ICT		
	Subsectors:	Railways		
Tranche Thematic Classification	Theme:	Economic growth		
	Subthemes:	Providing access to markets and economic opportunities		
Climate Change Impact	Adaptation		Mitigation	✓
Gender Mainstreaming	Gender equity theme			
	Effective gender mainstreaming			
	Some gender benefits			
	No gender elements	✓		
Targeting Classification	General Intervention	✓		
	Targeted Intervention			
	(i) Geographic dimensions of inclusive growth			
	(ii) Millennium Development Goals			
	(iii) Income poverty at household level			
Location Impact	Rural	Low	National	High
	Urban	Low	Regional	Low
Tranche Risk Categorization	Low Risk	✓	Complex	
Safeguard Categorization				
	Category	Required Document		Disclosure Date
(i) Environment	C	Not required		
Weblink:				
(ii) Involuntary resettlement	C	Not required		
Weblink:				

(iii) Indigenous peoples	C	Not required	
Weblink:			
Financing Requested from ADB	Modality	Source	Amount (\$ million)
	MFF-Tranche	OCR	100.00
	Total:		100.00
	Source		Amount (\$ million)
Cofinancing			
Counterpart Financing	Government		41.61
Aid Effectiveness	Parallel project implementation unit		
	Program-based approach		✓
Significant Developments in the MFF and Previous Tranches			
<p><b>Loan 2316 (Tranche 1-Investment Project for \$100 million OCR).</b> The design and construction supervision consultant contract was awarded in 2007. The consultant prepared the detailed design for the subproject 1 and appraisal reports for future subprojects including the procurement of rolling stock to be funded under PFR3. The civil works contract for subproject 1 was awarded in July 2011 and the contract is under implementation. As of 20 June 2013, cumulative contract awards is \$90.1 million or 90.1%, and disbursements is at \$80.4 million or 80.4% of loan amount. FFA undertakings and covenants are being complied with.</p>			
<p><b>Loan 2317 (Tranche 1-Reform Project for \$30 million ADF).</b> The reform consultant was mobilized in 2007 and is continuously supporting Bangladesh Railways (BR) in achieving the reform targets agreed for approval of the third tranche. A contract to implement an Enterprise Resource Planning (ERP) IT-system and 3 contracts for the procurement of safety equipment for BR were awarded in 2011. One contract for procurement of safety equipment and two contracts for IT-hardware to implement the ERP IT-System were awarded in 2013. As of 20 June 2013, cumulative contract awards is \$20.7 million or 69%, and disbursements is at \$5.6 million or 18.7% of loan amount.</p> <p>Although the implementation of the investment and reform project experienced start-up delays due to the complexity of the projects and delays in the bidding processes, the Investment Subproject 1 is now performing well and BR intends to implement the investment and reform road map as initially agreed. BR is implementing the reform conditions as agreed for approval of PFR3. The conditions are targeted to be achieved in October 2013, when the financial management system of the ERP IT-System is producing financial reports for BR based on the new accounting structure and the performance of the Lines of Business is reviewed based on these financial reports. FFA undertakings and covenants are being complied with.</p>			
<p><b>Loan 2845 (Tranche 2-Investment Project for \$150 million OCR).</b> The loan financed these infrastructure investments: (i) financing of the funding gap of \$110.32 million due to cost overrun in the Tongi–Bhairab Bazar Double Track subproject (Subproject 1) under the first tranche; (ii) rehabilitation of yards and extension of loops at different stations in the Darsana–Ishurdi–Sirajganj Bazar section (subproject 2); (iii) upgrading of Signaling at 11 Stations between Ishurdi and Darsana (subproject 3); and (iv) construction supervision consulting services.</p> <p>The civil works contract for subproject 1 was awarded in July 2011 under the first tranche and is under implementation. The civil works contract for subproject 2 and 3 will be awarded in July 2013 and August 2013, respectively; and the supervision consultant contract will be awarded in July 2013. As of 20 June 2013, cumulative contract awards is \$105.9 million or 70.6%, and disbursements is at \$0.1 million or .07% of loan amount. FFA undertakings and covenants are being complied with.</p>			
CPS/COBP	<a href="http://www.adb.org/documents/bangladesh-country-operations-business-plan-2013-2015?ref=countries/bangladesh/strategy">http://www.adb.org/documents/bangladesh-country-operations-business-plan-2013-2015?ref=countries/bangladesh/strategy</a>		

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